

**THE RISE OF  
THE SPANISH EMPIRE  
IN THE  
OLD WORLD AND IN THE NEW  
PART TWO  
CHARLES, THE EMPEROR  
&  
PHILIP THE PRUDENT**



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**BOOK V**  
**SPAIN IN THE OLD WORLD**

**CHAPTER XXI**  
**FRESH RESPONSIBILITIES AND A FOREIGN SOVEREIGN**

THE six years which followed the death of Ferdinand the Catholic were in some respects the most critical in the history of the Spanish Empire. They saw the control of its destinies pass from native into alien hands. They witnessed fresh extensions of its responsibilities and power; all over the earth, and at a pace hitherto undreamed of, did its prestige and its burdens increase. While it was being linked with foreign kingdoms and Roman imperial traditions in the Old World, it conquered a mighty empire in the New. The voyage of Ferdinand Magellan was a symbol of the universality of the position it had won. And finally, these six years saw the beginning of a radical alteration in the attitude and aspirations of the Spanish people. In 1516 they were still primarily interested in internal affairs. Though unquestionably proud of the great conquests of the preceding reign, they continued to think rather in national than in imperial terms. They regarded with misgivings the foreign dynasty which fate had placed upon their thrones, and four years later, a large proportion of them were in full revolt against it. But in 1522, when their young sovereign came amongst them for a second time, a striking change had already taken place. Their rebellion had died down from lack of fuel to feed the flames. They had had an opportunity to perceive that the rule of the much-dreaded foreigner had its advantages as well as its drawbacks; if it meant outside burdens and distractions, it also brought riches, power, and renown. Little by little their earlier forebodings gave place to a conviction that under the leadership of the house of Hapsburg it was their destiny to rule the world. The significance of this great turning point can only be appreciated if we keep constantly before our eyes the picture of Spain's acquisitions and achievements in every quarter of the globe. Clearness and convenience prescribe separate treatment of contemporary developments in the Old World and in the New; but a general conspectus of the events recorded in the first chapters of the two books comprised in the present volume is essential to the formation of an intelligent verdict on the meaning of these crucial years.

The bitter hostility of Ferdinand the Catholic toward his grandson, Charles of Burgundy, reveals itself in various utterances and stipulations in regard to the succession to the Spanish kingdoms. To disinherit the prince entirely was out of the question; but Ferdinand was resolved to do his utmost to delay the inevitable. For this, the absence of Charles in Flanders, the presence of his brother Ferdinand in Spain, and above all the condition of his unfortunate mother—immured in Tordesillas—furnished an admirable opportunity. Joanna was the legal heiress and had been recognized as such in a will drawn up by her father at Burgos on May 2, 1512; her unfitness to rule, however, was also openly acknowledged, and Charles was designated as regent. But until Charles should arrive, it was provided that his younger brother Ferdinand should take his place, and it was generally believed that their grandfather cherished hopes that the substitution might prove permanent. Certainly, he left no stone unturned to strengthen the hand of the 'Infante' against Charles; significant, in this connection, were his repeated efforts to win for his favorite grandson the hand of the Princess Renee, daughter of Louis XII, and thereby to forestall the match which had been arranged for her with Charles.

Badoero, the Venetian ambassador, writing early in the year 1515, goes so far as to affirm that Ferdinand was very glad to see how much the *grandees* liked the Infante, who had been reared in Spain, and that he ardently hoped that they would make him king, in case Charles should come to Castile to take possession of his inheritance. Even after Ferdinand's death, the impression prevailed in Spain that he had intended to set aside the lawful heir. As late as October, 1520, his cousin, the Admiral Fadrique Enriquez, assured the Junta of Tordesillas that the king, on his death bed, had nominated his namesake as 'governador' or regent for his mother, without reference to the claims of Charles.

Yet the facts of the case, though the admiral may not have been aware of them, were exactly the contrary of the statement he had made. For during the last months of Ferdinand's life, the counsellors of the absent prince, who were accurately informed of the situation in Spain, made a determined effort to eradicate his grandfather's prejudice with an unseemly quarrel between his hero Ferdinand and his patron Charles, vigorously denies that the former made any testamentary disposition whatever in favor of his namesake and gives in support of the denial a detailed account of a will which he represents the king to have drawn up on April 26, 1515. There is, however, no other evidence that such an instrument was ever framed, and it is difficult to resist the conclusion that the usually trustworthy *cronista*, in this particular instance, was deliberately distorting the facts against him, and to insure his own rights to the succession. For this purpose they dispatched Charles's friend and tutor, Adrian of Utrecht, dean of Louvain, in October, 1515, to plead his cause at the Spanish court. The selection was altogether wise. Adrian was patient and tactful. He was capable of appreciating the Spanish point of view. It may have counted in his favor that he was not on the best of terms with Charles's most intimate advisers in the Netherlands. To assume that Ferdinand was persuaded to forget his dislike of the Archduke would be absurd; but he was apparently brought to see that it was no longer practical politics to give vent to his hostility by attempting to deprive him of his inheritance. At any rate, an agreement was reached in early January, 1516, that Charles should come to Spain in the immediate future, not like his father before him, with armed forces, but only with his retinue as a prince; that he should be recognized as heir in all the Spanish kingdoms, without prejudice to the rights of his grandfather, so long as the latter should live; and finally that the same fleet which bore him to Spain should carry back his brother Ferdinand to the Netherlands, to take over the government there. Most of these arrangements were nullified by the old king's death a few weeks later; but the principal point for which Adrian had contended—namely, the setting aside of the Infante's claims to the regency in Spain—had been definitely and permanently won. The day before he died, Ferdinand called his most intimate counsellors together, and, after prolonged consultation, annulled his will of 1512, recognized the right of succession to the Spanish kingdoms of his daughter Joanna, and her legal heir, Prince Charles, and ordered that until the latter should arrive in Spain the regency of Castile should be entrusted to Cardinal Ximenes, and that of the realms of the Crown of Aragon to his natural son, the archbishop of Saragossa. Several places in the kingdom of Naples and an annual stipend of fifty thousand ducats were all that was left to the Infante.

The sentiments of the mass of the Spaniards on the question of the succession accurately reflected those of Ferdinand, and they knew little or nothing of the circumstances which had brought the king in his last days to bow to the inevitable, and cancel the previous dispositions made in favor of his namesake. So much has already been said concerning the prevalence of separatism of every sort in the Iberian Peninsula, that it is unnecessary to emphasize the danger of generalizations; still, if there was any one characteristic common to all ranks and classes of the Spain of that period, it was certainly dislike and distrust of foreigners. The reasons for this are not far to seek. Geographical facts and historical traditions furnished the

background. A natural pride in glorious deeds done under the Catholic Kings, and a consequent tendency to look down on others who had accomplished less, counted for much. All the contemporary accounts bear witness to it. Peter Martyr tells us that “among Spaniards no foreigner is accounted of importance. They boast that they know for themselves, and that is enough”. “They think they know more, and can accomplish more than any other people”, comments Corner in 1521. Guicciardini in his ‘relation’ of 1513 was even more explicit. “They are by nature proud, and believe that no other nation can be compared with their own. In their conversation they are constantly vaunting their own exploits; they have little use for strangers, and are exceedingly rude in their dealings with them”. And it was but natural that Charles should be regarded in Spain as a foreigner, and should come in for his share of this contempt. He had never left the Netherlands since the day of his birth, and he had been surrounded from the cradle by essentially non-Spanish influences. Spaniards had, or thought they had, a little inkling of what this boded for them; for they cherished the bitterest memories of the wanton recklessness with which, ten years before, the Archduke Philip had impoverished Castile in the interests of his greedy Flemings. The only Spaniards who had got any good at all out of Philip’s brief rule in the Peninsula were the old self-seeking Castilian nobles, who, dreading the strong hand of the king of Aragon, had flocked to the court of the young Hapsburg in the hope that his wastefulness and incompetence would afford them a long looked for opportunity to retrieve their own shattered fortunes. The aristocracy’s gain was the loss of every other portion of the body politic; and if Philip had been unsatisfactory, there was every probability that Charles would be worse. The Infante, who had always lived among them, would have been much more acceptable to the mass of the Spaniards.

Discussion of the attitude of Spain towards Charles naturally leads to that of the attitude of Charles towards Spain. Much light is thrown on this matter by a confidential letter, written to Cardinal Ximenes shortly after Ferdinand’s death, by Alonso Manrique, bishop of Badajoz, who had resided in the Netherlands since 1509, and knew whereof he spoke. Briefly summarized, his verdict was that Charles knew little of Spain and of the Spaniards, and that most of that was wrong. Under all the circumstances, it could scarcely have been otherwise. The prince had grown up under the tutelage of his Hapsburg aunt Margaret, and of his Francophile minister Chièvres. His dynastic interests and responsibilities, as future head of the house of Hapsburg, had been set before him; his obligations to revive the pristine splendors of the ancient dukes of Burgundy had been reiterated again and again; but the claims of his maternal inheritance had scarcely been insisted on at all. It is true that a number of Spanish representatives had been in attendance at his court since the death of his father, in 1506, but their attitude and conduct had probably rather alienated than attracted him. The majority were Castilian nationalists who hated the rule of King Ferdinand because he was Aragonese. They formed, in fact, the remnants of the party that had ranged itself on the side of the Archduke Philip in 1506; somewhat less self-seeking perhaps than the adherents of that ruler had been, they represented, for more patriotic reasons, essentially the same point of view. Their leader was that Juan Manuel who had ruled in the counsels of Charles’s father; and closely associated with him were the above-mentioned bishop of Badajoz, and Pedro Ruiz de la Mota, his successor in that see. They lost no opportunity to poison the young ruler’s mind against his maternal grandfather, and the latter responded by sending an Aragonese delegation to Charles’s court to present his side of the case. The rival partisans struggled and intrigued to gain the upper hand, and their strife became involved with various factional bickerings in Charles’s council; at one time Ferdinand’s supporters succeeded in persuading the Emperor Maximilian to order their archenemy, Juan Manuel, into confinement. We may well believe that the spectacle of all these plottings and recriminations both puzzled and disgusted Charles. He retained, to an unusual degree, throughout his youth, that love of calmness and regularity, that aversion to the unaccountable and inconsequent, which is a common characteristic of

children. The real meaning and significance of the wrangles of his Spanish visitors were at present quite beyond him. He only knew that they were constantly at odds with one another for remote and insufficient cause. They disturbed the regular round of Hapsburg and Burgundian affairs, and were an unwelcome reminder of future responsibilities which he probably, at that moment, was by no means anxious to assume.

A few words in regard to Charles's appearance and character at this early stage of his career will serve to emphasize the difficulties of the situation, and shed further light on the mutual repulsion between his future Spanish subjects and himself. At sixteen, he was far from prepossessing. Neither in aspect nor in conversation did he give any promise of the ability, ambition, or independence which he was afterwards shown to possess. The portraits that have come down to us give a far clearer idea of his appearance than the contradictory descriptions of contemporaries. He had a good body, thin, but well formed, and of medium height. His forehead was broad and clear, but his eyes bulged and stared; they looked, said the Venetian Pasqualigo, as if they were stuck on and did not really belong to him. His salient feature, however, so prominent that it really diverted attention from the upper part of his face, was his long protruding lower jaw, which caused his mouth not seldom to hang open, and sometimes gave him almost the air of an imbecile. Proper mastication was consequently impossible; and as the prince's appetite was almost uncontrollable, digestive troubles affected him all his life. The unhealthy pallor, which was remarked upon by all observers, was doubtless attributable to the same cause. Another result of this peculiar malformation was a hesitant speech and continual stammering; and the unfortunate impression which this infirmity must have produced was considerably enhanced by his linguistic limitations. French and Flemish were perhaps Charles's natural languages; but he was never absolutely at home in the former, and he did not begin the latter until he was thirteen. His Latin was bad, and his Italian worse. Of German and Spanish he was still completely ignorant. His other intellectual accomplishments were far from remarkable. He was reasonably well versed in history, but knew almost nothing of theology. He took no interest in mathematics until later in life, and is said to have once complained that he was educated as if intended for a schoolmaster. He had a real taste for music and a genuine love of the chase; this last, in the estimation of his grandfather, Maximilian, was his sole redeeming feature as a boy of nine. Save for it, the Emperor roundly declared, he might well have been a bastard. Eight years later, when Maximilian saw him again, he altered his earlier verdict for the worse. The prince, he averred, with a shake of his head, was as immovable as a heathen idol.

Of the character that lay behind this unattractive exterior, it was almost impossible to judge. Charles, at this stage of his career, was an enigma to his contemporaries. On one point only there was general agreement, and that was his preternatural gravity and reserve. All the joy and enthusiasm of youth seemed to have been denied him, and he gave the appearance of being always slightly tired. "Sexdecennis est, gravitate tamen senili", writes Peter Martyr; "molto melincolico", reports Pasqualigo. This sedateness was, perhaps, not entirely unbecoming to a future sovereign, but it discouraged intimacy, and left observers of Charles's character in the dark. Most of them jumped at the conclusion that he was really a nonentity. Just before his departure for Spain a couple of Italians reported that, having been thrice in his presence, they had never heard him utter a single word, that he had no ability whatsoever, and was exclusively governed by others. His most intimate adviser was the Burgundian Chièvres, for whom he entertained affection and profound respect; and despite the harshness of the Spanish verdicts on this minister—perfectly explicable in view of subsequent events—it was really to Charles's credit that he clung to him as he did. It showed a sense of duty, and even, paradoxical as it may seem, a certain sort of independence. Chièvres represented to Charles his responsibilities to his Netherlandish subjects—the only subjects he had ever known; the

minister was the embodiment of immediate and evident obligations. That Charles refused to be diverted from these by the changeful and capricious demands of Maximilian and Margaret, and the even remoter claims of different Spanish delegations, is a point in his favor. It reveals conscientiousness, strength of character, and concentration. And Chièvres himself could have testified, had he wished, that the prince had a will of his own. When, in his eighteenth year, Charles found on the person of his sister Eleanor a letter, which proved that his old friend Frederick, Count Palatine, was making love to her without his knowledge and consent, he promptly banished the offender from his court; and all the pleadings and intercessions of Margaret, of the Prince of Orange, and of Chièvres himself availed nothing to mitigate the sentence. But of all this the average observer was still ignorant; the externals gave no inkling of it whatsoever, and the mass of mankind judges by externals. Certainly the Spaniards, who knew far less of the prince than any of his subjects, derived little encouragement from the prospect.

In one respect the death of King Ferdinand simplified the complicated diplomatic situation which had arisen through the rivalry of the different factions about the person of the prince; it put an end to the quarrel between the Castilian nationalists and the Aragonese representatives at the Burgundian court. For Charles was now practically sovereign in both portions of his Spanish inheritance, and under the guidance of Chièvres did his best to efface the memory of the jealousy between the eastern and western kingdoms. Almost all the leaders of both of the Spanish parties in the Netherlands were got rid of on one pretext or another in the course of the year 1516-17. Pedro de Urrea, one of the representatives of Ferdinand, was sent off to Rome. Another, Juan de Lanuza, was dismissed. Juan Manuel, the Castilian, was also kept away from Charles's court, and finally reappeared as his ambassador to the Vatican in 1520. Their places were taken by Pedro Ruiz de la Mota, now bishop of Badajoz, who had not been so deeply involved in the preceding quarrels, and by Pedro Quintana, a nephew of the famous state secretary, Miguel Perez de Almazán, who was specially summoned by Charles from Spain. Both these men were cosmopolitan in training and point of view; their advent betokened the intention of the prince and his advisers to take up the Spanish problem as a whole, and not as an affair of Aragon or of Castile. In order to remove all doubts, and to preclude the dangers inherent in his mother's infirmity and her legal position as sole heiress in the Spanish realms, Charles was proclaimed King Catholic jointly with her on March 14, 1516, at Brussels in the Cathedral Church of Saint Gudule. In the autumn of the same year it was determined to enlarge the famous Order of the Golden Fleece "in view of the increased power and prestige of the Burgundian House", and to reserve ten places in it for distinguished Spaniards; this action is the more significant when contrasted with the previous failure of the Emperor Maximilian to have the Order extended to Austria. Clearly the Netherlanders regarded the acquisition of the Spanish inheritance for their sovereign as a point of honor and felt that a union of both peoples under a single ruler would work to the advantage of both. There was something to be said for this point of view. So accustomed are we to think of the relations of Spain and the Low Countries as they were in the time of Philip II and William of Orange, that we forget the many traditions of cordial good feeling that lay behind. Particularly noteworthy was their interdependence in economic life. The wool trade was almost a sine qua non of commercial prosperity for both, and their joint fleets could probably have controlled the seas. Given good relations with France (and to attain and preserve such good relations had been the constant aim of Chièvres's foreign policy), it was by no means impossible, at that period, that Spain and Burgundy, including Franche Comté, could have been successfully and advantageously united under a single rule.

But if the death of the king of Aragon simplified the Spanish problem, as it presented itself to the court of Brussels, it also rendered its immediate solution far more urgent than before. It

was desirable from every point of view that Charles should go to Spain as soon as possible, and it was generally understood between his Flemish advisers and the regent Ximenes that nothing of importance should be permanently decided there until he should arrive; yet on the other hand there were many obstacles in the way of a speedy departure. There were pressing questions of internal politics to settle in the Netherlands. Wars had to be fought in Gelderland and Frisia. The opposition of the Emperor Maximilian, who was disgusted with the Francophile tendencies of Chievres's administration, had to be overcome. Most necessary of all was the assurance of good relations with France, without which the whole Spanish enterprise would be hazardous in the extreme; and this was secured, August 13, 1516, by the treaty of Noyon, which settled, for the time being, all outstanding questions between the contracting parties, and sealed their reconciliation by the betrothal of Charles to Louise, the daughter of Francis I. That Chièvres was able to accomplish all these things in the twenty months that followed the death of Ferdinand the Catholic was no mean achievement. Yet it is not difficult to see why the Spaniards, who were imperfectly informed about the situation in the Netherlands, chafed at the long delay. It confirmed their previous estimate of Charles as an essentially foreign prince, with outside responsibilities to which he gave precedence over his duties as a Spanish sovereign. Some of them decided to act on the principle that if the mountain would not come to Mohammed, Mohammed must go to the mountain. "They flock here in incredible numbers every day", wrote Spinelly to Henry VIII from Brussels in May, 1516. No less than twenty-four commanders of the Order of Santiago were to be found in that city in the following July, enough to make possible a formal capitular meeting there. No doubt a chief cause of the coming of these people was their desire to advance their own private ends; but they all showed a firm determination to instill into their young ruler the Spanish point of view, and to ensure that he should not enter upon his new inheritance a total stranger to the traditions and aspirations of the Iberian Peninsula. Since a deathbed change of policy on the part of their late monarch had blasted all their hopes of the successor whom the vast majority of them would have preferred, they had wisely resolved to accept the inevitable, and do their utmost to turn the Flemish alien into a loyal Spaniard.

Meantime, in Castile, the iron hand of Cardinal Ximenes governed the realm, put down revolt, and maintained the prestige of the authority of the crown. So dominant was the personality of this extraordinary prelate that he overshadowed everyone associated with him in the government, and also his co-regent in the eastern kingdoms; the realms of the Crown of Aragon, under the less masterful rule of the archbishop of Saragossa, seemed to shrink into insignificance during the years 1516-17. The task that the cardinal inherited was beset with difficulties; and his loyalty and determination were subjected to the sternest tests. For all the malcontents in the realm seized the vacancy of the throne as their longed-for opportunity to gain their own selfish ends. The Castilian aristocracy raised its head in revolt and had to be suppressed by strong statesmanship and force of arms. The ambitions of Queen Germaine were a constant source of anxiety. The Infante Ferdinand was the hardest problem of all. His grandfather's preference for him was a matter of common gossip. Being only thirteen years old, he would have made just the sort of ruler that the grandees would have delighted to see. Plots and intrigues continued to center about him, until finally Charles authorized the cardinal to separate him from his most intimate adherents. This vigorous action was rendered easier by the fact that it had been already agreed that Ferdinand should be sent off to the Netherlands to take Charles's place, after the arrival of the latter in Castile; and in May, 1518, six months after Ximenes's death, this arrangement was carried into effect. There was universal grief at the Infante's departure. If the grandees regarded him merely as a means of restoring their own shattered fortunes, the people felt that he was the last symbol of Spanish, as opposed to foreign

rule. “Woe unto thee, Castile, if thou sufferest the Infante Ferdinand to be carried away”, ran a writing that appeared on the doors of St. Francis of Valladolid; and there can be no doubt that these words represented the general feeling. Ximenes, however, had definitely accepted the new regime with all that it implied. Castilian to the core, he had followed the late king’s will, and cast in his lot with the absent Hapsburg.

The unrest in the realm and the need for stern repressive measures led the cardinal to try an experiment fully in consonance with his own inclinations and with the traditions of the previous reign. He had always delighted in military affairs; and the use of the troops of the Hermandad under the Catholic Kings, and the provisions of the royal ordinance of 1496, gave him excellent precedents for the creation of a standing army. He accordingly sought and obtained Charles’s permission to call on each of the different cities of Castile to raise, equip, and drill a military force proportional to its population, to be permanently ready for the service of the crown. The cost of this body was to be borne by the cities in time of peace, and by the central government in time of war; and in order to make the experiment popular, a number of privileges and immunities were offered to those who entered the ranks. Violent opposition, however, soon manifested itself on every hand. The nobles regarded the ‘alístamiento’, as the measure was called, in the light of a menace to their independence, and were naturally loudest in their complaints; but the cities were not far behind. The expense was heavy, and the scheme itself was an invasion of cherished municipal privileges. In some places the hostility remained latent; in others it manifested itself in riots, and in appeals over the head of the regent to the absent prince. Salamanca expelled the officers sent by Ximenes to organize the new army. In Valladolid the regent’s representative had to hide and then flee in disguise for his life; and when the cardinal announced his intention of punishing the inhabitants, they rushed to arms. The resistance of Valladolid was in fact the rock on which the project was wrecked. Together with Burgos she protested to Charles, who, desirous above all things to avoid trouble while he was in Flanders, gave assurance that if peace were preserved the obnoxious order would be suspended. It was in vain that the cardinal warned his young master that such leniency was but playing into the hands of his worst enemies, the grandees. The court of Brussels was determined on conciliation, and the whole plan had to be given up. But the attempt, though it failed, brought the government all the unpopularity that might have been expected to ensue from its success. Everyone realized what the cardinal had intended to do, for Charles’s interference had come too late to cloud the issue. Nobles and burgesses alike were up in arms, and the way was paved for a temporary union between the aristocracy and the third estate against the crown, which, a few years later, nearly cost the king his throne.

The cardinal was as solicitous for the interest of the Spanish Empire as he was for the authority of the crown of Castile. His efforts for the progress and prosperity of the Spanish dominions across the Atlantic, and for the fair treatment of the American Indians, form an interesting and important episode in the history of Spanish civilization in the New World. Nearer home, he distinguished himself by repelling an expedition of Jean d’Albret for the recovery of the Spanish portion of Navarre. The invading forces were caught unprepared in the narrow valley of Roncal; they were overwhelmed there in March, 1516, by the sudden attack of the Spaniards under Colonel Cristobal Villalva, one of the ablest of the disciples of the Great Captain. Victory on the battlefield was followed by stern measures to prevent a repetition of the attempt. Many of the great fortresses of the realm, more likely to prove nuclei of revolt than strongholds of authority, were razed to the ground; only Estella, Viana, and Pamplona were suffered to remain. An imposing army of occupation was installed in the reconquered land; and a new viceroy, the Duke of Najera, whose vast wealth rendered him inaccessible to corruption, superintended the execution of the orders of his master. Certain constitutional and administrative reforms were also carried out; their tendency, needless to

add, was to curtail the liberties and privileges of the Navarrese, who execrated the cardinal in Spain, and his representatives on the spot. The harshness of these measures was subsequently softened by sundry modifying edicts of Charles, who saw and improved the obvious opportunity to win an easy popularity by a show of conciliation; but it is doubtful if the Spanish rule in Navarre could have survived the much more serious test to which it was to be subjected in 1521 had the cardinal been less pitiless in 1516.

In any history of the Spanish Empire the name of Cardinal Ximenes will always be chiefly remembered in connection with North Africa. The campaign against Oran, in 1509, and the other conquests which immediately followed after it, had been primarily rendered possible by his energy and enthusiasm. During the period of his regency he launched an expedition against Algiers, and despite the assertion of his biographer to the contrary, we may well believe that he was deeply cast down by its failure. According to some authorities the immediate occasion of this enterprise was one for which there had been many a precedent in the history of the relations of Spain and of North Africa—an appeal for Christian aid from local Berber potentates who had acknowledged themselves vassals of the king of Spain, in their dread of domination by a more powerful coreligionist. The suppliants this time were the rulers of Tenes and Mostaganem, and possibly one of the sons of the sheik of Algiers. The oppressor against whom they sought aid was the Turkish corsair, Arudj Barbarossa, who had just strangled the Algerian ruler with his own hands and proclaimed himself king of the realm in his stead. But it seems more probable that the principal cause of the expedition was the sorry state of the Spanish garrison of the Penon d'Algel. It was short of supplies and especially of water, which, owing to the vigilance with which the enemy guarded the wells on the mainland, had to be fetched from the distant Balearics. The letters of the commander of the garrison—a Catalan, Nicholas de Quint—give a vivid picture of the sufferings of his men; “No hermit,” avowed one of his subordinates, “has ever endured such pangs of hunger and thirst”. A powerful relief force was accordingly fitted out at Cartagena; when it finally sailed in the end of September 1516, it comprised some thirty-five ships and about 3000 men. Its command was entrusted to Diego de Vera, a favorite pupil of Gonsalvo de Cordova, and one of the famous ‘eleven’ who had fought the French at Trani in 1502; Peter Martyr assures us, however, in his laconic fashion, that he was far more talkative and boastful than energetic. Certainly he made a lamentable failure on this occasion, and his blunders are exposed in merciless fashion in the report of the commander whom he set out to relieve. He took no pains to time the arrival of his fleet so as to effect a surprise. He assaulted the city of Algiers without utilizing his artillery in preparation. He suffered Arudj to draw him forward into a trap where his troops were overwhelmed by a furious counterattack. Only the cannon of the Peñón saved his army from annihilation; and on his return voyage to Spain his best ships were driven ashore by a storm, and their crews either captured or slain. “Even Homer sometimes nods”, is Sandoval’s grim comment on this reverse, “and for our sins, it generally happens at the very time that it is most important for him to be awake.”

There was another portion of the Spanish Empire which was destined to give its youthful sovereign many an anxious moment during the two years which followed the death of Ferdinand the Catholic—namely, the island of Sicily. Here Cardinal Ximenes had no jurisdiction and was consequently unable directly to intervene; his correspondence, however, shows that he was deeply concerned over the insurrection which broke out there, and the danger that it might spread to the neighboring kingdom of Naples.

The immediate cause of the uprising in Sicily was the unpopularity of the viceroy Hugo de Moncada, who had been sent thither by Ferdinand the Catholic in 1509. The earlier years of his tenure of office had been principally occupied in lending military aid to the North African campaigns, especially in 1511, after the capture of Tripoli; in all this he exhibited energy,

dauntless courage, and remarkable capacity as a soldier. Unfortunately his internal administration was marked by avarice, cruelty, and arrogance. He appropriated the government resources for purposes of his own. He substituted his own will for the law of the land and utilized the Inquisition to terrorize people into submission. Everyone looked forward to the death of Ferdinand, by which the appointment of Moncada would be legally terminated, as the sole means of escape from intolerable conditions. But the viceroy was determined to retain his office. When the news of Ferdinand's death arrived, he sought, at first, to keep it secret till he should be able to extort from the estates a recognition of his authority. Then when the opposition of the nobles and burgesses in the Sicilian parliament rendered it impossible to carry out this plan, he reversed his tactics, and sought, by openly proclaiming the accession of Charles and of Joanna, at once to win the confidence of his new sovereigns, and also to gain for himself the adherence of the masses. This maneuver, however, was even more unsuccessful than the first. Not only did it fail to produce the intended effect; it precipitated an uprising in Palermo against Moncada, which resulted in his expulsion and flight to Messina. The movement soon spread over all the rest of the island. The viceroy's edicts were set aside; his friends and appointees were hunted out and killed. For a brief period the cities were delivered over to mob rule. Finally, however, a provisional government was set up by the aristocracy under the able leadership of the Count of Golisano, and succeeded after a brief struggle in gaining the adherence of the mass of the Sicilians. On the subject of Moncada it took a decided stand; it sent a messenger to the court of Brussels to demand that he be immediately recalled, and that the measures for which he had been responsible be promptly revoked. At the same time it protested that the island was entirely loyal to Charles and his mother, and that it would welcome the new dynasty, provided its laws and privileges were not infringed.

Charles took up the matter vigorously. Moncada and Golisano, with the latter's principal adherents, were cited to appear before him in the autumn of 1516, and were confronted with one another at the royal court. The Sicilian representatives won an initial victory by preventing the return of the detested viceroy, but they failed to gain any profit from it for themselves. Golisano and his friends were forced to dance attendance at the royal court for over two years and a half; not until June 1519, were they suffered to return to their native land. Their provisional government was declared null and void, and most of the viceroy's edicts and impositions were restored. Moreover the successor who was appointed in Moncada's place was a Neapolitan nobleman, the Count of Monteleone, who was bound to be unpopular with the Sicilians as a foreigner, and who naturally reaped the fruits of all the errors of his predecessors. The new title with which he was invested—lieutenant-general of the realm—was an additional ground for suspicion and dislike. In July, 1517, within three months of his arrival, the island was again in the throes of a revolution.

This second uprising was more serious than the first. The populace and not the aristocracy furnished its leaders and its platform. The place of the Count of Golisano was taken by a burgess of Palermo, named Gian Luca Squarcialupo, whose aspirations reached much further than the redress of grievances, and contemplated the foundation of an independent republic. There were murders and riots in all the Sicilian cities except Messina. Monteleone was powerless to restore order, and Charles was so remote that the revolutionists felt it safe to ignore him. But the movement was not destined to last long; it contained within itself the seeds of its own dissolution. The nobles had flirted with it at the outset, when they thought that it promised to bring them some advantage; when they discovered that they were to have no share in its direction or its spoils, they shifted over into opposition, and revolution gave way to class war. Finally, on September 8, 1517, a group of them fell upon Squarcialupo and murdered him in the church of the Annunciation in Palermo. Deprived of its leader, the

populace soon lost its enthusiasm for fighting; and when, six months later, a force of six thousand men was sent over from Spain to restore order, there was little left for it to do. Politically and administratively the movement was almost barren of results. Monteleone was maintained in office with the title of viceroy; some few concessions were made to the popular demands, but the only portion of the inhabitants who really gained anything from what occurred was the aristocracy, whose loyalty Charles rewarded with an increase of privileges and power.

The whole affair must have made a painful impression on the mind of the young king. It gave him his first inkling of the difficulties inherent in the rule of the far flung empire which he had inherited from his Spanish forebears. But it also demonstrated the important fact that an aristocracy, if properly manipulated, could be converted from a menace to the omnipotence of the crown into an invaluable bulwark against popular revolution. The history of Charles's subsequent dealings with the revolt of the Castilian Comuneros shows that he took this lesson to heart.

At last the long awaited day arrived, and on September 9, 1517, Charles set sail from Flushing for Spain. The fleet that bore the young monarch to his new inheritance numbered some forty sail, and his following nearly five hundred souls. Among them were his sister Eleanor, his chief adviser, Chièvres, and a large number of Flemish magnates, the Castilian bishop, Pedro Ruiz de la Mota, and Sir Thomas Spinelly, the envoy of Henry VIII. Every effort had been made to fit out the expedition in such a manner as would be worthy of the gorgeous traditions of the Burgundian court and secure the favor and protection of Christ and the saints. In order that the king's ship might be recognized by the rest in the daytime, it carried at its topmast two square banners, and on its sails many beautiful paintings and sacred scenes. On its mainsail was painted a picture of the crucifixion, between the figures of the Virgin Mary and St. John the Evangelist, the whole enframed between the two pillars of Hercules which appear on the royal arms, together with the king's motto *Plus oultre*, written on a scroll twined around the said pillars. On the main topsail was painted a representation of the Holy Trinity, and at the mizzen that of St. Nicholas. On the foresail was a picture of the Virgin with her Child, treading on the moon, and surrounded by the rays of the sun, with a crown with seven stars above her head; and over it all there was painted the figure of St. James, the lord and patron of Castile, slaying the infidels in battle; and on the jib was the picture of St. Christopher. All these figures were painted on both sides of the said sails, for the reason that they are saints frequently called upon by those who are in peril or danger from the sea. Other details were arranged on a scale correspondingly magnificent, and the contrast to the rude discomforts which awaited the young king during the first six months of his sojourn in Castile must have produced an ineffaceable impression.

For everything seemed to go wrong during the early days of that memorable visit. The pilots had intended to land in Biscay, and were deeply chagrined to discover when they first came in sight of the Spanish coasts that they were far to the westward, more than a hundred miles out of their course, off the little Asturian town of Villaviciosa. Naturally no preparations had been made to receive the king, and when the expedition neared the shore the inhabitants mistook it for a raid by French or Turkish pirates. They retreated into the mountains, carrying with them their wives, children, and household goods; they armed and made ready for battle. Shouts of "Spain, it's the king" from the royal followers were not enough to convince them of their error; not till one of them "approaching covertly through the bushes and hedgerows recognized the arms of Castile on the banners of the new arrivals" were their misgivings completely dissipated. When they learned the truth, they did their best to atone for their

previous mistake, but their efforts in that direction failed to please. The men had no manners; the women were somewhat better in this respect, but their apparel scandalized the Flemings; few of them wore stockings, and their uncombed hair streamed down their backs. There were no proper buildings in which their distinguished guests could lodge. Most of the company had to sleep the first night on straw or open benches, and cook their first meals with their own hands. An impromptu bull fight, organized for their edification on the Monday morning, could not make them forget the difficulties of eating and sleeping. The whole neighborhood, moreover, was infested by the plague, and on Wednesday, the twenty-third, the king left Villaviciosa on his way southward. Till he reached Tordesillas, on November 4, he had a most dismal time. He was welcomed, with at least outward cordiality, at all the towns through which he passed; a bull fight invariably took place, and various delegations of the Castilian nobility arrived to pay their respects; but the lack of suitable quarters, of provisions, and, strangely enough, also of horses, made the occasion very different from the royal progresses to which Charles had been accustomed in the Netherlands. "For two hundred persons, lords, gentlemen, and gentlewomen", wrote Spinelly, "there were not forty horses, nor could any be procured, because in that mountainous country the principals go afoot, and the chief places were infected with the sickness... The lack of victuals did compel the king to depart, and so the third day after his landing he took the journey toward Santander, and rode four days upon a hobby, the which I gave unto his Grace for fault of better. The most part of his company went afoot, and of the residue, the greater number rode upon pack horses; and as for the gentlewomen, many of them in carrettes, with oxen. Nevertheless, considering the surety and sweetness of the land, every man suffered it joyously in patience."

Charles's object in going to Tordesillas was to visit his mother, whom he had not seen for twelve years. His faithful follower Laurent Vital sought to be present at the interview by smuggling himself into the room as a torch bearer; but his intention was defeated by the king, who informed him that he preferred to remain in darkness. Vital has left us, however, an elaborate account of the whole affair, as related to him by eyewitnesses; and one may read much more between the lines. Political ambition rather than filial affection was the dominant note. Chièvres glided in and out of the chamber and poured into the queen's ear the most glowing accounts of the political capacities of her son. He assured her that Charles could safely be trusted with the government, and that she needed therefore concern herself about it no longer. In fact, "he virtuously acquitted himself of his duty to advance his young master; for by his tactful and truthful representations, the affair was conducted so well that it would have been impossible to do better in the interests of the king and his countries in that part of the world". Clearly it was the plan that the queen should be gently set aside, and her condition at the time doubtless justified this course. But whether the Spaniards, ignorant of the facts and prejudiced against Charles, could be made to see matters in the same light, was another question.

While at Tordesillas Charles received word of the death of Cardinal Ximenes, on November 8, at Roa, east of Valladolid, whither he had recently transferred his residence in order to be near the king. The correspondence that has come down to us between the regent and the royal court does not entirely bear out the traditional view that Chièvres did his utmost to keep Charles and the cardinal apart; and it seems clear that the king's "permission to retire", which has been handed down as a classical example of royal ingratitude, never actually reached Ximenes's hands. Whatever the facts in regard to the cardinal's last days, it is no exaggeration to say that Charles owed him the preservation of his Spanish inheritance; no less devoted or less masterful a representative could have made possible the difficult transition from Trastámara to Hapsburg. Had the long looked for interview between king and regent ever actually taken place, the young monarch might well have been spared some of his gravest

errors in the ensuing months. As it was, Charles met his first real test in the eyes of his Castilian subjects without any preparation or guidance except from his Flemish counsellors. It took place at Valladolid, which he entered on November 18 “in the midst of a most imposing cavalcade, and so richly accoutered that the burgesses confessed that no monarch so noble or so triumphant had ever entered there before.”

The first two months of the king’s stay in the old Castilian city were chiefly occupied with festivities, tournaments, and audiences to the principal grandees. Vital devotes many pages to a description of the ceremonies, descanting with evident pleasure upon the excellence of Charles’s horsemanship, and his chivalrous bearing in the lists. Outwardly, despite a few minor clashes, the impression he created was not unfavorable; but under the surface there were plenty of signs of approaching trouble. Amidst all the merrymaking a good deal of important business was being done, and much of it was of a nature to cause deep misgivings to those who knew the facts. There were numerous complaints that the king was inaccessible to his Spanish subjects, and that when he granted an audience he rarely uttered a word. For this Charles’s linguistic limitations were doubtless chiefly responsible, but that only made the matter worse; his inability to converse in Spanish was taken by most of the Castilian magnates as a deliberate insult. Meantime the greed of Charles’s Flemish followers aroused intense dissatisfaction. To the Spaniards it seemed as if it were their sole object to seize all the riches of the realm for purposes of their own. On the pretext that Ximenes “had done more damage in casting down the walls of the towns of Navarre than all his wealth amounted to”, the king appropriated to his own use the sum of 212,000 ducats of gold, which the cardinal had left “to be given to the churches and his servants”. An attempt was made, with the consent of the Pope, to extort a tenth from the Castilian clergy; though the latter declined to pay, on the ground of the inadequacy of the reasons alleged, the episode did no good to the royal cause. And it was not on money alone that the eyes of the foreigners were bent; political and ecclesiastical preferments were sought by them as well. Chièvres had himself made *contador mayor* of Castile, with a yearly salary of four thousand ducats. Adrian of Utrecht, who had joined the king soon after his arrival, was already bishop of Tortosa and cardinal. Worst of all, and most humiliating to Castilian pride, was the disposition of the primatial see of Toledo, “the crown of Spain and the light of the whole world, free from the time of the mighty Goths”; for it was conferred on Guillaume de Croy, a youth of sixteen, the nephew of Chièvres, who left Spain with Charles in the spring of 1520, but continued to enjoy the rich revenues of the archbishopric, until his death at Worms in the following January. And while these jovial, comfortable Flemings gayly plundered Castile, they commented with evident amazement on the wretchedness and misery of the people they were impoverishing. “It is indeed the very truth”, wrote Vital, “that I have seen several little children just born, who have been found, in the coldest winter season, lying on the ground, abandoned by their fathers and mothers, and in danger of being devoured by beasts, crying piteously from hunger and cold, in such fashion that it was most heartrending to see them lying there; and I cannot imagine how nature can permit their mothers, especially, thus to abandon their own flesh and blood, and to leave them in such want and misery.”

In January 1518, the Castilian Cortes assembled at Valladolid, to swear allegiance to their new sovereign as lawful ruler of the kingdom. Besides the thirty-six delegates from the cities, the prelates and the nobles were also there; the representatives of the clergy numbered ten, while the aristocracy counted forty-eight. It was the procuradores, however, who proved themselves on this occasion to be the doughtiest champions of the privileges of the realm. At the preparatory session, which was presided over by the Burgundian chancellor Jean le Sauvage, they revealed the resentment which they had cherished for so long; through the mouth of Juan Zumel, one of the deputies of Burgos, they protested unanimously against the

presence of foreigners at their deliberations as an invasion of their liberties and an insult to Castile. The session was hurriedly closed in order to prevent a violent scene, and on the following morning Zumel was sent for by the chancellor and threatened with death and confiscation of property as a stirrer up of sedition against the king. The deputy, however, was staunch. He insisted that he was legally justified in everything he had done, declared that Charles should not be recognized as king until he had sworn to observe all the laws of Castile, and protested against the impoverishment of the realm by favorites and by foreigners. Heated discussion of these different points ensued during the next few days; but Zumel showed an admirable obstinacy, and finally gained the substance if not the form of his contentions. In return for recognition by a majority of the procuradores, the king, by the mouth of the bishop of Badajoz, swore respect, in a general way, for all the laws and customs of the realm. On the subject of the foreigners—the tenderest point of all—he was, perhaps, less specific than was desired; but with this single exception, the popular party had, on the whole, prevailed. A significant episode was the exhibition of petty irritation by the Castilian grandees over the fact that the procuradores had been suffered to precede them in the ceremony of swearing allegiance. It showed that the old class jealousies still persisted in Spain, in a manner that boded ill for united action in any common cause. It is probable that Charles and his advisers learned a lesson from what they saw; for it was by dividing the forces of their opponents that they subsequently overcame the revolt of the Castilian Comuneros.

In accordance with immemorial custom, the procuradores at the closing session voted a *servicio* to the crown, and also presented a *cuaderno* of petitions. The subsidy on this occasion was six hundred thousand ducats, which was to be spread over a period of three years; the Catholic Kings, as Peter Martyr significantly remarks, were accustomed, when they demanded tribute, to be satisfied with two thirds of this sum. The petitions preferred by the procuradores numbered eighty-eight, and most of them had appeared in the *cuadernos* of the preceding reigns. Such were the requests that the *residencias* of *alguaciles*, *alcaldes*, and other officials be regularly enforced, that the exportation of gold, of silver, and of horses be prohibited, that justice be administered alike for all the inhabitants of the realm, and that there should be no alienation of the property of the crown. But intermingled with these familiar demands were certain others which, if not totally new, were at least endowed with a special significance by the unprecedented conditions which at that moment obtained. Such was the blunt request that no office of profit or emolument within the realm nor letter of naturalization be granted to foreigners, coupled with the plain hint that the will of the sainted Isabella had already been transgressed in this particular. The king was also asked to promise to send for the new archbishop of Toledo and to cause him to reside within the realm. He was moreover advised to marry at once in order to secure the succession in Castile; the Infante Ferdinand ought not to be suffered to leave the realm, pleaded the procuradores, until his Highness had had children. The king's inability to speak Spanish was severely commented upon; and it is interesting to observe that the procuradores clung resolutely to 'su Alteza' as the old traditional Spanish designation of royal rank, despite the king's obvious preference for 'su Majestad'. On this point indeed Charles's contentions were turned against himself, for the only time in the whole list of petitions that the procuradores made use of the title he desired was in referring, not to him, but to his mother Joanna. Clearly the condition and treatment of the unfortunate queen was a constant source of perplexity to the popular representatives. There is evidence of a general belief that she was being kept prisoner under false pretenses, as part of a black plot to deprive her of her royal rights. Was she really incapable of reigning herself, or was the tale of her insanity a sham in the interests of her unpopular son. The first of the eighty-eight petitions was a brave attempt to force the issue: "We beg your Highness at the outset", so it ran, "that the queen, our sovereign lady, be granted the retinue and establishment which her Majesty of right ought to have, as the queen and the ruler of these kingdoms". The royal reply was

evasive; under the circumstances it could scarcely have been anything else, but the question was indubitably serious. In the course of the previous ceremony of recognition, it had apparently arisen in another form with the stipulation that on all public documents Joanna's name should appear before her son's, and that if ever she should chance to regain her reason, he should abandon the government and permit her to reign in his stead. Charles doubtless realized, what his subjects, only discovered two years later, that the condition of his mother was hopeless; but the many evidences that Castile pinned its faith on her recovery and return to power must have been a constant and unwelcome reminder that he was still regarded almost as a usurper in his new dominions.

On March 22, the king bade goodbye to Valladolid and took his way to the eastward into the realms of the Crown of Aragon. On May 9 he made a solemn entry into Saragossa, where the Cortes were beginning to assemble. The difficulties that awaited him there proved even greater than those which he had left behind. He made an excellent beginning by solemnly swearing on the day of his arrival to observe all the laws and privileges of Aragon; but he was much disappointed to find that after all he had done, there was grave hesitation about recognizing him as king. The claims of the Infante Ferdinand and the rights of his mother were openly discussed; it was remembered that the archbishop of Saragossa had recently returned "foaming with rage" from Tordesillas, where he had been forbidden to interview his half-sister the queen; everyone was asking why Joanna was so carefully secluded. At the formal opening of the Aragonese Cortes an effort was made to impress the deputies with a sense of the greatness of Charles's prospects and power. His grandfather, it was represented, was the Holy Roman Emperor, the kings of Denmark and of Hungary were his brothers-in-law, those of England and Portugal his uncles, and the Pope was most favorably inclined. The attempt, however, fell painfully flat; for the present, at least, Aragon was far more interested in the maintenance of her privileges than in all the royal relationships in the world. Charles was finally recognized, conjointly with his mother, as lawful sovereign of the realm; a moderate subsidy of 200,000 ducats was also granted, but the atmosphere of Saragossa remained charged with discontent. A number of Castilians who had accompanied the king took serious offence at the attitude of the Aragonese; that anyone should resist the monarch that Castile had accepted was regarded by them as a deadly insult. A street fight ensued, in which Charles, after some difficulty, managed to reconcile the combatants. The episode was unquestionably instructive, like the quarrel over precedence between the nobles and burgesses at Valladolid; but it increased, rather than diminished, the difficulties of the immediate situation. Worst of all was the effect of the avarice of the Flemings. Peter Martyr reverts to it again and again. "Regis facilitas in dando", "De avaritia Cancellarii," "Quot ducatorum miserit in Flandriam", "Collachrymatio super Rege devorato a suis", are typical phrases in his letters. A fresh cause for the financial drain had been recently superadded to the greed of Charles's followers. Rumors of the approaching death of the Emperor Maximilian had already begun to fill the air; an imperial election was imminent in the near future, and if Charles was to be chosen, the electors must be bribed. Francis I, the rival candidate, was already in the field; even before Charles had reached Saragossa he had been obliged to send off a hundred thousand ducats into the empire to be used for the advancement of the interests of the house of Hapsburg. It is doubtful if the Aragonese knew how the money was being spent, for they were traditionally blind to affairs which did not directly concern them; the Flemings probably came in for more odium than they actually deserved. But the detestation of Charles's foreign advisers reached a pitch in Saragossa which it had never attained in Valladolid; and it was doubtless a stroke of good fortune for the king when Sauvage, who was popularly regarded as the greediest of them all, was carried off, on June 7, by the pestilence. His successor in the chancellorship was

Mercurino Gattinara, an able and cosmopolitan Piedmontese, who has been justly characterized as “a fit minister for a heterogeneous empire.”

The intractability of the Aragonese prolonged the king’s stay in Saragossa far beyond the period he had expected to spend there. He had summoned the Catalan Cortes to assemble in Barcelona, on October 2, 1518; but as he was unable to leave Aragon till the end of the following January, the meeting was necessarily postponed. When he finally reached the Catalan capital, the representatives of the three estates took the line that he could neither swear nor be sworn to as the lawful sovereign, so long as his mother was alive; being men of “flesh and blood”, however, they finally conceded the point at issue, and, on May 12, the required oath was given and received. The Catalans were also determined not to be fleeced by the Flemings; indeed, they succeeded in turning the tables in this respect, and treated the foreigners to a dose of their own medicine. Sandoval informs us that they put Chièvres in such difficulties that he wished himself well out of Spain; many of the ‘lesser Cerberuses’ also, convinced that the Catalans were more than a match for them, deserted the king and flocked back to the Netherlands. But outside of these inevitable quarrels over procedure and finance, the general attitude of the Catalans was considerably less unfavorable to the king than that of the Castilians or the Aragonese. There are several reasons for this. In the first place, we may assume that Charles had learned something from his previous experience and avoided repeating some of his earlier mistakes. He was much more of a person than he had been when he landed in Castile; the retiring, inarticulate boy was becoming a man; he was no longer “spellbound under the ferule of Chièvres”. The traditional cosmopolitanism of the Catalans also counted for much. They may have insisted on the maintenance of their privileges, but they could appreciate an outside point of view. Moreover a piece of news had arrived while the king was on his way to Barcelona, which fixed every one’s eyes on foreign affairs—the long expected word of the death of the Emperor Maximilian, which left Charles head of the house of Hapsburg and the logical candidate for the imperial succession. Small wonder if the attention of the Catalans was diverted from their internal grievances by all these things. They already began to discern, what the mass of the Spaniards took much longer to realize, that the rule of this alien Fleming might have its glorious compensations; that if he failed to do his full duty by his different Spanish dominions, he might also conceivably give them a position of prominence and power which they never, under any other auspices, could have possibly attained. Here, in fact, were the first evidences of a gradual change of sentiment on the part of Spain towards Charles—the change which makes the early part of his reign so critical a period in the history of the Spanish Empire. It was many long years before it was complete. Charles’s reputation and popularity in the peninsula were destined to fall much lower before they were retrieved; but he never forgot the comparatively sympathetic attitude of the Catalans at this early crisis in his career, and repaid it by the most scrupulous respect for the laws and customs of the principality throughout the remainder of his reign.

It was not, however, by the territorial acquisitions of their youthful sovereign, but rather by his imperial prospects that the imagination of the Catalans was chiefly stirred. The former were perhaps still regarded as less of an asset than a liability, but there was every chance that the latter would prove a most desirable prize. The Hapsburg lands to which the king fell heir were Austria, and its dependencies in Styria, Carniola, Carinthia, and Tyrol, the family domains in northwestern Switzerland, and scattered territories along the upper Rhine. Spain had nothing in common with any of these different states—not even such economic ties as had kept her in touch with the Low Countries. Political association with them, through the possession of the same sovereign, was consequently undesirable from every point of view; it meant that they all would necessarily suffer from the evils of royal absenteeism, not that they would effectively cooperate for the attainment of a common end. But the prospect that their

sovereign might soon be proclaimed the temporal head of Christendom was one which few Spaniards could contemplate without emotion. Doubtless the vast majority of them had the haziest notion of the real significance of the imperial title; but it appealed to their love of the grandiose and seemed a fitting consummation of their achievements during the preceding reign. For the Castilians, it awakened memories of Alfonso the Emperor and of Alfonso the Learned; for the Catalans and Aragonese, it revived the triumphs of Pedro the Great, and the glories that had been won by the monarchs of the house of Barcelona as heirs of the Hohenstaufen in their struggle with the popes. In view of the commanding position Spain had won under the Catholic Kings, the highest dignity in Christendom seemed no more than was her due. That Charles's election would mean war with France must have been evident to all intelligent observers, but in view of the mediaeval traditions of the realms of the Crown of Aragon, and of the victories in Italy which had been won under the Catholic Kings, the Catalans were not likely to balk at that prospect. They had their grievances against their sovereign in 1519, and were still primarily interested in getting them redressed. They were alive to the danger that Charles's territorial inheritance in Central Europe might divert him from his responsibilities in the Iberian Peninsula. But the imperial dignity had a fascination which they could not resist, and in general there can be little doubt that they hoped he would attain it. Certainly no part of Spain was more favorable to his aspirations than cosmopolitan Barcelona; he was fortunate to be there during the critical months of the electoral contest.

The election, however, could not be won without money; and when Charles asked for money, the Catalans drew back. Even the imminent danger of the war with France could not make them see the necessity of liberal giving. "I do not believe", writes Peter Martyr, "that a single penny will ever reach the royal coffers" and until long after the election was over and announced this gloomy forecast was literally fulfilled. For the necessary bribery that was accomplished at Frankfort, Charles was obliged to depend chiefly on the financial magnates of the empire; Spain's role in the whole contest was largely that of an interested spectator. Over and above the manipulation of the individual electors, of whom the archbishop of Mainz was at once the most influential and the most venal, the struggle hinged chiefly on the attitude of Leo X. At the outset the chief object of the papal policy was to prevent the election of the king of Spain, whose territorial inheritance gave him such unquestioned predominance as to threaten the independence of the see of Rome. As the most promising means of attaining this end, Leo began by supporting the candidacy of Francis I; in the middle of April he believed that he had accomplished his purpose, and that Charles's failure was definitely assured. But that was by no means the whole of Leo's plan. He had made use of Francis to get rid of Charles, but he had no desire to see Francis elected. He was disturbed by the French king's preponderance in Northern Italy, which had been the immediate consequence of the battle of Marignano; having got what he wanted out of the Eldest Son of the Church, he was now anxious to cast him aside. Naturally Francis resented such treatment as this; in May he was at sword's points with his former ally; while Leo, on his part, had by this time become convinced that Charles stood a good chance of being elected after all—so good in fact that it might be unwise to oppose him. By the middle of June his change of front was complete; on the twenty-eighth the electors voted unanimously in Charles's favor; on July 6 the result was announced in Barcelona. The sovereign of Spain was now also King of the Romans, and Holy Roman Emperor in everything but name; what is more, he had attained the coveted dignity with the open consent and approval of the papacy. Pope and Emperor, contrary to precedent, were now not at odds, but in alliance; and the new combination was to prove almost as significant for the development of the Spanish Empire as it was baleful to that of the German Reformation.

The moment the news of his election arrived, Charles was all impatience to depart for the Empire. The situation in Germany was very delicate. The coronation ceremony at Aix could

not be indefinitely postponed. France was openly hostile. England's friendship was not certain. Everything demanded that Charles should leave Spain as soon as possible. The same day that he heard of his election at Frankfort, rumor had it that he proposed to pass August in Valencia, thence proceed to Granada to hold his court, and that in March he would take ship for the Netherlands on his way to the Empire. Clearly his responsibilities as a Spanish monarch were relegated to the background; for the past two years they had absorbed all his energies; but now, in turn, they must give way to greater things. The Spaniards were naturally quite unable to enter into this point of view. Each one of the different Iberian kingdoms doubtless felt that it had the best right to occupy the center of the stage; but they were all united in resentment at Charles's obvious intention to leave the peninsula at the earliest opportunity. However great his outside responsibilities, they felt that they had received less than their share of his attention. They had wanted him to win the Empire in order to increase the dignity of Spain, but they had no mind to have Spain become the tail to the imperial kite. Their standpoint, in other words, was the exact opposite of universal. Despite all the great events of the preceding reign, their interests were still primarily local and particularistic. If the king was to inherit the Empire, they insisted that it should be directed from Spain.

The way in which these sentiments found expression in Barcelona was well calculated to augment the impatience of the king. Taking advantage of all the elaborate technicalities of their system of parliamentary procedure, the Cortes continued to postpone their vote of funds. Charles could, of course, have sent them home at any moment after his formal recognition in the month of May, and was doubtless frequently tempted to do so; on the other hand, a dismissal without a grant would have created a precedent most undesirable for the crown. For six long months after the announcement of the election, the quarrel continued. Not until January, 1520, was the money voted, and even then it was but a beggarly 250,000 "pounds of Barcelona," scarcely enough to defray the expenses of the king's extended stay. Only the unexpected arrival in the previous December of a present of Mexican gold from Hernando Cortes had availed to save Charles from bankruptcy. It was the first real indication of the wealth of the Indies, a foretaste of the way in which the preponderance of the Hapsburgs in the Old World was to be supported by the resources which they could draw from the New. In the meantime a whole half-year had been wasted, and the foreign horizon had become increasingly dark. Charles's immediate presence in the Empire was now more indispensable than ever; if he delayed longer, he risked the loss of his paternal inheritance. Under the circumstances, his projected visit to Valencia had to be abandoned. Even before the dismissal of the Catalan Cortes his decision had been made. It was announced that he would dispatch Adrian of Utrecht as his accredited representative to that kingdom, to swear to the observance of its laws and to receive the oath of allegiance of its representatives, while he took his way with all speed into Galicia to the nearest port of embarkation for the Netherlands and Germany.

The adventures of Adrian at Valencia form part of a new ramification of Spanish discontent, which may best be reserved for separate consideration; our principal interest is to follow the career of Charles. On January 23 he left Barcelona in hot haste for the westward; nineteen days later he once more trod Castilian soil at Calahorra, whence he dispatched summonses to the procuradores of the Castilian cities to assemble in Cortes at Santiago de Compostela on the twentieth of the following March. The moment that word of this action got abroad, a wave of furious indignation swept through the land. Castile had been primed for an explosion for many months. The mere fact that Charles had spent thrice as long in the realms of the Crown of Aragon as he had seen fit to accord to the western kingdom had been enough to maintain a smoldering discontent. That he now was returning only in order to depart again for the Empire simply served to fan the flames. The central and southern parts of the kingdom

still remained unvisited. Valladolid was the only important Castilian city that had ever seen its king. And the summons of the Castilian Cortes to far-off Santiago was the crowning insult of all. There was no precedent whatever for a meeting place so remote, in a province without a city which sent representatives to the national assembly; and no possible cause for its selection, as the shrewder ones must have plainly seen, save the facilities which its remoteness afforded for bullying the procuradores, and its convenience to the Emperor's port of embarkation. Clearly the real object of calling the Cortes there was to get a fresh subsidy, despite the fact that the three years over which the preceding one was to be spread had not yet elapsed. The omission to send for the two upper orders proclaimed it, as did also, for that matter, the text of the summons to the procuradores; the anticipative use in that instrument of the title 'Emperor Elect' was also remarked on with profound dissatisfaction. And the climax was capped by an act of executive despotism well calculated to subvert one of the most cherished privileges of the realm. For centuries the Castilian cities had been accustomed to regard the *poderes* or instructions which they gave to their procuradores as the surest guarantee of the maintenance of their liberties. By these *poderes* they prescribed the conduct of their representatives, and the attitude they were to adopt on all measures proposed by the crown. But Charles had had enough of the limitations of parliamentary tradition, and was resolved not to be delayed as he had been in Barcelona. He therefore drew up and sent out to the Castilian cities a specimen of the *poderes* they were to give to their procuradores; and this specimen sanctioned compliance in the fullest possible manner with whatever it should please the monarch to propose. The manoeuvre promised to end all opposition; the king would have not only a docile assembly, but also every advantage in the unlikely event of resistance.

Throughout the long journey from Calahorra to Santiago Charles encountered constant evidences of the popular displeasure. An eight days' stay at Burgos signally failed to conciliate the inhabitants, who were not nearly so gratified at the royal visit, as resentful at the fact that it had been so long postponed; there were the usual festivities and outward rejoicings, but there was also an undercurrent of deep discontent. It was at Valladolid, however, where the king spent the first four days of March, that the hostility of the people was most plainly manifest. An effort to elicit from the local magnates a formal approval of his intended departure was met with a courteous but decided refusal. The fact that it was through Chièvres that the appeal was made brought the financial side of the question prominently to the fore. Once more the cry went up that the realm was being impoverished by favorites and foreigners. Orators recalled the ruinous expense and inglorious ending of the imperial adventure of Alfonso the Learned. They demanded that the king be compelled to stay in Spain. Six thousand armed men collected in the streets. Chièvres and the Flemings were threatened with death. Serious violence, however, did not actually occur. The king got away in a pouring rain to Tordesillas, at the cost of a scuffle with some hot spirits who attempted to shut the city gates. After his departure the uprising evaporated in sullen grumbling, and prophecies that the lowering skies boded ill for the future of the realm. The arrival of envoys from imperial Toledo, with a plea for methodical and united action, also served to divert the popular energies into calmer channels. The Toledans had already perceived what the mass of the Castilians were unable to comprehend, that no amount of local, uncoordinated uprisings could possibly effect anything; that riots were the enemy of orderly revolution. They were aiming, in fact, at the organization of a national revolt, fully realizing that anything short of this was predestined to fail. Had they not partially and temporarily succeeded in this endeavor, the movement in all probability would have ended in nothing. Had they wholly and permanently accomplished their purpose, it is doubtful if Charles could have retained his throne.

It was on March 26 that Charles finally arrived at Santiago; on the thirty-first the Cortes were formally opened in the monastery of San Francisco. A count of the procuradores revealed the fact that the representatives of Toledo were not in attendance, but had remained in the offing in order to organize the opposition; such was the method with which the imperial city elected to show its displeasure at Charles's high-handedness in the matter of the *poderes*. Salamanca sent her procuradores to the assembly with their instructions made out in flat defiance of the royal mandate. The other cities outwardly complied with the king's demand for blanket powers, but many of them secretly forbade their representatives to sanction any *servicio* unless they could extort concessions in return. Pedro Ruiz de la Mota, now bishop of Palencia, announced the reason for the summoning of the assembly. "Now is returned the ancient glory of Spain", he declared, "as in the days when the old writers said of her that while other nations sent tributes to Rome it was her happy lot to send emperors. She sent Trajan, Hadrian, and Theodosius, and now the Empire has come to seek an Emperor in Spain, and by God's grace our Spanish king is made King of the Romans and Emperor of the world". Under the circumstances, he continued, it was imperative that Charles should depart at once; he was desperately in need of money for his journey, for his coronation, and the defence of his realms; and the bishop demanded a new *servicio* equal to that which had been granted at Valladolid. The king then followed up the argument of his minister to the best of his ability, expressing his regret at being obliged to leave, promising to return within three years at the latest, and swearing to confer no more offices on foreigners. Doubtless both speeches were meant to be conciliatory, but the temper of the procuradores augured ill for the royal cause. The Salamancans had to be excluded from the meetings because of the uncompromising hostility of their attitude; the recalcitrant Toledans, after a collision with Chièvres, retired into the interior of Castile to spread trouble and raise troops. Meantime within the walls of the assembly everything centred on the vote of the *servicio*. Should it be granted without compensating guarantees, or should it be postponed until the king had made concessions. The latter course was warmly advocated by the deputies of Leon and Cordova, and the majority of the rest gradually rallied to their support. The king saw that the cards were going against him, but was more than ever resolved to get his grant before he sailed. As the easiest way of gaining time, and also of removing the procuradores one step further from their constituents, he announced, on April 4, that the Cortes would be transferred from Santiago to Corunna, his port of embarkation, and that they would assemble there on the twenty-second.

The king and his advisers made the most of the interval between the sessions to bring influence to bear on the individual procuradores. There was apparently no attempt at intimidation, but the resources of bribery were utilized to excellent effect. The procuradores of Cuenca were brought into line by a promise of 50,000 and 60,000 maravedis each, as a charge on the *servicio* to which they were asked to consent; one of the representatives of Valladolid was similarly won over by a gift outright of 300 ducats. When the Cortes reassembled, these favors were followed up by a speech from the new chancellor Gattinara, in which several important fresh concessions were announced. In deference to the request of the procuradores, he promised that the export of horses and of gold should cease, that no offices within the realm should be conferred on foreigners during Charles's absence, and that a suitable representative, "of sufficient authority and dignity, and zealous for the service of God, of the king, and of these kingdoms", should be left to carry on the government in his place. The effect of these maneuvers was not slow to make itself felt. The deputies were wearied of debate and had lost much of their earlier confidence. On April 24 the *servicio* was duly voted, to be spread over three years like that of 1518, with collections to begin in 1521, when the term of its predecessor had fully expired.<sup>3</sup> Reassured on this essential point, Charles made the definite announcement, which had hitherto been discreetly withheld, that the person whom he had selected to govern in his absence was Adrian of Utrecht, his Flemish tutor and confidential

adviser, who had been in Spain for more than four years, and who had recently been delegated as his master's representative to receive the oaths of the kingdom of Valencia. Various limitations, it is true, were imposed upon Adrian's powers with the idea of making him more acceptable to the mass of the Spaniards; but the general effect produced by his appointment was to destroy all the value of the concessions that had been granted before. It showed that the king's word could not be trusted. It was a flagrant violation of his recent promise that no office should be conferred upon a foreigner during his absence. It was a parting shot with an incendiary shell. To the outraged feelings of his Spanish subjects, however, Charles and his advisers paid little heed. He had got his grant and was now all on fire to depart. Unfavorable winds delayed him until May 20, when, after confessing and hearing mass, he set sail with Gattinara, Chièvres, and the rest of the Flemings, and a handful of Spanish grandees. Revolution had already started before he was out of sight of land; but others were to bear the brunt of it and suppress it for him in his absence. Luis Hurtado de Mendoza summed up the situation admirably, when he remarked that the martyrdom of Adrian had begun.

Castile was not the only portion of Spain that was seething with discontent at the time of the king's departure. Another uprising of wide ramifications was already under way in Valencia. The origin of this disturbance lay in the jealousy and hatred of the artisans and laboring classes of the capital of the kingdom towards the richer burgesses and lower nobility; it was not until much later that it was affected by the attitude of the crown. It arose in the spring of the year 1519, soon after Charles reached Barcelona, as a result of military preparations for defense against a squadron of Moorish pirates which had appeared off the Valencian coasts. Martial exercises and the possession of arms endowed the industrial classes of the capital with a spirit of aggressiveness and self-confidence, which was measurably increased by the withdrawal into the country of many of the more prominent citizens and lesser nobles on account of the ravages of the plague. The masses were temporarily left in control, and as the expected Turkish attack did not take place, they turned their energies to the assertion of their own power. In August, 1519, a clash occurred on the occasion of the public punishment of a number of sodomites, when the violent denunciations of the public preacher had stirred the popular feelings to the highest pitch of excitement. A cry was raised for the death in the flames of several culprits who had been let off with lighter punishments. Men rushed for their arms and fell into ranks; and as the sole means of preventing a serious disturbance the royal governor insisted that the populace immediately disband, give up their weapons, and return to their homes. This demand evoked the most violent opposition. The Valencian masses regarded their right to drill and bear arms as an inalienable privilege. They formed themselves into a Germania or brotherhood for the purpose of maintaining it; and finally, as the obvious means of gaining legal justification for their acts, they appealed, over the head of the royal governor, to the king himself, for a formal confirmation of their military organization.

This appeal reached Charles in Catalonia at the very moment that he was coming to the decision that he could not afford time to visit Valencia in person; and it gave him an opportunity of which he promptly sought to take advantage. It was clear that the Valencian nobles would fight to the last ditch against any project to recognize the king, unless he were present in the flesh; the clergy were neutral on the question; everything depended on the attitude of the third estate. In exchange for a promise from the deputies of the Germania to do their utmost to influence the popular representatives to favor the recognition of Charles in his absence, the king, on November 4, yielded to their petitions for the confirmation of their military organization and reversed the decree of his representative on the ground. On their return to Valencia, the emissaries were naturally accorded a triumphal reception, and the popular party proceeded to clinch its victory by choosing a central committee of thirteen

members, representing the principal guilds and handicrafts of the capital, to direct and coordinate the energies of the Germania, and exercise authority as its representative. It did not have to wait long for an opportunity to use its powers. It had, in fact, been scarcely elected before word was received that, owing to the representations of the royal governor, the king had changed his mind, and on January 4 had decreed that the popular party should disband its forces. The Germania was in no mood to put up with such tampering as this. Its members regarded themselves as the victims of aristocratic oppression, to which they were firmly resolved to put an end. At the instigation of the Thirteen, another deputation was sent to the king, to demand a reconfirmation of the popular organization, and permission to continue to drill and to bear arms. When it reached the court Charles was prepared to consent to anything, provided it would serve to accelerate his departure. He was irritated at the stiff-neckedness of the aristocracy and impressed by the importunities of the popular representatives. At Fraga, on January 31, 1520, on his way back to Castile, he once more altered his verdict, and granted the Germania the recognition it demanded.

The spectacle of royal vacillation which Charles had given reacted most unfavorably on Adrian of Utrecht, who had been dispatched as his representative to the Valencian estates on the eve of the king's departure from Barcelona. Adrian's instructions were, at all costs, to gain recognition for his master by the Valencian Cortes, and if possible to extort a subsidy; but the attitude he was to take on the question of the hour—that of the recognition of the *Germania*—had been left undefined. In the presence of the three estates of the realm, he cut a sorry figure. The attitude of the assembly was frankly hostile; and Adrian increased rather than diminished his difficulties by solemnly producing a copy of the Scriptures, on which Charles had sworn to observe the laws of Valencia and exhibiting to the Cortes the page which the king had touched when he pronounced the oath. Instead of creating an impression, the episode evoked shouts of laughter. "Does the prince think we are such poor Christians that we have no Bible in the realm?" called out one of the deputies. "He should not hold us in such low esteem!". After such a scene as this, it was painfully evident that Adrian's mission was bound to fail; the recognition which Charles had sent him to gain was flatly refused. Meantime, outside the walls of the assembly, the *Germania* for the time being dominated the situation. The nobility and the wealthier citizens were violently hostile, but in view of Charles's edict of January 31, it was impossible for Adrian consistently to oppose it; moreover his irritation against the aristocracy, who had been chiefly responsible for his failure in the Cortes, tended to make him favor the popular party. On February 21, the guilds, 8000 strong, paraded before him with banners and music, in significant celebration of the victory they had won. Three months later, by the revival of an ancient ordinance of the reign of Pedro the Great, which had been revoked after a life of only five years, they managed to possess themselves of two of the six places on the municipal council of the capital of the kingdom. News of the situation, however, had reached Charles long before this latest triumph of the Germania, and showed him that vigorous measures were indispensable to the maintenance of authority and order. The regular royal governor in Valencia was a nonentity. The king's special agent, Adrian of Utrecht, had failed, and was, moreover, badly needed in Castile. Clearly another representative of different qualifications must be sent out at once. On April 10, the king's choice was announced. It fell on Diego Hurtado de Mendoza, Count of Melito, a valiant soldier and comrade of the Great Captain, with a magnificent record in the Italian wars. His instructions were to avoid, if possible, an open conflict; yet it was evident that Charles had again turned against the Germania, and that if it proved impracticable to deal with it peacefully, the viceroy was expected to use force; in fact, one of the Valencian representatives at Corunna, rightly appreciating the significance of Mendoza's military qualifications, reported that his appointment would be the ruin of the popular cause. The *Germania*, however, as the sequel

will show, was not to be intimidated by Mendoza's authority. In Valencia as well as in Castile, at the moment of Charles's departure for Germany, the fires of rebellion were already lighted.

Charles certainly left Spain in 1520 more unpopular than when he entered it in 1517. His first visit to the peninsula had increased and not allayed the many misgivings which had been aroused among the Spaniards by his early life and paternal inheritance. It had convinced them of what they had only suspected before that he was essentially a foreigner in outlook, aspirations, and policy. Such enthusiasm as had been aroused by the fact that a Spanish king had been chosen to succeed to the throne of the Caesars had been obliterated by the course of events which followed Charles's departure from Barcelona. Imperial glories were all very well, but the maintenance of the national privileges and liberties took the precedence of them. Charles's inability to speak Spanish, his unimpressive aspect, and his apparent subserviency to the greedy Flemings were doubtless chiefly responsible for the prevalent hostility; but on the other hand, it could plausibly be argued that Spanish narrowness and provincialism were also much to blame, and that if the Spaniards could be induced to adopt the larger point of view, which was indicated by their achievements under the Catholic Kings, they would soon come to a better understanding and appreciation of their new monarch. Such, at least, was the opinion of one of Charles's fellow travelers from Corunna to the Netherlands in the spring of 1520; and he whiled away the weary hours of the voyage northward in an attempt to set forth his views in writing for the benefit of the Spanish people. A few words about this author and the arguments he produced will not be out of place.

His name was Georg Saueremann; he was born in Breslau, probably in 1492, the scion of a family of substance and of education. He studied in Wittenberg, Leipzig, and finally in Bologna, where he devoted himself chiefly to jurisprudence and the humanities and was famous for the excellence of his Latin style. In 1517 he was made rector of the University there—an unusual honor for a man only twenty-five years of age—and distinguished himself by his prompt suppression of a violent quarrel between the German and North Italian students; in the course of this affair he became acquainted with Ulrich von Hutten, who was the spokesman of the Teutonic faction. During the next year his reputation as a Latinist mounted so high that he found a welcome at the court of Pope Leo X. In the meantime his political views, which had always been most favorable to the house of Hapsburg and its imperialistic pretensions, reached full development. The spectacle of Italy, parceled out among petty tyrants and foreign potentates, was most painful to him. He was fully alive to the Turkish peril. Some approach to a universal monarchy under Hapsburg leadership seemed to him to be the only solution of these and other problems of the day. He rejoiced in the succession and election of Charles, because they made Spain and her vast dominions a part of the combination for whose triumph he so ardently longed; and Leo, who knew his opinions and was now hand and glove with the young Emperor, sent him over to Spain with the highest recommendations, as a desirable addition to the royal and imperial court.

We do not know the exact date of Saueremann's arrival in Spain, but we may be certain that he had ample opportunities to become acquainted with the state of feeling in the country before his departure with Charles from Corunna, in May, 1520. He saw that the views of the mass of the Spaniards were such as would effectively prevent them from fitting into the great schemes of a universal Hapsburg monarchy on which his hopes were centered. He realized, in fact, that Charles would be fortunate if a revolution did not speedily break out in his Iberian dominions. Nothing short of a radical change of opinion in Spain would serve to avert the catastrophe which seemed imminent, and it was in the hope of contributing to such a change that Saueremann, on his northward voyage across the bay of Biscay, set himself to the

composition of a brief treatise which he called the *Hispaniae Consolatio*. This treatise, which was published in the following August at Louvain in the Netherlands, is dedicated to the imperial councilor, Pedro Ruiz de la Mota, and is addressed to the Spanish people. It warns them to desist from their grumblings and complaints over local grievances, and seeks to prove that Charles's journey to the Empire was for the best interests of Spain as well as of all his other kingdoms and indeed of all Christendom. He was not leaving the peninsula for his own amusement, it insisted—but in order to assume the imperial title, to which he proposed to give a deeper meaning and significance than it had possessed for generations, he was going in order to create a universal monarchy through which he, as kings of kings, would put an end to war between Christian states, and unite them all in a victorious onslaught upon the infidel. Sauermann pictured, in fact, a sort of super-state under Hispano-German leadership, and demanded that the Spaniards should rouse themselves to an appreciation of the glorious future which had so suddenly and providentially been placed within their reach.

The whole argument of the *Hispaniae Consolatio* rested on the doctrine of a higher internationalism and an all embracing empire. Spain was not asked to let her king go for the sake of Germany, but rather for the welfare of Christendom; the universal rather than the specifically Teutonic attributes of the exalted position which Charles had been called to assume were emphasized throughout the entire treatise. And it must be remembered that this conception had many ardent supporters in the first half of the sixteenth century. Despite the recent development of strong independent states, the mediaeval ideal of a world empire still possessed a tremendous hold on men's imaginations. Charles himself, at that stage of his development, unquestionably believed in it. Doubtless the motives that inspired this belief were primarily dynastic. The principles of nationalism were essentially opposed to the enormous preponderance which fortunate marriages had given to his house; only by turning men's thoughts in anti-nationalistic directions could that position of preponderance possibly be maintained. But it was quite unjust to assume, as the average Spaniard did in 1520, that Charles's departure meant that he preferred Germany to Spain. If they could have seen some of the complaints subsequently addressed to him by his Teutonic subjects that he was ruling the Empire in the interests of Spaniards, they might have been brought to a realization of their mistake. Charles regarded the imperial dignity not as something primarily German, but rather as a token of his leadership of the Christian world. Of all the states over which he held sway he really felt himself at home in only one—in the Low Countries, where he had been born and bred. Outside of that, he had for the present no preference at all; and in later years, when his schemes of universal monarchy met with insuperable opposition, it was from Spain that he derived the most effective support; it was to Spain that he finally retired to die. But the road to that great reconciliation was long and full of turnings. Spain had to make one more bid to maintain the old ways before she was prepared to adopt the new; and Charles had to give more tangible proofs than the diatribe of Sauermann that his journey into the Empire would redound to the advantage of Spain. The next two years saw the beginning of the fulfilment of both these conditions. While revolution broke out and was suppressed in the peninsula, Charles vindicated his claims to the leadership of Christendom in a manner which obliterated most of the bitter memories of his first visit, and evoked warm sympathy and admiration from the hearts of his Spanish subjects.

CHAPTER XXII  
THE COMUNEROS AND THE GERMANIA

“THE Communes of Castile begin their revolt, but after a good start had a bad ending, and exalted, beyond what it had previously been, the power of the King whom they desired to abase. They rose in revolt because the King was leaving the realm, because of the *servicio*, because of the foreign Regent, because of the large amounts of money which were being taken out of the realm, and because the chief office of the treasury had been given to Chièvres, the archbishopric of Toledo to Guillaume de Croy, and knighthoods of the Military Orders to foreigners.” Such are the words in which a contemporary historian portrays the great insurrection which forms the principal subject of the present chapter. It would be impossible to construct a better summary in equal space; not a word is wasted, and no essential element is left out. To complete the picture it only remains to develop the ideas which are there put forward, and to fill in the more important details.

Hatred of the foreigner and of the foreign ways and customs Charles brought with him was unquestionably the dominant cause of the outbreak of revolution in Castile. Even the various demands for reform in the national administration which were to appear in the memorial of the Junta of Avila, and in which some subsequent historians have thought they discerned evidences of aspirations for a democratic regime, were primarily due to the popular conviction that the national liberties were endangered by the rule of a non-Spanish king. And this is the chief reason why, in its earlier stages, the revolt was joined by all classes of Castilians—why so many nobles and clergy ranged themselves beside the commons under the banner of the revolution. Social distinctions were for the moment forgotten in a common detestation of the alien Hapsburg. It was not, indeed, in the nature of things that such a condition should long endure; the ancient forces of Spanish separatism—social as well as geographical—were ultimately bound to assert themselves, and the clever utilization of their recrudescence by the absent sovereign was the principal reason why he was able to keep his throne. At the outset, however, the grievances of Castile were felt by high and low. Save for Adrian and his immediate supporters, and the small body of troops they were able to command, there was almost no one in the realm who would fight for the absentee king.

The six weeks after Charles’s departure saw the revolution spread like wildfire. The procuradores who had been bribed or bullied by the king into sanctioning the *servicio* at the Cortes of Santiago-Corunna were, not unnaturally, the first victims of the popular wrath. Rodrigo de Tordesillas, *procurador* for Segovia, was rash enough to disregard the warnings of his friends, return to his constituency, and appear, richly clad, at its *ayuntamiento*. Despite all the efforts of the local clergy, who strove to protect him, with the sacrament in their hands, a rope was thrown around his neck by the furious mob, he was dragged through the streets and hung up by the feet at the public gibbet. At Zamora, the procuradores were more prudent, and took refuge in a monastery nearby; but the populace dragged their effigies through the streets and burned them in the Plaza Mayor. At Burgos the mob vented its anger by destroying the house and property of the city’s principal delegate to the national assembly, and by hanging a rich French merchant, widely known as a friend and protégé of Chièvres. In Valladolid, the seat of the *Real Cancilleria*, there was at first no open revolt; and Adrian of Utrecht, after summoning Inigo de Velasco, the constable of Castile, to his side, prudently took refuge there

on Corpus Christi day. So charged with discontent, however, did the atmosphere seem to be, that he maintained a discreet silence on the course of events at the Cortes of Santiago-Corunna.

More serious, if less dramatic, than these various acts of violence, were the evidences of a nascent organization in the ranks of the popular party. In the movement to secure this end, the city of Toledo led the way. She had sent her envoys to the other towns of Castile in the early days of March to plead for united action in defense of the liberties of the realm; and though her appeals to her neighbors went unheeded for the time, she was able to set them an example in effective organization. More than a month before Charles set sail from Corunna, she had risen, under the lead of her municipal magistrates, expelled the *corregidor*, and set up the forms of a popular government, “in the name of the king and of the queen, and of the *Comunidad*”. The conservatism of the formula is noteworthy. It implied loyalty to Charles if only he would dismiss the Flemings and remain in Spain. It showed that the movement was as yet neither democratic nor anti-monarchical, but simply national in its aims. The leaders of the revolt in Toledo were of the ancient Castilian aristocracy. Pedro Laso de La Vega, who was afterwards to preside at the Junta of Avila, was the brother of the poet, Garcilaso de la Vega, and related to the great house of Guzman. Juan de Padilla, who stood beside him, was also of noble lineage, and his wife, Maria Pacheco, “the real man of the pair”, who urged her husband forward, and was a “veritable flame of fire for the whole realm”, was the daughter of the second Count of Tendilla, and the sister of the great Diego Hurtado de Mendoza, and of Antonio de Mendoza who was to be viceroy of New Spain. The Toledan clergy, also, at the outset, supported the revolution to a man.

The example of Toledo was followed, in the weeks that succeeded the Emperor’s departure, by most of the cities of Old Castile. One by one they expelled the royal officials and proclaimed the ‘Comunidad’. Clerical and aristocratic participation, in greater or less degree, is likewise everywhere evident. At Zamora the movement was headed by the bishop, Antonio de Acuna, whose fate was destined to be the strangest in all the ranks of the Comuneros. Only a handful of scattering and unimportant towns, like Simancas and Truxillo, remained loyal to Charles. In the South, on the other hand, the revolution made little progress. Murcia and Mula, it is true, raised the standard of revolt, not improbably on account of their proximity to the rebellion in Valencia; and Don Pedro de Giron, who coveted the duchy of Medina Sidonia, sought to stir up the rural districts for purposes of his own; but Cordova and Granada held completely aloof, and later formed a loyal union to raise forces for the cause of the king. In Estremadura, too, the rebellion gained little headway; while in Navarre, it was overlaid by local factional feuds of ancient standing and involved in the international issues between France and Spain. What significance is to be attached to these geographical details; it were difficult to determine. Was it natural slackness and apathy that kept the Andalusians and Estremadurans from joining forces with the rebels. Or was it the greater distances between the southern and eastern towns that prevented the spread of the revolutionary fever to these regions? It is possible to argue from the data that we have at our disposal that those portions of the realm which had not come into actual contact with Charles were far less ill disposed towards him than those which had; it was the outlying, unvisited parts that failed to respond to the call to arms. If this argument holds, it offers additional proof of the sorry figure that the king had cut on his initial visit to the peninsula. Everywhere he went, he had left the impression of a gawky, incompetent stripling, dominated by his greedy Flemish followers; but only those who had seen with their own eyes could realize to what depths of degradation the throne of the saintly Isabella had fallen. Others were not convinced of the necessity for revolt.

Union and cooperation between those cities which had committed themselves to the uprising were clearly indispensable to its success. Toledo, which had taken the lead in

establishing a 'Comunidad', came forward once more to plead for joint action by the revolutionists. On July 18, she dispatched a letter to "all the cities" suggesting that they send representatives to a common council to be held at Avila on the 29th to devise remedies for the evils with which the realm was afflicted. The invitation was promptly accepted. The delegates assembled at the appointed time and place, and lost no time in electing the Toledans, Laso de la Vega and Padilla, president of their Santa Junta and captain of its forces. The effect of this action was virtually to create a rival government to that of the regent; it was a challenge to the authority of Adrian, which it was impossible to leave unanswered.

Adrian was by nature a conciliator and shrank from violent action. His letters to the Emperor about the progress of the revolt are filled with recommendations for compromises and redress of grievances; and his first instinct, on hearing of the establishment of the Santa Junta of Avila, was to have it transferred to Valladolid, where he could prevent it from getting beyond control. On the other hand, the royal authority had been so openly flouted in the various local uprisings that had taken place, that some form of military retaliation was indispensable. Segovia, the first to revolt, was selected for chastisement; and, in late July, the alcalde Ronquillo, with a thousand royal troops, most of whom had but recently returned from campaigning in North Africa and were consequently uncontaminated by the revolutionary spirit, was accordingly sent against it. The Segovians, however, were quite equal to the occasion. Aided by reinforcements from Toledo and Avila, they repulsed the army of the crown. Ronquillo drew off in disorder, and called on Adrian for more troops. These were at once dispatched under the command of the king's chief military leader, Antonio de Fonseca, who determined to make assurance doubly sure by first possessing himself of a park of royal cannon at Medina del Campo. The inhabitants of that town, who had thus far held aloof from the revolt, regarded themselves as custodians of the king's artillery, and fiercely resented all attempts to deprive them of it. Furious street fighting ensued. Some houses were fired; the city was swept by flames, and over 450 buildings, most of them filled with valuable merchandise, were burnt to the ground. Medina del Campo was the principal market town for all the region round about; its fairs were great events in the life of Old Castile, and the losses it had sustained affected the agricultural and commercial classes of all the neighboring region. It would be difficult to conceive of any other event more calculated to increase and spread the unpopularity of the king's government, than this apparently wanton destruction of the economic nerve center of the realm.

The burning of Medina del Campo occurred on August 21. Its first result was a rising in hitherto passive Valladolid, where the news was reported on the very day of its occurrence. An angry mob appeared in the street and demanded the disbanding of the forces of Fonseca; he and Ronquillo fled to Portugal for their lives. Adrian was suffered—almost contemptuously—to remain at liberty; but all his hopes of coming to terms with the Santa Junta vanished in smoke, and thenceforth, as Sandoval significantly puts it: "those of the *Comunidad* called those of the Council tyrants, and those of the Council called those of the *Comunidad* traitors". But while the regent was powerless to give effect to his words, the Junta vindicated its authority in dramatic fashion. All that it needed was a legal pretext for assuming full control of the government. If only it could secure the adherence of the captive Joanna, it would be formally justified in any step that it might choose to take. Possession of the queen's person was the first essential to the accomplishment of its desires; and the news that Adrian's adherents had attempted, though unsuccessfully, to get her signature for certain documents confirming their authority, convinced the leaders of the Junta that there was no time to lose. On Wednesday, August 29, the very day of the uprising at Valladolid, Padilla, with the full force of the insurrectionary army, appeared before Tordesillas. They found the poor queen, closely guarded by the Marquis of Denia, whom she detested, whiling away the weary hours

in the company of her youngest daughter, Catharine. A faint realization of the state of the realm, which had apparently been afforded her by her previous interview with the adherents of Adrian of Utrecht, combined with her mental infirmities to render her more than usually suspicious and sad. Padilla, dropping on one knee before her, strove to make her comprehend the reason for his coming, specially emphasizing his resolution to protect her from all injury, and to prevent her enemies from separating her from her daughter. The queen seemed pleased and thanked him; and then Padilla added: "Since certain cities of these your realms have united for your service and defence, and have sent their representatives here for that purpose, we beg you to declare how we may best accomplish these ends, and whether it be your pleasure that we remain here at your service". "Yes, yes," replied Joanna, "remain here and serve me. Tell me everything that is happening and punish the evil doers, and I shall be most grateful". Padilla thanked her in turn, and assured her that everything should be done in accordance with her desires, and then, justifying his action by the words which the queen had spoken, he summoned the Junta from Avila to Tordesillas. The Marquis of Denia was speedily induced to depart. The revolutionists took possession of the castle and its royal inmate.

Only one step more needed to be taken to complete the triumph of the rebellion. Verbal encouragement and sanction from the queen were all very well, but her signature to documents must be procured before Castile could be induced to recognize the legality of the popular cause. On Wednesday, September 24, twelve deputies of the rebel communes resolved to put it to the test; it was the critical moment in the history of the revolt and perhaps, indeed, of Charles's rule in Castile. Joanna received them in solemn audience. She listened with deep attention while two of them explained the condition of the realm, and protested that they were ready to serve her and recognize her as their leader and queen. She replied at length, with melancholy references to her family bereavements, to the lies that had been told her by the Marquis of Denia and to the cruel maltreatment to which she had been subjected. She thanked the deputies for their good intentions and expressed willingness to help them. But when it came to giving effect to her words, she suddenly drew back. Nothing would induce her to name the members of a delegation which she had asked the Junta to create to keep her informed of its proceedings. A request from Padilla that she sign the Junta's resolutions as if they emanated from her Council, elicited a stubborn refusal. Was it merely a madwoman's whim, or did she faintly realize the gravity of the point at issue? The question has never been definitely answered and probably never will be; but it is certain that this failure to obtain the queen's signature marked the passing of the zenith of the revolution. Padilla and the rest did their utmost to conceal the defeat they had sustained, and outwardly their prestige continued to increase. It was generally believed that Joanna had recovered her reason and that she sympathized heartily with the revolutionists; and "as the voice of the Junta was most persuasive in its reiteration of the sweet name of liberty and of exemption from unjust taxation and evil rule", there were many who rose and joined its banners. But this accretion in numbers was more than counterbalanced by the indecision of the leaders, whose half-measures at this critical moment plainly showed that they realized that the queen's refusal to give them some tangible evidence of her adherence had cut the ground from beneath their feet.

On September 28, Padilla, with 1100 men, entered Valladolid and dispersed the regent's Council; Adrian himself, however, was suffered to escape, on October 15, to Medina del Rio Seco, and his personal belongings were courteously sent after him. A zealous friar was dispatched by the Junta to stir the flames of revolt in Palencia; his eloquence apparently was of considerable effect; nevertheless the loyalists in the town were suffered to seize and garrote him, "which", as Sandoval adds, "is the sort of thing that friars deserve and get, when they attempt to mix into secular affairs". Meantime, in Andalusia, the few foci of revolutionary ardor were almost neglected. There had been outbursts of varying intensity, at Jaen, at Baeza,

at Ronda, and at Seville. Skillfully utilized and combined they might well have carried the whole South for the popular cause; but the Junta was blind to its opportunity. The loyalty of Granada and Cordova prevailed. A line of demarcation was permitted to establish itself, which restricted the revolution to the older portions of Castile; and in February, 1521, the Andalusians united in the powerful royalist confederation of La Rambla.

More significant even than the apathy of the Comuneros in taking practical measures to consolidate the position they had won, was their activity in constitutional theorizing and in the writing of notes. On September 25, their representatives swore to unite for the redress of the evils with which the realm was afflicted; they spoke of themselves as a regular assembly of the Cortes of Castile. Possession of the person of the queen led them on, moreover, to the assumption of executive powers. They got hold of the royal seals and appended them to their decrees. They ordered the members of the Chancillería Real to repair to Tordesillas for instructions. They asserted their claim to receive the royal revenues. But instead of seeing, themselves, to the execution of these decrees, they sent messengers to Charles to request his approval. Counsels of caution prevailed at the very moment when a little recklessness was indispensable to success. Preservation of the fiction of loyalty to the throne was preferred to the seizure of the realities of authority. The instrument in which the Junta's demands to the Emperor were contained was dispatched from Tordesillas on October 20. It took the form of a sort of *cuaderno* of petitions, coupled with a most comprehensive ordinance for reform. Conservatism, however, is the dominant note throughout. The evils of the times are almost invariably attributed to the foreign Flemings and the innovations and extravagances they brought with them. Remedies are to be found in the fulfilment of the royal promises at the Cortes of Valladolid and Santiago, and still more in a return to the good customs of the Catholic Kings. There are certain proposals for the limitation of the power of the crown, for the increase of that of the Cortes, and for rendering that body and the Royal Council more truly representative of the people of the realm; but save for the request that the national assembly should meet at stated intervals, independent of any summons from the king, there is scarcely anything for which some precedent cannot be found in the pages of the history of mediaeval Castile. The document concludes with an elaborate demand for the king's sanction and pardon for everything that the revolutionists had done without him and against him in his absence; in fact, the whole tone of the instrument reveals a palpable lack of self-confidence. To send it at all was a capital error; to phrase it so moderately was to invite a rebuff from the monarch to whom it was addressed.

Meantime, while rebellion was overrunning Castile, King Charles, after a brief visit to his uncle, Henry VIII, landed at Flushing on June 1, 1520, and trod once more the soil of his native land. Save for another brief interview with the English monarch at Calais, he remained in the Netherlands until the end of October, when he departed into the Empire to meet Martin Luther at Worms. Foreign affairs, especially the prospects of war with France, were his principal anxiety at this period, but he was kept constantly informed about the progress of the Castilian uprising by Flemish merchants, and by a series of piteous appeals for help from Adrian of Utrecht. For many a long week the young king did not reply, at least to the regent, who bitterly complained, in a more than usually gloomy letter, dated at Valladolid on September 12, that he had heard nothing from his royal master since his departure, save a rumor, which had arrived by a Flemish ship, that he had landed safely in England. The king's silence with reference to the Castilian outbreak is the more striking when contrasted with the multitude of letters and orders which he wrote in this period in connection with the realms of the crown of Aragon and their Italian dependencies, but there is no reason to believe that he underestimated the seriousness of the crisis; he was merely waiting for more information, before making up his mind what course to pursue. Sandoval tells us that he called Germans,

Flemings, Italians, Aragonese, and Castilians into council, and that “their opinions were as divergent as their nationalities. The Germans said that he must go to the Empire, the Italians that he should visit Italy; the Flemings begged him to remain where he was; the Aragonese drew attention to the rising in Valencia; and the Castilians desired him to return to Castile. But in the end the decision was made by a few, as is the custom of great sovereigns when difficult questions are to be settled, and it was resolved that he should proceed to the Empire for his coronation, and that he should thoroughly settle the affairs of Germany, so that he would not have to go back there every day. And it was also decided that the Emperor should write friendly letters to all the cities and towns of Castile, commanding some to return to their allegiance and thanking others for their loyalty, and urging and enjoining the aristocracy to support the members of the Royal Council, and promising all, on his royal word, that he would be back as soon as possible in Castile. For it was the feeling among the common people that the Emperor would never return to Spain, that led them to dare so much and attempt such follies”. The Emperor and his counsellors also decided, as a sop to the popular feelings, to suspend the collection of the *servicio* voted at the Cortes of Santiago-Corunna, to confer no more offices within the realm on foreigners, and finally, as a bid for the support of the nobles, to associate Don Fadrique Enriquez and Don Inigo de Velasco, Admiral and Constable of Castile, with Adrian of Utrecht in the conduct of the regency.

The meeting at which these decisions were reached was probably held in the first days of September; at any rate the appointment of the Admiral and the Constable as coregents with Adrian was announced to the city of Cordova by the Emperor in a letter dated on the fifth of that month at Brussels. This letter is apparently the first communication from the absent king on the subject of the revolt that has come down to us, and there is every reason to think that other epistles of a similar tenor were simultaneously dispatched by him to the other cities of Castile. There followed a few days later a letter of instructions to Adrian (which crossed his of September 12 to Charles) laying down a number of limitations to the authority of the regents. In the succeeding weeks Charles learned of the capture of Tordesillas and its results, and consequently was apprised of the extreme seriousness of the crisis; he made no change, however, in the policy he had adopted. It was a wise decision. His concessions were a proof that he did not ignore the Spanish point of view, yet they militated little if at all against the authority of the crown. His appeals to the loyalty of the Castilian aristocracy caused most of the grandees to rally to the regent, and ultimately served to turn what had started as a national revolt into a mere war of classes. And, finally, Charles was supremely fortunate in the moment at which these measures had been adopted. The revolutionary forces had already reached the zenith of their power. As there was no longer any serious opposition for them to overcome within the realm, the need for unity was in a large measure removed, and various lines of cleavage revealed themselves within their ranks. “The victory had been so easy, so complete, that they knew not how to use it”. It was at this critical juncture that the Emperor’s instructions were received; from that time onward the fortunes of the monarchy rapidly revived.

The first of the Emperor’s measures to show its effect was his appeal to the loyalty of the Admiral and the Constable. The former, who cared more for the preservation of his own estates than for the maintenance of the royal authority, and who hoped for a solution of the existing difficulties without an appeal to arms, held aloof from the scene of operations, in Aragon, and contented himself with writing letters to Valladolid to protest against the action of the revolutionists went unheeded, but they at least served to show that he was gravitating towards the crown. The Constable in the meantime was far more active. Established at Burgos at the outbreak of the revolt, he had been obliged, by the uprising of the citizens, to take refuge in Briviesca, where he received, in late September, the Emperor’s letter appointing him coregent with Adrian. All his loyalty was aroused by the imperial message, and, convinced that

the uprising could not be suppressed except by force, he set vigorously to work in the interests of the crown. He sent letters to the Admiral to beg for his assistance. He got in touch with the municipal aristocracy of Burgos, and with their aid, and by dint of some concessions, he succeeded in winning back the old Castilian capital to its allegiance. On November 1, he was able to return there and set up his headquarters. He summoned all the local nobility to rally to his standard, and collected funds and munitions from every available source. He was active in getting loans from Emmanuel of Portugal, and countered all the efforts of the revolutionists to obtain assistance from that quarter. Such energy was not without effect upon the more hesitant Admiral. His policy of negotiation had by this time broken down. The Comuneros were so confident of success that they refused to make any concessions, and by mid-November it was clear that war was inevitable. The regents' council reunited at Medina del Rio Seco, and the Admiral came in and took his place beside the cardinal, though he continued his attempts to negotiate with the Comuneros for many weeks to come and did not accept the title of co-regent until January 3, 1521. The Constable's son, the Count of Haro, was placed in command of the forces of the crown.

The growing power of the royalists soon manifested itself upon the field of battle. On November 30, the Count of Haro arrived at Medina del Rio Seco with upwards of 3000 men; after some deliberation, it was decided to launch them at once in a surprise attack on Tordesillas. Bickerings among the leaders of the Comuneros facilitated the attempt. Padilla, in high dudgeon at the promotion of Pedro Giron to the command of the revolutionary forces, had drawn off to Toledo with a large body of his adherents; and Giron, who was left behind, did not utilize his men to the best advantage. Haro reached the walls of Tordesillas without opposition and almost unperceived. In a five-hour combat the walls were breached and the city entered. The defenders fled, the town was sacked, and the queen-mother fell once more into the hands of the royalists. The importance of her capture was doubtless primarily negative; she welcomed the grandees with stately courtesy and appeared to rejoice at their return; nothing, however, would induce her to sign a document, any more than when she was in the hands of the Comuneros. She remained, as before, essentially a passive spectator of the combat, whose issues she was quite unable to comprehend. Yet the fact that her person was no longer in the hands of the revolutionists counted for much. It deprived them of their last hope of clothing their actions with a semblance of legality. From henceforth, they fell permanently back to the position of rebels against the authority of the crown.

While the royalists were gaining in power and unity, dissension became rife in the ranks of the Comuneros. The leaders of the Santa Junta after the storming of Tordesillas transferred themselves to Valladolid, which henceforth became the headquarters of the insurrection. But the Junta's authority was by no means so complete as heretofore. It found two other bodies holding their sessions at Valladolid, on its arrival—the *Junta de la Comunidad*, or municipal committee, which had seized power in the city at the time of Adrian's departure, and the ultra-democratic *Junta de las Cuadrillas* or local military council, elected by the different sections of the city and its suburbs, which had come into existence in the dark days of November. Both these bodies were radical and aggressive; and they saw in the waning prestige of the Santa Junta an opportunity for them to extend their authority from municipal to national affairs. Particularly was this the case with the Junta de las Cuadrillas, whose military attributes gave it special prominence in the existing crisis; and it concentrated its efforts on an attempt to recall the popular hero, Juan de Padilla, to the supreme command of the revolutionary forces, from which the recent promotion of Giron had ousted him. The latter, summoned by the Santa Junta to the defence of Valladolid, was so unpopular with the masses that he was openly insulted and denounced as a traitor; he had never been enthusiastic in the revolutionary cause, and finally, on December 16, he deserted to the royalists. After his defection, the Santa Junta

strove to place its president, Pedro Laso de la Vega, in the chief command; the Junta de las Cuadrillas, however, managed to carry the day for Padilla, who reached Valladolid with 2000 men on the last day of the year, “amid such a tumult of rejoicing as if God had come down from heaven”. Laso, like Giron, was unable to endure humiliation by the populace. He figured no more on the revolutionary side, but after striving for some weeks, from a midway position, to bring about the restoration of peace, he finally went over (March, 1521) to the adherents of the crown.

The early months of 1521 were memorable for the activities of that most picturesque of the figures in the ranks of the revolutionists, Antonio de Acuña, the fighting bishop of Zamora. Partisan from the outset of the cause of the Comuneros, and animated apparently by the most radical ideas, he had hitherto wrought furiously, in most unclerical fashion, but with indifferent success, in the interests of the revolution in the country near his see. He now appeared at Valladolid at the moment of the defection of Giron, took his place in the war council of the Comuneros, reanimated the drooping spirits of his comrades, armed hundreds of priests as soldiers, and led a series of raids into the surrounding country. The deeds of this ‘devil of a bishop’ described in a series of increasingly horrified letters from Adrian of Utrecht, were duly reported to Leo X by the Spanish ambassador at the Vatican; the Pope declared that he was a second Luther and promised forthwith to depose him. But Acuña recked nothing of papal displeasure; if deprived of Zamora, he proposed to conquer Toledo. The opportunity for this perilous venture was certainly very tempting. The death, at Worms, on January 6, of the absentee archbishop, Guillaume de Croy, had left the primacy vacant; all Castile breathed a sigh of relief when the news was known. Designation of a successor by the Emperor was for the moment out of the question; and the French envoy at Rome actually urged the candidacy of the ‘second Luther’ on Leo as a means of embarrassing Charles. But Acuña had more faith in immediate action than regard for decisions by Emperor or Pope, and there were many factors in the situation in Castile which urged him to strike a blow in his own behalf. He was too uncomfortable a colleague to cooperate effectively with the other revolutionary leaders at Valladolid; and he was especially irritated with Padilla, whose valorous wife, Maria de Pacheco, had been left virtually in command at Toledo when her husband had returned to the North. Under all the circumstances, Acuña was convinced that he could most effectively serve the cause of the Comuneros in the very spot to which his personal ambitions were driving him apace. One night, in the last week of February, with his bodyguard of fighting priests and a few hundred soldiers, he secretly left Valladolid. His forces increased as his destination became known; but he suffered a discouraging repulse, in which he himself was twice wounded, at the hands of a contingent collected to oppose him by the Prior of San Juan; finally, on Good Friday, he entered Toledo fully armed and on horseback, with only two attendants. A crowd collected about him when his identity was revealed; he was borne aloft into the cathedral, seated on the throne, and solemnly proclaimed archbishop. Further than this, however, Acuña found it impossible to go. The clergy of Toledo refused to be bullied into giving him the sanction of canonical election, and the more sober spirits were thoroughly shocked by his unprecedented defiance of lay and ecclesiastical authority. The whole episode produced a profound sensation throughout Castile, and the ultimate effects of it weakened the cause of the revolution.

The Comuneros, however, had not yet been decisively beaten in the field; nay more, they had been encouraged by a brief return of fortune, at the time of Acuña’s departure from Valladolid. War had by this time been formally declared by the opposing parties—by the royalists in the form of an imperial decree, dated at Worms on December 17 and published at Burgos two months later, proclaiming the Comuneros guilty of high treason, and specially designating the names of two hundred and forty-nine of their leaders—by the rebels in a reply

from the Junta at Valladolid, denouncing the Constable, the Admiral, their counsellors and adherents. It was the psychological moment for Padilla to strike a blow, and he delivered it in the last days of February by storming and capturing the town and fortress of Torrelobaton, in the domains of the Admiral, about equidistant from Valladolid and Tordesillas. The Comuneros greeted the news of this success with the wildest enthusiasm, but they knew not how to reap the benefit of it. Instead of utilizing the demoralization of their foes to drive home another blow, they suffered their own forces to scatter before Torrelobaton and finally, on March 3, arranged with the diplomacy-loving Admiral for an eight days' truce. Though not strictly observed by either party, it redounded rather to the advantage of the royalists than of the Comuneros. It gave them time to collect their forces for a decisive blow, while their enemies continued to waste their resources in further attempts to secure peace by negotiation, and in bickering between the rival Juntas in Valladolid.

The two chief difficulties of the royalists were lack of effective military leadership and deficiency in artillery. The fall of Torrelobaton had been almost entirely due to the incapacity of the Count of Haro, and no cannon had been obtainable for the government since the burning of Medina del Campo. But the energy and ability of the Constable were to rescue the royalists in their hour of need. Since November he had been obliged to remain at Burgos, chiefly to oppose a threatened invasion from Navarre, but partly in order to deal with the rebel Count of Salvatierra, who had espoused the cause of the Comuneros for his own selfish purposes, and had to be beaten and put to flight before the North was safe. Now at last, on April 8, the Constable was free to march southward and join his son. He had meantime collected a considerable train of artillery from Fontarabia; so that when the two divisions of the royalist armies united at Peñaflor on the twenty-first, they were by no means destitute of heavy guns, though still inferior in this respect to their opponents. In all other particulars, however, the crown forces were in far better shape. In numbers they were distinctly superior—some 9000 in all, against 7000, if the contemporary chronicler may be trusted; their cavalry counted six times that of their foes; their morale was excellent, and they looked forward eagerly to the fray. There were several preliminary skirmishes, generally favorable to the royalists; and the final combat took place on April 23 in the ploughed fields of Villalar, outside Toro. Like the battle of Muhlberg, which twenty-six years later was to settle the fate of the Schmalkaldic League, it was rather a rout than a battle. Drenching rains had converted the ground into mud, in which the heavy artillery of the Comuneros stuck fast; a few shots from the lighter cannon of the royalists, together with a charge of their well-armed and disciplined cavalry, threw the rebels into confusion. They offered no resistance worthy of the name. Many of them tore off the red crosses which they wore—the badge of the revolution—and replaced them with the white emblem of the grandes; the rest scattered, panic-stricken, to the four winds of heaven. Only Padilla and a few other leaders stood their ground, and were captured, fighting valiantly against overwhelming numbers; to Don Alonso de la Cueva fell the honor of taking Padilla, who was badly wounded in the leg. Mexia puts the losses of the Comuneros at “five hundred and no more, because their enemies were merciful in victory; on the side of the royalists, fifteen or twenty ‘escuderos’ and a few more wounded”. Seldom has a victory been more complete; nor, from the point of view of the absent Emperor, could it have come at a better time. Six days before it, he had been solemnly challenged by Martin Luther at the diet of Worms; three days after it, the Saxon monk had departed, under the protection of a safe conduct, to raise the standard of revolt against mediaeval church and Empire. Authority had been reestablished in one portion of Charles's far flung dominions at the very moment that defiance had been hurled at it in the other.

Villalar was a death blow to the revolution in the North. Padilla and two of his companions met death, with heroic courage, at the hands of the public executioner on the

morning after their defeat, but there for the moment vengeance ceased. Valladolid at once sent an emissary to sue for peace, who was favorably received; the other cities in the neighborhood speedily followed her example. A few of the revolutionary chiefs were excepted from the general amnesty which the government granted, but even against these the regents showed no inclination to proceed at once; retribution was postponed, by common consent, to the day of the Emperor's return. Only in Toledo, where the 'devil of a bishop' and the widow of Padilla disputed the control, did the flames of revolt continue to burn. Thither converged some of the fugitives of Villalar; and they were inspired to continue the fight by the eloquence of Acuña, who, temporarily forgetting his grudge against Dona Maria, strove manfully to cooperate with her in organizing resistance. Provisions and munitions, however, were sadly deficient; the cathedral's treasure vaults were opened but yielded no funds; the besieging army, under the Prior of San Juan, was keenly on the watch to prevent the arrival of any kind of supplies; and on October 25 the city capitulated under favorable terms. Acuña, unable to endure the thought of capture or defeat, had previously escaped and striven to rejoin the French in Navarre; but he was caught on the way, in the pass of Navarrete, and held for future punishment. Dona Maria, on the other hand, elected to remain at her post, in the hope of some further opportunity to revive the insurrection. It came at last, in February, 1522, with the announcement of the election to the papacy of Adrian of Utrecht, when a small boy, instead of cheering the hero of the hour, took occasion to cry "Viva Padilla" at the top of his lungs. Dona Maria was alert to take advantage of the incident; she harangued the crowd which collected about her to such good effect that a riot ensued and the streets of Toledo ran with blood. Night, however, put an end to the fighting, the insurgents finally agreeing to lay down their arms on condition that Dona Maria should be permitted to leave the city unmolested. Mounted on a mule, in the garb of a peasant woman, with some large geese in her hands, either as Gomara says "to avoid recognition, for otherwise they would have beheaded her also", or, as is more probable, as a humiliation by her foes and a safeguard against further efforts on her part to stir up trouble, she made for the frontier of Portugal, where she lived out the rest of her days, as Sandoval says, "in exile, contempt, and perpetual misfortune". Whatever her faults, she had fought to the bitter end.

An unsuccessful revolution which has solicited the intervention of a foreign nation on its behalf is certain by that very act to lend added strength to the government against which it is directed; for it enables the established authorities to pose as the patriotic defenders of national independence. The attempts of the Castilian Comuneros to gain aid to their cause from the kings of Portugal and France furnish admirable illustrations of the workings of this principle.

Their dealings with Portugal may be very briefly dismissed. These began, it would seem, on October 24, 1520, with a letter from the Santa Junta in which the Comuneros gave a full statement of their side of the question at issue, protested that they were entirely loyal to their lawful sovereign, provided he would dismiss his foreign advisers, rectify crying abuses, and come to live in Spain, and finally begged King Emmanuel to write to the Emperor and acquaint him with their point of view. What reply was vouchsafed to this request does not appear; but it is obvious from repeated statements in the letters of Adrian to Charles that the Portuguese monarch had no sympathy with the revolution. A month before the Comuneros had appealed to him, he had offered his help to Adrian at Valladolid; nine days afterward he had promised him a loan of fifty thousand ducats. "I know not", wrote Adrian to the Emperor on November 13, "how your Majesty can adequately thank the king of Portugal for the paternal and intimate affection which he continually displays in the affairs of your Highness, both in regard to the Comuneros and to others of this kingdom, who certainly, if they should note in him any wavering or lack of love to your Majesty, would be far bolder to carry out their evil designs. But his loyalty checks and restrains them. It would be well that your Majesty should

write to him most warmly, to give him thanks for what he has done, and to beg for its continuance". The regent did not exaggerate the importance of Emmanuel's good will; he must, indeed, have been immensely relieved at the turn things had taken. Two months earlier, when it was far from certain that Portugal would stand by him, the Constable had gone so far as to suggest to Charles that he should marry Emmanuel's daughter in order to secure the support of the king during the trouble in Castile. It was sound advice, and was ultimately adopted; but so far as the immediate crisis went, there was not the slightest cause for alarm. Portugal's loyalty to the royalist cause steadily increased as the months went by; and if we accept the statement of the Portuguese chronicler, Osorio, that the Comuneros finally threw caution to the winds and actually offered the crown of Spain to Emmanuel in return for his support, we may be sure that the proposal was rejected in no uncertain terms.

The story of the attitude of France towards the revolt calls for more extended comment. It had its roots in the diplomatic rivalry between Charles and Francis in the years immediately following the death of Ferdinand the Catholic, and was closely linked with the thorny question of Navarre.

The Albrechts had never recognized the validity of the conquest of their kingdom by Ferdinand the Catholic; and the kings of France, whenever the international situation threatened war with the Spanish monarchs, put pressure on the Navarrese sovereigns to recover their heritage by force of arms. It was Francis I who stirred up Henri d'Albret to launch the expedition which was defeated at Roncal; and though he was careful not to lend it any active support, he was deeply chagrined at its failure. In the next few years, however, matters took a more peaceful turn. The Francophile tendencies of Charles's Burgundian counsellors made possible the treaty of Noyon (August 13, 1516) with the French court, in which was inserted a special clause relative to the question of Navarre. By it Charles promised that as soon as he reached Spain he would receive ambassadors from the Albrechts, and, after having learned what claims they could lawfully advance to the realm from which his grandfather had expelled them, that he would give them satisfaction "according to reason and in such manner that they ought reasonably to be content". For over a year after the treaty of Noyon, things remained, despite much negotiation, essentially in statu quo, save that the death of the queen-mother, Catharine of Navarre (February 12, 1517), deprived her youthful son, Henri, of her high hearted and courageous support.

Whether Charles or his counsellors were in earnest in their promise at the treaty of Noyon may well be doubted; but it is certain that in making it they had been characteristically oblivious of the Spanish point of view. On this the young king was to be speedily enlightened soon after his arrival in Castile. The sixty-fourth petition of the *cuaderno* of the Cortes of Valladolid (February, 1518) left no room for doubt upon this point. "Your Highness is already aware", so it ran, "that the realm of Navarre has been united to the Crown of Castile since the Cortes of Burgos in 1515. The bishop of Badajoz has told us that your Highness is firmly resolved to keep it. We beg your Highness to guard it, as your Highness' predecessors have done. If, in order to defend this conquest, it is necessary to risk our lives and our fortunes, we are ready to do so, since that realm is the principal key to this kingdom." Under the circumstances, Charles had no alternative but to yield. "We have always been firmly resolved to keep the said realm", so ran the royal response, "in view of our rights to it and of its importance to these realms of Castile. ... We thank you for your offers of service in this regard ... but we are certain that we shall not be obliged to make use of them, for our rights to Navarre are so clear that no one would dare to dispute our possession."

Charles was indeed between the devil and the deep sea. The Navarrese delegates, agreeably to the provisions of the treaty of Noyon, were already on the ground, and were

effectively supported by the French ambassador, La Rochebeaucourt; the young king must have had much difficulty in keeping secret from them what had occurred in the sessions of the Cortes. During the next six months the matter hung fire, but the Navarrese delegates found it impossible to obtain any real satisfaction for their demands for the execution of the treaty of Noyon. A proposal for solving the existing difficulties by a marriage between young Henri d'Albret and one of Charles's sisters was skillfully evaded. The Navarrese ambassadors followed the king into Aragon, but without result, and finally departed, angry and discouraged, in August. They would have been more disheartened still had they known of an event, occurring on the twenty-second of that month, which plainly showed how the king's mind was working on the question at issue. This was the secret cession by Germaine de Foix, the widow of Ferdinand the Catholic, to Charles, at his request, of all her rights and claims to the realm of Navarre. These rights had already been recognized by France. It was obvious that Charles, who now possessed them, was resolved not to abide by the treaty of Noyon, though he might continue indefinitely to negotiate in order to avoid the responsibility for breaking it. On this, as on many other questions, the young monarch was coming around to the Spanish point of view.

Everything now depended on the attitude of France. Hitherto, though she had counselled much and promised more, she had carefully avoided anything that savored of aggression on behalf of the Albrets. Charles, on his part, had every reason to wish to avoid a rupture with Francis I; and so far the French monarch had seemed willing to keep the peace. The result was the initiation of a new series of conferences on the Navarrese matter between French and Spanish delegates, at Montpellier; but delays and procrastinations on both sides prevented the plenipotentiaries from meeting until May 3, 1519, and the temper of both parties, when the sessions began, precluded any real hope of agreement. The French were by this time thoroughly convinced that the Spaniards did not really intend to restore Navarre; the Spaniards were certain that the French were supporting the Albrets in order to make trouble for their sovereign; and the rivalry of Charles and Francis over the control of the Empire was daily assuming a more threatening aspect. It was also at this juncture that Charles married Germaine de Foix to the Margrave John of Brandenburg, thereby preventing a union which had been projected for that much-sought heiress with the famous French soldier, Lautrec. No common ground was left to the rival claimants, and on May 10 the conference broke up. Clearly the question at issue could not be settled by diplomacy, and war was the only way out.

The result of the imperial election (June 28, 1519) served at once to hasten the combat and to enlarge its scope; in Italy, in Germany, in Burgundy, as well as in the Pyrenees, the young Emperor found himself at odds with the king of France. It was in Navarre, however, that the fighting first began. In February 1520, Francis deliberately challenged his rival by solemnly calling upon him to fulfil his obligations under the treaty of Noyon, and when Charles attempted to put him off with an evasive reply, he began to prepare for war. The opportunity was in all respects favorable. The first grumblings of the coming rebellion in Castile were already making themselves heard; the Emperor could not remain to suppress it; in Navarre, where the Spanish viceroy, the Duke of Najera, was bitterly unpopular, the majority of the inhabitants yearned for the return of the Albrets. There was talk of a new dismemberment of the Spanish realms, of undoing the work of the Catholic Kings, and even of placing Castile under the suzerainty of Navarre. But Francis was unaccountably slow in collecting soldiers and guns. The return of Charles to the Netherlands had drawn off his attention to that quarter, and he spent most of the autumn of 1520 vainly trying to secure the alliance of Henry VIII of England. Not till the end of December, after the revolt of the Comuneros had passed its zenith, did the French king give definite orders for the levying of troops in Gascony. Henri d'Albret, in the meantime, was much more active; and though he could not think of invading Castile

without the support of the king of France, he certainly succeeded in making trouble for the Duke of Najera in Navarre. At the very moment that the viceroy was most in need of reinforcements in order to make head against the threatened peril, he was called on to send men and guns to the Constable at Burgos, for the suppression of the revolt of the Comuneros. "If it be expedient", wrote Charles on October 24, "to take care for the preservation of Navarre, it is even more so to bear aid in the pacification of Castile." A series of bitter letters passed between the viceroy and the regents over this thorny question of the disposal of his military resources; but the urgency of Adrian and the Constable, supported by the injunctions of the Emperor, carried the day. Navarre was denuded of defenders, and the guns and men were sent southward.

On the subject of the direct relations between the king of France and the Comuneros, there is disappointingly little information, a fact which is probably explained by the pains that were taken to keep them secret. The French monarch was not blind to the opportunity afforded him by the revolt to strike a fresh blow for the recovery of the Albret realm. In the late summer of 1520, he sent 'suitable persons' into Castile, to effect the liberation of the Marshal of Navarre, who had led the unsuccessful invasion of 1516, and had been imprisoned ever since in the castle of Simancas. Among these was Count Pedro Navarro, of North African fame, who, after leading the Spanish infantry in the battle of Ravenna, had gone over into the service of France, and was charged with the mission of determining whether Simancas could be mined. These envoys were also instructed to discover whether it would be possible to cause "greater commotion" in Castile than at present existed; and letters were written to various grandees, among them the Constable, to see if they could not be won away from their allegiance. But Charles and Adrian were well informed of these proceedings, and nothing seems to have come of them; while the Comuneros, on their side, kept demanding that the French king send an army. Writing from Toledo, in November, 1520, Peter Martyr assures us that great efforts were being made in that city to bring about a French invasion of Navarre. But Francis dared not face the risk when the decisive moment came, and a golden opportunity was allowed to slip away. Instead of sending a large force, adequately equipped, and at once, he dispatched an envoy to the Junta at Valladolid in January, 1521, to offer a diversion by 800 lances in the Albret lands, provided he was promised "that no hindrance would be placed in the way of their entrance by that route!". It is perhaps not surprising that the French king was so hesitant. He well knew how dangerous it was for any monarch to aid and abet rebellion in the land of his neighbor; his attention at this juncture was chiefly directed to the north; and he had been obviously impressed by the firm determination which Castile had already shown not to permit the retrocession of Navarre. Yet it is clear that such dallying was fatal to his own plans; indeed it is probable that it saved Charles's throne. Had he struck, with all his might, at the time when the rebellion was at its climax, it would have been impossible to resist him. Attacking as he finally did, almost a month after Villalar, he was speedily and decisively beaten.

The invading army was ready at last, on May 10, 1521; it was commanded by André de Foix, sieur de l'Esparre, and it numbered 12,000 infantry (half of them Gascons), 800 lances, and twenty-nine pieces of artillery. At first it carried all before it, for the regents had not yet been willing to dispatch reinforcements to the viceroy. The first fortresses fell almost without a blow. While Najera went south to beg for help from Valladolid, the powerful Francophile faction of the Agramonts joined the invaders, and their rivals, the Beaumonts, dared offer no resistance. On May 19, Pamplona capitulated to l'Esparre, and ten days later Tudela followed suit. Less than three weeks had enabled the French to overrun the entire kingdom; and when the news was brought to the Emperor at Brussels, he was so cast down that he could not eat but left the table for a conference with the Duke of Alva.

But if the invaders found it easy to conquer Navarre, they were entirely unable to retain it. They reaped the reward of the tardiness of their masters, for Castile was now at peace and could muster forces to expel them. L'Esparre, moreover, did not use his victory to the best advantage. By refusing the petition of the Navarrese to bring the young king to Pamplona, he lent color to the report that the Valois sovereign had undertaken to conquer the realm, not for the sake of the Albrets, but for his own. He assumed all the powers and prerogatives of a reigning monarch, and irritated the Navarrese by his severity and exactions. Furthermore, he neglected to consolidate his conquests. So confident was he in the position that he had won, that he not only sent home some of his Gascon troops, but even ventured to cross the Ebro with the rest, to lay siege to the town of Logroño. Ignoring the defeat of the rebels at Villalar, he imagined that by shouting for the 'Communes of Castile' he could win for himself a cordial welcome from the inhabitants. As a matter of fact, he did precisely the reverse. Najera had had time to put 4000 men into Logroño; the pride of the Castilian aristocracy, assembled within the walls, flamed out against the allies of the Comuneros whom they had overthrown. The invaders encountered a desperate resistance, and as rumors came in of overwhelming reinforcements, sent on by the Constable from the Castilian cities, l'Esparre began to realize that the tide had turned. News also arrived from Aragon to complete his discomfiture. The Cortes at Saragossa had promised aid to the Castilians; indeed an Aragonese detachment had already got in behind l'Esparre at Sanguesa, and threatened to cut off his retreat. Under the circumstances there was nothing for it but to retire as rapidly as possible. On June 11, he broke camp before Logroño, and began to withdraw into Navarre.

Step by step, for the next nineteen days, the retreat continued. The pursuing Castilians, ably led by the Constable, steadily gained in spirit and increased in numbers; by the middle of June they counted almost 30,000 men. L'Esparre neglected to concentrate his available troops but took up what he believed to be an impregnable position, with the forces that he had, in the valley of the Ebro backed by the Sierra del Perdón. Realizing the futility of a frontal attack there, the Constable marched around behind him into the valley of Pamplona, and there, on June 30, between the villages of Noain and Esquiros, forced his foe to accept an unequal battle. For a time the skillful handling of the French artillery, coupled with the splendid dash of their infantry charges, gave l'Esparre the advantage; but a furious assault of the Castilian cavalry, which the Constable delivered in the nick of time, reestablished the Spanish front, enabled their hard-pressed infantry to reform, and finally made possible the capture of the enemy's cannon, which were promptly turned against them. From that moment, the battle became a rout. The French left 6000 dead on the field; all their guns and a great number of prisoners were taken, among them l'Esparre, who had been temporarily blinded by a lance thrust in the visor of his helmet. In a few days more, the whole of Navarre was again in Spanish hands, and no serious effort to reconquer it was ever made again. Nine years later the little corner of Ultrapuertos, north of the Pyrenees, was voluntarily ceded by Charles V to the Albrets on account of the great expense of maintaining it; in 1589 it was united to France with the accession of Henry of Navarre. But the rest of the realm, which was incorporated into Castile, became gradually Hispanicized in the succeeding years. Charles had the wisdom to follow the precedents of Spanish history, and the example of Ferdinand the Catholic, and suffer it to retain a large measure of institutional autonomy. He was lenient in his dealings with those who had fought against him, and Najera was replaced by a more popular viceroy, the Count of Miranda. In one sense the Navarrese annalist Aleson was correct in characterizing the battle of Noain as "the definitive sentence which blotted Navarre from the roll of nations", but in view of her past history and traditions and of the measure of local liberty which she was permitted to retain, one inclines to prefer the verdict of a more modern writer, that "the victory of the Spaniards was not that of one nation over another. Its sole result

was the expulsion of a race of princes who were more French than Spanish. Nothing was changed in Navarre, save the advent of one more dynasty.”

For the student of the development of the Spanish Empire, however, the struggle which has just been described for the possession of the little Pyrenean realm has a much deeper significance than the mere acquisition of a kingdom. It contributed, more perhaps than anything else, to the education of Charles’s Castilian subjects in the policy to which their new sovereign’s vast inheritance had now unavoidably committed them. In the fourteenth and fifteenth centuries, as we have already seen, there had been a long and almost uninterrupted tradition of friendship between France and Castile. This had been broken, it is true, to a certain extent during the latter part of the rule of the Catholic Kings; still the wars with the French for the possession of Naples had been regarded hitherto by the mass of the Castilians as a *cosa de Aragon*—an affair of the eastern kingdoms. They had joined in them, perforce, because their queen was the wife of the Aragonese king; but down to the conquest and annexation of Navarre in 1512 and 1515, their interests were primarily elsewhere, at home, in North Africa, and in the Indies. Now, since the incorporation of Navarre with Castile, they had acquired a vital interest in the struggle with France which would be necessary to retain it. The request of the Cortes of Valladolid in 1518 gave dramatic evidence of this, as did the readiness with which the Castilians flocked to defend Navarre when the crisis came in 1521. Through Navarre they were brought to approve and support, with a measure of willingness which they would not otherwise have shown, the wars with France which violated their national traditions, but which the position and inheritance of the new sovereign rendered inevitable. It is true that for many years to come, they continued to show reluctance to go abroad to seek the foe. The union with Aragon was still too recent, and their tradition of isolation from the rest of Western Europe still too strong, for them to be reconciled at once to a policy of conquest beyond the Pyrenees. Nevertheless the struggle over Navarre in 1521 marks an important stage in the imperial education of Castile. Henceforth, she was convinced that it was her destiny to fight France, in order to retain the realm that she had won. It was not a long step further to persuade her to acquiesce in the extension of that process to foreign battlefields, in order to make fresh conquests in other parts of Western Europe. She would thenceforth take the place which destiny had prepared for her as the chief instrument of her foreign ruler in the accomplishment of his imperial task.

One trivial incident of the war in Navarre was destined to have results more important than the winning of a kingdom—more lasting, in fact, than the Spanish Empire itself. Among the handful of cavaliers who vainly essayed to check l’Esparre’s mad rush southward, at Pamplona, was a high born youth from Loyola in Guipuzcoa, by name Inigo Lopez de Recalde; youngest of a family of thirteen, he had hitherto led the gay life of a soldier from a noble house, and had once been a page of Ferdinand the Catholic. Struck by a cannon-ball on the battlements of Pamplona, he fell unconscious into the moat between the walls. One of his legs was shattered and the other badly hurt, and the military career to which he had ardently looked forward was thenceforth closed to him forever. But the prospect of a life of enforced idleness was unendurable to him; if he could not fight under the banners of Castile, there were other noble causes which he deeply longed to serve. His French captors treated him kindly, and after two weeks sent him home in a litter to Loyola. During his convalescence there, he read with increasing emotion in the lives of Christ and of the saints. Finally he became convinced that it was his duty to choose a new calling, and to devote himself henceforth to the advancement of the church. Of the consequences of that high resolve was born the Society of Jesus.

We have already noted the trouble that was brewing at Valencia at the time of the king's departure for the Empire: —the hatreds between the classes and the masses in which it originated, the vacillation of the departing monarch in his attitude towards the uprising, and finally his dispatching, on April 10, 1520, of Diego Hurtado de Mendoza, Count of Melito, with instructions to put it down—peacefully, if possible, if not, by force of arms. The way in which this revolt was further developed and finally suppressed, as well as the results which ultimately ensued from it, furnish an excellent illustration of the diversity of the problems with which the young Emperor was constantly confronted, in the far scattered portions of his vast dominions.

Mendoza reached Valencia on May 21. Any hopes which he may have entertained that his authority would be recognized by the masses were rudely dispelled by the reception which awaited him. He was not even permitted to proceed to the cathedral by the route which he had selected, but was obliged to follow one suggested to him by a weaver, who spoke in the name of the *Germanía*. Two weeks of this sort of treatment convinced the viceroy that there was no use in remaining in the capital. On June 6, he fled from Valencia in disguise, and after some days of aimless wandering betook himself finally to Játiva. At the time of his arrival he had reason to expect a cordial reception. A number of the aristocracy had gathered there, and the municipal representatives had invited him to come. Mendoza, however, was soon to learn that the power of the *Germanía* was by no means confined to the capital. The Thirteen brought pressure on the artisans of Jativa the moment they learned where Mendoza was. A series of clashes between his adherents and the mob convinced him that there was nothing to be gained by remaining in his new abode; and after a stay of a few weeks he took refuge in Denia, where he summoned all loyal men to rally to his standard. He now gave out that he proposed to abandon negotiation and proceed against the rebellion by force of arms, but the rapid series of changes of base, to which he had been involuntarily subjected ever since his arrival, did not augur well for his success on the field of battle.

On the other hand, the policy of the *Germanía* was pitifully deficient in opportunism and statesmanship. Save for a few of the northerly districts on the Catalan frontier, it had the entire kingdom virtually in its control. Under the circumstances it should have made every effort to extend the revolt into Aragon and Catalonia, and above all to make common cause with the rebellion in Castile. But this, despite an unusually favorable opportunity, it signally failed to accomplish. The city of Murcia, though on Castilian soil, was economically in far closer touch with the Valencian towns than with the cities of the North. An informal sort of a *Germanía* had sprung into being there before the revolt of the *Comuneros* had fairly begun, and the movement extended itself to Lorca and Cartagena. Adrian dispatched a Castilian *alcalde* to deal with this uprising; but his forces were wholly inadequate, and he was soon obliged to take refuge in ignominious flight. Foreseeing that a fresh punitive expedition would in all probability follow, Murcia sent messengers to Valencia to ask for aid; they were welcomed with enthusiasm, but nothing effective was accomplished to secure the cooperation desired. The result was that Murcia, whose rising had been opposed by the Castilian authorities, shifted over into an alliance with the *Comuneros*, who were threatened from the same source. For a time she sent her delegates to the Santa Junta, but she was one of the first to return to her allegiance; indeed her inhabitants were to lend useful aid in the suppression of the *Germanía* in the following year. In Aragon and in Catalonia the movement found even less support. An embassy from Valencia to Saragossa was informed that the Aragonese recognized but one authority, namely, the rule of the Emperor Charles; and Barcelona, though socially and industrially she had so much in common with Valencia, would give no aid to the rising in the South. Only in the Balearics did the *Germanía* succeed in producing a sympathetic

insurrection, but distance and the intervening waters prevented effective cooperation between them. Spanish separatism had been the bane of many a monarch in the past, but on the present occasion it saved Charles's throne. Cleavages, social and national, were the ruin of all these revolts. Had all ranks of the population, in any portion of his dominions, stood loyally shoulder to shoulder until the end, or had the same class of malcontents in the different parts of the Iberian Peninsula united their forces, the royal authority could scarcely have been maintained.

It was some time before Mendoza could put an army in the field. His conviction that the existing difficulties could only be settled by force of arms was not yet shared by his absent master, who continued through the autumn of 1520 and the early spring of 1521 to send special representatives to the heads of the Germanía, to see if it might not be possible to find a peaceful way out. Not until the latter part of April was it evident that these negotiations could not succeed. The royal terms were not ungenerous; but the self-confidence of the Germanía had by this time mounted so high that it was increasingly deaf to reasonable appeals, and the situation was not improved by sundry sporadic outbreaks of violence in Valencia. The long delays which these peace proceedings imposed were highly unfavorable to Mendoza's military preparations. The Valencian royalists lost confidence in his leadership, and were slow to respond to his repeated calls for troops. The Germanía, in the meantime, sent an army against him. On July 14 it captured Jativa; with the death of its leader before the walls, the chief command fell to a cloth seller called Vicente Peris, who challenged the royalist forces by marching straight on their headquarters at Gandía. Mendoza wished to retire without a battle, but his followers would hear of no retreat. In the combat that ensued on July 25 the royalists were soundly beaten. Their army was dispersed. Their leaders were at odds. Denia managed to hold out against the rebels, but Mendoza was unwilling to remain there. Against the wishes of the inhabitants and of his own adherents, he took ship and sailed for Peñíscola in the northern part of the realm, there to await reinforcements which would enable him to resume the offensive.

In the North the king's cause had better fortune: for the excesses of the revolutionists had alienated the local aristocracy, who rallied unitedly to the support of the crown. A small detachment which the rebels had sent against Murviedro was badly beaten at Oropesa (June 30) by the Duke of Segorbe; and a rescuing party met the same fate at Almenara on July 18. The adherents of the Germanía did not make good soldiers. Discipline was woefully lacking, and of tactics and strategy they were ignorant. Meantime in the capital the aspect of affairs had greatly changed. The departure of the military forces of the Germanía had weakened the radical party there. The magistrates whom they had left in office were anxious for peace, and their efforts in this direction were ably seconded by the viceroy's elder brother, Rodrigo, Marquis of Zenete, who had labored for reconciliation since the outbreak of the struggle. These efforts soon bore fruit in an invitation to the Marquis to come to Valencia, to assume the chief authority there pending the restoration of law and order. After consulting with his brother, Zenete accepted (July 4), and such were his popularity and skill in his new office that he soon succeeded in obtaining the voluntary resignation of the Council of Thirteen, which the Emperor had demanded as the price of peace. Mendoza was much encouraged by these favorable signs, and he strengthened his brother's hand in the capital by arranging for the return thither of the Infante Don Enrique, the natural son of Ferdinand the Catholic, who had an official residence in Valencia, and could be regarded as a quasi-representative of the royal family. On the other hand, the viceroy neglected no precautions to strengthen his military forces, for he rightly conjectured that battles were still to be fought.

While these events were occurring at the capital, the rebel army under Vicente Peris had moved northwest into the interior. It was in this portion of the kingdom that the estates of the nobility were chiefly concentrated, and these estates were largely cultivated by a Moorish rural

population. It will be remembered that the edict of 1502, which provided for the conversion of all Moors remaining in Castile, had not yet been extended to the eastern kingdoms, where they were still permitted to continue in the enjoyment of their own customs and religion. But they were deeply detested by the population of Valencia, partly on account of their faith, and still more on account of jealousy of their economic prosperity and happiness. This animosity had been carefully nurtured by the leaders of the Germania, with the result that when Peris's army arrived in the interior, it committed all sorts of outrages against the Moorish population. Many were murdered in cold blood. Others were robbed and forcibly baptized. Naturally, those that escaped flocked eagerly to the standards of the nobility for protection and revenge, and the nobles themselves, who had lost so much good labor, became more hostile than ever to the revolutionary forces. Now, if ever, the Germania should have proceeded cautiously, but Peris was not the man to read the signs of the times. On hearing that the example of his soldiers had been followed in Valencia, and that an outburst against the Moors there had resulted in the forcible conversion of the local mosque into a Christian church, he rashly betook himself to the capital in hopes of regaining it for the Germania. He received a vociferous welcome from the guilds, and succeeded in collecting some men and artillery, but on October 11 he was defeated by the Marquis of Zenete outside the walls and forced to seek refuge in Játiva. This episode marked the end of the Germania in the capital. Zenete soon severed it from the other centers of the revolution. He relentlessly punished all who resisted him, and the rest were only too glad to come to terms. The municipal elections gave an overwhelming verdict in favor of the return of the lawful authorities, and on November 9 Mendoza reentered Valencia in triumph.

The rebellion was now limited to Jativa and Alcira. Mendoza besieged these towns for a few weeks without success; then, yielding to a popular demand that an attempt be made to secure their submission by negotiation, he dispatched his brother to confer with the representatives of the Germania. Peris, however, had no faith in diplomacy. On the day of Zenete's arrival he managed to stir up a revolt in Játiva which resulted in the Marquis being temporarily made prisoner, while the others who had accompanied him were ordered out of the city. But Peris's triumph was very brief. Those who favored negotiation resented his interference. They were persuaded that the imprisonment of Zenete was a stain on their honor, and on February 9, 1522, the Marquis was permitted to return to his brother. Peris's prestige was destroyed by this blow. He left Jativa and reappeared in Valencia, where the power of his personality caused the populace to rush to arms. Overconfident in his ability to maintain a resistance, he refused a last offer to negotiate with Zenete, with the result that on March 3 the royalist troops attacked his dwelling. The house was fired, and Peris was caught. On the morrow he and two of his chief adherents were quartered, while nine others of his followers were hanged. Outside the capital the resistance continued until the winter of 1523; a mysterious personality—El Rey Encubierto—succeeded Peris as the leader of the rebels, and swore to avenge the death of his predecessor. But the mass of the population was weary of fighting. Sporadic outbreaks took place, but they became fewer and weaker as the months rolled by. At last the royalists could concentrate against Játiva and Alcira, and forced them, after a brief struggle, to lay down their arms.

The course of the revolt in Majorca presents certain interesting peculiarities. It started, as we have already noted, in response to representations from the rebels of Valencia; but it also had deep roots in the previous history of the Balearics. The devastating strife which had been waged there, under John II, between the large landholders and the mass of the population had engendered the bitterest feelings between the different classes of society; and though the war had ceased under the Catholic Kings, its legacy of hatred had remained undiminished. From the beginning of the rising, therefore, which started in Majorca in February 1521, class lines

were drawn far more definitely than in Castile or in Valencia. The workmen, artisans, and peasants were all intensely sympathetic with the movement; the nobility, officials, and richer burgesses united their forces to put it down. The rebels were unusually well furnished with arms, with which the Balearics in their exposed position were always kept plentifully supplied. And, lastly, even more than the Comuneros of Castile they had an excellent opportunity to secure aid from France. The Genoese admiral, Andrea Doria, who at this period commanded the French fleet, was continually cruising about in the waters near the island; but owing to lack of energy and foresight on one or both sides, he did not drop anchor in the bay of Majorca until July, 1522, after the revolt was well on the way to its decline. To the tardiness of the rebels in combining with the foreigner, both here and in Castile, a large share of the responsibility for the failure of both insurrections is unquestionably to be ascribed.

The main lines of the Majorcan revolt are not difficult to trace. When the first news of it was brought to Don Miguel de Gurrea—the Aragonese nobleman who represented the crown—he gave orders for the arrest of several of the ringleaders; but so impressive was the demonstration of popular wrath, that on the following day he was obliged to release them. The masses organized themselves with extraordinary rapidity. They chose as their leader, with the title of *instador*, one of Gurrea's intended victims, a cloth merchant called Juan Crespi. A small council was associated with him for advisory purposes, while a larger one of twenty-six members maintained relations with the masses and the guilds. Emissaries were dispatched to the other towns of the island, to secure their cooperation; finally, on March 16, 1521, they demanded and compelled Gurrea's resignation. In his place they selected a local nobleman, Don Pedro de Pachs, and dragged him in triumph from his country seat to the capital; but when Pachs, to show his disapproval of their conduct, withdrew at the first opportunity to the shelter of his domain, they pursued him relentlessly, besieged him in his castle, and finally, on July 29, murdered him there, with all the rest of the aristocracy of the neighborhood, who had flocked to him for protection. This episode unchained the worst passions of the populace. The aristocracy were obliged to flee for their lives, the viceroy seeking safety in the island of Iviza; even there he found it not altogether easy to maintain himself, though the insurrection was nowhere so powerful as in Majorca. When the revolution was at its height, it was master of that entire island save the town of Alcudia, whose natural defenses, high walls, and ability to communicate by water with comparatively loyal Minorca, made it the principal refuge of nobles, officials, and the municipal aristocracy.

It was in fact before the walls of Alcudia that the power of the Balearic Germanía wore itself out. Throughout the winter of 1521-22, the town was regularly besieged by the rebel army; but the assaults upon the fortress were not successful, while the sorties by the defenders wrought havoc in the camp of their foes. The siege gradually degenerated into a sort of land blockade, and the blockade in turn into a guard so lax that the defenders of the city were able to go out unharmed and reap their crops. But it was not till after Charles's return to Spain, in the summer of 1522, that the aristocracy and the constituted authorities ventured to assume the offensive. Four galleys and 800 men were dispatched by the Emperor to Gurrea in Iviza, to serve as a nucleus for his adherents to rally around; with these Gurrea crossed over to Alcudia, and thence, in October, began to reconquer Majorca. After two months of costly guerrilla warfare against the small isolated bands into which the revolutionists had broken up, the siege of Palma was started in December. It was accompanied by many horrors, and lasted three full months, before the efforts of the bishop of the see were successful in arranging terms. It was doubtless owing to this clerical intervention that the conditions of surrender were not much harsher; for the aristocracy had suffered much and burned for revenge. Only the ringleaders and notorious criminals were tortured before being put to death, and the regular executions did

not exceed twenty-five. The tale of the victims, as established from authentic sources, falls far below that given in the contemporary chronicles.

On July 16, 1522, after an absence of more than two years, Charles landed at Santander, and trod once more the soil of Spain. A brief summary of his experiences since his departure is essential to a comprehension of the situation at his return.

Of the twenty-six months that had elapsed since he left Castile, Charles had spent more than sixteen in the Netherlands, nearly seven and a half in the Empire, and the rest in England and on the sea. On October 23, 1520, he had been crowned King of the Romans at Aix-la-Chapelle, and on the following day he had assumed the title of Roman Emperor Elect. His war with Francis I—the product of inherited rivalries in Burgundy and in Italy, and inevitable since his election to the Empire—had begun in earnest in the summer of 1521; in Flanders, on the Pyrenees, and in Lombardy the two great rivals had already come to blows. The days of the treaty of Noyon and of the Francophile counsellors of Charles's early years were now gone for ever. Chièvres himself had died at Worms on May 28, 1521, and his influence had steadily waned in the preceeding months. In the diplomatic contest for allies, moreover, which had accompanied the outbreak of the war with France, Charles had on the whole come out victorious. Leo X was supporting the Imperialists in Italy when death overtook him on December 1. On January 9, 1522, Adrian of Utrecht was elected as his successor. England also was strongly anti-French. The Field of the Cloth of Gold (June, 1520) was a diplomatic fiasco, while Charles's two visits to England (May 26-29, 1520, May 26-July 6, 1522) and the intervening conferences at Calais and Bruges laid apparently firm foundations for an Anglo-Imperial alliance. And finally, on April 17, 1521, Charles had solemnly declared against Martin Luther at the ever-memorable diet of Worms. On the twenty-sixth of the following May he had ordered the publication of the ban of the Empire against the Saxon monk and all his adherents.

The feelings with which the Spaniards regarded these great events were doubtless mixed; but there can be little doubt that satisfaction was predominant. They intensely disliked their monarch's absence, and they must have foreseen that it was bound to be repeated. As to the war with France their attitude has already been described. On the Pyrenees they supported it heart and soul, but in Italy and the Netherlands they tended to draw back; their imperial education was still too incomplete, and the Castilians were unquestionably more reluctant than the Aragonese. But the glory of having an Emperor for their sovereign was a telling argument to convince the grumblers. Charles had been crowned, and Charles had come back to them. Spain was the home of the King of Kings and the seat of the highest authority in the Christian world; the *Hispaniae Consolatio* had actually come true. And the condemnation of Luther was the climax of it all. Spain had not failed to let Charles know her wishes in this matter. On April 12, 1521, Adrian of Utrecht, the Admiral of Castile, and a number of the lords and prelates of the realm had written him an urgent letter, begging him to punish the Saxon heretic for his "poisonous errors", and to forbid the translation of his works into Spanish; on the two following days their example was followed by the Royal Council at Burgos and also by the bishop of Oviedo. These letters did not reach Charles until after he had declared against Luther, though probably before he had issued the ban; but there was certainly great rejoicing among the Spaniards when the news of his decision was announced. Whatever his foreign responsibilities, their new sovereign was loyal to the faith, to his duty as temporal head of Christendom, and to the established tradition of his Iberian dominions.

Altogether Spain was far better disposed to think well of her young monarch when he returned in 1522, than when he first arrived in 1517. This favorable impression was also

enhanced by the personal appearance of the king and his entourage. Chièvres was dead, and the hated Flemings had not returned; the king's chief adviser was now the Piedmontese Gattinara, with a vigorous anti-French policy in Italy, which the Spaniards, though they might not wholeheartedly approve of it, could at least understand. They would of course have liked it better had he been one of themselves. They would have preferred it had he been interested less in Milan and more in Navarre; still the contrast to his predecessor was so agreeable that they were not disposed to complain. But what doubtless pleased them most was the change that they could observe in the king himself. He was no longer a puppet in the hands of his entourage, but a full-grown man, with a mind of his own, and a will to translate his thoughts into deeds. "His Majesty", wrote the ambassador of his brother Ferdinand from Valladolid on November 1, 1522, "is very well, and hard at work as a result of the recent events. He takes much pleasure in jousting, to the delight of his court, and goes every afternoon to the tilting field. It is said that he expects to reorganize his Council and his household. He has rendered justice on all hands, and those who were most guilty during the late troubles are being condemned every day." A pleasing change, unquestionably, from the gawky stripling of five years before; moreover, Charles had made a heroic effort to satisfy his Iberian subjects on another tender point; he had actually succeeded in learning a little Spanish. On the other hand, it was evident that the returning monarch did not propose to tolerate insubordination. The attacks of the French in the Pyrenees and the dispatch of a large detachment of Spanish troops to guard the Netherlands gave him two pretexts for bringing with him into Castile 3000 German landsknechts, with an impressive train of seventy-four pieces of artillery. Foreign soldiers and foreign cannon were henceforth often to be employed by Charles V to forestall and put down rebellion in his different dominions. Here was a sign which all Spaniards could read; their sovereign had returned with prestige and renown; he had shown an appreciation of the Spanish point of view; but he was also indisputably master of the situation.

Charles was not disposed to be merciful to the leaders of the recent revolts. Adrian and the Admiral wanted him to issue a general pardon, but the Emperor was determined that the worst offenders must be punished. But he did not propose to share the odium incident to the executions. He had been fortunate in that the Comuneros had been put down during his absence and made the most of it by remaining in the North, at Palencia, until after the bulk of the death penalties had been inflicted. November 1 at Valladolid, at an imposing ceremony at which the course and termination of the rebellion were formally proclaimed; of the 293 persons excepted from the pardon there announced, most of those not already executed were either banished or suffered confiscation of property in varying degrees. The *cause célèbre* was that of the fighting bishop of Zamora, who awaited his sentence in the castle of Simancas. His clerical status rendered him immune from torture or the death penalty. Adrian at Rome even spoke of absolving him, and though Clement VII was more willing to take Charles's point of view in the case, there were long delays, and for a time nothing was done. But Acuña was not the man to await the pleasure of emperors or popes. His age—some sixty-five years—had by no means deprived him of physical vigor, and in February, 1526, he determined to make a bold dash for liberty. With a heavy stone and a knife which he had concealed about his person, he fell upon his jailer, who was conversing with him in his cell, and murdered him there before help could be summoned; another guard narrowly escaped the same fate, and Acuña had almost got away when he was finally retaken. This time the Emperor proceeded without leave from Rome and ordered the immediate execution of Acuña by the garrote; but he was careful to seek papal absolution for what he had done and abstained from the communion until after it had been accorded to him.

The main grievances against the king which had produced the revolt were his impending departure, his foreign advisers and the favors which he had shown them in Castile, and,

finally, his heavy taxation. The position in which these three matters of divergence were left after the triumphant suppression of the rebellion may be gathered from a study of the text of the proceedings of a meeting of the Castilian Cortes which Charles summoned to Valladolid in July 1523.

The mere fact that the king had returned was a partial answer to the complaints of his departure, and it was clinched by an elaborate harangue from the royal representative, in which the causes and results of Charles's journey into the Empire were fully set forth and the reasons for the war with France described in detail. The procuradores were made to feel that the king's absence had been unavoidable; they were flattered by the assurance that he regarded "these realms as the head of all the rest" and that he was using their resources "not only to sustain the others which God had given him, but also to gain new ones and carry his pillars plus ultra for the advancement of the holy Catholic faith." They continued to express their regrets in future years whenever the situation rendered it imperative that he should leave them: "Your Majesty's protracted absence from your Spanish kingdoms," wrote the Admiral of Castile in 1531, "though indispensable perhaps for the safety of threatened Christendom, and the furtherance of your own political views, is a thing to which your Spanish subjects can hardly reconcile themselves". But as the years rolled by they gradually accepted what they were unable to prevent, and centered their efforts upon obtaining a suitable regent. "The remedy for all things, after God", run the words of a paper on the good government of Spain during a period when the Emperor was expected to be away, "since it is impossible for your Majesty to be continually in these kingdoms, is that you should leave in your place that person who may most worthily represent you."

As to the foreign advisers, Charles certainly met his subjects halfway. They were reassured by observing that he was no longer surrounded by Flemings. When they begged him to employ only Spaniards in his household, he answered that he had reserved for them a large number of places, when he had reorganized his suite on his departure from the Netherlands. So crying had been the abuse on the occasion of Charles's first visit that it was many a long year before Castile was satisfied on this point. There was a small riot in Valladolid just before the opening of the Cortes, when some veterans, returning from the war in Valencia, "went through the street like men who had taken a town, crying 'Spagna, Spagna and death to all the Flemings'; and the cuadernos of the Cortes and the various state papers advert to the matter until the very end of the reign. But these later remonstrances were scarcely justified by the facts. Charles employed his Spanish subjects increasingly, both at home and abroad; indeed, at the close, the picture was reversed, for it was by Spaniards that he governed his other dominions. "Since it would be wrong", replied the Emperor to the Cortes of 1523, "to separate the members which God has joined together in one body, we intend, as is reasonable, to be served conjointly by all the nations of our realms and dominions, preserving to each of them its laws and its customs." On one subsidiary point Castile got no real satisfaction during the Emperor's lifetime; Burgundian magnificence replaced the time-honored simplicity of the court of Ferdinand and Isabella. "Although your Majesty holds the Empire and is lord of many great realms and seigniories", runs the petition of the procuradores of 1523, "we beg your Majesty to diminish your royal household in Castile and the pensions which are given therein, which are immense, so that the money which is thereby saved may be available for more necessary expenses and for the service of God and your Majesty". The Emperor promised improvement, but nothing was done; the gorgeous display on the occasion of his son Philip's first visit to the Netherlands in 1548-49, and the bitter complaint about it from the Duke of Alva and the other Spaniards, show that the evil continued till the end of his reign. Its effect on the economic condition of the kingdom was exceedingly unfortunate. The court was constantly moving from place to place, and wherever it went, prices rose by leaps and bounds.

“The country is so expensive”, wrote Richard Sampson, “that I cannot live on twenty shillings a day ... and if the Emperor goes where he intends ... the expenses will be double.”

“*Nervus belli est pecunia*, which he will not have without Spain”, wrote Sir Thomas Spinelly of the Emperor in August 1520; and now the war had come in earnest. The Cortes of 1523 had been summoned to vote a *servicio*, exactly like those of 1518 and 1520; that was the main thing; all else was subsidiary. The Emperor was strong in the prestige of his recent victory, but the procuradores did not intend to give in without a struggle. Juan Rodriguez, representing Granada, arose to explain the attitude of his colleagues. After protesting the depth of his loyalty and love, he roundly declared that the late rebellion had been caused by the way in which the *servicio* had been voted at Corunna. “And we venture to say”, he boldly continued, “that it would be well for your Majesty to summon us solely to deliberate and confer about the service of your Highness, and the welfare of your kingdoms, without making any mention of the *servicio*... Every one knows that the principal intention of your Majesty is to provide for and do good to your kingdoms, and that the replenishment of your treasury, for urgent necessities, is but secondary... And we do not think that your Majesty can refuse this request on the ground that it is an innovation, for the laws are subject to the will of kings who can make or unmake them. Your Majesty is the living law who, under new circumstances, can make a new law or custom, or, on occasion, suspend an existing one without prejudice to your royal preeminence”. Charles was prompt with his reply. He would gladly have refrained from demanding the *servicio*, he said, had not his necessities, born of the late uprising, rendered it imperative. “Yesterday”, he continued, “I asked you for funds; today I want your advice. Which seems to you better, that you should grant me the *servicio* at once, on my promise not to dismiss you until I have replied to and provided for everything that you justly ask me, and that I should do so of my own free will; or that I should first reply to the petition which you bring me, and have it said that I do so in order to get the *servicio*? You know that the custom has been to grant this first; thus it was done under my royal predecessors. Why try to establish an innovation with me? And since many evils have brought me to this necessity, you, like good and loyal subjects, will remedy them by doing your duty as I expect you to do”. For several days more the dispute continued; but the Emperor was not to be denied. In the end the procuradores voted an extraordinary subsidy of 400,000 ducats, payable in three years.

It was a notable victory for Charles, and a great blow at the liberties of Castile. Had the constitutional precedents been the other way, the outcome might well have been different. From that moment onward Spain was definitely established in the place which Spinelly had attributed to her in 1520—she was to be the source of supply for the Hapsburg Empire. How far she could flatter herself that that Empire was Spanish was a point on which men have disputed ever since. Certainly it was more nearly so at the end of Charles’s reign than at the beginning, but in the meantime the peninsula had been drained dry for many causes in which it cannot be said to have been vitally concerned. The revolt of the Comuneros had not been absolutely fruitless. Charles learned to respect his Spanish subjects as he had not done before. He listened henceforth to their wishes and gratified them when he could. On the whole it may be fairly said that he used his victory with moderation. Politically and financially, however, it had left him so much the master of the situation that the destinies of the Spanish people, at this most critical stage of their development, were placed almost without let or hindrance in the royal hands. In the eyes of the student of constitutional liberties, this was unquestionably a great misfortune, and goes far to explain the sufferings of the succeeding centuries; but those who are chiefly interested in Spain’s imperial development may well question whether she would have been capable of taking full advantage of her opportunities, had the power not been wholly in the Emperor’s hands. With all his faults and the foreign burdens which he imposed,

he gave Spain a larger vision of her possibilities than she had ever been able previously to obtain.

The punishment and final consequences of the Valencian Germania present certain interesting contrasts with those of the Comuneros. Even more than in the case of the Castilian insurrection, Charles was resolved to deal with the problem from a distance; it will be remembered that he had not had time to visit Valencia at all during his first visit to the peninsula; and after his return he kept away till 1528, when he went there to be sworn to as king by the estates of the realm. More than four years before this the rebels had felt the weight of his displeasure; even the fact that Charles had at one stage encouraged the masses to revolt against the aristocracy did not avail to mitigate the severity of the sentences. His representative on the spot in the work of retribution was Germaine de Foix, who was appointed viceroy of Valencia on October 20, 1523. On January 19, 1524, the chiefs of the Agermanados were arrested in their beds, and a large number of the guiltiest of them were quartered. More permanently important were the fines and confiscations. Since the revolt had been rather in the nature of a social war than of a political rebellion against the royal authority, the government preferred rather to mulct than to slay; and the great wealth of the Valencian cities doubtless served as another inducement in the same direction. So overwhelming, however, were the king's financial exactions, and so ignorant were the authorities of the simplest economic laws, that the result was the impoverishment of one of the fairest of the Spanish realms. And the effect of the royal demands was all the more disastrous because they were made at the very moment when Valencian agriculture—the chief source of the prosperity of the kingdom—was temporarily and most unexpectedly paralyzed by an unforeseen consequence of the late rebellion.

We have seen that the Moorish inhabitants of Valencia—for the most part agricultural laborers on the great estates—had almost without exception opposed the revolt; that a number of them were slain, and others forcibly baptized, wherever the Germania got the upper hand. When the pressure was removed, these unwilling converts naturally returned to the faith of their fathers; and a situation was thus created for which there had been many precedents in Spanish history, a situation of which the Inquisition was prompt to take advantage. Baptism, whether compulsory or not, was indelible. The enforced converts were at last within the pale of Christian authority. A new field of activity was thus opened to the Holy Office, which, if skillfully cultivated, might be ultimately made to result in an extension of the edict of 1502 from Castile to the Eastern Kingdoms.

Some years elapsed, however, before the Inquisition was able to carry this ambitious program into effect. The institution had not been in a flourishing condition since the death of Queen Isabella in 1504. The Archduke Philip had been notoriously lax in his attitude towards unbelievers. Ferdinand was certainly no enthusiast for persecution. Charles and Adrian had been very moderate. The Holy Office was not incited to activity from high quarters, and there was a palpable lack of fuel to feed the flames. Even in Valencia there promised to be many difficulties. The traditions of that region were averse to intolerance; the nobles, needing the labor of their Moorish tenants, were certain to oppose; even the Valencian clergy were not unanimous in their support. Everything really depended on the attitude of Charles. Fanatic by nature he emphatically was not; moreover in 1518 he had solemnly sworn to abide by the promise of Ferdinand the Catholic not to extend to the Eastern Kingdoms the edict of 1502. On the other hand, he was profoundly conscious of his responsibilities as temporal head of Christendom; he had openly declared against heresy at Worms and had sanctioned the burning of Lutherans in the Netherlands. In the year 1524 he applied to Pope Clement VII for license

to transgress his oath of six years before; and the desired permission was immediately granted, though it was not until he heard of the great victory of Pavia that Charles decided to avail himself of it. Some signal act of faith was obviously demanded of him as an evidence of his gratitude to God for this 'crowning mercy'; and it was by the 'purification' of the realms of the Crown of Aragon that the Emperor elected to perform it. On November 3, 1525, all the Moors of those kingdoms were given the naked alternative of accepting Christianity or being exiled; and they were discouraged from making the latter choice, by the usual regulation that they must depart from some distant port, and by the granting of a wholly inadequate time in which to reach it. As was the case with Castile in 1502, the edict was virtually a sentence of conversion or death.

It is no wonder that the edict encountered resistance. The more vigorous of the Moors retired into the mountains, where they kept up a continuous guerrilla warfare for some months; whether owing to lack of skill or reluctance to fight, the local Spanish troops were unable to put them down, and the work had finally to be accomplished by Charles's German landsknechts. The suppression of the revolt kept Valencia in an uproar; after it was over there was no one left to till the fields, and agriculture for the time being was brought to a standstill. Foreign trade had also suffered cruelly of late, owing to the results of the discovery of the New World; the wealth of the cities was being seized by insatiable crown agents, and the mass of the Valencians were hard put to it to find a living. Bitter indeed must have been the thoughts of the old men, who could look back to the days of splendor and prosperity in the reign of Alfonso the Magnanimous. If Charles realized the situation, he took little pains to remedy it. No part of his Iberian dominions had such grounds for complaint of him as Valencia; not improbably for that very reason it was the one that he elected to ignore. It is not without significance that after his recognition by the Valencian Cortes of 1528, he visited the kingdom only once in his whole life—in the month of December 1542.

The rising of the Valencian Moriscos, like all the other rebellions which we have examined; had its connection with the activities of Charles's foreign foes; and therefore a direct bearing on the development of the Spanish Empire. Hitherto Charles had not been able to give much attention to the Turkish peril, owing to the pressure of his other affairs. The war with France and his responsibilities in Spain, in Germany, and in the Netherlands had demanded all his time. He had delegated the defense of Hungary to his brother Ferdinand, and, despite the reiterated protests of his Iberian subjects, he had taken but little pains with the fortification of the Spanish coasts. Barbarossa, on the other hand, had become increasingly active. He was constantly improving his position in North Africa; and in response to the prayers of the Valencian Moriscos that he aid them to escape to the Barbary coasts, he launched numerous expeditions against the southern shores of Spain. The climax of these raids came in 1529, and was enough to show Charles that the growth of the power of the infidel in the Mediterranean constituted a problem which he could no longer afford to ignore. It was but another instance of the Emperor's being brought round to an appreciation of the Iberian point of view.

CHAPTER XXIII  
INTERNAL DEVELOPMENT

THE internal history of Spain under the Emperor Charles V is curiously barren of dramatic events, after the suppression of the two great rebellions at the beginning of the reign. The constitutional and economic aspects of the period, on the other hand, are of far-reaching importance. They illustrate from beginning to end the difficulties of reconciling Charles's duties as Emperor and head of the house of Hapsburg with his responsibilities as monarch of the Spanish kingdoms, as most of his Iberian subjects originally conceived of them. That another open conflict was avoided, and that the complaints grew fewer as the reign went on, are a tribute to the Emperor's sagacity and tact. The position he had inherited was beset with difficulties. It was a marvel that he managed as well as he did. But the same facts also go to prove that Spain's imperial education was steadily progressing. More and more did she feel the thrill of world power; wider and wider grew the horizons of her ambition. Her role in the whole matter was doubtless predominantly passive. She was not asked to share the responsibility, so much as to vote the necessary funds. Her greatness was rather thrust upon her than deliberately striven for and attained. Nevertheless she gloried in it, accepting it as her high destiny with characteristic fatalism, seeking now no longer to limit and restrain her sovereign, but only to Hispanicize his originally Hapsburg point of view. And in this final endeavor she met with a fair measure of success. The full fruits of her efforts were not gathered until the succeeding reign, but the Emperor grew steadily closer to his Spanish subjects, and finally retired to the peninsula to die.

Charles took every opportunity to cultivate and strengthen these imperial aspirations. His subjects had seen only the darker side of his rule on the occasion of his first visit to the peninsula; he now proposed that they should realize its glories. The contemporary authors describe in glowing terms "the exceedingly imposing court and assembly of magnates" which he held at Toledo in the summer of 1525, on the occasion of the meeting of the Cortes of Castile, just before King Francis was brought a prisoner to Madrid. Thither came ambassadors from France "to treat of the liberation of their King", from England, from Portugal, from Russia, and the Italian states; there were the papal legate and the Grand Master of Rhodes with forty knights commanders; there were representatives of the Moorish kingdoms of North Africa and even legates from the distant Shah of Persia, "in such wise that the Court of the Emperor was one of the most notable that was ever held by Prince of Spain." Spectacles such as this could not fail to impress the mass of the people. Their longings for a return to the simple ways of the Catholic Kings were temporarily forgotten in their pride at such a display of imperial power. Had they realized what was happening at the same time in another quarter of the globe, their enthusiasm would have been greater still. It was in the preceding November that Francisco Pizarro set sail on the voyage of discovery which was to lead to the conquest of the great empire of Peru; the wealth of the Incas was soon to be available, to support the position of Spain in Western Europe. In many ways the year 1525 saw Charles's power at the pinnacle of its greatness.

In one respect the Emperor's views of his position and policy had now veered around into full accord with the desires of Spain. From the time of his first visit in 1517, he had been constantly besought to marry in the peninsula; and the Cortes of 1525 ventured to express the

hope that his bride would be his cousin Isabella, the sister of the king of Portugal. Hitherto Charles had responded noncommittally to these requests. Indeed, under the circumstances he could hardly have done otherwise, for he had been almost continuously betrothed to one princess or another, since the days of his infancy in the Netherlands. First it had been Mary Tudor, who afterwards wedded Louis XII; afterwards Claude and later Louise of France; then, with the English alliance of 1522-23, it was Mary, the daughter of Henry VIII, who was later to marry the Emperor's son Philip, and reign for five sorrowful years in England. But the English alliance had grown much weaker of late. Henry VIII had been tardy and inefficient in the war; he and Wolsey were plainly disturbed by the completeness of the Emperor's triumph at Pavia; in midsummer of 1525 it became clear that the marriage of Charles and Mary would have to be given up. The whole course of events had shown Charles the danger of any permanent entanglement with either France or England. Whichever was neglected would be sure to bear a grudge; the political situation shifted almost every day; clearly it was the part of wisdom to keep his hands free. On the other side, the advantages of a Portuguese marriage were daily becoming more obvious. Besides satisfying the desires of the Spaniards, whom he wished to please, it would be the logical continuation of the policy of Ferdinand and Isabella. It would be another step on the road to that Pan-Iberian unity which, despite all the bickerings and hostilities of the past, the mass of his subjects had not ceased to desire. Moreover, it would be most advantageous from a financial point of view. The Portugal of John III was far richer than that of Emmanuel the Fortunate. The treasures of the Orient poured incessantly into Lisbon; and when the marriage of Isabella and the Emperor was finally arranged, the bride's dowry was fixed at 900,000 ducats. The wedding took place at Seville on March 10, 1526; and the inscriptions on the seven triumphal arches which were erected for the occasion reflect Spain's high hopes of the lasting benefits that it was expected to confer.

The spring, summer, and autumn months which followed his marriage were probably the happiest of Charles's whole life. His union with Isabella had been dictated by policy, not affection; in fact, he wrote to his brother Ferdinand that he wedded her to get her dowry and an acceptable representative when he had to be away. But the Emperor was to be more fortunate in his marriage than he knew; for besides the financial and political advantages, he had the additional satisfaction of falling in love with his wife. His nature was not romantic. The cares of state weighed ceaselessly upon him and left scant space for the development of his affections. But he yearned for sympathy, loyalty, and devotion, and these Isabella afforded him in full measure. Though slight and pale, she bore herself like an Empress; her head and her heart were both in the right place; she was, as a contemporary justly observed, "of the sort that men say ought to be married". Certainly she was an ideal companion for Charles. Though he had married her in part to get a regent in his absence, he was to find it unexpectedly difficult to bring himself to leave her side. Most of their honeymoon was spent at Granada, where they took refuge from the great heats of the valley of the Guadalquivir. It was the first time that Charles had visited Andalusia, and he gazed with wonder and delight on what he saw. The news from France was increasingly disquieting, and finally in December he had to return to the North, but he never forgot those happy days on the Alhambra hill, "so that though he was well satisfied with all the cities of his kingdom, it was Granada that gave him the most pleasure of all."

It was but natural that the reign of Charles should bring with it extensive changes in the administration of the Spanish dominions. In the first place, he personified the union of the crowns as Ferdinand and Isabella had never been able to do. He was neither primarily Castilian nor Aragonese. If his visits to the western kingdom were more prolonged, those that he paid to the realms of the crown of Aragon were more frequent. It was from them that he

received the most sympathetic support in the many difficult problems which confronted him in Italy and the Mediterranean, just as it was to the Castilians that he turned for the affairs of the Indies. He regarded Spain as a whole in a way that his predecessors had never done. He did not contemplate a fusion of the different kingdoms that composed it; but there is plenty of evidence that he sought to diminish the dissimilarities, and to improve one realm in the light of the others.

Not only was it natural that the idea of Spanish unity should be measurably advanced by the reign of Charles V; it was also inevitable that the new sovereign should regard all his Spanish dominions as forming a part of a still larger whole—the far flung possessions of the house of Hapsburg. But he did not attempt to give effect to that conception until the very last—till he was flushed with his triumph over the Lutherans in the Empire. He saw that his possessions naturally fell into two different groups, a Northern and a Southern, a German and a Spanish; and after his first hard lesson in 1517-20, he comprehended the difficulties and the dangers of combining them. For more than a quarter of a century after his return in 1522, one is conscious that he felt constrained to keep them apart; the emphasis falls first on the South and then on the North, according to the demands of the political situation; but the perilous experiment of a closer combination was not repeated till 1548, when Prince Philip was called to Germany for the succession in the Empire, and his cousin Maximilian went as regent into Spain. One observes the same thing in Charles's political appointments, though here there is a slight preponderance in favor of the Spaniards. His representatives in the Empire and the Netherlands—his brother Ferdinand, his aunt Margaret, and his sister Mary—had all lived in the peninsula and been subjected to its influence; while his Italian and Mediterranean possessions were kept exclusively in Spanish hands. Separate administration for the two great groups into which his dominions were divided, with alternating emphasis, but a tendency to favor Spain, seems to have been the keynote of Charles's policy down to 1548. A closer examination of the methods and details of his government will furnish numerous illustrations of this underlying principle.

It was during the period of Charles's second visit to the peninsula that Spain first came to occupy the center of the stage. Everything combined in these years to give her the predominance. It was the period of Charles's longest stay in the peninsula, the period of his marriage and the birth of his son, the period of monarchical triumph after the suppression of two dangerous rebellions. The focus of political interest was the war with France, which was being fought, in some measure, by Spanish veterans; and the scene of the greatest battles and of the Emperor's most notable triumph was in that Italy where the Spanish power was gradually attaining the upper hand. The Emperor's chief adviser was the Piedmontese Gattinara, whose hatred of the French made him favorable to Spain, and who also took a lively interest in problems of internal government, as is proved by his famous "Instruction" of 1523. The growing menace of the sea power of Barbarossa was another factor to keep Charles's attention in the South, while the startling revelations of the wealth of the Indies afforded a fresh demonstration of the importance of his Iberian dominions. By the time that he departed in August, 1529, for his coronation at Bologna by Pope Clement VII, the bitter memories of his first visit to Spain must have been wellnigh obliterated. Spain had given proof of her loyalty and power. She had served and supported him far more effectively than his Northern dominions.

In 1530, however, there came a change. Gattinara died in June of that year, and his successor as chief minister was the Burgundian Nicolas Perrenot de Granvelle, who was primarily interested in the affairs of Central Europe, and is even said to have striven to make Charles live in the North. During the next twenty years, in which Granvelle's influence steadily increased, the Emperor was most of the time absent from Spain. The center of

political interest had shifted to the North, to the campaigns against the Turk on the Danube, and France in the Netherlands, and still more to the struggle against the Lutherans in the Empire. It was therefore almost inevitable that Spanish affairs should fall into the background, but Charles took great pains to see that they were not neglected. Moreover he was resolved to have Spanish advice, and obtained it from his secretary, Francisco de los Cobos, who enjoyed his fullest confidence throughout most of his reign. To all intents and purposes, he was as powerful as Granvelle. “The Emperor has two principal counsellors, and in fact only two, who transact all the business of all his states, Cobos and Granvelle”, wrote the Venetian Navagero in 1546; “Cobos is the guardian of your Majesty’s honor and secrets and knows how to accomplish what your Majesty leaves undone”, declared the Emperor’s confessor in 1530. “All the affairs of Italy, the Indies, and Spain passed under his hand for many years,” wrote another contemporary who knew him well. None of Charles’s other secretaries attained such influence as Cobos; we are specifically told that he overrode the Fleming, John Lalemand, who personified Burgundian influence at the Emperor’s court; and his ascendancy is good evidence of the importance Charles attached to the Spanish point of view. One very unamiable characteristic which Cobos shared with Granvelle was his extraordinary venality. “Neither of them is of very noble birth,” wrote the vigilant Navagero, “but both have been nourished and made great by their master, in such wise that with their greatness they have acquired wealth; Cobos has an income of perhaps 70,000 ducats”. “He took presents right and left, whereby he became excessively rich,” wrote Gomara, in a characterization at the time of his death; “he even took away offices and benefices and pensions from those to whom the Emperor wished to give them, in order to bestow them on those whom he himself appointed ... and at last even the Emperor got wind of it”. He did not accompany Charles on his last journey into the Empire, but died at Ubeda, his birthplace, in 1547.

Since Charles’s position and responsibilities were primarily international, it is natural to inquire how far the great system of councils, which had been established in the reign of Ferdinand and Isabella, was given any influence in non-Spanish affairs. The councilors were naturally anxious to offer their opinions, and the Emperor did not discourage this tendency; he was even willing to delude them on occasions with the semblance of an authority which he had no intention they should actually possess. For this purpose he made use of the body of grandees—highest in rank but by no means in importance—which came to be known as the Council of State. Under Ferdinand and Isabella it had been amorphous and irregular, but in 1526 Charles gave it definite existence, “to discuss the most important matters concerning the government of Spain and of Germany”; its members at this time were Alonso de Fonseca, archbishop of Toledo, the Chancellor Gattinara, Count Henry of Nassau, the Dukes of Alva and Bejar, and the bishops of Osma and Jaen. That a body so composed should be asked to treat of German affairs seems extraordinary. It is barely possible that Charles really wanted to try the experiment, but far more probable that he was merely seeking to flatter the magnates; at any rate when he found that the new body only served to arouse the jealousy of those who were not included, he promptly dismissed it, five months later, at Valladolid. The Council, however, did not go out of existence; it is even said that Charles subsequently referred to it as “the knowledge, power, and understanding, the eyes, hands, and feet of the monarch, since it aims to preserve the obedience of the Holy Catholic Roman Church and of the Pope who presides over it, the observance of religion and of the Catholic Faith and the defense of the one and of the other, universal justice and order, the maintenance of the peace and tranquility of kingdoms; sometimes making war, when it is expedient, by attacking the foe, or if occasion demands by repelling his assaults, and at others by concluding peace”. But the attribution of such extensive powers cannot fail to arouse suspicion of their genuineness. In the conduct of war the Council was not without influence; the *Consejo de Guerra*, to which contemporaries refer, was probably at this stage simply the *Consejo de Estado*, sitting in a military capacity, or

else a committee of some of its members, reenforced, on occasion, by the addition of certain generals. But in other respects the Council was largely a sham. It was a means of conferring a social distinction, for its members could boast that they were in constant attendance upon the monarch and accompanied him on his journeys into Italy and the Empire; but it was utterly lacking in political authority. The men who composed it were far too narrow in their outlook to be useful as advisers in international affairs; *non tali auxilio* was Hapsburg supremacy to be maintained in Western Europe. The decisions were made by the Emperor and his chief ministers; if the Council of State was consulted at all, it was merely asked to ratify what the monarch had already done.

With the Council of Castile or *Consejo Real*, on the other hand, we have an entirely different situation. It inherited from the Catholic Kings a reputation of high authority and great efficiency; it had dealt with every phase of the government of the Western Kingdom. In the reign of the Emperor, it might have been expected to rise higher still, for Charles's frequent absences gave it an unusual chance to gain independence of the crown. That it proved incapable of taking full advantage of this opportunity was due to Charles's determination to keep the power in his own hands, and to the lack of initiative of the councilors whom he appointed.

The question of its composition was obviously of the first importance; in fact the Comuneros, at the height of their power, recognizing the nature and extent of its authority, essayed to transform it into a semi-representative institution. They planned that it should contain one member from each of the thirty-two bishoprics of Castile, each member being appointed for life by the king from a list of three elected in the diocese; each member was to be a *letrado* of proved ability and character, and at least forty years of age. But these far-reaching schemes naturally failed of realization, and the Council survived on its ancient footing. The Emperor on his return sought information concerning its membership, and obtained it from his confidant, the chronicler Carvajal, in a document which has been preserved. The Council at that period numbered no less than sixteen—a president, the archbishop of Granada; fourteen councilors; and a fiscal—three more than were prescribed by the Cortes of 1480. The aristocratic element was even smaller than before. Save for the archbishop, who was of the Rojas and Manriques, only one—Alonso de Castilla—belonged to the higher nobility; a few of the rest were hidalgos, but most of them were of much lower origin; the *letrado* and man of affairs were in general predominant. Illegitimate birth was no disqualification for membership, but lack of *limpieza* was a serious drawback; the ancient prejudices in regard to this latter point persisted, undiminished, throughout the sixteenth century. Carvajal gives us precious details concerning the personalities of the different councilors. Two of them in his opinion were too old for their jobs; two others were of such character that he felt they should be gotten rid of; in regard to the rest his comments were generally favorable.

The Emperor diminished the number of members of the Council; it is impossible to follow the process in detail, but at the end of the reign it was scarcely half as large as at the beginning. The Cortes of 1551 registered a vigorous protest. "The councilors are so aged and infirm at the time of their appointment", runs the initial petition of the Cortes of 1551, "that they cannot perform the work that is laid before them. And, moreover, your Majesty takes two of them with you when you are absent from these realms, so that with these absences and indispositions there are usually present but six or seven". During the whole of Charles's reign, the presidency remained in the hands of ecclesiastics. Juan de Tavera, archbishop of Santiago, followed Antonio de Rojas, archbishop of Granada, in 1524; fifteen years later he was succeeded by Hernando Valdes, archbishop of Seville, who in turn gave way to Antonio de Fonseca, bishop of Pamplona: not till the latter's death in 1557 was the office again conferred

upon a layman, Juan de Vega, Señor de Grajal, who had already won distinction as ambassador to the Vatican, and later as viceroy of Sicily. All of these were thoroughly reliable men, but none had any great ability or initiative. They would conscientiously fulfil any order they received, but they were entirely incapable of striking out a line of their own. The sum total of these details is extremely significant. The Emperor did not propose to have any rival to his authority, especially when he was obliged to be absent from Castile. At all costs the Council must be kept subordinate to the monarchy; docility was preferable to originality or leadership. It was a principle that Charles applied in all sorts of different places; most unfortunately it was to be inherited and intensified by his successors, and the effect upon the Spanish Empire was little short of disastrous. It deprived the nation of experience of imperial responsibility; it imposed duties on the king which should have been delegated to others; it goes far to explain why Spain so easily went to pieces when pitted against rivals of freer development.

If Charles guarded the crown against the independence of the Council, he took no pains to protect the other organs of the body politic from conciliar encroachments. The Council was, after all, far closer to the monarchy than any other institution in Castile; and the Emperor tended, if anything, to increase its responsibilities so long as the royal authority remained unchallenged over all. The Council had always far more work than it could do, as is abundantly proved by the petitions of the Cortes. “We beg your Majesty,” runs the *cuaderno* of 1528, “that the Consejo restrict itself to the administration of justice and the government of these realms, because its preoccupation with other matters renders it incapable of appreciating the afflictions of the republic.” The accumulation of untried suits was the worst grievance of all. It had for a long time been the established custom that in civil cases of the highest importance, an appeal could be carried from the audiencias to the Consejo, if the appellant would deposit 1500 ducats, to be forfeited in case the former verdict was confirmed. But the Council had apparently taken cognizance of many other suits besides—far more in fact than it had opportunity to hear—and the Cortes complained again and again. “We beseech your Majesty to command the Consejo not to deal in the future with ordinary suits but to send them on to the audiencia”, so runs the request, which in one form or another incessantly reappears. The Emperor’s replies were generally favorable but insufficiently specific, and the arrears of business continued to pile up. There was also much criticism of the character and industry of the councilors. Charles was asked to see that they were on hand during the hours of business; to forbid them to hold any other salaried office, or to marry their daughters to those who brought suits before them; and to invalidate all decisions bearing less than four signatures.

As a foreigner, Charles found it specially necessary to get advice on the assignment of crown pensions, the distribution of the royal patronage, and the exercise of the pardoning power. All these matters would ordinarily have been settled by the monarch in consultation with his most intimate advisers; but Charles, on his first arrival, knew Castile far too little for this; so he naturally sought guidance from the *Consejo Real*. Since it was obviously unnecessary to consult all the members, it soon became the practice to hand over all such questions to a committee composed of the president and three or four other councilors; such was the origin of the *Camara Real de Castilla*. Ordinances of 1518, 1523, and 1528 define its functions. It was, in effect, nothing more than a section of the Council of Castile, occupying a position in relation to it similar to that of the *Consejo de Guerra* in relation to the *Consejo de Estado*. It referred all contentious points to the Council of Castile, and in case of an appeal against any of its decisions, the matter in question remained in suspense till the parent body had pronounced final judgment.

A document is preserved in the National Library at Madrid which sheds valuable light on the activities of the *Consejo Real*, and the attitude of Spain towards the policy of Charles. It is

entitled “Advice which the Consejo at different times has given on general affairs”; it covers the years 1528-49—a period when the Emperor was often away. Most of it is concerned with internal matters—delays of justice, clerical abuses, excessive pensions, taxation, loans, inflation of prices, reforms of the coinage, local fueros, hunting rights, and the breed of horses. The old dread of the foreigner continually crops out; it manifests itself chiefly in ecclesiastical affairs and is involved with the national relations to the Pope and his nuncios. “We have seen the letter,” writes the Council in 1541, “which the Marquis of Aguilar, your ambassador at the court of Rome, has written to your Majesty in regard to the proposal of his Holiness in Consistory, concerning the laws and pragmáticas of these realms, providing that foreigners shall hold no benefices or pensions, and concerning the bulls of Sixtus and of other illustrious pontiffs in favor of those born in these kingdoms; and that his Holiness proposes to issue a bull of general revocation, on the plea that other Christian princes have done prejudice to the authority of the see apostolic, in the matter of provision to benefices ... We are truly amazed that such things can be for it can be truthfully averred that nowhere in Christendom is there such a respect for the Holy See as in these kingdoms ... and it would be well that his Holiness should be plainly and immediately informed, that no such injustice should be permitted to take place in this most fortunate period of the reign of your Majesty, whom God has made greater than any of your predecessors, and so faithful a defender of the Holy See”. “We have examined”, runs the advice of 1546, “his Holiness’s declaration of the fourth of May of the present year in regard to the power of the nuncios who are sent here, and it seems to us most injurious. The enforcing of it will cause many suits and vexations for the subjects of your Majesty who have been provided with benefices, and have spent their money for the sending of the bulls and the graces.... Your Majesty would do well to write to his Holiness, that this declaration should be void and of no effect.”

The Council’s attitude towards the Cortes is also noteworthy. It evidently regarded them solely as a means of obtaining money and recognized the nullity of their political power. On April 6, 1532, Charles wrote to the Council to ask its advice about summoning the national assembly to provide funds for the war against the Turks. “We have considered at length your Majesty’s proposal,” came back the cautious reply, “and we well know your Majesty’s zeal for the service of God and the defense of the Christian faith, but in the calling of the Cortes there are certain inconveniences of which your Majesty is well advised.” Thirteen years later, when the Emperor repeated his request, the Council was more specific. “Since the remedy and aid which are afforded to your Majesty by the Cortes is simply through the *servicio*, which falls on the working man and tax-payer, and since ... we are poor by reason of these lean years and the heavy floods and the ordinary and extraordinary *servicios* which we must pay till 1548 ... all this seems a just cause for not asking for another, which it will be impossible to pay ...; and therefore it seems best to the Council to postpone the summoning of the Cortes until your Majesty’s return.”

But the Council did not restrict its advice to matters within the realm; it not seldom expressed its mind about foreign affairs. It gave its opinion about the plan that Charles should settle his differences with the king of France by a personal encounter; it was certain that the “Emperor had responded to the challenge in such wise as to satisfy the honor and state of his royal and imperial person, and as a gentleman of noble lineage was bound to do”; and that the king of France had failed to do likewise, “since he was plainly and openly seen to refuse to fight”. It took a lively interest in the relations with Portugal in the regions of the Canaries and the West African coast, and in the maintenance of the navigation acts of the realm against Holland and Zeeland. It expressed its disapproval of a request of the Venetians to traffic with the Moorish states of the Barbary coast; “to trade with the infidel is a disservice to God, and many Christians have been taken and imprisoned thereby; since your subjects in this realm

have been forbidden to go thither, it seems just that the same should be done in this case.” The dread of Lutheran contamination is extraordinary. The Emperor is begged in 1531 to command that “books from Germany ... be not brought and sold in these realms, and that the *corregidores* and other learned persons examine such as are already here in the hands of the printers and booksellers.” The Council, in the same year, seeks to dissuade Charles from visiting the Empire; it recognizes “the holy desire and Catholic piety that inspire his royal heart,” and hopes that he may be the means of confounding the authors of “such poisonous innovations, opinions, and heresies, with devilish and damnable purposes,” but it holds his plan of going into Germany to be “most perilous and doubtful, and very uncertain in the events that it may bring forth”; it confidently asserts that “these realms are your Majesty’s principal home, your safest seat, and surest abode, which can render your Majesty better and more powerful aid than any other part of the world in the accomplishment of your high designs.” It was horrified at the thought of any compromise with heretics, such as was not seldom proposed in the middle of the reign. “We beg your Majesty not to admit them to the faith, under any condition or pretext whatsoever, nor in any way to dissimulate or indirectly to give them countenance.”

Much less can be learned of the Council of Aragon, which in theory performed for the Eastern Kingdoms the same functions that the Council of Castile fulfilled in the Western. It had been instituted, it will be remembered, by Ferdinand the Catholic on November 19, 1494; and Charles, in a decree dated April 20, 1522, at Brussels, confirmed the organization, which his grandfather had set up. In both documents the Council is commanded to restrict its activities to the administration of justice, and is forbidden, in general terms, to deal with other matters without the express permission of the crown; the sovereign obviously regarded it as a court of highest appeal for the regions concerned, while the Council sought to extend its authority into political affairs. How far Charles succeeded in checking this last tendency, it were difficult to determine; certainly while the cosmopolitan Gattinara was at the head of the Council of Aragon, it is hard to believe that it can have limited itself entirely to the administration of justice. A kindred problem, on which there is also little light, is that of the territories which came under its jurisdiction. There is no direct evidence in the *pragmáticas* of 1494 or of 1522 that its authority reached into the *tierras de allá mar*; yet on the other hand Sicily had been incorporated with the realms of the crown of Aragon since 1460; and there is a passage in Pulgar which leads one to believe that Sicilians took part in the Council’s deliberations. There was also apparently an Italian—one Jacopo da Bologna—among its members in 1522; and it will furthermore be observed that it was not till the year 1555 that a ‘Council of Italy’ was formally set up “and definitely separated from that of Aragon.” The sum total of all these details would seem to indicate that the Council of Aragon exercised a somewhat wider influence during the Emperor’s reign, both in the nature and the territorial extent of its authority, than would be deduced from a strict interpretation of the *pragmáticas* of 1494 and 1522; with the material, which is at present at our disposal, it is unfortunately impossible to be more specific. In contrast to the Council of Castile, it was not always resident in Spain, but accompanied the Emperor on his different journeys, a fact which bears out the theory that its functions were more cosmopolitan than would at first appear.

There is occasional reference in the documents of the period to the Emperor’s *Consejo Privado*— or Privy Council—and a manuscript in the National Library at Madrid explains what it was and why it was so called. This document is a translation, made after the Emperor’s death, of two ordinances drawn up by him, the first at Middleburg in the Netherlands, January 23, 1517, appointing his aunt Margaret as regent of the Low Countries, and establishing a Council to help and advise her; the second at Brussels, October 1, 1531, proclaiming the selection of his sister Mary as her successor and giving further instructions in regard to the

said Council. Its composition was almost exclusively Flemish; and it was thus natural that it should come to be called 'privy' by the Spaniards, since none of them was aware of what was transacted therein. As it was resident in the Netherlands, they knew little or nothing about it, but since it referred all doubtful matters directly to the Emperor, he generally kept certain Netherlanders in attendance upon him, to get the benefit of their advice when the situation demanded it; and it would appear that the name of *Consejo Privado* was applied by the Spaniards to this group as well. The *Consejo de Flandes* did not emerge till after the Emperor had abdicated and Philip had assumed control; its advent was the signal for the beginning of a new policy, and the placing of the Low Countries under Spanish administration.

The history of the Cortes of the Spanish Kingdoms, particularly those of Castile, in the reign of Charles V, has been the subject of much recent discussion among historians. The Castilian Cortes met at least fifteen times during the reign; the General Cortes of the realms of the crown of Aragon six times at Monzón; those of the county of Catalonia twice; and those of Aragon and Valencia once each. The Emperor's pressing financial needs, for the support of his wars on land and on sea, were doubtless the chief reason for the frequency of these meetings, and gave the popular representatives an opportunity of expressing their views, which it is probable that Charles would otherwise have been unwilling to accord them. Yet it is only fair to add that the Emperor strove to make the best of the situation with which he was confronted. He realized that there was much good other than financial, to be derived by an absolute sovereign from the meetings of a representative body; this is clearly demonstrated by the history of his government in the Netherlands, where he used the States General "as a symbol and practicable basis for common action" by the united provinces. In Spain he could improve one assembly in the light of another, and bring the heterogeneous institutions of the peninsula into some sort of alignment. Above all he could rearrange the collection of the subsidies, in such wise as to make the national representatives share the odium of the taxation which he was forced to impose. A parallel may be drawn here to the parliamentary history of the reign of his contemporary Henry VIII, who, having found after twenty years' experience that he could not get on without the national assembly, kept it almost constantly in session from 1529 to 1547, and thus committed the English nation to the great changes of those momentous years. Where the parallel fails is in the ultimate results. While the Parliament in England took advantage of the situation to fortify its authority beyond the possibility of overthrow, the Cortes had been so enfeebled by the developments of the previous half century, and were so generally deficient in political sagacity, that the end of the reign found them far weaker than the beginning.

In the realms of the crown of Aragon, one is struck with the frequency of the sessions of the General Cortes of all three kingdoms, and the rarity of those of the estates of each of the component parts. The former met just twice as many times as in the preceding reign; the latter less than one-third as often. The obvious explanation of this is that Charles was too busy to visit all three capitals, and saved time by summoning the representatives of the three realms to their traditional meeting place at Monzón. But it is also natural to suppose that he hoped to find in the General Cortes of the three Eastern Kingdoms, just as in the States General of the Netherlands, a useful means of combating separatism. It will be remembered, moreover, that the General Cortes were general only in name—a juxtaposition, but not a fusion of the three separate assemblies—and that one of them not seldom refused what the other two were willing to accord,<sup>2</sup> so that the Emperor had to deal, to all intents and purposes, with three different bodies. Like many of his predecessors, he was to find it wellnigh impossible to make headway against the accumulated weight of long centuries of Spanish tradition.

In dealing with the Cortes of these Eastern Kingdoms and attempting to induce them to vote him funds, Charles was forced to observe all the elaborate regulations by which their rights and privileges were traditionally safeguarded, and their liberties protected against the encroachment of the crown. Nowhere else in all his dominions did he encounter the same measure of constitutional opposition; but he made no serious effort to overthrow or evade it, though his patience was often severely tried. As an offset to this difficulty, he had reason to expect that the position, traditions, and commercial leanings of the Eastern Kingdoms—more especially, of course, of the principality of Catalonia—would render them more alive to the necessities of his foreign policy than could be expected of proverbially self-centered Castile. It was primarily through the realms of the crown of Aragon that Charles had fallen heir to his Mediterranean responsibilities. They were near the seat of the Italian wars. Catalonia and Valencia were exposed to Turkish raids. But the Emperor was to discover that these cosmopolitan influences were of little consequence when weighed in the balance against the preservation of the national privileges. The sums that he could extract from the General Cortes of the realms of the crown of Aragon were never large, and one-third of the amount voted was almost invariably set aside for internal purposes. In 1528 the Aragonese voted him 200,000 pounds of Barcelona, the Valencians 100,000 pounds, and the Catalans, who felt that their privileges had been infringed, nothing at all. In 1533 the sum total was about 550,000 ducats, and there seems no evidence that the subsequent sessions made larger grants. And in order to obtain even these comparatively modest amounts, the Emperor was forced to subject himself to conditions which most of his fellow sovereigns would have regarded as intolerable: long continued sojournings in unattractive spots, sanctioning of much legislation of which he wholeheartedly disapproved, incessant repetitions of promises to respect the *fueros*. The constitutional arrangements of the Eastern Kingdoms were in fact a glaring anachronism in the monarchical atmosphere of the sixteenth century. That Charles took such pains to avoid collisions with them is at once a proof of his need for funds, and a tribute to his tact and to his respect for tradition.

And yet despite all such vexations and delays, the Emperor was fully alive to the strong points of his East Spanish subjects; in fact it is impossible to resist the conviction that he found them, in some respects, more congenial than the Castilians. They had not revolted against him in his absence in any way comparable to the rising of the *Comuneros*; their cosmopolitan traditions gave them a far wider outlook; Charles felt that they might be brought to a sympathetic comprehension of his policy, in a way that was impossible for the representatives of the Western Kingdom. Even out of the constitutional prerogatives of the Cortes of the realms of the crown of Aragon, Charles recognized that it might be possible for him to derive advantages. Claims to control connote the assumption of responsibility, and the burden of raising and collecting the taxes was a responsibility of which the Emperor was heartily tired. In the Eastern Kingdoms the *Diputados de las Cortes* relieved him of a large share of this onerous function; and the provision that one-third of the sums regularly granted should be devoted to the purposes of internal government, and consequently be left in the hands of the Cortes, suggested the introduction of certain changes in Castile. It is needless to add that he had not the slightest intention of giving to the representatives of the Western Kingdom any such measure of control over the granting of subsidies as had been inherited by the Cortes of the realms of the crown of Aragon. In Castile he had virtually the right of arbitrary taxation; and realizing what an enormous advantage this was to the crown, he was by no means minded to part with it. But if, by the adoption of a few of the arrangements with which he had become acquainted in the Eastern Kingdoms, he could modify the methods of collecting the funds, he might both educate and gratify his Castilian subjects, and at the same time improve his own financial condition. He would rid himself of a host of dishonest tax-farmers, whose extortions and peculations were a disgrace to the realm. He would comply

with some of the most oft repeated requests which had been addressed to him, since his accession, by the Cortes of the Western Kingdom. He might even hope to win popularity for himself and sympathetic comprehension of his financial and political needs.

It was with the Cortes of Toledo in the year 1525 that Charles determined to try such an experiment. The relations between sovereign and assembly were unusually cordial. Both were naturally elated by the great victory of Pavia. The Emperor was soon to relieve the anxieties of his subjects by marrying the Infanta Isabella of Portugal, and the procuradores, to show their gratitude, voted Charles an extraordinary grant of 150,000,000 maravedis. The time was ripe for an attempt to get into closer touch with the representatives of the realm, to devise new means to promote the common welfare; and Charles essayed to accomplish these ends in two different ways. In the first place he gave permission to the procuradores to elect two of their number as a *Comisión Permanente*, on the model of Aragon, to remain at his court and watch over the fulfilment of his promises to the Cortes; and 200,000 maravedis were voted by the procuradores to defray the expenses of this new body. In the second he offered to abandon the alcabala, or tax on sales—whose blighting effect had been recognized for years past and whose yield had been regularly sold to the tax-farmers—and also the *tercias*, or clerical contribution, in return for a so-called *encabezamiento*, or general tax on individuals, to be collected by the different cities of the realm, which in turn were to pay definite sums to the crown. He placed the amount of these sums so high that the Cortes for the time being declined his proposal; but most of the cities made separate contracts with the government, so that the arrangement went into immediate effect for the greater part of the realm. Charles had shown his willingness to meet the representatives of the nation halfway, but he did not intend to have his revenues diminished; in fact, in the form in which his offer was first made, he expected that it would result in substantially increasing them.

Further light upon the Emperor's policy and aims in regard to the national assembly of the Western Kingdom is afforded by the history of the Cortes of 1527. These were summoned to Valladolid for February 12; and this time Charles invited the representatives of the nobles and the clergy to take their places beside the procuradores of the cities. This had not occurred, save on formal occasions, since 1480; Charles obviously desired to see if the two upper orders could be induced to bear their share in contributing to his needs, but the specific purpose for which he asked support awoke no response in the hearts of the assembly. It was primarily for a Turkish war that Charles desired funds; but it was also plainly obvious that his attention was directed rather to the Danube valley and the Hungarian plains than to the waters of the Mediterranean and the shores of North Africa. His brother-in-law, King Louis, had been slain at Mohacs; his brother, Ferdinand, was at the end of his resources; it looked as if the Sultan would advance, without let or hindrance, to Vienna. But all this was too remote to be of interest to Castile. It was in vain that Charles insisted that it was identically the same enemy that threatened the Iberian shores and the North African presidios—a menace to Christendom and the traditional foe of Spain. No one of the assembled estates could be made to see it in that light. The nobles asserted that if the Emperor went in person to the war, their lives and their property were at the service of his Majesty, but they would not consent to be taxed like *pecheros*. The attitude of the clergy was only slightly more encouraging. The Order of St. Benedict offered 12,000 *doblonas*, and the Military Orders one-fifth of their *encomiendas*. The bishops said that Charles could take the church plate if he would, but that if he did so, he would be taking it from God and not from them. The municipal representatives were the worst of all. They pointed to the poverty of the land, and to the extraordinary subsidies which they had granted in 1525, as proofs of their inability to contribute. They reverted to their numerous unsatisfied grievances; and finally the Emperor "sent them home to spend Easter", without having received a single penny or giving them an opportunity to draw up a *cuaderno*. It was a

bitter disappointment, from which he did not recover for many a day. Castile was not yet so loyal to the new dynasty as to be willing to bear aid to the non-Spanish part of his dominions. The upper orders stood out firmly for their privileges, and Charles did not repeat the experiment of summoning them until eleven years later, when the situation had entirely changed.

In the interval, however, he made some progress in the matter of improving the methods of Castilian taxation. It was naturally in the yield that he was primarily interested; but he was quite willing to accede to any reasonable demand which would serve to distribute the burden more fairly and give the nation an appreciation of his needs. The root of the difficulty, as he plainly perceived, lay in the exemption enjoyed by the nobles and the clergy. He knew, however, that it would be impossible to abolish it by compulsion, and his experience with the Cortes of 1527 showed that the privileged orders were for the present unwilling voluntarily to relinquish it; he was, therefore, thrown back upon the subsidiary problem of the *alcabala*. The Cortes of 1528, 1532, and 1534 had done fairly well by him in the matter of *servicios*—200, 184, and 204 *cuentos* respectively—all of the money to be paid in before the end of 1537, and on the last of these occasions the question of the *encabezamiento* was once more taken up. To the usual petition that it should be generally established, Charles responded favorably. He offered to permit the realm to collect by *encabezamiento* the equivalent of the *tercias* and the *alcabala* for ten years to come, and also to give back annually the sum of 20,000 ducats, in consideration of the way in which his revenues had increased, and of the amounts which under the old system had been appropriated by the tax-farmers. It was not until more than two years later that this proposal was finally accepted and went into effect. Long negotiations were necessary before the contracting parties could be brought to an agreement; and the arrangement did not become operative until the beginning of 1537, though it was thereafter prolonged until 1556 and 1561. The royal revenues did not suffer by the change. It was calculated when the new plan was proposed in 1534 that the crown would derive from it 300 *cuentos* a year; and at the end of the reign it yielded about 334. On the other hand, Charles had shown his readiness to rectify a national grievance; he had done something to restrict the extortions of the tax farmers, and he had handed over to the municipalities the problem of getting the funds.

The proceedings of the Cortes of 1537 are in no sense notable. The procuradores granted a *servicio* of 204 *cuentos*, and drew up a *cuaderno* of 151 petitions, of which 53 were definitely granted and thus became the law of the land. But there is a significant sentence in the speech from the throne which struck the keynote of the program which Charles was to try to put through in the succeeding year—a final attempt against the exemption of the privileged orders. The dangers to which the realm was exposed from France and from the Turk—so the Emperor pointed out—were common dangers which could only be put aside by common efforts; it is clear that he meant—even if he did not directly say so—that the *pecheros* alone could not possibly bear the burden, and that the nobles and the ecclesiastics ought also to contribute. In 1538 he put this idea to the proof, and summoned the whole body of the higher clergy of the realm, and ninety-five representatives of the Castilian aristocracy, to be present with the procuradores at a meeting of the Cortes at Toledo in October. It was made perfectly clear that the occasion was unusual; the *corregidores* were even ordered to see to it that the *poderes* borne by the municipal representatives were unlimited, “because the present business is very different from that which has been treated in other Cortes, since it has to do with the remedying of the affairs of these realms and of our service in general.”

The *proposiciones*, or speeches from the throne, which were read to the estates by the royal representatives, deal only in general terms with the necessities of the government; but much more can be gleaned from the records of the subsequent proceedings. The total annual

revenues of the realm were more than a million ducats, twice as much as was necessary to defray the regular expenses. But apparently the royal treasury was so drained by special demands and the alienation of the *Patrimonio Real* that not only was the balance exhausted, but a considerable deficit created as well; besides, the Emperor had contracted floating debts which amounted to more than the annual revenues of Castile. These conditions could not be permitted to continue if the government's credit was to be saved. Consequently Charles proposed that the two upper orders should sacrifice their privileges and join with the procuradores in voting him a *sisá*, which should bring him in 800,000 ducats a year. This *sisá* was a tax on articles of food. It had been already used in the cities as a means of collecting revenue, but this time it was Charles's intention that all men should contribute to it, irrespective of their condition. He promised that he had no intention of levying it permanently, but merely as a means of relieving his present necessities; in view, however, of the past history of Castilian finance, this assurance was regarded by the Cortes with justifiable distrust.

We know nothing of the debates on the royal proposal in the estates of the clergy or the commons; but the diary of one of the nobles, who took an active part in the deliberations of the aristocracy, has fortunately been preserved to us. There was never the slightest chance of their consenting to the *sisá* in the form which Charles had proposed it; on that point they were unanimous from beginning to end; but they apparently nominated a committee of ten of their own number to draw up a statement of their views and convey it to the Emperor. One of these ten, the Duke of Bejar, who was also a member of the royal Hacienda and therefore alive to the Emperor's needs, ventured to suggest that a tax on exports be levied to redeem the alienations of the *Patrimonio Real*, that the floating debt be officially repudiated by a law of the realm, and that the Pope be asked to excommunicate in future any one who should traffic in liens on the national resources. But only a trifling minority supported this proposal; the mass of the nobles were solely interested in killing the *sisá*; and as soon as the Emperor had assured himself of this, he dismissed them in no very gracious terms on February 1. The clergy in the meantime had shown themselves more complaisant. They had voted before Christmas to satisfy Charles's demands, only stipulating that papal consent would be necessary to give validity to their decision; but as the procuradores followed the example of the aristocracy and emphatically refused to sanction the *sisá*, the Emperor saw that the plan must be given up. The traditional privileges of the nobility had nullified all his efforts; without aristocratic support the clerical offers would not avail, and neither of the two upper orders was ever summoned to the Cortes again.

The procuradores, however, still remained; and Charles was resolved to make one more trial there before acknowledging that he had suffered defeat. Even though his scheme of taxing the two upper orders had perforce been abandoned, he realized that a great improvement could be effected by a change in the imposts that were paid by the third estate; he hoped as usual both to increase the royal revenues and to simplify his own problem by leaving a larger share of the collection and administration of the finances in the hands of the national representatives. He therefore proposed that the regular *servicio*—of 100 *cuentos* a year—be handed over to the realm, which in return should shoulder the burden of the regular national expenses, including the navy and Doria's fleet, the household, courts, and councils, the army and the garrisons on the frontier and in North Africa; while he promised with the aid of the rest of the royal revenues from Castile and the Indies to pay his debts and meet all extraordinary demands. From the Emperor's point of view this plan was most advantageous. The regular expenses which the nation was asked to assume were wellnigh thrice as great as the amount of the *servicio*, and he hoped that his offer to permit the nation to manage its own financial affairs would so gild the pill that the procuradores would swallow it; but the latter were on their guard, and stoutly refused. After consulting with their constituents, they voted, over and above

the *servicio ordinario* of 100 *cuentos*, an *extraordinario* of 450 more, 250 of the total to be paid in at once and the rest before the end of 1542; further than this, however, they would not go. Moreover, in order even to secure this grant, Charles had to promise that the *encabezamiento* should be prolonged for ten years more (1547-56) after its first term had expired, and to agree not to alienate any more of the royal domain. Altogether it had been a discouraging experience. The reestablishment of the *encabezamiento* had been indeed a solid gain; it had benefited the royal treasury and also pleased the nation; but that after all was but a small result for fifteen years of constant effort. The other five Cortes of the reign—at Valladolid in 1542, 1544, 1548, and 1555, and at Madrid in 1551—voted 450 *cuentos* each, the regulation form of the grant being 100 *cuentos* of *servicio ordinario* for three years and 150 of *extraordinario*, which was usually to be paid in at once; moreover an extra four *cuentos* were generally granted for the *procuradores* and “*gastos de las Cortes*”. The larger amount voted in 1538 is doubtless accounted for by the fact that the Cortes, having defeated the Emperor’s two main contentions, were not unwilling to hand him some sort of a consolation prize.

We have hitherto considered the Castilian Cortes solely in their tax-voting capacity. It was primarily in this light that the Emperor and the Consejo regarded them, and by the latter part of the reign the *procuradores* themselves had come around to the same point of view. Their request, in 1544, not to be summoned oftener than once every three years, “on account of the great costs and expense,” was a virtual abdication of their traditional place in the body politic. Their right to petition for the enactment of legislation should not, however, be wholly ignored. It was not, assuredly, of great practical importance, for if their requests were displeasing to the monarch, he was not obliged to accept them, nor, if he did so, to put them into execution. The number of times the same petition reappears is perhaps the most striking of all the features of the proceedings of the Cortes, and bears witness to the futility of their claims to legislation. The fact that they asked that the *autos acordados* of the *Consejo Real* and the other tribunals be printed and made generally available is an indication that they realized that the legislative authority was passing out of their hands; moreover, they were soon to lose even the precious right which they had inherited from earlier times, that no law or ordinance which had been enacted in the Cortes could be formally revoked without their consent. And yet it is instructive to glance at the *cuadernos* as a record of the national aspirations and desires; in fact it is probable that they give a far truer picture than could be drawn from the proceedings of a national assembly today. The *procuradores* were innocent of parliamentary tactics; they made no effort to compromise or circumvent; since the royal replies were but “yes” or “no,” there was nothing to be gained by concealing their real feelings. They said just what they thought of the policy of the foreign dynasty which fate had placed upon the Castilian throne. Their words are an accurate reflection of the national attitude.

It is not to be expected that the Castilian Cortes should have occupied themselves to any great extent with the course of foreign affairs. Such matters were invariably left in the hands of the monarch in all the countries of Western Europe at this period; the national assemblies were far too ignorant to deal with them. The attitude of the *procuradores*, like that of their constituents, towards all the great world developments with which they had so suddenly been thrown into contact, was in the main passive. God had sent Spain an Emperor—the temporal head of Christendom—for her king; it was obviously His intention to make her the chief instrument of His will; it was merely her duty to accept this high mission, and to see to it that the preeminence which He had granted her was maintained. That Charles had a host of non-Spanish possessions mattered nothing in their eyes; these possessions were remote, disturbed, and honeycombed with heresy, a debit rather than a credit item in the general account; it was

Spain, save for the very first, that wholeheartedly supported the Emperor; it was Spain—and in particular Castile—that paid his bills. It is consequently no matter for surprise that the first petitions of the *cuadernos* of 1537, 1538, 1542, 1544, and 1548, a period when Charles was most of the time absent, demand that he should reside continually in the peninsula, and make his Spanish realms the centre of his dominions. It is the same request as in the days of the *Comuneros*, but the language in which it is couched is very different. Jealousy and suspicion were the keynote in the early days; now it is rather fear lest his “royal and imperial person be placed in difficulty, danger, and peril” in these distant journeys, and desire “that he should remain and repose in these realms that so ardently love and long for him, and imitate the ways of his glorious ancestors the Catholic Kings”; or assurance that “his Majesty possesses in these realms many subjects that can abundantly satisfy any necessities which may arise in other lands” and “good captains such as there have ever been in Spain ... who have done mighty and memorable deeds in similar circumstances in the service of their king.” Charles’s response in every case is evasively affirmative. He protests that “the necessities of Christendom have been the sole cause of his absences, and that his Majesty desires nothing so much as to remain and repose in these kingdoms.”

It is also evident that the *procuradores* were far more interested in Charles’s wars against the Turks and the Lutherans than in those against the king of France. On the Pyrenees, indeed, they were ever ready to fight, as witness their solicitude for the fortifications of Fontarabia; but they apparently recked little of the course of the struggle in Italy or on the frontier of the Netherlands, and in 1544 and 1548 they bluntly asked Charles to condescend to make peace with Christian kings. Against Barbarossa, on the other hand, the *procuradores* were continually urging him on. There are incessant demands for the fortification of the southern coasts, and, down to the Tunis campaign of 1535, constant requests for vigorous action in North Africa. “We beg your Majesty,” runs the fifth petition of the *cuaderno* of 1523, “to labor by all possible means to make peace with all Christian kings and war with the Infidel.” The forty-fourth petition of the *cuaderno* of 1525 is still more specific. “We ask that your Majesty keep up the fortifications of North Africa,” so it reads, “and see that the garrisons are well paid, for this will redound to the service of God, and do great hurt to the unbeliever.” The naval and commercial sides of the Turkish struggle naturally command a large share of the *procuradores*’ attention, for Barbarossa’s piracies rendered it almost impossible to trade in the Western Mediterranean during the early part of the reign; moreover he constantly raided the Spanish ports and carried off captives and booty. There is an interesting request in 1528 that Spanish ships be better furnished with cannon, “since it has been often observed that though there are more men in the ships of Spain than in those of her foes ... the Spaniards have to surrender because the others have more artillery and ammunition ... the which does not happen to the ships of Portugal or of other nations.” All these different items may be adduced to prove that the Castilians were still chiefly interested in such matters as traditionally or geographically lay close to their doors. Charles found it difficult to induce them to support the Turkish campaigns on the Danube, and there is nothing in the *cuadernos* about the Schmalkaldic wars; but there are many instances of a provinciality equally striking among the enlightened nations of today, when the means of communication have been so tremendously improved that the Antipodes are far less remote than was Germany from Castile. And there are plenty of evidences scattered through the *cuadernos* that the outlook of the Castilian was broader than before. In 1532 Charles was asked to diminish the number of suits before the courts by adopting the law “which is in force in certain seigniories of Italy and elsewhere, that cases between relatives within the fourth degree be settled and compromised by arbitrators *por una via de derecho*.” Six years later he is asked to “forbid entirely the game of cards and dice, as has been done in the kingdom of Portugal”; and, in 1548, to seek the advice of the experts in irrigation, “who are to be found in Aragon and in Valencia and in part of Navarre and in

other places.” Such requests would hardly have been heard in the days of the Catholic Kings. The Castilians were beginning to get ideas from abroad.

The cuadernos, however, are of course primarily valuable for the light they shed on internal affairs; more than nine-tenths of the petitions they contain concern themselves with matters within the realm. The three principal subjects of complaint—which occupy far more space than all the rest put together—are the defects and delays in the administration of justice, clerical abuses and the giving of benefices to foreigners, and the impoverishment of the realm through the economic policy of the crown. Each of these topics will be subsequently taken up by itself; it therefore remains to consider in the present section a number of minor details which cannot be classified under any one head.

One of the commonest demands is that the legislation of the realm be codified and printed “in one volume so that there shall be nothing superfluous and no contradiction.” There was apparently much ignorance about the laws of the land, not only among the people at large but also on the bench and in the bar; “those ordinances which were selected by Dr. Montalvo were faulty and ill chosen, and for this reason the judges give various and divergent sentences, and do not know the laws under which all cases must be tried,” so runs petition 56 of the *cuaderno* of 1523. A subsequent paragraph asks that the *Partidas* be republished, with corrections and additions to bring them up to date. There are also several requests that the “ancient chronicles and histories of this realm be collected and printed,” since it is “profitable and pleasant” to know of “the great deeds done by the kings of Castile, of glorious memory, both in war and in peace... so that they will not be forgotten.” The procuradores begged for a pension of 400 ducats for Florian de Ocampo, who “of his own natural inclination has written for twenty-eight years on the history of Spain ... which is one of the most principal provinces of the world, whose inhabitants have wrought mighty deeds, not only in the wars of the Carthaginians, Romans, and Goths, but also since the coming of the Moors and in the recovery of the same by the Christians, from the time of King Pelayo to that of the final restoration by the Catholic Kings, Ferdinand and Isabella, who are in glory; and since for lack of authors these things are no longer remembered”. But there were other works of which the procuradores wholly disapproved. In the very same *cuaderno* in which they commended Ocampo they drew attention to “the great harm that has been done in these kingdoms to men, boys, young girls, and others through the reading of books of lies and vanities like Amadis [de Gaula] and all the works that have been patterned thereon ... for the youths and the young women spend their idleness over these ... and the more they give themselves up to those vanities, the more they draw away from the true and holy Christian doctrines and remain fascinated with that affected manner of speech.” They therefore demand that no such books be printed in the future, and that those copies already in existence be collected and burned.

The Cortes gave much attention to educational problems. They were insistent on the desirability alike of admitting to colleges and seminaries of learning such candidates as could prove Christian descent for four generations back, and of excluding those who could not; and they begged Charles to see to it that the line was fairly drawn. They desired that occupants of the professorial chairs at Salamanca and Valladolid “be given but a temporary tenure, as in Italy and elsewhere, and not elected for life, since life tenure is a cause of many evils ... for those who have got the chairs take no interest in study or the care of their students,” whereas a temporary appointment “would cause them to work for the increase of their salaries and larger classes ... to write and to see to it that their students should hold disputations and otherwise exercise themselves in letters.” They ask that the degrees of Toledo, Seville, and Granada and of the other universities of the realm be given the same status as those of Salamanca, Valladolid, and Bologna; that the curriculum at Alcalá be brought up to a similar standard, and that the expenses of the higher education be reduced. After hinting broadly that many *letrados*

had obtained degrees by easy methods in foreign universities, and at those recently established within the realm, and were claiming exemption from taxation on that ground, they demand that such exemption be henceforth granted only to those who have graduated after “rigorous examination” from Salamanca, Valladolid, and Bologna. The students also gave food for anxious thought. The Cortes were concerned at the way in which they contracted debts, and the national assembly strove to protect them and their parents from legal action by their creditors. “Since fathers and mothers send their sons to the universities, and carefully provide them with food and clothes and books, and the students, on the pretext that they need to purchase these things, seek to get money by loans or by pawning their books and effects and then gamble it away or spend it for other evil purposes and are thereby distracted from their studies,” the procuradores beg that it be forbidden “to imprison students for such debts, or to take their clothes, their rooms, or their books” and that “their parents be not held for the payment of their indebtedness.” Most serious of all was the state of medical knowledge; the realm was apparently infested with quacks. Charles was assured that many of the doctors then practicing were “untaught idiots”; and that the apothecaries are seldom present in their shops but leave behind them incompetent persons “who mix up the drugs and make other mistakes, from which great harm results for those who take the said medicines.” He is asked to see to it that no one be permitted to practice without a thorough examination and the degree of bachelor of arts, and that that degree be not given save at a university where the recipient has studied medicine for the full four years; to oblige surgeons and doctors to prescribe in Spanish “clearly and without signs or abbreviations, in order that patients may know what they are getting, and for the avoidance of the evils which arise from lack of such information.”

The procuradores were also greatly concerned over the march of luxury and reckless expenditure. They felt that the royal court was the seat of these evils; they resented its establishment “after the Burgundian fashion ... at great cost and excessive waste sufficient to conquer a kingdom,” and were constantly demanding a return to the custom of Castile, “which is suitable, very ancient, and less expensive.” There are numerous outcries against the abuses of purveyance and forced entertainment. “We have often begged your Majesty to give orders,” runs the *cuaderno* of 1542, “that your courtiers pay a reasonable price for their lodgings ... for in this wise your Majesty’s court will be better satisfied, and the householders will receive it willingly”; “it often happens to a poor woman who has nothing but a place in a doorway and a bed of cloths, which she has collected as a dowry for her daughter, that the guests who are imposed on her ruin her bed and destroy it.” Gay clothes and carriages were another fertile source of complaint. “We have seldom observed”, declared the procuradores in 1555, “that men follow the good customs which are brought to these realms from abroad, but that they immediately adopt the bad ones, as for example is the case with the coaches and litters which have lately appeared ... and daily so increase in luxury and expense that a whole fortune is needed for their upkeep; and when people of dignity and importance adopt these fashions, experience shows that everyone wants to follow their example; and such is the insolence with which they bear themselves, that coaches and litters and all their following have been seen to pass by the Holy Sacrament in the streets without a single obeisance; moreover, there have occurred countless terrible accidents through people’s rushing and confusion, through the frightening of horses and mules, and the falls of their riders.” Charles is besought to forbid these coaches and litters, and thereby “to do a service to God, from which these realms will derive profit, contentment, and pleasure.”

Other scattering petitions throw an interesting light on the national customs. The right to bear arms was the subject of much discussion. Apparently the royal officials were constantly interfering with this privilege in the most arbitrary manner; it was probably their intention merely to increase the safety of the streets at night, but their actions affronted the pride of

Castile. Both swords and daggers were permitted by law—the former to be of a standard size—as late as 1551; four years afterwards, however, the procuradores apparently recognized that daggers were very dangerous, “since they are a weapon which the upper classes can scarcely handle without killing, and the lower classes, when they have them, will slay if they are insulted, whereas, if they lacked them, they would let the insult pass”; the procuradores therefore requested that they be henceforth forbidden, and likewise pistols (*arcabuzes pequeños*) “with which men do murder secretly”. Efforts to mitigate the harsh penalties of earlier days were occasionally made. “Since those who are condemned by the Hermandad to be shot with arrows are shot alive, without first being strangled, and this seems to be inhuman, and sometimes causes a lingering death, we beg your Majesty to give orders that no one shall be shot with arrows without first being strangled, since this is the custom with heretics”. The procuradores were also much disturbed about the gypsies. They were forbidden by law to reside in the realm; but apparently, they obtained special licenses to remain “and rob the fields and destroy property and wound and slay anyone who hinders them; and injure and deceive all those who traffic with them; and have no other means of making a living.” Gambling was also rife throughout the realm. There are constant requests’ in the early part of the reign to enforce the *pragmáticas* against cards and dice, and to increase the penalties on those who infringed them. But one also infers that false accusations of gambling were not seldom preferred against innocent persons, for in 1542 and in 1551 Charles is asked to give orders that the authorities shall only take cognizance of cases where the gamblers are caught in the act, or where the loser prefers a specific complaint. It was likewise requested that there should be no penalty on games for stakes of less than two reals, “for it is the poor people who pay, and the rich are never fined or punished.” The reign, moreover, witnessed at least one of the innumerable vain attempts in Spanish history to stop the bull fight. “Since it is notorious that bull fighting often causes men’s deaths and other misfortunes”, runs the petition of the procuradores in 1555, “we beg your Majesty to give orders that this pastime be stopped, or else to change it so as to make it less dangerous”. The royal reply was a refusal to make any innovation. By this time the Emperor had come to know his Spanish subjects even better than they knew themselves.

The records of the Cortes of the realms of the crown of Aragon are not yet available in such form as to make any thorough analysis possible; but much may be inferred from the contemporary chroniclers and from the summaries of the different sessions in later books. Since the claims of these assemblies to a real share in the legislative power were considerably more firmly established than those of the Cortes of Castile, their meetings were at once more businesslike and less interesting to the historian. They did not waste so much time in hit-or-miss petitions about any sort of grievance that might come into their heads, but concentrated on those whose redress they were able to compel. Despite the comparative cosmopolitanism of these eastern kingdoms—especially the county of Catalonia—they are curiously reticent on the subject of Charles’s foreign policy; only when the war was brought to their very doors did they seem to take any interest—as at the time of the French attack on Perpignan in 1542, or of the Moorish raids on the Valencian coasts. There was a great outburst against the conferring of ecclesiastical benefices upon foreigners. It reached its climax in 1533 when Charles presented Cardinal Doria for the archbishopric of Tarragona; from the royal reply, the lists of incumbents, and the fact that similar complaints almost never reappear, one infers that the national representatives succeeded in making their point. The Catalans were naturally much concerned about their commerce; in fact, their enthusiasm for the suppression of the Moorish pirates is probably chiefly attributable to their desire to avail themselves of the right which had been granted them by Ferdinand the Catholic to traffic in certain commodities with the North African ports; in 1519, 1533, and 1537 they demanded and apparently obtained confirmation of this privilege. It is also interesting to observe that they requested Charles to continue to prohibit the importation of foreign cloths into Naples and Sicily, save at a duty of twenty per

cent. Apparently they regarded themselves as competent—at least in economic affairs—to legislate for the *tierras de allá mar*. But by far the greater part of their activities were exclusively concerned with internal matters; the same thing holds true of the Cortes of Valencia, and to an even greater extent of the Cortes of Aragon. Administration of justice, infraction of the *fueros*, salaries of officials, and the preservation of economic resources are the principal topics which interested the *procuradores*, and the constitutional arrangements which they had inherited from the Middle Ages made it usually possible for them to enforce their views. The results of their absorption in these things were unfortunate. The realms of the crown of Aragon occupied, after all, a small portion of the Iberian Peninsula, and it was only by developing their Mediterranean activities that they could hope to withstand the preponderance of Castile. Charles made many an effort to turn them in this direction, but without any really permanent success. At the end of the reign they were left with the forms of their constitutional liberties and little else besides; under Philip even these were in large measure to be abrogate, and the Castilianization of the peninsula was virtually complete.

It is doubtful if any feature of the Emperor's government was more unsatisfactory to his Spanish subjects than the administration of justice. The *cuadernos* are filled with criticisms and complaints of it; in all the judicial hierarchy, there was apparently not a single court which was successfully discharging its functions. Yet the Emperor had the loftiest conceptions of his duties as the highest judge in the land. He made no important changes in the system which he had inherited from the Catholic Kings, and which had been justly regarded as one of the greatest glories of their reign. He constantly sent his royal agents to visit the different tribunals of the realm, to report on their activities, and to suggest reforms. The fundamental difficulty was really twofold. In the first place, the Emperor's numerous absences rendered it impossible for him to administer justice in person with the regularity which had become customary in the previous reign, and had taught all men to respect the law. The judges lacked the constant inspiration of the royal example, which had accomplished so much under the Catholic Kings. In the second, the very accessibility of the tribunals, coupled with the increase in population and the complications incident to the rule of the new dynasty, produced an accumulation of suits which the courts could not possibly handle, so that at the end of the reign there was confusion from top to bottom.

Since it was impossible to remedy the first of these difficulties, the Spaniards concentrated on the rectification of the second. It was in Castile that the evil was chiefly felt; the judicial arrangements of the realms of the crown of Aragon were, relatively speaking, adequate to meet the changed conditions. The trouble began, as we have already had occasion to notice, at the top of the ladder, in the *Consejo Real*. In 1532 the *procuradores* begged for the establishment therein of a separate chamber to hear ecclesiastical cases and civil suits of the highest importance: *pleytos de mil y quinientos*, as they were commonly called. Charles responded favorably, but the recurrence of the same petition in 1548 and in 1555 shows that the promise was not fulfilled; the *Sala de Mil y Quinientos* was not set up until a later day. There were also unfulfilled requests for a special *sala* to have charge of *residencias*. The *Cancillerías*, next below the *Consejo*, were similarly inadequate to their tasks. The two principal tribunals, at Valladolid and Granada, and the subsidiary one in Galicia which had been set up in 1486, were by no means sufficient for the purpose. In 1544 and in 1551 the *procuradores* demanded that another be established at Toledo, "since there are many who cannot leave their homes in winter and have no one to send to represent them ... and since Valladolid and Granada are so far off, and the first named is so cold in the wintertime that men abandon their cases, or else, if they pursue them, spend more than the value of the suit." The royal replies were evasive, but the petition was so obviously justifiable, that in January 1556, a new *Cancillería* was actually

set up; the residence that was finally selected for it was, however, not at Toledo but at Seville. The procuradores also strove to increase the personnel of the tribunals already in existence. In 1528 they begged the Emperor to add another *sala de oidores* to each *Cancillería Real*, “since there are now so few judges that suits cannot be heard without great delays, and there are some cases which have been waiting these fifteen and twenty years ... and since such delays, even if good sentences are finally given, are even more harmful and prejudicial than if bad ones were given and it were done promptly.” Things must indeed have come to a pretty pass to bring Spaniards to prefer such a petition as this. The Emperor responded with the perennial formula—that he would consult with the Consejo and see what it was best to do—but the additional *sala* was not created. Indeed from the fact that ordinances had to be issued in 1532, 1537, and 1542, confirming the organization of each *Cancillería* in four *salas*, with four *oidores* in each, which had been set up by the Catholic Kings, one would infer that the Emperor had some difficulty in even maintaining the arrangements which he had inherited from his predecessors.

There is more material on the lower stages of the judicial hierarchy than on the upper. The *Actas de Cortes* are particularly rich, for the doings of the *corregidores* and *alcaldes* came under the immediate observation of the procuradores. The *corregidores* reached the height of their power in this and in the succeeding reign, but the municipalities were not always satisfied with the character and ability of the men who were appointed, or with the manner in which they discharged their functions. In 1525 Charles was assured that “one of the chief causes for the recent rebellion had been the defects of the *corregidores* and their assistants, who had got their jobs at the solicitation of individuals.” He is accordingly begged “to provide rather for offices than for persons, and to command that the said *corregidores* be lawfully graduated *letrados* who have studied the prescribed ten years, and that they remain continuously at their posts.” The precedents for a short tenure, inherited from the Catholic Kings, were apparently disregarded. In the higher tribunals, many of the magistrates held office for life; if the term for the *corregidores* was theoretically limited, they were not seldom reappointed, a practice which evoked bitter complaint. The *residencias* also failed to work satisfactorily. The *pesquisidores*, who had charge of them, “took as much time about their business as if they were *corregidores*”; Charles was begged to command them “to be brief and not to exceed three months, since the cities have need of their *corregidores*, who are gentlemen.” Most of the complaints about these local officials are concentrated in the early part of the reign, when Charles was much of the time resident in Castile. Whether conditions improved as the years went by, or whether, during the later periods of the Emperor’s absence, the procuradores desisted from preferring petitions whose futility they recognized, it is impossible to say; but it seems probable that matters grew worse rather than better. Charles’s responsibilities were so great that some things had to be ignored, and since his preoccupations were primarily international, it was local affairs that were bound to suffer. Moreover, the financial temptations to appoint unworthy men were doubtless so strong that he was unable to resist them.

Several special details deserve passing notice. It was the custom in criminal cases to oblige the delinquent to testify under oath; this naturally often resulted in perjury, and the Cortes begged Charles to abolish the practice, but the Emperor refused to sanction any innovation. Another request, that the servants of *alguaciles* be not admitted as witnesses, received a similar response. False accusations by malicious persons were also not infrequent; in 1537 the Emperor was requested to give orders that anyone who entered a complaint should pay the costs of the ensuing trial, unless the accused should be proved guilty. He was also asked to forbid the judges to appropriate any part of the fines they imposed to their own use. There were numerous conflicts of jurisdiction with the ecclesiastical tribunals, whose influence was gradually waning; the concentration of authority in the hands of the crown

served to fortify the independence of the secular courts. But the Spaniards were too loyal and respectful to the church to follow as far along this road as their monarch wished to lead them, and they showed it by their defense of the right of asylum. They begged Charles to command his judicial officers to respect the churches and sanctuaries, to forbid them to break in or take any one out, and to force them to pay out of their own pockets for any damage which should arise from such causes in the future. The Emperor promised amendment, but the recurrence of the same request in 1563 would indicate that matters remained exactly as they were.

In the realms of the crown of Aragon Charles did little to alter the existing judicial arrangements. His authority was so much more restricted there than in Castile that he had little temptation to initiate reforms. Outward modifications were consequently very slight; on the other hand, the pristine vitality ebbed rapidly away, owing to the shift of the center of interest into Castile; institutionally speaking, the Eastern Kingdoms were gradually turning into an old curiosity shop. Even the Justicia succumbed to the general trend; the resignation, in 1547, of Lorenzo Fernandez de Heredia, in favor of the royalist favorite Ferrer de la Nuza—permitted by the Cortes in defiance of the law—was ominously significant of approaching ruin. Several changes which were made in the Justiciars advisers seem to indicate that the institution was not working satisfactory. The council of five legists appointed by the crown, which had been imposed upon the Justicia by Ferdinand the Catholic, was increased to seven in 1518, and then, ten years later, abolished; while the number of the Justiciars lieutenants was raised to five, to be selected by the king from a list of sixteen, who were chosen by the Cortes. There were also frequent appeals from the Justicia's court to the royal audiencia—a practice by no means pleasing to the representatives of the nation, who strove to facilitate the reappeal of some cases from the audiencia to the Justicia on the plea of *contrafuero*. The Cortes, in fact, labored heroically throughout the reign to observe the forms of all the ancient liberties, but the revival of institutional energy was a task beyond their powers. The kingdoms that they represented were falling steadily into the background; Charles respected the great traditions which they had inherited from the Middle Ages, but he knew that Castile was the real source of his power. The diminishing significance of the realms of the crown of Aragon in the fabric of the Spanish Empire is naturally reflected in the history of their internal development; and it was to prove increasingly difficult to maintain institutions whose practical importance had become so slight.

The financial and economic history of Spain under the Emperor is an exceptionally difficult topic, on which much has been recently written, though the last word is very far from having been said. New material is rapidly accumulating, and the conclusions of the older writers are being constantly upset. Efforts have been made, by the Emperor's admirers, to show that Spain was strengthened rather than weakened, at home as well as abroad, by his administration, that he introduced no disastrous financial innovation, and that his reign coincided with the period of Spain's greatest economic prosperity; they place the beginning of her decline, in those respects, in the time of Philip II. But their arguments have been pretty conclusively refuted by those who have taken the other side. After all, the common sense of the situation would imply that it was impossible that Spain should be subjected to the terrible drain which Charles's foreign responsibilities and increasing wars inevitably caused, without showing the effects of it. Sandoval tells a story which will bear repetition in this connection. The Emperor, it appears, got lost while out hunting in the woods near Toledo, and finally, meeting a peasant who failed to recognize him, fell into conversation. The peasant said that he had lived to see five kings in Castile, and when asked which of them he considered the best and which the worst, replied that Ferdinand the Catholic was unquestionably the one, and the present sovereign the other. Pressed to give his reasons for his disapproval of the Emperor, he

answered that Charles had abandoned his wife and children to go off to Italy, Flanders, and the Empire, that he had carried off with him all his revenues and the silver and gold of the Indies, and, not content with these, that he was ruining the poor farmers by his taxation.

The first problem that presents itself in connection with the economic history of the reign is naturally that of the organization of the central financial body of the kingdom of Castile. In the early part of the reign of Ferdinand and Isabella, as we have already seen, the collection and administration of the royal revenues had been placed in the hands of two *Contadores Mayores* and two *Contadores Mayores de Cuentas*; and as their duties became increasingly arduous as time went on, there were added to them, before the Emperor's accession, a number of other officials, with special functions of their own. Among these was the *Relator*, a sort of general bookkeeper and accountant, two, and later three *Porteros*, who drew up the agenda for each *Contaduría* every day, a special officer to look after the revenues from the silk manufacture of Granada, and, most important of all, five *Veedores*, who saw to the payment of the troops. In view of all the new questions and complications which arose with the accession of Charles, it was obvious that this organization needed centralization and development; and when the Emperor returned to Castile after the defeat of the Comuneros, he at once gave his attention to the problem of reform. By a cedula of January 1, 1523, at Valladolid, he gave to a committee of six persons, of whom the Count of Nassau was first, the task of superintending and systematizing the work of the *Contadurías*, and of the functionaries associated with them. This committee was to meet every day in the royal palace, and go over every detail of the royal revenues; it was to bring the *Contadurías*, which hitherto had been almost autonomous, and exceedingly jealous of any interference by the *Consejo Real*, into close subordination to the crown. It has been maintained that this body was merely a temporary and exceptional means of centralization and superintendence, made necessary by the confusion which resulted from the revolt of the Comuneros, and that it did not essentially alter the system of the *Contadurías* inherited from the preceding reign; it has been asserted that the name of *Consejo de la Hacienda* cannot properly be given it during the lifetime of Charles V; and it is fair to add that that title does not officially appear in the laws of the realm until the time of Philip II. On the other hand, it was constantly referred to by the Emperor himself under that name, from the very date of its inception; it continued its sittings and gained steadily in authority and prestige; and it seems impossible to avoid the conclusion of a more recent writer that its advent marked a great change in the financial system of Castile. It certainly brought the collection and administration of the revenues far more closely than before under the eye of the crown, and paved the way for measures at which Spaniards, if left to themselves, might well have balked. The appointment of the Count of Nassau as president is highly significant, though he only held office for two years. He had been 'Chef et Surintendant des Finances' in the Netherlands, and Charles obviously preferred him to any native magnate. In this matter, at least, his Hispanicization was incomplete.

Under the direction of this reorganized Exchequer, the royal revenues were enormously increased. It is, in fact, generally admitted that Charles drew at least three times as much from Spain at the end of his reign as at the beginning. By far the larger portion of the burden fell on Castile; the sums derived from the Eastern Kingdoms were doubtless somewhat greater in 1555 than in 1520, but in general it was Charles's policy, financially as well as otherwise, to let them alone. Of the different sources of the increase in Castile, the first, and one of the most important, namely, the *servicios* voted by the procuradores, has already been mentioned; we have also followed the establishment of the *encabezamiento* in lieu of the *tercias* and the *alcabala*. The revenues from the Indies, which first began to assume large proportions in the late forties and the fifties, will be taken up in detail in another place. It remains for us to

consider briefly here certain other sources from which the royal treasury derived its increased funds.

I. Revenues from the Orders of Military Knighthood. The process of annexation which had been begun by the Catholic Kings was completed early in the Emperor's reign, when Pope Adrian VI, by a bull of May 4, 1523, declared that they were forever united with the Crown. The annual yield of the three Orders has been estimated at about 75 *cuentos*; but the Emperor was soon after obliged to hand over their administration, and the revenues derived from it, as well as that of the quicksilver mines of Almaden, which belonged to the Order of Calatrava, to the great Augsburg banking house of the Fuggers, partly as a repayment of loans for the bribing of the imperial electors in 1519, partly as security for a further advance.

II. Revenues from the church. When, in 1519, Leo X granted Charles a tenth of the clerical income, there was a terrible outcry; but in 1532 the proportion was raised to a quarter. In 1539, after it had become obvious that Charles's plan for the establishment of a *sisá* could not succeed, the clergy voted him one-half their income, the so-called *medios frutos*, for the years 1540-42; and this proportion was continued until the very end of the reign, sometimes with the approval, and sometimes against the positive command of the Pope.

III. A general increase of the rates of taxation on Spanish industries and raw material, and on the movement of cattle and of commodities from one part of the realm to another. The revenues from *servicio y montazgo* rose, as did those from salt pits and from the silk manufactures at Granada; and in general it may be fairly said that the dues were imposed primarily with the idea of filling the royal coffers, and not seldom in such fashion as to do the greatest possible harm to the economic welfare of the nation as a whole. The difficulty of collecting these revenues caused the government to farm them out to financial agents whenever possible.

IV. The sale of all sorts of rights, privileges, and offices. Such sales had not been unknown in the previous history of the realm, but they certainly had never before reached the proportions which they now attained. Patents of nobility, legitimations of natural children, sinecure posts of influence and authority, were constantly granted in return for funds. More significant still was the recent development of the system of so-called *juros*. These were originally royal grants of annuities out of the state revenues, conferred by the monarch as a mark of favor. But under the Catholic Kings the custom had arisen of using them as a means of obtaining money. *Juros* were sold for lump sums of from ten to fifteen times the annual yield; the purchaser, in return, receiving the right to get his interest, at a high rate, directly from the tax collector, before the sums received were turned over to the royal treasury. This not altogether admirable system of mortgaging the future attained enormous proportions during Charles's reign; what was even worse, the necessities of the government not seldom obliged it to forbid the payment of the interest; the national credit consequently declined, and there was much gambling and speculation in bad *juros*. Everyone recognized the evil, and various plans were proposed for bringing it to an end, but the necessities of the government preserved and increased it. In 1504 the Crown's outstanding debts to the holders of *juros* (the so-called *juros al quitar*) amounted to eighteen *cuentos*. In the following year they had risen to nineteen and a half. In 1539 they had reached ninety-nine. After that date it is almost impossible to distinguish the *juros al quitar* from other financial obligations of the crown of Castile, but there is every reason to believe that the amount of them continued to increase even more rapidly than before.

The way in which the system of *juros* developed during the Emperor's reign is indicative of the increasingly precarious state of the royal finances. But the sums for which, under this system of *juros*, he became indebted to his Spanish subjects, were trifling in comparison with

those which he came to owe to foreign bankers. Large sums were advanced to him by the great financial houses of Florence and Genoa; larger still were the sums which he borrowed from the Fuggers and Welsers of Southern Germany. His relations with the Welsers were largely concerned with the affairs of the Indies, and may therefore be more conveniently considered in the second part of the present volume; but the Fuggers appear at almost every stage of his financial career, and at the time of his abdication they had acquired a strangle hold on the revenues of Castile. They had established themselves in Lisbon during the first decade of the sixteenth century and plied a thriving trade there; one of their representatives accompanied Charles on his first visit to Spain in 1517, and in all probability remained there when the king returned to the Netherlands; in 1519, as we have already seen, they advanced him large sums for the purposes of the imperial election. From that moment onward Charles's dependence on them steadily increased. For every new loan, they managed to possess themselves of one after another of the sources of royal revenue; on the heels of the grandmasterships followed greater or lesser portions of the *alcabala*, the *servicio*, the *crusada*, and the revenues of the Indies. The rate of interest they demanded steadily rose, in proportion, as the royal credit became less and less secure; in 1540 it was ordinarily fourteen per cent; moreover the Fuggers were not ashamed to force the government to antedate by several months the contracts that they made, so that the amounts they received were substantially increased. The Cortes, of course, were loud in their complaints, as soon as they realized what was taking place. To their natural dislike for the procedure in question was added their traditional detestation of foreigners, and their conviction that the revenues of their native land were being carried outside the realm. But no attention was paid to these remonstrances as long as the Emperor remained upon the throne. His son was indeed to make a heroic effort in that direction in June, 1557, when his father was in retirement at Yuste; but Castilian credit was unquestionably at a far lower ebb at the time of Charles's abdication than it had been since the days of Henry the Impotent. The amount owed the Fuggers by the government in Spain alone, quite apart from the obligations to them which Charles had incurred in other lands, was probably well over 2,000,000 ducats, and the sums which it owed to other similar houses at least 5,000,000 more.

It was indeed a sorry ending. In a well-known "Memorial de las finanzas de España en los años 1560 y 1561," Philip II affirmed that all the state revenues were alienated and that their redemption would cost 20,000,000 ducats; while a seventeenth-century historian, Matias de Novoa, asserts that the Emperor's debts at his abdication amounted to no less than 60,000,000 escudos. At the other extreme, an ardent defender of Charles's financial policy, basing his conclusions on an account of the state of the Hacienda in 1554, and the estimated expenses for the next three years, reduces the total of the national indebtedness to 4,329,435 ducats; but the methods by which he arrives at his conclusions do not serve to inspire confidence. And finally we have another financial report of uncertain date from the reign of Philip II, stating that whereas at the time of writing no less than 40,000,000 ducats were needed for the discharge of the royal obligations, the deficit in 1560 was but 13,000,000; from which the writer deduces the incompetence of those who had administered the royal finances in the interval. Among such widely scattered alternatives it is perhaps rather futile to try to make a choice, but in general there is good reason to believe that the estimates of Philip and of the *contador* who referred back to the situation as it existed in 1560 are the most nearly correct; that the deficit, in other words, at the end of the Emperor's reign was between 13,000,000 and 20,000,000 ducats. There can be no question that the debt accumulated with terrible rapidity in the latter years of the reign, when Charles was constantly absent and occupied about other affairs. That he had seen grave financial trouble ahead in 1543 and in 1548 is made clear by passages in his instructions to Philip. But those were the days of his triumphs and renown; when the tide turned against him in the early fifties, his credit inevitably suffered, and the condition of the Hacienda became infinitely worse. And, finally, we cannot doubt that the damage was

primarily due to the expenses of Charles's foreign wars and to the outside responsibilities which Spain had been forced to assume as a result of the Hapsburg inheritance. We have seen that the Emperor was probably getting from Spain, at the end of his reign, about three times as much money as he derived from her in the beginning, and yet there is little evidence that his purely Spanish expenses had been substantially increased. Petitions for raises in the salaries of state and court officials were constantly refused; the royal guards received 80 *cuentos* (or 213,333 ducats) in 1504; in 1560 Philip estimates their pay at "over 200,000 ducats." Doria's fleet did not come into the reckoning until after 1528, and the North African presidios were relatively unimportant until after 1530; yet it is interesting to observe that while 339,000 ducats are set aside for these purposes in 1543, the corresponding estimate seventeen years later is only 21,000 more—an almost negligible increase. And yet, with all his larger loans and taxation, the Emperor found it impossible to meet his obligations. The tale of his inability to pay his troops is highly significant, a sad harbinger of the even worse conditions which were to obtain under his son. Not once did the soldiers get their money on time. At the end of 1535 Charles was one whole year in arrears. In 1543 he agreed to pay his troops one-half of what he owed them; and it was not until 1550 that they received what was due them for the last half of 1547.

Admirers of the Emperor have maintained that the real source of his financial difficulties was the rise of prices which was such a noteworthy feature of the sixteenth century; one of them has even gone so far as to pretend that the increase of taxes during his reign was more than counterbalanced by the contemporaneous fall in the value of money, and that Charles should consequently be regarded as having rather diminished than augmented the burdens of Spain. But this contention can be easily refuted. In the first place, it has not yet been positively proved that there was any general rise of prices in Spain until the decade following 1540, when the gold and silver of the Indies first became available in considerable quantities. It is in the year 1548 that the change begins to evoke universal comment and complaint. Now Charles's financial difficulties, though they did not reach their maximum until the end of the reign, began at a much earlier date. In 1523, the year when the royal accounts were first carefully examined, it was evident that to pay outstanding bills it would be necessary to draw upon the revenues of 1524, and that to meet any sudden or exceptional demand the royal patrimony would have to be mortgaged or sold. In 1536 no less than 80 *cuentos* had to be borrowed on the next year's account. The chief definite evidence now available of a rise in prices during this earlier period is that which resulted from the occasional presence, in the different cities of the realm, of Charles's spendthrift Burgundian court. The complaints on this subject, as we have already seen, were loud and constant; they reached their climax in the period just after the war of the Comuneros, when the resulting devastation, and the concentration of large bodies of troops for the war in Navarre, rendered the situation particularly bad in the north-central portion of the realm. The grumblings of the ambassadors of Henry VIII of England, who sent back to their monarch the most appalling accounts of the difficulties in the way of gaining adequate subsistence with the salaries they received, might also make the casual reader believe that the 'price revolution' had already arrived. But large allowance must be made for ambassadorial exaggerations, and for the notorious miserliness of the Tudor monarch's payments; above all, it must be remembered that the conditions described were local rather than national in their scope. The court to which the ambassadors were attached, and which was the primary cause of the conditions of which they complained, was ambulatory; and the character of the country was so highly diversified, that it is impossible to draw any general conclusions from the situation that obtained wherever the court happened to be. There can be no doubt that the rise of prices served materially to enhance the Emperor's difficulties during the last ten or fifteen years of his reign. It was certainly one of the chief reasons why conditions grew so much worse, so very rapidly, at the close; but it cannot be

advanced as an adequate excuse for his increased demands, or even regarded as a primary factor in causing the debacle; that responsibility must be borne by the Emperor's foreign wars and dynastic inheritance. Even the Burgundian court, which was the chief cause of such rise in prices as occurred during the early part of the reign, was the direct result of Charles's non-Spanish traditions.

A few words may be added at this point in regard to the general economic conditions in Spain under Charles V. The Emperor's measures in this respect have been vigorously defended, and the theory advanced that his reign marked the most prosperous period in the country's development; naturally the advocates of this view have been driven to the conclusion that the whole blame for the terrible decline which was evident to all observers by the end of the sixteenth century should properly be made to fall on the shoulders of Philip II. But a more critical examination of the available evidence makes it clear that a large share of the responsibility should be attributed to Charles. His main object throughout was to derive revenue from Spain, and a large proportion of his edicts on matters economic were chiefly put forth in order to serve that end. Occasionally he would attempt to salve his conscience by following the suggestions which were offered by the procuradores for the amelioration of the conditions for which his exactions were in large measure responsible; but in general these suggestions were so ignorant and so self-contradictory that their adoption did more harm than good. The Spanish economic problem was unusually difficult, and Spanish economic knowledge distinctly below the average; when one couples these facts with a ruler whose viewpoint, in such matters at least, remained rather dynastic than national till the very end, one ceases to wonder that the country suffered.

In matters of agriculture and pasturage, the Emperor followed the example of the Catholic Kings, who, while by no means neglecting the interests of the tiller of the soil, tended on the whole to favor the grazier and the herdsman at his expense. This policy had yielded good results in the preceding reign; but under Charles, after the devastations of the revolt of the Comuneros, the effects on Castilian agriculture were little short of disastrous. Many of the peasants were unable to get their land under cultivation again, and the crops were inadequate and of inferior quality. The Cortes of the succeeding years were loud in their complaints, to which the government partially and occasionally paid heed; but it is abundantly clear that no real remedy was found. In 1541, Damianus a Goes, a notable enthusiast for Spain and the Spaniards, asserts that for twenty-five years past there had been unceasing importation of foreign grain into Northern Castile. The natural result of this was a rapid rise in the cost of foodstuffs, which Charles in 1539 attempted to check by fixing the maximum price of a bushel of wheat at 240 maravedis. But when he found that this and similar measures threatened to cut down his own income, he promptly drew back. In 1548 he commanded the tax-farmers to turn over one-half the corn which they derived from the lands of the Military Orders to the municipal storehouses at cost price; but two years later, when it was pointed out to him that the enforcement of this ordinance would inevitably result in less advantageous contracts with his banking friends, he reluctantly permitted it to fall into desuetude.

The Mesta, on the other hand, continued to receive the most powerful protection from the Crown. The eighty years from 1476 to 1556 mark the very climax of its prestige, and it was stronger, on the whole, at the end of that period than at the beginning. The main reason for this was doubtless Charles's financial needs. He had discovered, early in his reign, that the Mesta could be made to contribute funds far more quickly and in greater quantities than the tillers of the soil; in fact, he had levied a special subsidy on it in 1519, for the purposes of the imperial

election. The lesson thus learned he never forgot; and he cherished the Mesta to the end of his days as one of the most reliable sources of royal revenue.

The legislation of the reign in regard to horses and mules is interesting and significant. An ancient law of the *Partidas* decreed that Caballeros should ride on horseback, as “honor and tradition demanded”; but of recent years the use of mules had become increasingly popular. The Catholic Kings had vainly tried to check the new tendency, which seems to have increased with the incoming of Burgundian fashions, and in 1534 the Cortes took up the subject vigorously. It was felt that the nation was growing effeminate; there was a terrible shortage of mules for labor in the fields; and the upshot of the matter was that the Emperor put forth an elaborate *pragmática*, obliging caballeros to observe the law of the *Partidas*, threatening them with the death of their mules if they continued to ride them, and forbidding the export of horses from Castile. It seems clear that at first this *pragmática* was pretty strictly observed (we are even told that “certain mules paid the penalty in Valladolid and in other towns for justice’ sake”); but so many horses were lost in the disastrous expedition against Algiers in 1541 that in the following year the Emperor granted numerous exemptions from the law. These exemptions multiplied in the succeeding years; they were sold by the Crown at the profitable price of fifty ducats apiece; and finally, the Cortes of 1548 asked Charles to annul the *pragmática* of fourteen years before, since it accomplished little good and was productive of much evil. The Emperor’s reply was to extend the exemption already granted, but not to abolish the law; the increased use of coaches and litters also operated to diminish horseback riding, and fifty years afterwards Sandoval complained that “the men of the realm had become like feeble women, and that it was to be feared that the day of judgment which God had threatened was near at hand, when the chiefs of the nation should become feminized, as it had been in the last days of the mighty Goths, when Spain was lost to the infidels”.

On the side of industry and manufacturing there is a different tale to tell, but one even more unfortunate for the economic development of Spain. Here the policy of Charles is in sharp contrast with that of his predecessors, who had striven, with considerable success, to protect and foster the national industries, by forbidding the importation of goods produced abroad. In so far as it is possible to ascribe any general trade policy to the Emperor (the oscillations between extremes are so numerous throughout that it is exceedingly difficult to discern any general trend), it is that of encouragement of foreign manufactures, provided they paid the duties imposed. Whether this was done with the idea of gaining more revenue from his customs houses, or with some vague idea of binding the different portions of his scattered Hapsburg dominions more closely together by stimulating the commercial intercourse between them, it is impossible to say; certainly it is difficult to agree with those who have seen in his measures any evidence of a nascent appreciation of the beauties of free trade. It is only too evident that Spanish industry suffered as a result of his rule. Ferdinand and Isabella, discerning that the large quantity and excellent quality of Spanish wool offered exceptional opportunities for the manufacture of cloths, had done their utmost to protect that industry from foreign competition,<sup>1</sup> and with such success that in 1513 Guicciardini, who spoke in the most derogatory terms of Spanish industry in general, was obliged to confess that there had recently been a marked improvement in that particular line. But by the middle of the Emperor’s reign there are evidences of a change for the worse. In 1537, in 1542, in 1548, and in 1552 the Cortes complained that the quality of Spanish cloths had deteriorated; and at the same time we learn that the importations from Flanders were increasing; in 1545 Bruges alone took 500,000 ducats out of Spain in return for the woolen goods which she sent there. And when, owing to the rise in prices in the latter part of the reign, the poor people complained that they could not afford to buy the finer quality of cloths, in the production of which Spain excelled, Charles commanded that only the cheaper sorts be manufactured in the future, thus dealing a heavy

blow to the industry in Spain, which was incapable of competing, in fabrics of inferior grade, with the cloth industries of foreign lands. With the silk industry of Granada, which had been taken over from the Moors in 1492, and favored and encouraged by the Catholic Kings, the situation was even worse. Not only did Charles permit the importation of foreign silks, against the expressed desires of the Cortes, but in 1546 he rearranged and increased the tariffs on the exportation of raw silk and manufactured goods from Granada into Castile and into foreign countries in such fashion that the raw material could actually be obtained more cheaply by Genoese traders than by the Spaniards themselves. In 1557 we learn from the Venetian ambassador that Granada is crowded with foreign merchants who are making large fortunes out of the silk trade; in the following year Luiz Ortiz, in a report to Philip II, declares that Toledo has lost the greater part of her silk manufacturing establishments.

In other branches of economic life there are evidences of a similar state of affairs. In 1537 the Cortes complain of the bad quality of shoes, and of the evil results of the export of iron ore to France. In 1548 they declare that foreign merchants are buying up all the wool, silk, iron, steel, and other commodities in Spain, so that the home industries are completely ruined, and all the profits are carried off to other lands; Spain is significantly described as having become an “Indies for foreigners”; and ten years later it is asserted that owing to her poverty “other nations regard her inhabitants as barbarians and treat them even worse than Indians.” Making all due allowance for inevitable exaggerations, these statements cannot be taken to indicate anything but an economic decline. The blame is increasingly laid, as the reign progresses, on the foreign merchants and bankers, and the facilities that had been granted them for gaining control of the national resources. The ‘big business’ interests which they represented were able to accommodate themselves to, and even derive profit from, the rise in prices and consequent fall in the value of money; but for the unorganized mass of the population of Spain the development in question was little short of disastrous. Despite all the silver and gold that streamed in from the Indies, there was a notable lack of currency in Castile. Prohibition of the export of the precious metals was of no avail; as early as 1524, before the American revenues were available in any considerable quantity, it was complained that the king of France paid a large share of the expenses of the armies with which he was fighting Spain with the gold which he managed to derive from Spanish lands. Yet after all it would have been surprising if the result had been very different. Castile was the only one of the Emperor’s possessions where his power was sufficiently firmly entrenched to enable him to levy imposts without serious opposition, and to regulate trade in such fashion as best suited his own financial needs; it was inevitable that it should be the first one to be called upon to pay the cost of Hapsburg imperialism. Its own economic past had been so checkered and uneven that the Emperor felt neither the support nor the restraint of any firmly established traditions. In return for his steadily growing approximation to the Spanish point of view in matters religious and political, he probably felt that, in economic affairs, he had the right to utilize the country for his dynastic ends.

The sixteenth century is the greatest period in the history of the Spanish army. The reforms and reorganization initiated by Gonsalvo de Cordova had reached full fruition by the time of the accession of Charles V; and the Emperor also had the great advantage of being able to build upon the principles of national military service first enunciated in the *pragmática* of 1496, and subsequently developed by Cardinal Ximenes in the *alistamiento* of 1516. At the same time every effort was made to surround the profession of arms with a halo of glory, and to make it seem a privilege rather than a burden to embrace it; to preserve mediaeval ideals while adopting modern organization. But unfortunately this latter aim proved impossible of realization, at least in the lower ranks, save possibly in those bodies of troops which were

retained in Spain for purposes of defense; for the greater part of his foreign wars the Emperor was obliged to rely upon mercenaries, recruited in the ordinary fashion, and characterized by the same merits and defects that have always been displayed by that class. His problem was greatly complicated by the fact that so many German and Italian troops were enrolled in his armies. They were separately organized and led, and as far as possible kept apart from the Spaniards, whom they disliked; but their presence gave the imperial forces a certain aspect of heterogeneity, which Charles, with all his efforts, was never quite able to overcome. Yet it cannot be doubted that constant and intimate contact with soldiers of other lands was a most important element in the broadening of Spain's outlook which is so marked a feature of the Emperor's reign; militarily as well as politically, economically, and intellectually, the tradition of her isolation was being rapidly broken down.

Military tactics and strategy underwent no important modification during Charles's day. Save for certain notable improvements in the arts of intrenchment and fortification, which were made during the reign of Philip II, the methods of Gonsalvo de Cordova maintained their supremacy until the time of Gustavus Adolphus. A change in the matter of military organization, however, is to be noted, with the appearance of the so-called *tercios* in the year 1534. These were new divisions of the Spanish infantry, so named, it is said, because at the outset they numbered 3000 men, each one of them composed of twelve companies, each of which in turn was 250 strong. The *tercios* make their first appearance in Italy, where they were named after the region in which they were quartered—as, e.g., the *tercios* of Lombardy and of Naples; but no foreigner was ever admitted under any circumstances to their ranks, a fact which goes far to account for the pride with which the Spaniards regarded them.

The reign of the Emperor is the last great age of the Spanish military hero of the old traditional type, the dauntless warrior whose feats of individual prowess were discussed throughout the land, like those of the popular toreador of today. Some of them had the good fortune to be advanced to the highest positions, and to leave large fortunes behind them, like Antonio de Leyva and Fernando de Alarcon, who guarded Francis I; but the popular favorites were those who never attained the most exalted ranks, and were distinguished rather because of their physical strength and unflinching courage, than on account of their abilities as strategists or tacticians. Of these probably the most famous were Juan de Urbina and Diego Garcia de Paredes, both of whom had won laurels in the armies of the Great Captain, but lived on, respectively, to 1529 and 1533. Men loved to tell how Urbina, when Lautrec was besieging Milan with the army of the League, happened to pass, quite alone, by a spot where a fellow countryman was being assaulted by five Italians, and called on him for help; how Urbina went to his rescue, and how “the five Italians turned their faces to him after having knocked over the Spaniard, and at first pressed him very hard, but soon afterwards two of them left him to return to the fallen man, who was getting up, and then Juan de Urbina slew two of the three who remained to fight with him, and with the partisan of one of them put to flight the others, who were killing the soldier and were in fear of being slain also. He gathered up their arms as a proof of his victory, and returned to Milan, wounded in the breast by a stroke of a partisan, and with a knife-thrust in the cheek, and another small cut in the sword-hand, and so covered with blood that he was unrecognizable.” Paredes, it would appear, was even more remarkable. He fought “eleven duels in closed lists and won them all, which no other man has done in our day and generation.” ... “When the Emperor was going to Piacenza from Genoa he threatened the Count of Nassau, who, at the command of the Emperor, was drawing up the troops, and had wanted to put him out of the squadron of the knights because he was not properly armed. The Count complained, but his Majesty told him that that was Diego Garcia de Paredes, who was worth more without arms than another man would be with them. In Soria he broke a pimp's head with a bench, and threw another into the fire, and two

women afterwards, so that one of them died; also two low fellows who were laughing at him and asking for the food his servants had cooked for a gentleman. On one occasion, when he was in a tavern on his return from the Turkish war near Vienna, a number of others attempted to eject him from it, but he defended the inn against them, and wounded and even slew some of his opponents." "On many occasions," as the contemporary chronicler naively confesses, "he completely lost control of himself, a fact which explains his failure to attain to greater dignities," but his irregularities doubtless served to increase rather than diminish his popularity with the masses.

In naval affairs the period of Charles V witnesses a number of significant changes, most of them in the matter of the construction of ships. In the first place, the necessity of carrying cannon, and various demands of transatlantic navigation, served materially to increase the size of the vessels. The heavy galley, propelled by oars, which in the fifteenth century had on the whole tended to lose ground in favor of lighter ships, recovered its ancient preeminence, and was built on larger lines than before; the still heavier galleon or *galleasse*, destined primarily for voyages to the Indies, and depending solely on sails for its propulsion, also began to make its appearance. The foremost figure of the Spanish navy of the time was probably the elder Alvaro de Bazan, whose fame was subsequently to be overshadowed by that of his still greater son and namesake. He it was who most clearly foresaw that the ships of the future were destined to depend on sails, and who first rightly estimated the effects that the discovery of the New World was bound to have on the maritime development of his native land. But Alvaro de Bazan by no means stands alone. Side by side with him are a host of naval architects and inventors, of whom the most prominent was perhaps Blasco de Garay. In 1539 this man sent a memorial to the Emperor, outlining eight different naval inventions, of which the first and most significant was a plan for the propulsion of ships by paddle wheels turned by human agency. It appears that this experiment was actually tried, and it was found that in calm weather a vessel of 350 tons could be made to cover one league per hour in this fashion, and that it could turn around in half the time that was required by a regular galley. We also hear of schemes for armor plate, for floating batteries, for diving bells, and even for marine camouflage, all highly rudimentary, no doubt, but indicative of keen interest in naval affairs, as is also the large and diversified outpouring of naval literature during the period. One accompanying development, due primarily to the increasing importance of the Indies, and secondarily to the reliance which the Emperor placed on Andrea Doria for warfare in the Mediterranean, was the rapid decline of the naval importance of Barcelona and Valencia, and the corresponding increase of that of the cities of the Atlantic coast. Not only do the maritime energies of Seville and Cadiz expand apace; the ports of Cantabria, Asturias, and Galicia follow suit; they furnish the fleets to transport the Spanish armies to the Netherlands and to the Empire, and revive the pristine glories of this earliest of all the homes of the Spanish navy. It was only one of a number of auguries of the beginning of the transference of the center of Spanish imperial power from Aragon to Castile, from the Mediterranean to the Atlantic, from the Old World to the New.

The Emperor's reign sees a further development in the broadening of Spain's literary and artistic horizon which had begun in the fourteenth and fifteenth centuries. It was from Italy that most of the new impulses came, but German and Flemish influences are also discernible, and the relationships between the courts of Spain and England served to make each country better acquainted with the other's intellectual life. Very notable is the change in the attitude of other European nations towards the capabilities of the Spaniards in these directions. Boccaccio had called the Castilians "semi-barbari et efferati homines," and Lorenzo Valla had spoken of the Aragonese as "a studiis humanitatis abhorrentes," but now in the sixteenth century the atti-

tude is completely changed, and Spanish scholars are universally held in higher esteem. Juan Luis Vives, the Valencian philosopher, was called to the University of Louvain as professor of belles-lettres before he was thirty years of age; he was invited to England by Henry VIII, made tutor to the Princess Mary, and later elected a Fellow of Corpus Christi College, Oxford. Four years afterwards his opposition to the divorce of Catharine of Aragon deprived him of the royal favor, and in 1528 he retired to Bruges, where he lived most of the time till his death in 1540. He, Bude, and Erasmus, were called the triumvirs of the republic of letters in the sixteenth century; and it was said that while the other two surpassed him in wit and in learning, Vives excelled them both in the soundness of his judgment.

The period has been described as characterized by a mania for founding institutions of higher education. Cardinal Ximenes's great university at Alcalá had pointed the way, and its two leading lights, Antonio de Lebrija and Lucius Marineus Siculus, spread the enthusiasm for learning throughout the length and breadth of the land. Sometimes the new foundation was attached to one of the older universities—as, for instance, Alonso de Fonseca's Colegio Mayor in Salamanca; more often it was set up independently, in some new place, so as ultimately to draw down upon itself the jealousy and bitter hatred of the older institutions, which could not bear to see their classes suffer as a result of the increased resort to colleges of more recent origin. The Society of Jesus signalized itself by its zeal in the cause of education before the end of the reign, the first Jesuit college in Spain being founded by St. Francis Borgia, who subsequently became the third general of the order, at Gandia, his birthplace, in 1546. Modern subjects and humanistic methods came in on all sides, to the prejudice of the scholastic ones, and the Emperor was glad to encourage the new tendencies. Intellectual Spain was now thoroughly imbued with the spirit of the Renaissance.

It is in the realm of literature that the Italian influence is most conspicuous. Andrea Navagero, the Venetian ambassador at Charles's court, became acquainted, in 1526, with the Barcelonese poet, Juan Boscan (d. 1542), introduced him to the new school of Italian poetry, and encouraged him to work for its adoption in Spain. As Boscan's native tongue was Catalan and not Castilian, he would have found this a difficult task to accomplish unaided; but fortunately his intimate friend, the poet, Garcilaso de la Vega, brother of the popular hero in the revolt of the Comuneros, had also become a convert to the new style of writing, and loyally seconded the efforts of Boscan. The verses of both poets were published, in a single volume, in the year 1544, and from that date onward the triumph of the fashions of the Italian Renaissance was definitely assured. On the side of the drama the works of Juan de Encina, Bartolome de Torres Navarro, and Gil Vicente mark the transition period between the older ecclesiastical plays and the 'comedias de capa y espada' in which the Spaniards were soon after to attain their greatest fame. Since Torres Navarro lived most of his life in Naples, and since Gil Vicente was a Portuguese who preferred to write in Spanish, it is easy to account for the foreign influences which so greatly accelerated the change; but after the new ideas had once taken root, Spanish dramatic talent and originality convincingly asserted themselves, and laid the foundations for a national dramatic style which constitutes one of the glories of the peninsula. The Sevillan, Lope de Rueda (1510?-65), is the foremost figure in the movement; he has been justly described as the father of the Spanish theatre. The astounding success of the *Lazarillo de Tormes* which was first published at Antwerp in 1553, marks the corresponding victory of the modern novel of real life over the mediaeval romance of chivalry.

The development of historical writing during the reign is perhaps, for the student of political affairs, the most interesting of all. Italian models were doubtless carefully studied, as is abundantly proved by the life and works of the imperial biographer, Alfonso de Ulloa; but what chiefly inspired the Spaniards to write so much more and so much better history than they had ever done before was the consciousness of the greatness to which they themselves

had attained, and the desire to perpetuate the memory of it for the benefit of posterity. The increasing importance of the office of royal chronicler in Castile (which had existed since the end of the fifteenth century, if not before), and the foundation of corresponding positions for Aragon and the Indies, bear witness to the Emperor's zeal for the encouragement of historical writing; and his ability to draw the line between the literal truth and the flattering eulogies from which most of his appointees were unable to turn aside, is attested by his ironical remark on the commentaries of Luis de Avila, that though Alexander's achievement surpassed his own, he was less fortunate in his chronicler. The list of historical writers whose names add luster to the reign is far too long to enumerate here; some of the most eminent of them did not attain the coveted position of royal chronicler at all; but the progress of history, as well as that of poetry, prose, and the drama during the period, is amply sufficient to justify the statement that the first half of the sixteenth century marks the beginning of a new era in the development of Spanish literature.

In painting and sculpture the reign of the Emperor in Spain has few products of exceptional value to boast of, though many Spanish artists crossed over to Italy and studied under the direction of the great masters there. But in architecture there is another tale to tell; the age of Charles V witnesses the final evolution, out of the long conflict between 'Mudejar,' Italian, and German Gothic influences which had raged during the preceding century, of a distinctively Spanish style, the plateresque, so called because the fineness of its ornamentation recalls the work of the *platero*, or silversmith. Its first great name is that of Enrique de Egas, a good specimen of whose art is the Hospital de Santa Cruz at Toledo, and close beside him stands his son-in-law Alonso de Covarrubias, who is seen at his best in the monuments at Alcalá. The fondness for elaborate decoration increased as the reign advanced: it was by Alonso Gonzalez de Berruguete (1486-1561) and Diego de Siloe (1500-1563)—the former really rather a sculptor than an architect, and the latter the son of a sculptor—that the fashions begun by Egas were developed. The Emperor's own interest in building is attested by the architectural monuments with which his name is connected in almost every Spanish city where he remained for any length of time. The magnificent though unfinished palace on the Alhambra hill is perhaps the most famous case in point, though its style is much more distinctively Italian than that of most of the great edifices of the reign. At Cordova, in the year 1523, the cathedral chapter, in spite of the opposition of the town council, secured permission from Charles, who had not then visited the spot, to construct a Christian church, in the plateresque style, in the very center of the great mosque of the Abd ar-Rahmans, thereby necessitating the removal of sixty-three of the beautiful columns that upheld the roof, and the destruction of a large portion of the elaborately carved and painted ceiling which formed the chief glory of the building. But when the Emperor, for whose praises the chapter ardently longed, finally came to see the result of its handiwork in 1526, he was visibly taken aback, and exclaimed: "You have built what you or others might have built anywhere; but you have destroyed something that was unique in the world." Whatever else Charles V may or may not have been, he was unquestionably reverent of the past.

CHAPTER XXIV

WARS WITH FRANCE AND ASCENDANCY IN ITALY

THE main interest, as well as the chief difficulty, in studying the foreign policy of Spain under the Emperor Charles V, is to determine precisely how the nation was affected by the almost enforced expansion of her influence and responsibilities which resulted from her being merged in the inheritance of the Hapsburgs. As the richest and most powerful of Charles's far flung dominions, it was inevitable that she should be called upon to shoulder the heaviest part of the burden of their maintenance; and whenever the foe was a heretic or an infidel, her ancient traditions served as an added reason for placing her in the forefront of the conflict. Whether she gained or lost in the process is a problem over which men have disputed ever since. The verdict depends in the first place on the degree to which one believes that the Emperor's point of view, dynastic at the outset, became Hispanicized in the course of his reign. It will be determined, in the last analysis, by the measure of outside responsibilities which Spain, at that stage of her development, is thought to have been capable of advantageously assuming. Increased external prestige is obvious on every hand; but the constant use of Spanish soldiers and Spanish resources for objects not primarily Spanish is equally apparent, while the attitude of the contemporaneous Spaniards in regard to these outside enterprises varies between ungrudging approval and reluctant and compulsory acquiescence.

Throughout his life, Charles was confronted with three different enemies—France, the Turk, and the German Protestants and it was largely with Spanish troops and Spanish treasure that he fought them all. The wars with France occupy the forefront of the picture and will therefore be considered in the present chapter.

There were four main causes for Charles's hostilities with the contemporary French kings. First came the ancient rivalry over the inheritance of Charles the Bold—the Burgundian lands which had been divided between the Valois and the Hapsburgs. Next there was the bitterness of feeling over the result of the imperial election of 1519; Francis I, when he learned of his defeat, took the news calmly, but obviously realized that war was inevitable. Thirdly, there was the contest for the hegemony of Italy—the contest that had begun with the raid of Charles VIII on Naples in 1494—the contest in which France had hitherto been opposed by Spain under Ferdinand the Catholic. And lastly, there was the quarrel for the possession of Navarre—torn from the Albrets in 1512, and incorporated into Castile despite the protests of Louis XII and Francis I. Over and above these four immediate reasons there was also the fact that the realm of France divided Charles's dominions into two separate halves—a geographical fact bound to make for trouble.

Now, of these different causes of the wars with France, only two—the contest for supremacy in Italy and the quarrel over Navarre—can fairly be called in any sense really Spanish. With Burgundian and imperial affairs Spain had only become recently and artificially connected, through the accident of the Hapsburg inheritance; she could not reasonably be expected to take a very lively interest in them. Moreover, even the Italian rivalry, as it presented itself to the mind of contemporaries, must have seemed not so much Spanish as Aragonese. The mediaeval traditions of Castile were, as we have several times pointed out, emphatically Francophile; the union of the crowns had been too recent, and the tie that it had created too loose, to permit the western kingdom as yet to forget its inherited friendships in

any very enthusiastic support of projects for the aggrandizement of the Aragonese portion of the Spanish Empire. The Navarrese quarrel was indeed exclusively a *cosa de España*: both parts of the peninsula had an inherited interest in it, more especially Castile, owing to the events of the preceding decade. When the war was carried to that frontier, all Spaniards were ready, almost eager, to fight. Castile took the lead, and Aragon supported her; witness the story of the repulse of the invasion which followed on the heels of the revolt of the Comuneros. But the conflict of 1521 marked the last serious flare-up of the struggle in that quarter. The subsequent efforts of the French to recover Fontarabia were but trifling episodes. The real scene of the war had shifted to Lombardy, which to most of Charles's Iberian subjects still seemed very remote. On January 11, 1530, the Emperor wrote to his brother Ferdinand from Bologna, that in Spain they detested every expenditure that he had made from that kingdom for the sake of Italy.

The war opened, moreover, in such a manner as to emphasize those phases of the struggle which were outside the Spanish horizon. Charles had left Spain in May, 1520, ostensibly to be crowned at Aix-la-Chapelle, but really to make certain of the alliance of Henry VIII of England, indispensable for success against France on the Flemish frontier. That he had gone at all was a bitter grievance to his Spanish subjects; that he had gone for a purpose in which they were really not concerned served to irritate them still more. Their discontent, moreover, was by no means alleviated by the course of events after the fighting began. Hostilities opened in the spring of 1521 with a raid on Luxemburg by Robert de la Marek, who, after wavering for several years between the rival camps, had decided, for the time being, in favor of the Valois; the French king was not entirely averse to having such an unstable ally, for it was easier, when it should prove convenient, to disavow him. The aggressions of de la Marek provoked counterattacks from the imperialists under the Count of Nassau and Franz von Sickingen, who sought vainly to wrest Mézières from the guard of the heroic Bayard. In June Charles left the Diet of Worms and took the field in person; a little later Francis also collected his forces and relieved Mézières; with the rival sovereigns in battle array the war had now begun in earnest. Charles concentrated his efforts on Tournai, which for centuries had eluded the grasp of Burgundian dukes and Flemish counts; and though his attention was distracted by the necessity of going off, in August, to Bruges, to negotiate with Wolsey about the details of the English alliance, his generals in the end proved equal to the task. The place was exceedingly strong; Francis advanced, with a superior force, only to throw away, through an excess of caution, an admirable opportunity to crush the army of his rival under the walls of Valenciennes. Finally, on December 3, Tournai capitulated to the imperialists and was permanently annexed, though as a separate province, to the rest of Charles's domains in the Netherlands. Hapsburg dynastic interests had certainly been advanced, but Spain apparently had been temporarily forgotten.

Yet if the Spaniards had been able to see into the mind of their young sovereign, they would have learned that the Netherlands were less remote from them than they seemed. The capture of Tournai was shortly followed by the first of a long series of events which were ultimately destined to bring the Iberian and Burgundian portions of Charles's inheritance into the closest contact, to the lasting misfortune of both. On February 7, 1522, at Brussels, Charles signed a convention by which he handed over to his brother Ferdinand the Austrian domains of the house of Hapsburg. It was the first note of warning, so to speak, of the division of his vast inheritance which he was finally to consummate at the time of his abdication; the first evidence of his intention "to draw the Netherlands into the orbit of Spain" and of the Spanish Empire. The imperial suzerainty over the Burgundian lands lasted on, in theory at least, until 1648, 1678, and the wars of the French Revolution; but for all practical purposes it grew steadily weaker after 1522. It was henceforth clearly Charles's aim to transfer the Netherlands

into an outpost of the Spanish power in Northern Europe. Nor is it difficult to see why he acted as he did. The Burgundian and the Spanish portions of his inheritance were the only ones that he had yet really seen and got to know. They were, moreover, the only ones in which the government was really in his own hands. It was but natural that he should wish to bring them into a closer and more effective union, just as it was natural that, in the midst of so many conflicting duties and responsibilities, he should desire to hand over the remoter Austrian lands to someone else. And the war with France, on which all his energies were now concentrated, served as a final and compelling reason for the step which he had taken. With Spain and the Netherlands in intimate and effective cooperation, he could catch his enemy between two fires. Thus was the power of the Spanish Empire given its first foothold in a region where it was ultimately to suffer one of its most disastrous and significant defeats.

Meantime, the imperial diplomacy had been proceeding with marvelous success, and in a manner which insured the continuation and expansion of the area of the conflict. The alliance with Henry VIII of England, considered at the time of Charles's visit to that monarch in May 1520, was virtually concluded at the time of the deceitful conference of Calais in August, 1521. The terms were arranged in a manner favorable to Charles, who, though disappointed at the various delays which Wolsey managed to impose, got a definite promise of an English invasion of France. With the papacy also, Charles's envoys were equally fortunate. Ever since the imperial election of 1519, when Leo X had discovered the futility of attempting to prevent the election of the Hapsburg by supporting the candidacy of Francis I, the Pope had been gravitating towards an alliance with Charles. On May 8, 1521, a secret treaty for a joint offensive had been concluded between them, and on the fourth of the following June Leo had specifically granted Charles the right—indispensable in view of his Aragonese inheritance—to transgress the ancient papal constitution forbidding the union of Naples and the Empire in a single hand. The event had been hastened by the misgovernment of France in the Milanese, where everyone united in desiring her expulsion; the smaller states to the south of it were also eager to lend a hand. In the summer of 1521 a force of over 20,000 men was collected under Prospero Colonna; most of them were Italians and Germans, but there were 2000 Spaniards sent on from Naples, and Hernando de Avalos, Marquis of Pescara, and Antonio de Leyva held minor commands. By the middle of November the French had been virtually driven out of Lombardy; only the citadel of Milan, and a few other strong places, remained in their hands. The war had blazed forth again on the ancient battleground. Italy once more was the centre of the stage, and with the influence of Gattinara increasing every day, it was destined to remain so for some time to come. Spain, and especially Castile, was certainly not enthusiastic about the Italian war; still it was less alien to her traditions and her interests than the struggle in Picardy and on the confines of the Netherlands.

Less than two months after the French had been expelled from the Milanese, Leo X died, and the choice of his successor became the question of the hour. In the ensuing conclave the more prominent candidates were one after another excluded, and the choice finally fell, to the horror of the Roman populace, on Charles's 'barbarian' tutor, Adrian of Utrecht, regent of Spain, the only Dutchman that was ever Pope. It was not Charles's influence that elected him, for the Emperor could not have known of Leo's death in time to exert any pressure upon the cardinals; it was rather weariness on the part of the electors, skillfully utilized by Cardinal Giulio de' Medici, and a lurking feeling that in view of the history of the preceding half century, it would be well to choose a Pope for his piety and exalted character, and above all, for his freedom from local political bias or entanglement. Adrian accepted his election with great diffidence and real regret, as a duty imposed upon him by the inscrutable wisdom of God; but at the beginning of his pontificate he showed firmness on the question of the hour. Personally friendly to Charles, he refused to promise him immediate support against the king

of France. Neutrality between the two great rivals was apparently to be his policy, and France was encouraged to attempt recovery of the Milanese.

A new expedition was dispatched into Lombardy, under the Marechal de Lautrec; but it was inadequately supported in the matter of funds, and suffered defeat at the hands of Prospero Colonna at Bicocca in the end of April. This time the results were even more decisive than before. The French retreated in confusion; the Sforzas were reestablished in Milan; Genoa also was captured by Colonna and pillaged by the Spaniards, and the imperialist Adorno was elected Doge. Charles, moreover, was by this time back in Spain, gathering in the fruits of the victory over the Comuneros. The diplomacy of the preceding years had already begun to bear fruit. Henry VIII promised to invade Picardy in the summer of 1523; in June of the same year, the Venetians, who had hitherto half-heartedly supported France, went over, for the time being, to the imperialists; and in August the new Pope, finding that his earlier policy of neutrality had failed to produce the results he desired, succumbed to the general trend and joined the coalition against the French. Most important of all, the allies were encouraged by the news of the treason of Charles, Duke of Bourbon, Constable of France, his desertion of his liege lord, the king of France, and his reception into the ranks of the imperialists. This great noble, whose domains covered a large portion of South Central France, had been so irritated by various injuries at the hands of his master that a promise of marriage into the royal house of Spain, together with a large dowry, induced him to place himself at the disposal of Charles V. The circle of Francis's foes seemed absolutely complete; and this time their avowed object was not merely the expulsion of the French from Italy, but the invasion and dismemberment of France herself.

But the allies were destined to experience that rare defensive strength of France, which, demonstrated again and again in the centuries to come, has recently proved the strong bulwark of western civilization. The English invasion of Picardy, under the Duke of Suffolk, was little more than a raid. The imperialist contingent soon deserted, and in December the English retired to Calais, discouraged and resentful. An attack which Charles had promised to deliver in person in the region of Narbonne and Perpignan was shifted at the last moment to the frontiers of Navarre, doubtless because Castile, on which the Emperor had to rely for men and supplies, was less averse to fighting in that quarter. But even with this advantage Charles was unable to accomplish much. Spain complained that the expedition was "for the benefit of England." "Whereas [the Emperor] believed," wrote Sampson, the English envoy, from Pamplona, on November 12, "to have now here in a readiness over ten or twelve thousand Castilian footmen, at the most he hath scarcely found five thousand... and, Sir, when this army shall be in any readiness, God knoweth". The town of Bayonne was the Emperor's original objective, but the winter snows blocked the passes, and he had to content himself with recapturing Fontarabia, which had been in the hands of the French since 1521. "I make no doubt," he wrote to his brother Ferdinand in March, 1524—and the tone of the letter sounds as if he were boasting to keep up his courage— "that you will be glad to hear of the recovery of this place ... for it is a great advantage to me to have in my control such a powerful city, so necessary to my realms of Castile and Navarre, and so advantageous for doing harm to our enemies in Guienne." In the meantime the Duke of Bourbon had barely escaped to Genoa, while his accomplices had been arrested and his lands seized by the crown of France. If anything, the realm had been strengthened by his treachery. Its treasury and its territorial integrity had benefited by the ensuing confiscations; the national feeling was deeply stirred; and men rallied around their king, who was certainly not deficient in personal courage and charm, and could still occasionally arouse himself from the pursuit of his pleasures to a sense of his duties and responsibilities as a monarch.

Francis, however, was, for the moment, more intent on the recovery of the Milanese than on the preservation of his own realm. Always a lover of show and ostentation, he hoped to distinguish himself by another Marignano. At the time of Bourbon's treason, he had been about to lead another army into Lombardy. The bulk of it, in fact, was already there, and he ordered its leader, the Admiral Bonnivet, at once to win back the territory which had been lost. His forces were probably larger than those of the imperialists, and at first he met with some measure of success. The tide, however, soon turned against him. The death of Adrian of Utrecht (September 14, 1523) had been followed, in December, by the election of Giulio de' Medici, who took the name of Clement VII; as he owed his choice in some measure to the influence of Charles V, he was obliged, for the time being, not to break with the imperialists. Charles's military forces, at the same time, were strengthened and their leaders changed. Prospero Colonna had died in the end of December and was replaced by Lannoy, viceroy of Naples, who came up from the south with over 4000 Spanish troops; the Duke of Bourbon was made lieutenant-general with the supreme command. Under them the Marquis of Pescara, and his nephew, the Marquis del Vasto, were steadily gaining in ability and prominence; they were not always the most docile subordinates, but Bourbon knew how to get the best out of them, and the Spaniards whom they commanded, though probably never more than one-third of the imperialist armies, were the decisive element in the victories of the ensuing months. Against these forces Bonnivet could make no headway. In the spring of 1524 he was obliged to retire once more into Provence, the imperialists, under Bourbon, following hot on his heels. The Chevalier de Bayard, *'sans peur et sans reproche'*, who had fought in the famous combat at Trani in the winter of 1502-03, and had knighted Francis I on the field of Marignano, lost his life in protecting the rear guard of the French.

Again France was placed on the defensive, and again the invader was destined to be repulsed. In August Bourbon advanced to the siege of Marseilles and wrote urgently to Henry VIII and Charles V to support him by simultaneous invasions in the North and across the Pyrenees. But the Tudor monarch, irritated by what he was pleased to regard as the treachery of his ally, refused to budge; and meantime the expedition which the Emperor had prepared on the frontiers of Roussillon was so slow in getting under way that he finally countermanded it and offered Bourbon a subsidy instead. Marseilles, moreover, resisted stoutly; it was plentifully supplied; its defenses were in excellent repair, and its garrison was full of ardor and enthusiasm. A vigorous cannonade made a breach in the outer walls, but the inner fortifications were so strong that the German, Spanish, and Italian portions of Bourbon's army successively refused to deliver an assault; finally, on September 29, the imperialist general broke camp and retreated, slowly and in good order, through the pass of Susa into Lombardy.

But the period of see-sawing was nearing its end. A climax of most dramatic nature was rapidly approaching. The eyes of the French king were still on Milan; he had even assured the Pope that he would invade it again, at the moment that Bourbon was advancing on Marseilles. When the imperialists withdrew, he kept his word; in October, 1524, he led his army across the Alps. At first, fortune seemed to smile upon him as it had done in his great campaign of 1515. The skies were clear for his crossing the mountains. The imperialists retreated before him when he reached the plains below. He was able to occupy Milan without opposition. Meantime, the bulk of Bourbon's forces retired to Lodi, twenty-two miles to the southeast, while a detachment of some 6000 men, mostly Germans, under the Spanish general, Antonio de Leyva, occupied Pavia, fifteen miles farther west. This town is situated on the left bank of the Ticino, flanked on the east by a swift-running brook, and protected on the north by the enclosed park of Mira-bello; its walls were strong and high and in good condition. On October 28 Francis began to besiege it, but his first attack was a miserable failure, and he soon sat down to starve it out. November, December, and most of January were passed in disastrous

inaction—an army of over 30,000 immobilized by a garrison of less than 6000—while the bulk of the imperialists at Lodi, discouraged by lack of pay, and by rumors of the defection of their Venetian and papal allies, were given time to recover their badly shattered morale.

By the latter part of January, Bourbon, Lannoy, and Pescara decided to move on Pavia and force the French to raise the siege; in early February the two armies had established contact. The French were drawn up in the park of Mirabello, in such fashion as to derive the greatest amount of protection from the walls that enclosed it. For three long weeks the imperialists sought to distract their attention by a series of petty skirmishes, and succeeded so well that de Leyva, under cover of a false attack from Pavia itself, was able to replenish his supply of munitions and to send out one of his captains to consult with the imperialist generals. The numbers of the two armies were by this time probably nearly equal, for the French had been weakened by the recall of several thousand Swiss, and by the departure of a considerable force which had been dispatched to make a feint on Naples; they probably numbered rather less than 25,000. The imperialists at Lodi were reckoned at 23,000 men and 800 ‘lances’ but were certainly less numerous by the time they reached Pavia. In cavalry and in artillery the French were unquestionably superior.

On February 23 the imperialists decided to risk a battle. On the night of the 24th-25th, they sent forward a few detachments to breach the walls of the park of Mirabello in three different places; the soldiers wore white shirts in order to be able to recognize one another in the dark. They did their work effectively and in perfect order. At dawn the entire army began to enter the park. The French artillery tore great holes in the advancing ranks; but the imperialists, thanks to their excellent discipline, were soon drawn up in battle array inside the walls. At that moment the French king, foolishly abandoning the intrenchment which he had constructed with so much care, led forward his men at arms to the charge; by so doing he masked the fire of his own artillery, thus depriving himself of the chief advantage he possessed. So precipitate, moreover, had been his attack that many of his heavy-armed troops were unable to follow, and he soon found himself almost alone in the midst of his foes. Pescara showed himself a worthy disciple, of Gonsalvo de Cordova by the skill with which he mingled his horsemen with his arquebusiers. At the critical moment de Leyva made a sortie from Pavia and attacked the French camp. In a few hours the battle had virtually ended and given place to individual combats, of which the most important and dramatic raged around the person of the king of France. Wounded in one arm, and surrounded by his foes, he fought valiantly on, refusing to surrender to one of the followers of the Duke of Bourbon, who had recognized him and begged him to yield; in the end he gave his sword to Lannoy, who arrived on the scene just in time to save his life. Over 6000 of his army had been left dead upon the field, among them many of the noblest names of France. The king wrote to his mother from his prison in Pizzighetone that “naught was left him save his honor and his life.”

In some respects the battle of Pavia marks the climax of the career of Charles V. Certainly it was by far the most dramatic of his victories. It had been won on his twenty-fifth birthday, against an army which had been generally expected to be victorious. It had ended by leaving his most powerful enemy a helpless prisoner in the hands of the imperialist generals, and France undefended against the Emperor and his allies. Moreover, things had worked out in such fashion that Spain came in for the lion’s share of the glory,—possibly for a somewhat larger share than she actually deserved. The Spanish detachment in the imperial forces formed less than one-third of the entire army, and had been recruited entirely from Naples and Sicily; Charles had not yet been able to send a single man across from Spain. The Spaniards themselves took little interest in the Italian campaign. More concerned with the defense of the Pyrenean frontier, they blamed the insistence of the Piedmontese Chancellor Gattinara for the fact that most of the fighting was being done in Lombardy. They naturally asked themselves

what advantage they could hope to derive from the struggle there, rightly anticipating that even if Charles were victorious, he could not ignore the claims of the Sforzas. Yet, on the other hand, it is not difficult to see why the battle was generally regarded as a victory for Spain rather than for any of the other countries over which Charles ruled. It had been fought in a war whose origins were in large measure Franco-Spanish; in the Italy over which the two nations had struggled intermittently for more than thirty years. The Spanish contingent in the imperialist army, though small, had done the most effective fighting of the day, and Pescara was unquestionably the most brilliant of its leaders. And, finally, the monarch whose generals had been victorious was residing in Spain when the battle was won; it had come in the most Spanish period of his reign, when the rest of his vast responsibilities had been suffered to fall into the background. Once more Spain had been singled out as the child of fate; greatness, at every turn, was being literally thrust upon her. Foreign lands, and an imperial title, had already fallen into her lap, almost without her having to strike a blow for them; and now came the glory of having gained the greatest military victory of the age, when she had, if anything, disapproved of the campaign in which it was won.

Spain received the news of the victory with the utmost calmness. There was almost no outward evidence of joy or exultation. This was doubtless largely due to the example of the young king, whose demeanor in his hour of triumph excited the admiration of the English ambassador. The news reached him at Madrid on March 10, but “there was no semblance in him of arrogance or change of manner to joy effusively ... but he referred everything to God. With this, Sir,” continues Sampson, “and divers other good words in so great a thing to have such singular moderation, I think myself more to have learned, and much better at all times to have moderation present and in good remembrance, than be all the letters, words, or books that ever I have or shall read.” But there were other reasons than Charles’s “accustomed gravity” to explain the way he took the news. He was keen enough to see that the moment of his great victory was really one of imminent danger, and that the completeness of his triumph had roused the jealousy of the rest of Europe. The waverings of the Pope and the Venetians before Pavia had given him his first warning; though both of them ostensibly fell into line again shortly afterwards, it was only too easy to see that they did so from motives of fear rather than of loyalty. The other Italian states were also up in arms. After Pavia they had most of them been forced by Charles’s victorious generals to recognize a sort of imperial protectorate, and what was worse, to contribute funds. In Milan itself, Girolamo Morone, Sforza’s chancellor, concocted a plot to free the duchy from the presence of foreign troops. He counted on having the support of Pescara, who, not underestimating the extent of his own services, was exceedingly jealous of the honors heaped on Lannoy; but the Spaniard, after having learned the extent of his designs, reported the plot, arrested the chancellor, and shut up the duke himself in the citadel of Milan.

But the most ominous cloud on the horizon was England. Henry VIII, despite various experiences of being left in the lurch by continental allies, still professed himself anxious for a joint invasion and partitioning of France. But Wolsey had always striven to restrain the king’s martial ardor, and to make him alive to the dangers of imperial preponderance. Even during the months before Pavia, he had managed to keep in close touch with the French government. The secret agent and go-between was a Genoese merchant, Passano—or John Joachim, as he was generally called; but de Praedt, the imperial ambassador, suspected what he was about, and lost no time in reporting to Charles. When the news of the Emperor’s victory arrived, the cardinal was naturally more than ever alarmed. Before any reversal of England’s foreign policy could be possible, it would be necessary for him to convince the king of the error of his ways. This, however, was not so difficult as it had been before. Correspondence between the two sovereigns in regard to a joint invasion of France had quickly revealed the divergences of

their points of view. Both advanced extravagant proposals, while each began to accuse the other of seeking to avoid all the trouble and expense, and at the same time to monopolize all the glory and advantage. In May it became clear that Charles had abandoned all thought of marrying the Princess Mary, and was resolved to seek the hand of the Infanta of Portugal. The keystone of the alliance of 1522 was thus removed. There was, indeed, no formal breach, and each side continued to give the other fair words; but it was only too obvious that all cordiality had departed. Meantime, Wolsey drew close to Francis's mother, Louise of Savoy, who was acting as regent in the absence of her son, and cleverly utilized the situation for his own advantage. The transference in June of the captive king from Italy to Spain increased the cardinal's anxiety to come to terms. Might not the Emperor be planning to extort from his prisoner the promise of an alliance to be directed against England? By the month of August, Wolsey had gained his point. A defensive treaty was signed between England and France, in which Henry VIII promised to do his utmost to effect the prompt deliverance, on reasonable conditions, of the 'Most Christian King' from his captivity at Madrid.

The system of alliances which the Emperor had built up two years before had, in fact, broken down, and Charles was fully alive to the dangers of his position. Everything now depended on the outcome of his dealings with his royal prisoner, who, after prolonged delays and an unusually eventful journey, finally reached Madrid on August 14. That he must be speedily released and sent home was obvious from the outset. Europe would never permit him to remain a prisoner for long; even in Spain his gracious and gallant manners had won him an admiration and popularity "more, perhaps", as the Venetian ambassador significantly reported, "than some people would wish." The only question was how severe should be the conditions of his restoration to liberty. For five long months the Emperor deliberated, while every day news poured in of the ominous condition of foreign affairs, the restlessness of Italy, the hostility of the Turk, the progress of the German Lutherans, and of the Anglo-French entente; of the marvelous way in which France had gathered herself together under the regent, Louise of Savoy, in spite of the defeat and imprisonment of her king. Meanwhile, Charles's treatment of his royal captive excited the wonder of all men; certainly, the like had never been seen before. Francis cherished the illusion that he was to be entertained as a royal guest but was speedily undeceived; he was confined in the great tower of the castle in Madrid. Disappointed that Charles did not immediately respond to his request that he pay him a visit, he fell dangerously ill; and the Emperor was persuaded to grant permission to Marguerite d'Angouleme to come and comfort her brother in his captivity. "Being young and a widow," reported the English ambassador, somewhat maliciously, "she comes, as Ovid says of women going to see a play, to see and to be seen, that, perhaps, the Emperor may like her, and also to woo the Queen Dowager of Portugal for her brother, which no one else dares do without the Emperor's knowledge. Then, as they are both young widows, she shall find good commodity in cackling with her to advance her brother's matter; and if she finds her inclined thereto, they will help each other." Two months later, the king's illness had advanced so fast that on September 18 he was thought to be dying, and Charles was sent for in hot haste from Toledo. In an affecting interview he assured Francis that he was 'his good friend and brother and hoped that he would continue to be so.' The same strange mixture of kindness and severity was maintained till the very end. The two monarchs "had oftentimes alone in secret very long discourses. They went together in one coach to a castle half a day's journey from thence, where was queen Eleanor, whom the King contracted. And yet, notwithstanding all these great signs of peace and amity, he was no less straitly guarded than before, without having any more liberty; so that at one and the same time he was embraced as a brother, and guarded as a prisoner."

The conditions of the king's liberation were not finally settled until January 14, 1526. They had been the product, on Charles's side at least, of much anxious thought, and the subject of prolonged negotiation with the different representatives of Francis. The knottiest point was Charles's territorial demands; for, despite all the clouds on the political horizon, the Emperor was resolved not to let his captive go without requiring the cession of the duchy of Burgundy. That he should have insisted upon this has often provoked surprise. He must have known how deeply the Burgundians were attached to France, and how unlikely it was that a stipulation of this sort, extorted from a captive monarch, would ever be observed after he had regained his freedom. On the other hand, he could not bring himself to forego all territorial reward for the great victory that he had won, and there was certainly no other place in Western Europe where he could claim it with a greater show of merely asserting his traditional rights. As a Netherlander, he had never ceased to regard the duchy as the lawful property of the house of Hapsburg, and as Spain and the Netherlands were henceforth to go hand in hand, its acquisition would be most valuable from the Iberian point of view. To the union of his captive with his widowed sister, Eleanor, he could not openly consent without breaking his pledge to Bourbon, who had been allured out of France only by hope of that marriage, and had recently arrived at Madrid to assert his rights; but when the Duke had had the Emperor's necessities explained to him, "he at last said with his tongue, he was content;—whether he was in his heart or not." The other stipulations—the abandonment by the French king of all his claims to Italy, and of his overlordship of Flanders and Artois, his assumption of the Emperor's debts to Henry VIII, and his cession of his two sons as a pledge of the fulfilment of the treaty—made comparatively little difficulty, and on March 17 Francis was conveyed to the banks of the Bidassoa. In midstream a large boat lay at anchor, and to it the French king was rowed out, with six Spaniards; while his sons, with six Frenchmen, simultaneously approached it from the other bank. Apparently not a word was spoken while the exchange was being effected, but the moment that Francis trod the soil of his own realm, the Spanish ambassador, acting on instructions, approached and demanded the ratification of the cession of Burgundy. The king, however, possibly dreading recapture, was in mad haste to be gone. Waving the ambassador aside, he mounted a horse and rode off to St. Jean-de-Luz, and then to Bayonne. When the Emperor heard of it, he speedily sent orders that Eleanor, who had expected to follow Francis within a few days, should be detained until further notice at Vitoria.

The scene on the Bidassoa portended much. It was plain that Francis did not intend to observe the treaty of Madrid; nor does it appear that anyone expected him to do so. Charles had, on the whole, made a not excessive use of his victory; but moderate though it was, it had been far too much for the rest of Europe, now thoroughly alarmed at the overwhelming preponderance of the house of Hapsburg. Through the early months of 1526 the French king temporized. On the ground of a secret protestation that without the consent of the local estates he had no right to alienate a French province, made in the presence of witnesses on the day before he signed the treaty, he continued to postpone the cession of Burgundy. On May 16 Lannoy wrote to the Emperor that he did not believe that it would ever be given up. In the meantime, the attitude of the foreign powers grew steadily more favorable to the king of France. His relations with Henry VIII became more cordial every day. The Italians longed to be rid of the imperial soldiers. The Pope, at those rare moments when he had the courage to speak his mind, poured forth furious invectives against the ambition of Charles V. Finally, on May 22, at Cognac in Angouleme, a treaty was made between Francis, Clement, and the Italian states, "to put an end to the wars which were devastating Christendom"—or, in other words, to liberate Italy from the tutelage of Charles V, and reestablish the balance of power in Western Europe. Each of the high contracting parties promised its contingent, and it was expected that the king of England would take the title of Protector and Preserver of the League. As far as this, however, Henry was not yet prepared to go. Wolsey still desired to preserve the

appearance of amity with the Emperor, and had certainly no wish to be actively involved in another continental war. On the other hand, it was obvious that the new combination had the cordial good wishes of the English government; for the position of the Emperor was still far too strong to suit either the cardinal or the king.

In December Charles returned from his honeymoon at Granada to a very different sort of task from that which he had anticipated six months before. He had hoped, after the treaty with Francis, to go over to Italy and be crowned by the Pope; to pass on to Germany and deal with the Lutherans; and, finally, to concentrate his forces against the Turk. Instead, all these weighty matters had to be indefinitely postponed, and he was obliged to take up the sword for the retention of what he had already won. His anger was particularly violent against the Pope, whose utter untrustworthiness was now fully revealed. He realized, moreover, that Clement was the weakest link in the chain of his foes. No one of the other confederates could be so easily cowed into submission by a show of force. On the other hand, he well knew that it would not do for him to be officially involved in any open act of violence against the pontiff, for the papal office was still sacred in men's eyes, however great the personal unworthiness of some of its recent occupants. What share Charles actually bore in the brutal attack—a sort of dress rehearsal of the vast tragedy of the succeeding May—which was delivered on the nineteenth of September by the Colonnas and Moncada, it will probably never be possible to determine. He asserted, it is true, with the utmost emphasis, that he was guiltless of all blame, and the leaders wrote him that they had acted on their own responsibility; but there are other passages in his correspondence which must necessarily arouse one's doubts. In any case, he was not deterred from the pursuit of his own aims by the outcry that went up from the kings of England and of France. Moncada had imposed a truce on the Pope, but Clement promptly disavowed it the moment that his enemy withdrew, and Charles made preparations for war in earnest. He wrote to his brother Ferdinand to send down into Italy an army of Germans under the Lutheran George Frundsberg, and dispatched Lannoy from Cartagena with a fleet of 30 sail, 3000 picked Spaniards, and the landsknechts he had brought with him into Spain in 1522.

The news of these preparations frightened Clement. Every day came in alarming reports of the concentration of the imperialist forces under Bourbon at Milan. The Pope's allies, moreover, were absolutely useless. Francis promised much, but accomplished nothing. Henry VIII remained true to his policy of abstention. The Duke of Urbino, who led the Italian levies, was so hopelessly lacking in energy and courage that the classic dictum of Julius Caesar was modified to suit his case; "Veni, vidi, fugi" was a just description of his activities. In late February Bourbon and Frundsberg joined forces at Piacenza—12,000 German mercenaries, 4000 Spaniards, 2000 Italian volunteers, and 5000 lances—and slowly began to move toward Rome. There were constant mutinies for lack of pay, and the advance was signalized by every sort of violence and crime. On March 16, the Pope, in abject terms, signed a humiliating truce with the imperial representative; but the news of it failed to halt the onrushing tide. "Furious as lions" the invaders swept down upon the Eternal City, their numbers swelled by a host of renegades, who, foreseeing untold opportunities for plunder and rapine, were only too glad to be absorbed into the ranks. On Saturday, May 4, the army was in front of Rome; on the sixth, in a murky fog, it delivered the assault. Bourbon was slain in an attempt to scale the walls, but his death never halted the progress of the attack; indeed it only served to render the results of it the more horrible by eliminating the few vestiges of discipline that remained. For more than a week, the city of the Caesars was given over to horrors far more awful than those of barbarian days. Lust, drunkenness, greed of spoil, and, in some cases, religious fanaticism, combined in truly hellish fashion to produce the worst outburst of savagery in the annals of the period. "They strewed on the ground the sacred body of Christ, took away the cup, and trod under foot the relics of the saints to spoil their ornaments. No church nor monastery was spared. They

violated nuns, amid the cries of their mothers, burnt the most magnificent buildings, turned churches into stables, made use of crucifixes and other images as marks for their harquebusses. It is no longer Rome, but Rome's grave. They dressed the old wooden crucifix, revered by all nations, which stood on one of the seven altars of St. Peter's, in the uniform of a lanzknecht. St. Peter and St. Paul, who have lain so many years buried under the altar of St. Peter's, never suffered such indignities, even from those who made them martyrs." The Pope found a precarious refuge in the Castle of St. Angelo, and later in Orvieto, but he did not regain liberty of action for many months to come.

The inevitable war of words at once burst forth—it has not entirely ceased today—as to how far Charles was responsible for this crowning outrage. All Western Europe made haste to express its horror, and the Emperor was prompt to absolve himself of all blame. His information was at best from two to three weeks late, and he could not possibly have foreseen the lengths to which his soldiers would go; on the other hand, one cannot doubt that he intended to teach Clement a lesson, and in view of the character and composition of his army, he must have been perfectly well aware that it was bound to be severe. There seems to be no evidence of any wavering of Spain's loyalty to her young master because of what had occurred. The news was kept quiet as long as possible, and men generally put the responsibility on Bourbon and Frundsberg. After Pavia, Spain had not been permitted to exult; after Rome, if she was horrified, she made no sign. She was still almost a passive spectator of the deeds of her young Caesar.

The course of the war during the next two years was neither particularly interesting nor decisive. In the spring of 1527, the lines of the alliance of France and England were again more closely drawn, and on January 22, 1528, the heralds of Francis and of Henry VIII presented themselves before the Emperor at Burgos and bade him defiance. Thereafter ensued that solemn farce—the proposal that Charles and Francis should settle their differences by mortal combat. Each one accused the other of cowardice and broken faith. There were interminable exchanges of cartels and defies; but neither was so Quixotic as to enter the lists. Charles, on the whole, had the best of the argument. It was impossible to answer his repeated assertion that his rival had broken the treaty of Madrid; and he showed how thoroughly he believed in his case by the efforts that he made to have the correspondence generally known. There is a curious touch of modernity in the advice which he received from the Duke of Infantado, whom he had consulted as to the line of conduct most expedient for him to pursue: "Such differences ought not to be left to be decided by the fortune of arms; they depend solely on the existence and authenticity of treaties, and on the verdicts of men of honor and knowledge, consulted on the points at issue. It is certainly clear that a sane judgment is sufficient to terminate this dispute; which a duel could never do". Ordeal by battle was a thing of the past; even the Spanish aristocracy was willing to acknowledge it.

Meantime, the king of France had dispatched a fresh army into Italy under Lautrec, who at first carried all before him. He reconquered the Milanese, reestablished the authority of France in Genoa, and then, passing down the Adriatic coast, entered the kingdom of Naples. In a few weeks all the smaller cities of the realm had opened their gates to him; and in April, 1528, he sat down to besiege the capital, blockaded from the sea by Filipino Doria, who at the same time won fresh laurels for himself and his family by defeating and slaying Don Hugo de Moncada in a spirited action off Capo d'Orso between Amalfi and Salerno. But in July, just as the city was about to surrender, the blockading squadron was suddenly called off, and the fleet that was sent on from France to replace it was utterly inadequate to continue its work. Lautrec's army was wasted by plague; its leader succumbed to it on August 15, and his incompetent successor, the Marquis of Saluzzo, was overwhelmed by the imperialists at Aversa on August 28. In the following year, a fresh expedition, under the Count of St. Pol,

failed even more conclusively than its predecessor; it got, in fact, only as far as Landriano, in the Milanese, where it was utterly defeated on June 21. It was clear that the imperialists were still masters of the peninsula. The League of Cognac had proved too feeble to resist them, and Francis began to think seriously of peace.

Two incidents of the struggle that was drawing to a close were of greater significance in the history of the Spanish Empire than the actual course of the war itself. The first was the winning over of Andrea Doria, and explains why Naples had been preserved to the imperialists. The great Genoese admiral had alternately fought, in his early days, for Naples, for Rome, and for his native land, but since 1512 he had been in the service of the French. He had not, however, been altogether happy in the employ of Francis I. He had been irregularly and inadequately paid, and treated with disdain by French generals and statesmen; there had also been a bitter quarrel over the town of Savona, where the French were installed to the prejudice of the Genoese. Doria can scarcely be called an ardent patriot; rather did he long for honor and authority for himself, and aspire to the naval domination of the entire Mediterranean; and these he was now firmly convinced he should never obtain at the hands of the king of France. Charles, who was in desperate need of ships, approached the admiral at the opportune moment. In July, 1528, Andrea Doria passed over to the imperial service. He got generous terms for his change of sides. The supreme command of the fleets of Spain, and of all other vessels that fought in conjunction with them; rights and privileges for his native Genoa, whose freedom was recognized under imperial protection; financial concessions and other rewards, were granted in the treaty which he made with the Emperor. But there is no question that he was worth the price. In the first place, his desertion served to relieve Naples; for he lost no time in summoning his nephew, Filipino, who commanded the blockading squadron there, to abandon the siege and come to meet him at Gaeta. In the second, it made communication between Spain and Italy far safer and easier than it had ever been before. The transfer of troops, hitherto almost impossible, became from henceforth comparatively simple and frequent; and Genoa, which was now, for the most part, subjected to Spanish influence, proved an asset to Charles of the most vital importance. It furnished him a port in the north of Italy, the lack of which had hitherto seriously hampered his operations in Lombardy. And, finally, the fact that Doria had gone over to the Emperor had an important effect on the contemporary struggle against Barbarossa and the Turks. The admiral remained loyal to the Emperor and his successor to the day of his death in 1560; and it was largely through his assistance that the infidel was held in check. The command of the Mediterranean trembled in the balance down to the battle of Lepanto in 1571; but if Doria had remained on the side of the king of France, Spain would, have had to surrender it before the struggle had fairly begun.

If the winning of Andrea Doria served to give Spain a navy, an event was simultaneously preparing in a very different quarter of Europe, whose ultimate results were, some sixty years later, to deprive her of it. Charles had watched with growing anxiety the steadily increasing intimacy of Henry VIII and Francis I; and in the summer of 1527 he found out that there was a special reason for it over and above the dictates of political expediency. The failure of Catharine of Aragon to produce a male heir caused the Tudor monarch grave misgivings about the future of his dynasty. The charms of Anne Boleyn had attracted his attention; before long it became evident that he intended to get a divorce. As a divorce could not legally be secured save from Rome, and as the Pope was virtually a prisoner of the Emperor, who would be bound to resent any maltreatment of his aunt, it was clear that Henry must find means to exert strong pressure upon Clement to grant his desires; and the obvious way was to draw near to Francis, who was also anxious to effect the Pope's release. It is probable that the rapprochement of France and England had worried the Emperor much less than the "great matter" which had given rise to it; for the war was being fought in Italy and the

Mediterranean, where the Tudor monarch could not effectively intervene. But the divorce project was of ominous portent; it added one more to the already long list of Charles's cares and responsibilities in foreign lands. He was in honor bound to stand by his aunt, who was determined to fight her cause tooth and nail. He knew, on the other hand, that the king would not retreat. How momentous were to be the ultimate consequences, no one could then have dreamed; but it was already clear that friction and distrust must ensue in a quarter where, six years before, Charles had had amity and alliance—that a cordial friendship was to be replaced by a smoldering quarrel. And so the sordid matter pursued its unedifying course. The arguments of the king for the annulment of his marriage were based on the flimsy plea that the bull of dispensation for it, granted by Julius II, had not specifically mentioned the consummation of Catharine's first marriage with his brother Arthur; but this plea was effectively met by the queen, who was able to prove that the brief of the bull in question had dealt with the matter at issue in no uncertain terms. The Emperor, committed to the cause of his aunt, did all that was humanly possible to aid and protect her. The Pope procrastinated, owing to the political situation; he dared come to no decision till he could be certain of the issue of the war. By the late spring of 1529 this was no longer in doubt. The imperialists were triumphant in Naples and in Milan; despite all the importunities of the Tudor monarch, Clement dared not offend the victorious Emperor. The legate Campeggio was instructed to adjourn his court at Blackfriars; the trial of the case was 'advoked' to Rome; and in August the cup of Henry's bitterness was filled to overflowing by the news that Francis, from whom he had hoped so much, had settled his differences and made a treaty with Charles V.

Peace on the Continent had, in fact, been inevitable, since the desertion of Doria and the defeats of the French at Aversa and Landriano. The French king was weary of war. The Emperor's presence was essential in Germany, not only to deal with the Lutheran problem, but also to repel the advance of the Turks. Charles paved the way for an understanding with Francis by coming to terms with Clement VII. In June, 1529, a treaty was signed at Barcelona, in which Emperor and pontiff agreed to unite for the pacification of Italy and the repulse of the infidel. Clement regranted to Charles the investiture of Naples; Charles promised to restore the Medici in Florence. In the meantime, negotiations were opened with France, the conduct of them being entrusted to Louise of Savoy and Margaret of Austria, who met at Cambray to carry them to a conclusion. Agreement was facilitated by the fact that Charles now recognized that his demand for Burgundy was impossible of fulfilment. His concessions in that quarter made the French more willing to abandon their claims to the North of Italy. Francis assumed Charles's debts to Henry VIII and paid an enormous ransom for the delivery of his two sons. They were handed over in the following year, as their father had been in 1526, on the Bidassoa, amid precautions reminiscent of those of four years before, plainly showing that distrust had not vanished even though a treaty had been signed. Finally, the French king married his rival's sister, Eleanor of Portugal, who was welcomed in her new realm as one of the authors of the peace and the pledge of its preservation.

Surprise has sometimes been expressed that the brilliant French historian, Mignet, ended his great work on the Rivalry of Francis I and Charles V with the peace of Cambray, instead of carrying it to the death of the French monarch. But the more one studies the period in question, the more one is convinced that he was entirely right in cutting off the story in 1529. The peace of that year marks the end of an epoch in the relations of the two monarchs; the struggle that followed was of an entirely different nature from that which had gone before. Moreover, this impression is certain to be accentuated if one envisages the period from the standpoint of Charles as king of Spain. Sandoval tells us that just before he embarked for Italy at Barcelona, he cut his hair, which, in deference to ancient Spanish custom, he had hitherto worn long, despite the fact that in the rest of Western Europe men had generally begun to clip

it close; and that his followers, out of loyalty, felt bound to imitate his example, though they were so grieved at the necessity for it that many of them wept. The episode may be regarded as indicative of greater things. Since 1522 Charles had been continuously resident in the peninsula. His attention had been focused on his Iberian dominions and the extension of Spanish power in the West of Europe. One thinks of him first and foremost as king of Spain. After 1529 his attention is distracted by his duties and responsibilities in Germany and elsewhere; he appears in the role of Emperor rather than in that of an Iberian monarch, and all sorts of other elements come into play.

The period preceding the peace of Cambray is also differentiated in another important respect from that which follows. It has been well said that after 1529 the territorial unity of France was no longer threatened, or at least was threatened to an infinitely less degree than it had been before. We have seen that after 1522 it was the Emperor's intention that Spain and the Netherlands should march hand in hand. The obstacle to this project was the intervening realm of France, and down to 1529 the Emperor never entirely abandoned the idea of shortening the land route between his two sets of dominions by territorial acquisitions at the expense of his rival. It crops out in the extravagant proposals which he exchanged with Henry VIII for a joint invasion and partitioning of France. It was in the back of his mind when he negotiated with Bourbon. It is revealed, most plainly of all, in his demand for the duchy of Burgundy in the treaty of 1526. The eagerness of Gattinara to win Milan, and the fact that the war was almost wholly waged in Italy, should not blind us to this other most important phase of the Emperor's ambitions. By 1529, however, it was abundantly clear that, for the time being, at least, there was no possibility of its realization. France had been solidified by the acquisition of the Bourbon lands. The divorce project threatened to turn England's friendship into hostility; and when the Emperor dropped his demand for the duchy of Burgundy, it was tantamount to an admission that he had ceased to hope to win French soil. Henceforth, if he was to have territorial reward for his victories over his rival, he would take it in Italy and not in France.

One final reflection on the results of the war down to 1529 must occur at this point to all students of the Spanish Empire. Apparently Charles had started out with an immense preponderance. He had enjoyed the alliance of England and of the Pope, and acquired soon afterwards that of the greatest of the French feudal lords. He could draw on the military resources of the Empire and the Netherlands, as well as those of Spain and of Naples; the Mexican silver mines were beginning to yield him revenue. Moreover, he had won the majority of the victories. He had met his only repulses when invading French soil; at Bicocca, at Aversa, at Landriano, his generals had been successful; while at Pavia they had gained an overwhelming triumph, whose dramatic effect had been enhanced by the capture and imprisonment of his rival. And yet what had he to show for it at the end? Practically no territorial gains, only a few trifling financial and political concessions. More ominous still, the whole edifice of alliances, which he had been at such pains to build up in the early twenties, had by this time crumbled and fallen to the ground. With the papacy he was still ostensibly on good terms, but that was only the result of political necessity; he knew well that he could not count on the continuance of Clement's friendship if the situation should make it advisable for the pontiff to draw close to France. Bourbon was dead; the result of his treason had ultimately redounded to the benefit of the French king, whose domains had been increased by the ensuing confiscations. Worst of all was the right-about-face on the part of the king of England. In a measure, that catastrophe had been the result of the divorce—a bolt from the blue which no one could have foreseen; but Charles realized that under the guidance of Cardinal Wolsey England had been preparing to desert him at least as early as 1525—long before the situation was complicated by Henry's matrimonial woes. So threatening was the Emperor's pre-

ponderance in the eyes of the greatest and most modern of all the statesmen of the age that he violated a political tradition of centuries' duration, and sought to come to terms with England's hereditary foe, for fear of being overwhelmed by the power of the house of Hapsburg. In the face of all these developments, the Emperor had made peace—not, as he once hoped, as a victor dictating terms, but rather on a basis of *uti possidetis*; for it was not, after all, much better than an even split.

What has been said of the Emperor applies equally to Spain. Though the Spaniards had not been enthusiastic over all phases of the war with France, Charles's long residence among them had identified them with it in men's minds, and its issue was popularly laid at their door. Spain had fallen heir to the glories of Pavia, but she also fell heir to the jealousy and suspicion which the Emperor's victories aroused. Half a century earlier she had counted for almost nothing in international relations. She had been regarded as outside the current of European affairs, shut off behind the Pyrenees, with a life of her own sharply differentiated from that of her neighbors. Then suddenly, under the Catholic Kings, she had become a first-class power, able to speak on even terms with England and with France. And now, even more suddenly still, as a result of the position and inheritance of her young sovereign, she actually threatened the independence of her neighbors, and seemed destined to become the center of an empire more mighty than that of Charlemagne. The rapidity of the transformation rendered it all the more alarming. Would it not be necessary for all other governments to combine against her, in order to preserve the national individuality of the states of Western Europe? The need for the maintenance of a balance of power, as a corollary of the preservation of the separate existence of the different states, was, of course, not as yet at all generally understood. Cardinal Wolsey was really an exponent of it before its day. But Europe was clear that Spain threatened to become too powerful; that any further extension of her dominions would constitute a great danger; indeed, it is probable that she exaggerated the peril, for the maintenance of such an empire was a task beyond Spain's powers. Be that as it may, Europe's fears had been aroused and were not to be completely allayed for a century to come,—not, in fact, until long after the Spanish Empire had become a ghost of its former self. Charles was doubtless aware of the feeling that had been stirred up, but the position he had inherited and was determined to maintain rendered it impossible that he should waver in his course; and Spain, as the chief instrument of Hapsburg imperialism, was obliged to pay the price of her young master's resolve.

We can pass on more rapidly now. The period from 1529 to 1547—from the peace of Cambray to the death of Francis I—is all that need claim our attention for the present; for the struggles of Charles V with Henry II may be more conveniently considered in a subsequent chapter. Of those eighteen years there were less than five of actual warfare—a much smaller proportion than in the period before Cambray; moreover, a large portion of such fighting as did occur took place on the frontiers between France and the Netherlands; the conflict was rather European than Spanish in its implications. And yet, though the nature and scene of the struggle were more remote, the use of Spanish gold and Spanish soldiers increased as the reign progressed. Never before had so much money been sent out of the realm. Had not Charles had a series of fresh windfalls during this period, as a result of the conquest of the Empire of the Incas, his treasury could not possibly have stood the strain. The Emperor took 8000 Spanish soldiers to Italy in 1529; he had at least 11,000 in the force with which he invaded Provence in 1536; between 6000 and 8000 of them served in the armies which he launched against Picardy from the Netherlands in 1543 and 1544. Spanish troops formed the backbone of the forces which accompanied him on the Danube campaign of 1532; it was largely with Spaniards that he won the battle of Mühlberg in 1547. It was in this period that the Spanish pikeman became a

familiar figure all over the West of Europe, and the tactics of the Great Captain gained the fame they so richly deserved.

On the other hand, though the interests of Spain were often frankly sacrificed, during these years, to the advancement of the house of Hapsburg in its various non-Spanish capacities, one cannot help feeling that the Emperor had taken to heart the oft expressed desire of his Spanish subjects for 'peace with Christian Kings. He fought far less and negotiated far more than in the period before the peace of Cambray; indeed, it is not too much to say that, whenever it was possible honorably to do so, he strove to avoid an appeal to arms. He frankly recognized the impossibility of realizing the ambition which had animated him in his earlier years,—territorial aggrandizement at the expense of France; never before in his entire career had his aims appeared to be so completely defensive. Even in Italy he would have gladly renounced the acquisition of more land, had it been possible in return to maintain the peace he so much desired. That he failed to do this was due to Francis I, "always obsessed with the desire to recapture Milan", and to a series of unfortunate deaths which brought to naught Charles's schemes for solving by a compromise the great question of its disposal; but the Emperor's own attitude was far less aggressive than it had been. Though resident in Spain less continuously than before 1529, he seems after that date to have become even more sympathetic to the Spanish viewpoint. In foreign affairs the Hispanicization of his outlook begins really to manifest itself after he had left the peninsula.

The Milanese question was not the only one that presented itself when Charles crossed over from Barcelona to Genoa in early August, 1529. The details of the treaty with Clement in the previous June remained to be worked out, and the advance of the Turks in Hungary made it essential that Emperor and Pope remain on friendly terms. The negotiations with Venice, Ferrara, and Mantua, the expeditions against Perugia and Florence, and the imperial coronation at Bologna (February 24, 1530), were all carried out in a manner which showed that, though there was no love lost between them, both Clement and Charles realized that they could not afford to quarrel. As to Milan, "the fountain head of the troubles of Italy," the treaty of Barcelona had not been at all definite; and it was obvious that at first Charles wished to avoid the necessity of giving the investiture of it to Francesco Sforza. He could not forget the past faithlessness of the duke, nor the way in which he had made common cause with the king of France. The Emperor was doubtless sorely tempted to keep Milan in Spanish hands; and the gouty veteran, Antonio de Leyva, who had held it for him, despite the gravest difficulties, during the critical period of the war, was clamoring to be rewarded for his services with a title which would have made him Charles's permanent representative there. But the establishment of a Spanish ruler in Lombardy, however valuable as a bulwark to Naples and Sicily, would infallibly have put all Italy up in arms, and definitely invited a new French intervention; it would have been a contradiction of the principle of "peace with Christian kings", to which Charles, at all costs, was at present resolved to adhere. At one time the Emperor thought of giving the duchy to Alessandro de' Medici, who was later to marry his natural daughter, Margaret, and thus placing upon the family of his new ally the responsibility for its defense; but the objections of Clement rendered this solution impossible, and Charles was finally brought to see that the investiture of Sforza was inevitable. Having made up his mind, he accepted the unwelcome solution with good grace. Francesco, who had not been officially included in the general peace, was trembling in his shoes when the Emperor sent for him to Bologna, and must have been much relieved by the kindly reception that awaited him. Save for the growlings of old de Leyva, everything passed off very pleasantly. On December 23, Sforza was formally invested with the duchy, at the price of 300,000 ducats; and he further agreed to pay Charles 500,000 more in annual instalments during the next ten years. Thus

peace had been preserved and Spanish troops and treasure saved, but only, as it proved, for a time.

During the next few years the relations of Charles and Francis fall into the background. The Emperor was chiefly occupied during this period with the Lutheran problem, and with fighting the infidel on the Danube and at Tunis. The attitude of England, where the divorce was finally put through, in the teeth of the censures of Clement, also claimed a large share of his attention. But the differences between Hapsburg and Valois were too deep seated to be forgotten; their enmity might slumber, but it did not sleep. Italy, as before, was the chief bone of contention. While Charles was busy in the Empire and in Spain, Francis strove to recreate for himself an Italian clientele. He maintained a garrison at Mirandola and negotiated with petty princes and Genoese exiles. He sought to win the services of the most famous condottieri. Most important of all, in the year 1533 he succeeded in regaining the alliance of the Pope. Clement was still in terror of the preponderance of Charles and longed to enhance the reputation of his house by making a royal marriage for his niece, Catharine. Francis fully shared the Pope's dread of the Hapsburgs and was the more willing to offer one of his sons as a candidate for Catharine's hand, because Charles wished to marry her to Francesco Sforza and thus bind the Medici to support him in Milan. In October, Clement and the French king had an interview at Marseilles. The marriage of Catharine de' Medici and the future Henry II was celebrated there on the twenty-eighth, and a common policy laid down with regard to the North Italian states.

Less than a year later (September 25, 1534) Clement died, and his successor, the Farnese, Paul III, stoutly refused to take sides in the Hapsburg-Valois-conflict. For ten long years he succeeded in maintaining strict neutrality. Francis was deprived of most of the advantage that he had won in 1533, but he continued his intrigues with the different Italian states. He induced the rulers of Monaco and Saluzzo to place themselves under his protection. He strove to induce the Venetians to declare themselves against Charles V. Clearly he had determined to pick up the Italian quarrel once more, whenever he could hope to do so with any reasonable prospect of success. The Emperor saw the danger and prepared to meet it. The crux of the situation was, as always, Milan; and Charles took fresh measures to bind Francesco Sforza to the house of Hapsburg. He paid him a state visit in March, 1533, and arranged for the duke's marriage with his niece, Christina of Denmark; the wedding was celebrated in May, 1534. But at the same time he continued to use every effort to avoid a renewal of the war. In August, 1534, he sent the Count of Nassau as ambassador extraordinary to the king of France to seek a peaceful solution of the difficulties that confronted them. But Francis was in no mood for compromise. He insisted on his rights to Genoa, Asti, and Milan. The utmost that he would do was to consent to the postponement of the enforcement of the last named until Francesco Sforza should die.

Under all the circumstances, the death of the duke, which occurred quite unexpectedly on November 1, 1535, inevitably meant the renewal of the war. The last descendant of the native dynasty was gone, and Milan reverted to Charles as an imperial fief; but the king of France was resolved not to permit him to enjoy it or to settle the succession on any candidate of his own. Charles was in Sicily, on his way back from the capture of Tunis, when the event occurred, but he had already crossed over into the kingdom of Naples before he heard the news. It must have been exceedingly unwelcome to him, for he cherished designs of repeating his recent success against the infidel on a much larger scale in the ensuing years, and even of leading an expedition against Constantinople. But the state of affairs which he found on his arrival in Italy made impossible the realization of this "great ideal of his life". Much to his regret, he was forced once more to take up the sword against his Christian rival. Francis had begun to prepare for war the minute he heard of Sforza's death. His envoys at Rome strove to

work on the Pope's fears of a Spanish supremacy in the Italian peninsula and win Paul III to the support of France; it was only by a wise mixture of firmness and conciliation that the Emperor was able to checkmate these designs. In February, 1536, the French king invaded Piedmont and Savoy, which was virtually the equivalent of declaring war on Charles; for Savoy was, in theory, at least, a fief of the Empire, its duke was an ally and connection of the Hapsburgs, and besides, the conquest of his territories would bring the French king once more within striking distance of Milan.

Meantime the Emperor continued to labor for the now hopeless cause of peace. A "Discours sur la Disposition de l'Etat de Millan", drawn up by Granvelle after the death of Sforza, indicates the concessions which he was prepared to make. He had every right, and many inducements, so Granvelle points out, to keep it for himself, but "for the good of Christendom, and to prove the truth of his reiterated assertion that he did not want any more land, no, not even in Italy", he was willing to consider a plan of handing it over to some one else, and even, under certain conditions, to one of the sons of his rival. Henry, Duke of Orleans, the candidate of Francis I, who was to become Dauphin through the death of his older brother on August 10, 1536, was certainly inadmissible from the Hapsburg point of view. He was the husband of Catharine de' Medici and had claims on Florence which would be the "ladder and bridge that would enable him to pass into Naples"; but his younger brother, Charles, Duke of Angoulême, if married to the widow of Francesco Sforza, or even to the Emperor's natural daughter, Margaret, might be considered, provided his father would give fresh assurances for the maintenance of peace. But Francis was unwilling to accept this suggestion. By the time that Charles reached Rome (April 5, 1536) the French troops were already in Turin. So the Emperor, as a last resort, and a final attempt to avoid a war, reverted to the expedient that had been proposed in 1528. Vindicating his conduct in a long speech which he delivered in Spanish on April 17, in the presence of the Pope, the cardinals, and the ambassadors of the states of Western Europe, he offered to settle his differences with Francis in single combat, the stakes of the duel to be Burgundy against Milan.

The French king, however, saw no reason to accept this challenge. His armies had been two months in the field at the time he received it; he had got the initiative and believed that he could win the war. Charles was now convinced that it was no longer possible to avoid an appeal to arms; and if, despite all his efforts, a conflict was to be inevitable, he was resolved to teach his rival a lesson not easy to forget. The Emperor planned a quintuple attack upon the country of his foe. The principal assault was to be delivered against Provence. The Emperor and Antonio de Leyva were to lead it, with an army of over 20,000 men. Henry of Nassau was to invade from the Netherlands with a Burgundian force of similar proportions. A Spanish army in Catalonia was to threaten Narbonne; Ferdinand promised a diversion in Champagne; and Andrea Doria was to ravage the Mediterranean coasts.

But the result of these preparations fell far short of expectations. The French army, declining an engagement in the field, retreated before the Emperor's invading forces, systematically devastating the country through which it passed. The Emperor got as far as Aix, where death deprived him of the services of de Leyva. Discouragement, lack of provisions, and reports of a hostile attack on Genoa convinced him, by the middle of September, of the necessity for retreat. Nassau, in the meantime, had been repulsed before Peronne; the German princes kept Ferdinand from collecting the army that he had promised; the Spaniards in Catalonia failed to accomplish anything; and Doria's raiding operations were generally ineffective. In November the Emperor crossed from Genoa to Barcelona, discouraged and worn out. The campaign of the ensuing year was even more inconclusive. There was a series of sieges and skirmishes in Piedmont. A French invasion of the Netherlands was defeated. Instead of Doria's ravaging Provence, Barbarossa raided Apulia as the ally of the king of

France. It is probable that this last operation indirectly contributed to bring the war to a close. It revived all Charles's enthusiasm for a campaign against the Turks; and was a chief cause of the triple alliance of the Pope, the Emperor, and the Venetians against the infidel, which was concluded in the middle of September.

The struggle with France was to all intents and purposes over, before the league against the Turk was actually signed. If it was evident that it was impossible for Charles and Francis to remain at peace, it was equally plain that they were incapable of waging any war that would lead to any definite result. A truce signed at Bomy (July 30, 1537) put an end to hostilities on the Netherlands frontier; another, at Monzón (November 16, 1537), stopped the fighting in Italy and the South of France; both provided for the maintenance of the status quo pending the settlement of terms of peace. But the arrangement of such terms proved almost impossible. Conferences held at Leucate (December, 1537-January, 1538) served only to produce a prolongation of the truce. The famous triple interview of the two sovereigns and the Pope, which occurred at Nice in the following June, resulted in temporarily despoiling the duke of Savoy, two-thirds of whose states were occupied by Francis, and almost all of the rest by the Emperor; the truce was also prolonged for ten years; but the fundamental problem of Milan remained untouched. One month later the two rivals met again at Aigues-Mortes; they exhausted themselves in protestations of affection; they dined and wined together, and even shared the same bedroom. Outwardly, moreover, these marks of friendship continued for two years to come. In the autumn of 1539 Charles was invited to cross France on his way to suppress the insurrection at Ghent, and was entertained at Paris with every mark of cordiality and respect. But all this time the Milanese question remained unsettled; and as long as that sore spot was unhealed, the peace lacked the first requisite of permanence.

Ever since the truces of Bomy and Monzón, the disposition of the duchy had been under discussion. Many solutions had been proposed, but none had proved acceptable. In the spring of 1540, after the Emperor had left Paris, he made a final effort to arrive at a settlement, by offering his daughter, Mary, to the French king's youngest son, Charles, with Milan as a dowry, on condition that the duchy should never revert to the crown of France. But the influences surrounding the French king were strongly anti-imperialist. Montmorency, the sole advocate of peace with the Hapsburgs, was tottering on the verge of disgrace. By June it was clear that Charles's proposal could not succeed; and on October 11 the Emperor finally recognized it by conferring Milan on his Spanish son Philip, to hold as a fief of the Holy Roman Empire. The outcome may well have caused surprise both to friends and to foes. It was certainly a violation of the traditions of the duchy, whose affiliations were Austrian and imperial, not Iberian. It was to Ferdinand, not Philip, that it would have been natural to give it; but the exigencies of the immediate situation demanded that it should be handed over to Spain. The reservation of the imperial overlordship served to disguise the suddenness of the change; it gave Charles a chance to assert his own authority in Milan until Philip should be old enough to assume the government himself; but with these exceptions it meant almost nothing at all. Spain had a vital interest in the fate of the duchy, because of her territories in Sicily and in Naples. Spain alone had the necessary men and money for its defense. It was consequently to Spain that Charles resolved that it should go. Both in the North and South of Italy she was now firmly established; and the destinies of the peninsula were almost completely in her hands.

Renewal of the war with France was henceforth inevitable, and the French king was furnished with a fresh pretext for declaring it by the murder, in July, 1541, of his agents, Fregoso and Rincon, as they were descending the Po on their way to Venice and Constantinople. The Marquis del Vasto, who represented Charles in Milan, knew the nature of their errand and was determined to arrest them. Whether he authorized his soldiers to kill them

is more difficult to determine. Charles officially denied all responsibility for the deed, but took pains to add that if Rincon, who was Spanish born and a traitor to his country, had fallen into his own hands, he would certainly have been punished according to his deserts. The war that ensued during the next two years was in some respects reminiscent of the struggle of the early twenties. Henry VIII, for the last time, abandoned his neutrality, and furnished a diversion of great value to the Emperor by crossing the Channel and besieging Boulogne. Francis was once more in alliance with the Scots. Not only was there fighting on the Netherland and Italian frontiers; the struggle flared up again in the region of Roussillon. But the war had another feature which was entirely its own. Both its immediate cause and its subsequent course served to give it, from first to last, a distinctly Turkish complexion. The alliance of the king of France with the infidel was now in full swing. Barbarossa cooperated with the Duke d'Enghien in besieging Nice, which still held out for the duke of Savoy. The Turkish fleet passed the winter at Toulon, and departed in the following spring with a huge cargo of Christian slaves, and the French accepted full responsibility for their allies' misdeeds.

From a strictly military standpoint, the French carried off most of the honors in this final trial of strength between Charles V and Francis I. The Emperor was turned back at Landrecies (November, 1543), in an attempt to reach Paris by marching down the Oise. The victory of the Duke d'Enghien over the Marquis del Vasto at Cerisoles (April 14, 1544) was hailed throughout France as a second Marignano. But this triumph failed to yield any tangible result. The French were unable to penetrate the Milanese, and meantime the political situation developed favorably for the Emperor. The duke of Cleves, who was the principal ally of the French king in the Empire, had his lands overrun, and was forced to sue for peace (September, 1543). The Anglo-Scottish war, from which Francis had hoped so much, degenerated into a series of Border raids. The attention of the Turk was distracted from the West by difficulties at home and by the prospect of a new campaign against the Shah. Despite all his victories, the French king was even further from the attainment of his ends in the summer of 1544 than when the war began, and Charles, whose chief desire for the moment was to have his hands free to fight the Lutherans, seized the first favorable opportunity to terminate the conflict. On September 18, 1544, he signed peace at Crespy. Francis gave up his claims to the Netherlands and Naples, and promised to abandon the Turkish alliance. Both sides agreed to restore all territory occupied since the truce of Nice. On the vital question of the disposition of Milan, Charles showed once again his willingness to compromise. Despite the fact that he had conferred it on Philip, he now reverted to a modified form of the proposal that he had made to Francis in 1540. He agreed that the French king's youngest son, now Duke of Orleans, should either marry one of his nieces, the daughters of his brother Ferdinand, and take Milan; or else his own daughter Mary, and be given Franche Comté. But the choice between these interesting alternatives was never to be made; for on September 8, 1545, the Duke of Orleans died. "Though the Emperor was sorry for it", as a contemporary chronicler sagely remarked, it "was no bad thing for him."

Once more the hand of fate had intervened to solve a question which war and diplomacy had been unable to answer; after 1545 there was no longer any doubt that Milan would go to Spain. The majority of Spaniards were not enthusiastic for its acquisition, and Charles had recently shown that he appreciated their point of view; destiny, however, it was impossible to defy. The fag end of the war with Henry VIII kept Francis fully occupied until the summer of 1546; and death overtook him in the following March, before he could revert to his Italian designs. Under his son and successor the scene of interest shifted to the Netherlands and the Empire, and Lombardy was undisturbed by attacks from the French. We have therefore to study the beginnings of the system of government that the Emperor set up in the territory which fate had united to the Spanish Empire.

The problem presented by the acquisition of Milan was unlike those which had arisen from the annexation of Sicily and Naples. The inherited traditions were entirely different; and the military side of the question, which, in the southern dependencies, was not necessarily always prominent, assumed from the outset the first importance in the Milanese.

When the Spaniards entered into possession of the duchy, they found the political power divided among various claimants. Since the days when the Visconti had established their rule, the prestige of the ducal authority had stood exceedingly high; Milan was the typical Italian tyranny. Down to the end of the fifteenth century, two advisory bodies—the Secret Council and the Council of Justice—had assisted the duke in the performance of his functions; but when the French gained possession in 1499, Louis XII had united these two councils into a so-called Senate, which he invested—on the model of the Parlement of Paris—with the authority of rejecting or confirming the ducal laws, and thus erected into a bulwark of popular liberty. The more absolutist principles of the government of Francis I served temporarily to push this Senate into the background, but its authority was by no means dead when the Spaniards came in, and Charles was to make good use of it. The spirit of communal independence and liberty, inherited from the great days of Legnano and the Lombard League, had not utterly departed in the sixteenth century; but the municipal councils, through which it had made itself felt, were by this time mere shadows of their former selves; reduced in numbers, and dominated by the aristocracy, their practical importance was slight. Another ancient tradition—that of the authority of the archbishop—had also been suffered temporarily to fall into desuetude; of the four prelates who held that office between the years 1520 and 1560, only one—Arcimboldo, from 1550 to 1555—ever entered his see! In the days of Carlo Borromeo there was, indeed, another tale to tell, but that saintly prelate did not take up his office until the Emperor had been for two years in his grave.

Charles was obliged, by the political situation, to intervene in the government of Milan long before it was formally turned over to his son. After Pavia, his generals and soldiers were, in fact, in control there, the investment of Francesco Sforza being postponed until December, 1529. During the intervening years the military aspect of the whole affair came naturally to the fore. Leyva, Pescara, and Bourbon were the heroes of the hour; the last named, in 1526, was made lieutenant-general of the Emperor in Italy, and, in his capacity as head of the imperial troops, found himself practically supreme over the civil authorities in Milan. When Sforza was invested with the duchy in 1529, Leyva remained there as head of the troops, and was doubtless, for all practical purposes, more powerful than the duke; when Sforza died in 1535, his authority was complete. After Leyva's death in the following year, a double system of authority was once more set up, the Cardinal Marino Caracciolo being invested with the civil, and the Marquis del Vasto, the nephew of Pescara, with the military power; the former, however, was but a tool in the hands of his colleague, and when he died, in January, 1538, the military and civil authority were once more reunited in the hands of Vasto, who was given the title of imperial governor, and remained in Milan till his death in 1546. After a brief interim appointment, he was succeeded in the same year by Ferrante Gonzaga, who had already proved his loyalty as viceroy of Sicily, and he, nine years later, by the Duke of Alva. The names of these appointees are enough to prove how all-important the military qualifications of the governor of Milan were held to be. Leyva, Vasto, and Alva were all first-class soldiers; Gonzaga was perhaps not quite their equal in this respect, but his appointment is explained, in part on the ground of the Emperor's personal fondness for him, and in part by the advisability of choosing an Italian in order to conciliate local feeling during the early years of the Spanish regime.

There are many other evidences of the importance of the military element in the administration of the Milanese. By an ordinance of the year 1536 it was made the headquarters

of one of the four great tercios or divisions of the Spanish military machine; the army of 'alta Italia' was the name it soon came to bear. In contrast to the garrisons at Naples and other strongholds in Italy, the infantry was entirely composed of picked Spanish troops, and the Italian foot-soldier was rigidly excluded; only in the cavalry, which was comparatively unimportant, were the Lombards represented. In 1560 an ordinance of Philip II reduced the infantry to 3000 men, which may be regarded as its normal strength in time of peace; during the troublous times that had gone before its numbers were naturally very much larger. Moreover, all the governors spent large sums in fortifications. The capital was the chief object of their care, and the walls and bastions with which Gonzaga surrounded it evoked the admiration of the representative of Ferrara. But the other cities of the duchy were not neglected. Como, Cremona, Lodi, Tortona, Novara, and Alexandria had their defenses strengthened and their garrisons increased. Whether attacked by France, by the Swiss, or by the neighboring Italian states, whether threatened by local uprising or invasion from abroad, Milan henceforth could effectively defend itself.

When one turns from the military to the civil side, one is confronted with a great variety of conflicting testimony. The average Italian historian is loud in his denunciations of the entire Spanish regime. He holds it almost exclusively responsible for the decline of civic virtue, and exhausts himself in lurid descriptions of the cruelties of the Spanish troops and the hard-heartedness of the Spanish governors. But if one examines the whole problem from the Emperor's point of view; if one studies his correspondence; and, above all, if one separates the period of Charles V from those of his immediate predecessors and successors, one is certain to conclude that this verdict is much too harsh. The change from the rule of the French and the Sforzas to that of Spain could not, in the nature of the case, be effected without stern measures, and it was inevitable that a certain amount of misery should ensue. On the other hand, there is not the slightest evidence that Charles or his representatives took delight in wanton cruelty or adopted any repressive measure that was not dictated by considerations of safety. If we bear in mind that Charles did not wish to take Milan, and that he would have greatly preferred to dispose of it by a compromise, we shall approach the problem in a much saner frame of mind. When at last it became evident that the fates were against him, he accepted the duchy and the responsibility of maintaining it, but he did not enter upon his new inheritance in any spirit of wantonness or revenge.

The sources for the study of Charles's policy in Milan are the *Nuove Costituzioni* which he promulgated by a decree of August 27, 1541, during a visit to the duchy, and, still more important, the *Ordini di Vormatia* in regard to the Milanese Senate and magistrates, which he issued at Worms on August 6, 1545. Certainly one looks in vain, in these documents, or elsewhere, for any evidence of a desire on the part of the Emperor to abrogate any of the ancient liberties or privileges. On the contrary, he seemed to be most anxious to confirm them. When the Duke of Bourbon was his representative in Milan, he was ordered to renew the prerogatives of the Senate, which had been so scandalously abrogated by Francis I. In 1545 Charles enjoined the members of the Senate to take special pains to see that nothing, not even a royal edict or a governor's command, should prevent them from strictly observing the letter of the law, even though the matter touched the royal treasury; and there are plenty of proofs that these precepts were lived up to in practice. It is true that he kept the appointment of the senators in his own hands, and insisted that three of them should be Spaniards, but as the senators were irremovable, he lost all control over them the moment they entered office. Moreover, when his representatives in the Milanese got involved in disputes with the Senate, or attempted to exercise illegal influence over its members, he vigorously upheld the latter's rights. "If the governor represented the supreme authority, the Senate was to stand for equity and justice." Vasto and Gonzaga were both favorites of Charles, but the complaints of the

Senate led to the removal of both. *Sindicatores*, sent over by the Emperor to examine into the rights and wrongs of each case, dealt with Vasto so harshly that it is said that he died of shame; while Gonzaga was deprived of all his offices.

These last facts may suggest that the action of Charles's, representatives in the Milanese did not measure up to the good intentions of the Emperor who sent them out. The temptations were indubitably severe; the military atmosphere led naturally to arbitrariness; and the long time that it took to communicate with Spain often made it necessary for the governors to act on their own initiative. And yet, when compared with the French administration which immediately preceded it, and with that of Philip II by which it was followed, the period of the Emperor was not unfortunate for the Milanese. Its worst feature was unquestionably its financial exactions. Special and illegal imposts were levied on the plea of military necessity. The regular sources of the state revenues were sold. The towns, in default of anything like a national assembly, were obliged to make large contributions, which averaged perhaps 300,000 ducats a year. Measured by modern standards, all this was doubtless exceedingly bad; but whether it was any worse than the average contemporaneous condition elsewhere, is more than doubtful. Certainly the Milanese were not the sort of people to understand or cooperate with a policy of sound finance. Their wastefulness is attested by the accounts of the farewell dinners and ceremonies accorded to Ferrante Gonzaga by his friends and adherents on the occasion of his final departure from the duchy. Ranke rightly points out that the contribution from the Lombard communes indirectly served to keep alive the embers of their ancient independence; for, in theory, at least, they had the right to refuse it, and the consciousness that they possessed that power prevented the loss of their self-respect. Last of all, one must never forget, in estimating the early years of the Spanish occupation of Milan, that no territory could possibly change hands, as the duchy had done, at least five times since the beginning of the century, without suffering violence to its social and constitutional structure. The new regime did not have a chance to get fairly started in the days of Charles V. Many of its worst faults were inevitable for the time being, and ought to have been eliminated in the calmer years that were to follow.

A detailed description of the various wars of the petty Italian states in which Charles, during the last twenty-five years of his reign, was, in one way or another, involved, has no place in a history of the Spanish Empire. Yet it may not be amiss to indicate briefly some of the principal stages of their progress, and, above all, to summarize the situation which obtained at the time of the treaty of Cateau-Cambrésis in 1559. The story shows, if nothing else, how completely the imperial overlordship of Italy was a thing of the past, and how the peninsula, at the time that Charles gave way to Philip, had become, to all intents and purposes, a dependency of Spain.

The important question of the title to Florence was suddenly raised in January, 1537, by the murder of the first duke, the Emperor's son-in-law, the wretched and tyrannical Alessandro de' Medici. Charles might perhaps justifiably have appropriated it for the Hapsburgs, for Alessandro had left no near relative to succeed him; but, anxious as ever to show "that he did not want any more land, no, not even in Italy", the Emperor settled it on Cosimo delle Bande Nere, a distant cousin of the murdered duke. The choice was unpopular, and Cosimo had to fight for his throne against the representatives of the older Medicean line and the Strozzi. But his own relentless energy and severity, and a detachment of imperial troops which was placed at his disposal, enabled him to win the victory over all his enemies. His gratitude to the Emperor bound him firmly to the Hapsburg cause, and the tie was further strengthened by his marriage, in 1538, to the daughter of the Neapolitan viceroy, Pedro de Toledo, one of the ablest and most trusted of Charles's representatives in Italy. In 1545 the center of interest shifted to the North, where the Pope, on a pretext of more than doubtful

validity, had invested his bastard, Pier Luigi Farnese, with Parma and Piacenza. The Emperor at the moment was too busy with the German Protestants to retaliate vigorously against this high-handed proceeding; but when Pier Luigi began to intrigue with the French, and lent aid to the conspiracy of the Fieschi in Genoa, he saw that it was no longer possible to hold his hand. In September 1547, Pier Luigi was murdered in a rising of the Piacenzan nobles, which had been stirred up by Ferrante Gonzaga, with the Emperor's full consent. Charles's stipulation that the tyrant should not suffer any personal injury can scarcely be regarded as absolving him from blame, for he must have known that passions were too strained to permit a revolt without the shedding of blood. He was prompt to take advantage of what had occurred. Piacenza was annexed to the duchy of Milan, and was not restored in the Emperor's day; while Parma was finally conferred on the Pope's grandson, Ottavio, whom Charles had sought to bind to the Hapsburgs nine years before by giving him his illegitimate daughter, Margaret, the widow of Alessandro de' Medici, in marriage. In Italy, as well as in the rest of Europe, the Emperor lived up to the matrimonial policy on which had been founded the greatness of his house.

In 1552 there was an uprising in Siena. French intrigues, fear of annexation by Florence, misconduct of the Spanish garrison, and the requirements of Charles's representatives at Rome, combined to produce a serious revolt. The viceroy of Naples was commanded to restore order, but death overtook him before he could accomplish his purpose, and Cosimo de' Medici was called upon to take his place. Cosimo accepted the task the more eagerly, because of his desire to get Siena for himself. A ruthless and devastating struggle began, and continued until April, 1555, when Siena was starved out. Doubtless the Florentine hoped to be at once invested with the conquered lands; but in this, for the time being, he was doomed to disappointment. The Emperor granted Siena to his son, Philip, and Philip did not turn it over to Cosimo till 1557, when he needed help in his war against Paul IV. Even then Philip retained in his own hands the coast towns of Orbitello, Porto Ercole, Monte Argentaro, Porto San Estefano, and Talamone—the *Stato dei Presidii*, or Presidios, as they were called—each of them garrisoned by Spanish troops, whose commanders were appointed by the viceroy of Naples. These seaports were of course doubly valuable to Spain, as long as there was any possible doubt of the loyalty of Genoa. Cosimo was given the rest of the Sienese territory, with the addition of Porto Ferrajo, to hold as a fief of Spain, and on condition of bearing military aid to his Spanish overlord, whenever the Presidios should be attacked. The net result of the Sienese war was thus enormously to strengthen Spain's hold on Italy.

It has been well said that the Emperor's ideal for the administration of Italy was "a loose federation of viceroys and princes," but Spanish overlordship was to be the keynote of it all. Considering all the difficulties, he had succeeded pretty well. In Sicily, Naples, and Milan, Spain's power was supreme; in Tuscany and most of the smaller states, her influence was unrivalled. Venice, of course, needed constant watching; Savoy was a source of anxiety until Emanuel Philibert was restored; and the *Patrimonium Petri* could be a thorn in Spain's side, as the war of 1556-58 between Paul IV and Philip was abundantly to prove. But these states were so situated that, even under the worst conceivable circumstances, it was virtually impossible for them effectively to combine. So strongly, indeed, were the Spaniards entrenched, that they felt they could afford to leave the French in Mirandola, which remained, for years to come, their sole outpost in the Italian peninsula. Some other small places they preferred to maintain in complete independence, as possible checks on their own viceroys and allies. Florence, for instance, was not permitted to annex Lucca for fear of undue extension of the power of the Medici. Altogether, Charles's reign had served, almost without his having intended it, to Hispanicize Italy. The acquisition of Milan had rendered Sicily and Naples safe. The winning of Doria and the annexation of the Presidios made communication with Spain easier and surer

than ever before. Military preponderance and marriage alliances cemented the whole structure from Cape Passaro to the Alps.

One of the chief reasons why Charles had been so successful in Italy is to be found in the unusual abilities of the men who represented him there. He could give only a small portion of his time to Italian affairs, and was obliged, from first to last, to depend, in large measure, upon others. On the whole he was fortunate in the selections that he made. In the early years of his reign he chose his men primarily for their military qualifications'. Moncada 'the Unlucky', viceroy of Sicily and Naples, Leyva, Pescara, and Alarcon, the heroes of Pavia, were first and foremost soldiers; their contemporaries, Cardona and Lannoy, "stern and reserved, not only for a Fleming, but even for a Spaniard, if he had been one," were able to give a good account of themselves on the battlefield. But even in this first period, we are constantly encountering examples of another type, the keen, enterprising, resident diplomatist, who unearths important secrets, and sends home precious information ; such was Fernando Marin, abbot of Najera, "a man of great abilities and unusual veracity, whose numerous reports are a model of prudence and discretion"; such was Aloysius de Cordova, Duke of Sessa, who represented the Emperor at the court of Clement VII during the period of the formation of the League of Cognac. The latter part of the reign is the age of the administrator and statesman. Pedro de Toledo, who was viceroy of Naples from 1532 to 1553, was by far the ablest man that ever held that post; "a gambler, a heavy eater, and very arrogant," he "brought to Naples the high Spanish ideal of justice and police," "exercised his powers to the utmost, and so fulfilled his duties well." Neither Vasto nor Gonzaga in Milan was his equal; in the latter case one cannot help feeling that personal affection was the chief cause of the appointment. More competent by far was the Duke of Alva, who succeeded Gonzaga in Milan for a brief period in 1555-56, and was subsequently given the viceroyalty of Naples. Though his name was to become a byword for tyranny and oppression in the Low Countries, whither he was sent, under Philip II, to execute a predetermined policy, he showed, in his earlier years, both in Italy and in the Empire, that he was capable of caution, conservatism, and conciliation; he was, moreover, an excellent soldier. Perhaps the greatest of them all, and certainly one of the most notable men of genius of the Spain of the sixteenth century, was Diego Hurtado de Mendoza, whose fame as a man of letters was ultimately to eclipse his reputation as a soldier and diplomatist. Born in 1503, of the ancient Castilian aristocracy, he fought at Pavia and Tunis, and in 1537 was sent to England to try to negotiate a marriage between Henry VIII and the widowed duchess of Milan. After a year in the Netherlands, he became the resident ambassador of the Emperor at Venice, and subsequently represented him at Trent and at Rome. Contemporaries held him largely responsible for the outbreak of the Sieneese war, and in 1554 he was recalled to Spain, where the accession of Philip II put an end to his diplomatic career. But in the earlier period, when his influence was at its height, he was "really a sort of Spanish viceroy of Italy"; masterful, haughty, and dominant, the personification of the qualities for which Spanish diplomacy was becoming famous.

The best of these names are an interesting evidence that this hitherto Aragonese portion of the Spanish Empire was gradually beginning to become Castilianized; and the process was to be carried further in the succeeding reign. It was an unlooked-for outcome. Down to 1529, when most of the fighting had been done, Castile had hung back from the Italian campaigns; and in the later years, when there was less war and more diplomacy, the Emperor constantly showed that he had begun to share the reluctance of his Castilian subjects to assume any fresh responsibilities in Italy. But before the close of the reign, Castile had attained a position which forced her to shoulder most of the burden of maintaining Spain's Italian possessions. The influx of American gold and silver was the outstanding factor in the situation. Spinelly's famous phrase of 1520 could now be modified into "Nervus imperii est pecunia, which he

cannot have without Castile.” It was a significant example of the way in which the different parts of the Spanish Empire affected one another. The wealth of the Indies committed the defense of Italy to that portion of Spain which had least interest in retaining it.

CHAPTER XXV  
WAR WITH THE INFIDEL

ONE of the most notable features of the late fifteenth and early sixteenth centuries is the constant outcropping of belated enthusiasm for a revival of the crusades. Historians, for the most part, have been inclined to belittle the genuineness of these outbursts. They point out, with justice, that they furnished a most convenient pretext for the raising of funds and the collection of armies, to be employed for very different purposes, and much nearer at home. They also make it clear that, in fighting the infidel, it was now no longer a question of the recovery of the Holy Sepulcher, but rather of protecting the states of Western Europe; the advance of the Turks had converted the struggle from a war of aggression, inspired by a holy purpose, into a measure of defense, dictated chiefly by political necessity. Yet it would be unfair to maintain that the spirit of Peter the Hermit and of St. Louis had utterly departed. In France, it is true, one seeks for it in vain; for the political interests of the 'eldest sons of the Church' dictated friendship rather than enmity with the Moslem world, and in the first half of the sixteenth century political considerations took the precedence of religious ones. But in other countries, where the conditions were different, the mediaeval ideals were by no means wholly forgotten. Real crusading was often contemplated, if only very remotely, by many of the sovereigns of Western Christendom.

Of all the monarchs of Europe, Charles had the liveliest interest in a vigorous revival of the ancient traditions. As Holy Roman Emperor he was designated as the leader of Christendom against Islam. Moreover, in sharp contrast to his rival, the king of France, his political and dynastic interests coincided, instead of colliding, with his duties as the leader of the armies of the Cross. The infidel threatened his family domains in the Danube valley; the task of actually defending them might be delegated, for the most part, to his brother Ferdinand, but the Emperor was always closely in touch with the struggle in that region, and he once intervened there, most effectively, in person. And, finally, as Spanish monarch, he ruled over a country whose whole mediaeval history had been one long crusade—a land which had not rested with the expulsion of the infidel from its own borders, but had carried the Cross to the shores of North Africa. In each one of his different capacities, and in each of his far scattered dominions, Charles was bound to be looked to as the leader against Islam.

It will readily be believed that of all the countries over which he held sway, Spain was by far the most enthusiastic for the waging of the Holy War. There is not a trace of complaint in any of the records, either of the Cortes or of the Council, about the burdens imposed by the conflict against Islam; in fact, Spain was constantly urging Charles to increase his efforts in that direction, and to abandon the contemporary struggle against the king of France. Spanish troops formed the backbone of the two chief expeditions which he led against the North African coast; Spanish galleys did most of the work of their transportation. Nor did Spain restrict her efforts to the maintenance of the conflict in those portions of Europe where it would redound to her own advantage. More than 6000 of her best troops accompanied the Emperor in the Danube campaign of 1532; and Spanish soldiers continued to serve in the armies which Ferdinand maintained in that region during the next thirty years. One cannot for one moment leave the Danube campaigns out of one's calculations, even when writing only of the Emperor in his capacity as king of Spain. The Spaniards took the liveliest interest in the

ebbs and flows of the struggle in that quarter, and there was often close correlation between the naval war in the Mediterranean and the military campaigns on the Hungarian plains.

A few words as to the condition and extent of the Ottoman Empire at this period may not be amiss; for one must never forget in studying Charles V that his reign coincided with the most brilliant epoch in the annals of the Turks. Under Selim the Terrible (1512-20) they had conquered Egypt, and were thus brought much nearer than before to the Spanish Mauretanian lands. Under Suleiman the Magnificent (1520-66) they were to advance much farther still. The new sultan signalized his advent to power by two important victories. In 1521 he took Belgrade, and was thus brought within striking distance of the Hapsburgs in Austria. In the following year he captured Rhodes, which had been occupied for over two centuries by the Knights of St. John of Jerusalem, and had prevented the Turks from controlling the Aegean; the Eastern Mediterranean was now open to his fleets. And Suleiman followed up both these triumphs with energy and persistence. Despite the fact that his attention was often distracted by his Persian campaigns, he continued to lead his armies up the Danube until the day of his death. In the Mediterranean, he was of necessity obliged to rely on others, and the man whom he ultimately found to represent him in that quarter was Kheireddin Barbarossa, the younger brother of that Arudj who had established himself in North Africa in the time of Ferdinand the Catholic. From the moment that Barbarossa was taken over into the Sultan's service, the Spanish campaigns in North Africa and in the Mediterranean were necessarily given a new significance. They were no longer mere isolated ventures in a region comparatively unknown and remote, or desultory defensive operations to protect the Spanish coasts. They became part and parcel of a larger struggle, and had their bearing on the Emperor's policy all over Europe.

But it was not merely through his adoption of Barbarossa that Suleiman made himself felt in the West. He also found a most unexpected ally in one whom every tradition should have made his bitterest foe. So threatening, in 1525, was the preponderance of the house of Hapsburg that the Most Christian King of France made overtures for help to the Ottoman Turk. The story of the development of the ensuing alliance lies obviously beyond the scope of this volume, and can only be touched on when it most directly affects the fortunes of Spain. Yet it is clear that its existence not only served to embarrass Charles V, but also forced him to take the Turkish problem even more seriously than before. In the first part of his reign he had been obliged to set aside that problem to make way for the more immediate necessity of fighting the king of France. Later it became obvious that the two things were so closely connected that it was no longer possible to treat them independently, and that war against the infidel, which Spain had been ceaselessly demanding, was also practically dictated by the state of affairs in Western Christendom.

It was scarcely to be expected that Charles should take the Spanish point of view about the conflict of the Cross and the Crescent, in any of its different phases, at the time of his first visit to the peninsula. He was absorbed in getting recognized as king of his different Iberian realms, and in his prospects in the imperial election. He was under the influence of Burgundian counsellors, who cared nothing for North Africa or for the naval control of the Mediterranean. Yet the precepts and example of Cardinal Ximenes could not be utterly ignored; the defeat of Vera before Algiers called loudly for revenge, and when the Marquis of Comares, who commanded at Oran, came over to Spain in 1517 to pay homage to his new lord, and report on the North African situation, it was impossible for Charles to refuse him a hearing. The opportunity was favorable for a Spanish attack, for the archenemy, Arudj Barbarossa, after making himself master of Tenes and Dellys, had by this time penetrated to Tlemcen, where he had murdered some seventy members of the local dynasty, and was now almost totally isolated in a region that panted for revenge. So Charles finally granted Comares liberal reinforcements, which he utilized, to good effect, in the spring of 1518. The outposts of

Tlemcen were carried one by one. The city itself stood a six months' siege, and was only taken at the last through the treachery of the inhabitants. Arudj temporarily escaped, with a handful of his followers, scattering gold and jewels behind them as they went, in the hope of diminishing the ardor of the pursuit; finally, however, he was overtaken and slain, after a desperate encounter, in which he fought like a lion at bay. A representative of the local dynasty was set up at Tlemcen, and paid to the Spanish governor at Oran, in recognition of his suzerainty, an annual tribute of "12,000 gold ducats, 12 horses, and 6 female falcons".

It was an excellent beginning, but the sequel was a grievous disappointment. In the first place, the infidels were ultimately strengthened by their defeat; for the mantle of Arudj fell on the shoulders of his brother, Kheireddin, his equal in resolution and his superior in political sagacity. Furthermore, the new leader at once took the step which was dictated by the perils of his situation in North Africa, and hastened to Constantinople to declare himself the vassal of the Sultan; he now had behind him, in theory, at least, all the might and prestige of the Ottoman Empire. On the Christian side, in the meantime, the outlook became more and more discouraging. Charles's differences with his Spanish subjects, his return to the Netherlands, and the simultaneous outbreak of the revolt of the Comuneros prevented the sending of reinforcements to North Africa for a long time to come. The only attack of any importance that was launched against the infidel from any of the Spanish dominions during the next ten years was a disastrous expedition, in 1519, led by Hugo de Moncada from Sicily against Algiers. He had 40 ships and 5000 good troops, and, despite lack of expected support from the local chieftains, won a commanding position, close to the city, after four or five days of desperate fighting. But the hesitation of his officers prevented his utilizing this success by an immediate attack upon the town; and Kheireddin, by a clever stratagem, induced him to leave his intrenchments, and put his whole force at the mercy of his foes. Like a flock of frightened sheep they were driven back to their boats, large numbers of them being slain before they could embark; a violent gale completed their discomfiture, twenty-six of their ships being blown ashore, their cargoes seized, and their crews massacred. In the following year Moncada sought to wipe out the memory of his defeat by an attack on the island of Gerba (or, as the Spaniards called it, Los Gelves), in which he was successful, but he failed effectually to rehabilitate his prestige.

It was certainly unfortunate that Spain desisted from her aggressions in North Africa in the immediately succeeding period; for Kheireddin was so much weakened by the hostility of his Berber neighbors that in 1520 he had temporarily to abandon Algiers. Such was the confusion that reigned at that moment that a force of 7000 to 8000, marching eastward from Oran, could easily have gained the submission of the entire region. But Charles was unable to take advantage of the situation. His attention of necessity was centered on other things, and a golden opportunity was irretrievably lost. From 1520 to 1529 the offensive was entirely in the hands of the infidels. On the Danube they won at Mohács in 1526, and three years later seriously threatened Vienna. In North Africa Barbarossa took Velez de la Gomera from the Spaniards in 1522, and an attempt to recover it, three years later, was disastrously defeated. In 1524 the neighboring cherifs captured Santa Cruz de Mar Pequeña, opposite the Canaries, thus depriving Spain of the only post that she held on the African Atlantic coast. A little later, by a series of negotiations which finally terminated in the spring of 1530, Charles established at Malta, Gozzo, and Tripoli the Knights of St. John of Jerusalem, who had been driven from Rhodes in 1522. The action was doubtless largely due to the Emperor's desire to find a home for the Knights, but it is obvious that it was also dictated, at least in part, by his anxiety to shift to other shoulders the burden of maintaining possessions difficult to defend. Most significant of all, and most humiliating to Spanish pride, was the loss of the Peñón d'Algel in May, 1529. The maintenance of that fortress in Christian hands had been a serious annoyance to

Barbarossa. It really neutralized the effect of his possession of Algiers, for his ships could pass neither in nor out of the port without exposing themselves to bombardment; even the city itself was occasionally cannonaded. But in the spring of 1529 the defenders of the Peñón ran short of ammunition; the Emperor, to whom they applied for help, was too busy with European complications to pay any heed to them; and Barbarossa saw that his chance had come. Foreseeing that a siege would cost him many lives, he offered the garrison free transportation to Spain, provided it would surrender without resistance; the proposal, however, was scornfully refused, and the pirate replied by an overwhelming assault. The islet was surrounded by a fleet of forty-five ships, every one of them crammed full of soldiers and arms. The defenders, only 150 strong, were most of them killed. On May 21, Barbarossa entered the fortress in triumph, and caused the twenty-five survivors of the garrison whom he found there to be either tortured or put to death.

At the same time that these defeats were being suffered in North Africa, events were occurring off the Spanish coast which served to force the infidel problem even more directly on the Emperor's attention. We have seen that the edict of expulsion of 1525 had put the Moriscos of Valencia up in arms, that the rebels, very naturally, looked for aid from North Africa, and that the result was an orgy of piratical raids. Barbarossa had sixty vessels and a swarm of hardy sea rovers at his command; and he launched them at the most unexpected moments, upon unprotected points. The Cortes were loud in their complaints of the inadequacy of the coast defenses, and in the year 1529, just as Charles was crossing from Barcelona to Italy, their remonstrances received a crowning justification. Taking advantage of the fact that the best of Spain's navy were escorting the Emperor to Genoa, the most daring of Barbarossa's sea captains, the famous Caccia Diabolo, suddenly appeared, with fifteen ships, off Cape St. Martin in Valencia. He landed, raided the surrounding country, and rounded up and carried off a large number of Moors and Christians, the former to freedom, and the latter to captivity. The news of this incursion reached Charles at Genoa, and caused him to dispatch his admiral, Rodrigo de Portuondo, with eight galleys, to intercept the raiders on their return to Algiers; the two fleets encountered one another, on October 25, off the island of Formentera, in an action which is described by a contemporary chronicler as "the greatest defeat ever suffered by Spain in a combat with galleys". Portuondo was killed, six of his eight ships were brought back to Algiers or burnt by Caccia Diabolo as useless; the other two escaped with great difficulty to carry the sad news of the disaster to Iviza.

The report of this defeat, which made a profound impression in Spain, served to give the Emperor a final and conclusive proof of the urgent necessity for vigorous measures against North Africa. It was no longer merely a question of preserving the Spanish foothold in Mauretania; Barbarossa must be attacked as a means of defending Spain. Moreover, for the first time in his career, Charles was in a position to deal an effective blow against the pirate. The peaces of Barcelona and Cambray had set his hands free in Western Europe. The winning of Andrea Doria had furnished him with an adequate naval force. Accordingly, in the spring of 1530, just as he was about to leave Italy for the Empire, Charles ordered the admiral to attack Cherchell, a pirates' nest on the North African coast, about fifty miles to the west of Algiers. The expedition, which was launched in the following July, took the enemy by surprise, and at first succeeded well. Doria took the town and liberated many Christian captives; he was also able either to take or destroy, almost without firing a shot, the infidel ships that lay in the bay. But subsequently his followers dispersed to plunder, and finally their foes drove them back with great slaughter to the shore. Certainly the expedition could not be regarded as an unqualified success from the Christian point of view. The following year, however, saw a renewal of Spanish aggressiveness, the attack on this occasion being led by Alvaro de Bazán, and directed against the port of Honeine (or One), just west of Oran, from which Tlemcen

drew its provisions. The town was taken, and a Spanish garrison established there; 6000 Moors are said to have been slain, and 1000 more made prisoners. Barbarossa, in 1532, attempted to reply to these attacks by launching *Caccia Diabolo* against the coast of Sardinia; his particular desire was to obtain Christian captives for his galleys, and his special objective a famous shrine where the faithful were assembled for the feast of Saint Antiochus. But the expedition was a total failure. A tempest arose and drove the raiders on the rocks. Instead of replenishing his supply of Christian rowers, Barbarossa lost 1200 from the benches of his own shipwrecked galleys.

These clashes, however, were but petty affairs. They proved that the Emperor had at last recognized the necessity for vigorous action in North Africa and on the Spanish coasts, but in the immediately succeeding years the scene of interest was to be shifted farther eastward. It was known that Suleiman was preparing a fresh expedition up the Danube in 1532. Charles had now been in the Empire since April, 1530, and was proposing to lead an army of Spaniards and Italians against the Sultan. A diversion in the Mediterranean, in the shape of a naval raid on the coasts of Greece, seemed an obvious way of embarrassing the Turk. In the spring of 1532 Andrea Doria passed through the Strait of Messina with a fleet of forty-four galleys (of which seventeen were Spanish) and a number of lesser ships, carrying from 10,000 to 12,000 German, Spanish, and Italian soldiers. A Venetian fleet of sixty sail, off Zante, refused to accompany him; it even sent word of his advance to the Turk. On September 12 he seized Coron, on the southwestern promontory of the Peloponnesus, and left 2500 Spanish soldiers to guard it under Jeronimo de Mendoza. Immediately afterward he took Patras, and also the two castles that guarded the entrance to the Gulf of Corinth; the Turks were forced to seek refuge under the guns of Lepanto. In the end of November he got back to Genoa, with 60,000 ducats' worth of cannon. It was a great coup and spread terror in the East. The news of it was doubtless one of the things that led the Sultan to retreat from Guns. In the following May Suleiman sent an army and a fleet to retake Coron, but Doria had solemnly promised Mendoza to send succor in case of need. With a fleet of thirty sail, of which twelve were new Spanish galleys under Alvaro de Bazán, and 2500 Spanish troops, he issued out into the Ionian Sea. One of his best galleys was sent ahead to apprise Mendoza of the arrival of help, and to take 10,000 escudos for the pay of his troops. It crept inshore under the lee of Mendoza's guns, and accomplished its errand; and on August 2, Doria, with his fleet, broke through the Turkish ships and scattered them. Had Doria not been overcautious, he might have reaped greater rewards from the victory he had won; for the Turkish vessels were quite unable to defend themselves and he should never have allowed them to escape unscathed; however, he had at least fulfilled his promise to Mendoza. But Coron did not remain much longer in Christian hands. Like the territories of the Catalan Grand Company two centuries before, it was too remote to be of real value. On April 1, 1534, it was abandoned, the garrison being permitted to march out with all their equipment, and any Christian Turks who wanted to come with them.

It was not only on the military and naval sides that the Emperor revealed his increasing interest in the struggle against Islam; he began to bestir himself diplomatically as well. To this he was doubtless in large measure incited by the rumors which reached him of the relations between Suleiman and Francis I; and it is unfortunate that we have not more definite information as to the origin of these relations, and the period and manner in which Charles first came to know of them. It seems certain that the first regular envoy of Francis to Suleiman was not dispatched until the spring of 1525, just after the battle of Pavia; but there is some reason to think that the French monarch had at least considered the possibility of making use of the infidel as early as three years before. There is a letter of the Emperor to one of his counsellors, written on August 25, 1522, in which he discusses the siege of Rhodes, and uses

language which is susceptible of being interpreted to mean that he believed that there was already some sort of an understanding between the Sultan and the king of France. This notion, if Charles actually entertained it, was probably in advance of the fact; for though Francis failed to send the Knights the aid that he had promised them, there is no evidence that he was as yet in relations with the Porte; he may well have looked forward to such relations in the near future, but it does not seem likely that they had actually begun. On the other hand, we may well believe that Charles's fears had been sufficiently aroused to make him desirous of finding himself some ally in the East with whom, if occasion served, he could cooperate against Suleiman; and the obvious place to look for such an ally was in Persia.

It would appear that the notion of an alliance between the Shah of Persia and the powers of Western Christendom against the Turk originated with the counsellors of the boy King Louis of Hungary, who had been betrothed, May 20, 1515, to Mary, the sister of the Emperor, and was thus brought into close relations with the house of Hapsburg. A Maronite of Lebanon, called Brother Peter, arrived from Hungary at the court of the Shah Ismail with letters pointing out the advantages of such an alliance, probably as early as 1518; and it was probably in October of that year that Ismail sent him back to Charles to indicate his willingness to follow the matter up. Nearly six years, however, elapsed before Brother Peter found the Emperor; the envoy from 'the Sophia', whose arrival at the imperial court at Burgos on July 1, 1524, was reported by the English ambassador, Sampson, can scarcely have been any other than he. At first Charles seemed in no hurry to enter into so distant an undertaking. There were apparently grave doubts as to whether the Maronite was a 'counterfeit messenger', or a genuine one, and the Emperor's hands were full of other things. After suffering more than a year to elapse without doing anything at all, he wrote to the Shah, on August 25, 1525 (Ismail had been succeeded by his son Thamasp in 1523), expressing general willingness to cooperate with the Persian ruler, but carefully avoiding any promise of definite action. He had not yet felt the weight of the Turkish peril to the same extent as had his kinsmen in the Danube valley, and it is not surprising that he was so cautious at first. But four years later there came a change. The peace of Cambray, though not signed till August, was virtually inevitable from the beginning of 1529. The Turk had proved his mettle both in the Danube valley and on the Mediterranean, and his relations with the king of France, whether the Emperor knew it or not, were considerably closer than they had been in 1522. On February 18, 1529, therefore, Charles dispatched a certain Jean de Balby to the court of the Shah, to inform him how matters stood in Western Europe and to ask for an anti-Turkish diversion in Asia Minor.

Nothing came, however, of this interesting experiment. Balby arrived in 'Babylon' in May, 1530, to find a situation most unfavorable to his master's plans. The Shah was off on a campaign against the Usbeks of Khorasan; in order to be able to concentrate all his forces against this new foe, he had temporarily come to an amicable understanding with the Sultan, and there was no chance of inducing him to alter his plans. The scheme of a Persian alliance was apparently put forward again in the year 1537 by one of Charles's German councilors, Frederick of the Palatinate, but the Emperor did not choose to adopt it. The whole affair doubtless seemed to him too remote; moreover, at precisely that very moment, as we shall later see, he was actually negotiating for a treaty with Barbarossa. Yet the fact that he sought the alliance of the Shah in 1529 proves how seriously he was then alarmed at the extent of the Turkish peril; had his ambassador had the good fortune to arrive at a more opportune moment, it is altogether probable that a treaty might have been signed. The horizon of the Spanish Empire was certainly being enlarged.

In the meantime, Charles had become much more fully apprised of the extent of the relations between Francis and Suleiman. At the time of his interview with the Pope at Marseilles, in 1533, the French king had made a clean breast of his understanding with the

Porte, and Clement betrayed the secret to the Emperor. “Not only”, reported the pontiff, “will the king of France not prevent the coming of the Sultan against Christendom; he will advance it”. Fuller and more specific information came to hand before the year was out. Charles sent a special envoy, the Count of Nogarolles, to accompany the ambassadors charged by his brother Ferdinand with the duty of arranging a peace in the Danube valley. Nogarolles found Rincon, the representative of Francis, in close intimacy with the grand vizier, Ibrahim. He learned all the details of what had passed between them, and was further privately informed by a renegade Christian of certain preposterous lies which the ambassador had told the Sultan in regard to the captivity and the release of the king of France, with the object of inciting Suleiman’s anger against the Emperor. It would appear that Charles had an ulterior object in sending Nogarolles; namely, to see if it might not be possible to arrange a peace with the Sultan for himself; for, despite all his strictures on the conduct of the king of France, he was not above making a treaty with the infidel on his own account, provided he could hope to do so with advantage; indeed, the sequel will show that he continued to seek for such a treaty in the future. But the Turk was unwilling to meet him half way. Peace was made, for the time being, on the Danube, in the three cornered strife between Suleiman, Ferdinand, and Zapolya; but on the Mediterranean the Sultan was anxious to continue the fight. The principal reason why he made a treaty in Hungary was doubtless in order that he might be free to attack Persia; but he was also strongly influenced in the same direction by his desire for more resources to use against Andrea Doria.

Ever since the great Genoese sailor had gone over to the Emperor, the Sultan had realized that he was in danger on the sea. The capture of Coron had confirmed all his fears, and determined him to draw closer to the one man who was capable of coping with Doria. Ever since 1518, when Kheireddin Barbarossa had gone to the Porte to declare himself the Sultan’s vassal, Suleiman had been keeping close watch on the doings of the pirate; and in the summer of 1533 he ordered him once more to repair to Constantinople. Traditional policies and present jealousies at first prevented the Sultan from giving Barbarossa the supreme naval command. The pirate, who was then in his sixty-seventh or sixty-eighth year, had to journey overland, in December, into distant Syria, in order to interview the grand vizier Ibrahim, without whose approval the Sultan refused to act. But Ibrahim was quick to recognize the man that was needed. Barbarossa returned to Constantinople to find all his difficulties vanished. In June, 1534, he put to sea as pasha and grand admiral of the Turkish fleet, with over 100 ships, and 10,000 Turkish soldiers. During the next few weeks he treated the coasts of Italy to such a ravaging as they had seldom experienced before. From Reggio north to Fundi he burnt, he sacked, he made prisoners; but the prize that he most ardently desired escaped him. The story goes that he had hoped to carry off as a present to his master, and a proof of his own valor, the famous Julia Gonzaga, accounted the most beautiful woman in the Italy of that day; the lady, however, was warned of his intentions, and escaped with the utmost difficulty, almost naked, in the dead of night.

Clearly the time had arrived for a decisive trial of strength, and Barbarossa’s next move, after his ravagings of the Italian coast, settled the spot where it should take place. For years his attention had been directed to Tunis, where the tottering Hafsides maintained themselves with difficulty, and only because they were willing to call for Christian help. Full possession of the place would be invaluable to the Sultan, for it would establish him in dangerous proximity to Sicily, and limit the operations of his foe to the western basin of the Mediterranean. The inevitable pretext—defense of the rights of a fugitive claimant—was eagerly seized upon. In August, 1534, Barbarossa landed his janizaries at Bizerta. The terror which his name inspired was certainly not less among his coreligionists than among the Christians; the Hafside ruler did not even wait to receive him, but gathered up his family and his treasures, and retired to

the interior. Kheireddin entered the city without striking a blow. The union of the whole naval force of the Ottoman Empire with the dominant power in Moorish North Africa was now officially proclaimed to the world. The establishment of its daring leader in his new headquarters, so close to Sicily, and so advantageous for sudden attacks, roused all the lands of the Emperor and his allies to a keen realization of the imminence of the new peril.

Measures of defense were promptly taken in Spain, Sardinia, Naples, and Sicily. The coast towns were fortified; fresh galleys were prepared; Andrea Doria and Alvaro de Bazan were kept constantly informed of everything Barbarossa did, and were ordered to be ready to sail at a moment's notice. But Charles was not content with mere measures of defense. He wished to carry the war into the enemy's country, and drive his foe out of the coign of vantage he had seized. A swift, decisive blow, delivered on the instant, might possibly serve to shatter the new combination of his opponents before it had fairly got into working order. Nor was the moment in all respects unfavorable for the organization of a great offensive against the Turks. The situation in Western Europe was temporarily quiet. The Cortes were anxious to contribute funds. The new Pope, Paul III, wanted to revive the Crusades; and Portugal, the Netherlands, and the Empire promised to send contingents. The king of France, of course, held off; but in view of all that was known of the state of his relations with Suleiman, the Emperor can scarcely have been surprised. He and the Pope continued to work for the loan of thirty galleys which the French king had assembled in Marseilles, though probably not as much with the idea of actually obtaining them, as of making capital out of the refusal which they expected and received. But even in this they were doomed to disappointment. The facts of the situation were no longer a secret, but Europe was in no mood to be easily shocked.

The Emperor himself, it must frankly be confessed, was not absolutely guiltless of similar designs. While as temporal head of Christendom he was calling for a crusade, he was plotting to deal with the situation in North Africa in such fashion as would relieve him from the necessity of waging war. In the autumn of 1534, he dispatched to Tunis, disguised as a merchant, a certain Genoese called Luis de Presenda, who had lived in Fez and knew the language and customs of the Moors. Presenda's first task was to spy out the land, ingratiate himself with Barbarossa, learn his intentions, and report every two weeks. He was also to get into touch with the dethroned ruler, Muley Hassan, and try, with his aid, to stir up such a revolt as would effect the expulsion of Barbarossa from his new dominions. But if this should not prove feasible, Presenda was empowered, at his own discretion, to embark upon a new and different line of conduct. He was given leave to approach the pirate himself, as the Emperor's accredited ambassador, and to endeavor to win him away from the Sultan by offering him, as a bribe, the lordship of North Africa. And there was lastly a third alternative, which he was authorized to adopt, if the circumstances were favorable and the means could be found; and this was nothing less than Barbarossa's assassination. Poison or throat cutting were the methods approved; there would be a good chance to apply them when the pirate was drunk—a contingency, it would appear, of no infrequent occurrence. None of these interesting projects was destined to succeed; for Presenda's mission was soon discovered by Barbarossa, and Presenda himself was arrested and put to death; but the fact that the Emperor gave his consent to the employment of such methods is significant of his attitude toward the entire Turkish problem. That he did not shrink from assassination should cause us no surprise. Few rulers of his time were too proud to adopt it, if the need was sufficient and occasion served, nor is it entirely unfamiliar today; it but emphasizes the importance that Charles attached to Barbarossa. That he strove to outbid the Sultan for the pirate's allegiance shows that the political side of the struggle against the infidel was emphatically, for the moment, uppermost in his mind. He possessed his share of crusading enthusiasm and was only too glad to make use of that of others; but in crises like that with which he was for the moment confronted, he was perfectly

ready, if he could do so with advantage, to sacrifice religious consistency to the advantage of the house of Hapsburg, and the safety of the territories over which it held sway. Whether he would have dabbled in such schemes if the king of France had not set him the example, is a question over which one can argue indefinitely; but the fact remains that his instructions to Presenda prove that for the moment, at least, he was no more scrupulous than his Most Christian rival.

Charles had not sufficient faith in the success of Presenda's mission to abate his military preparations. The Spanish and Portuguese concentrated at Barcelona, where Andrea Doria subsequently joined them with an imposing fleet which he had gathered at Genoa. The Germans, the papal levies, and the Spaniards in Naples and Sicily had their original rendezvous at different points on the western coast of Italy. Late in the spring of 1535, under the Marquis del Vasto, they united their forces at Cagliari, in Sardinia, where they were joined by the Maltese contingent of the Knights of St. John of Jerusalem. On June 10 the Emperor and Andrea Doria arrived from Barcelona, and the Christian forces for the first time were united in one place. In all there were probably about 400 sail, of which only about a quarter, at the most, could be regarded as ships of war, and upwards of 30,000 troops. The Spaniards formed the largest contingent, and probably constituted over half of the entire expedition; 10,000 is a fair estimate of those that came from Spain, and 6000 for those from the Spanish dependencies in Italy; the Germans numbered about 7000, and the Italian levies between 4000 and 5000. Doria was given supreme command of the fleet, and the Marquis del Vasto of the land forces. On Monday, June 14, the expedition set sail, and, with favorable winds, covered the distance to North Africa in about twenty-four hours, arriving off Porto Farina early in the morning of the 15th. Passing slowly on to the south and east, the fleet came to anchor off the ruins of the ancient town of Carthage, and on the 17th and 18th the troops were landed, in excellent order, at a point previously agreed upon, between Carthage and the fortress of La Goletta. The enemy made a few feeble efforts to hamper the disembarkation, but the excellent order and close formation of the Spanish veterans prevented them from doing any serious damage.

The city of Tunis stands at the western, or most inland, end of a shallow saltwater lake or marsh, about six miles across, and connected with the sea by a strait some 300 yards long and 100 feet wide. A channel was kept open through this strait, and down the center of the lake to the walls of Tunis itself, to admit of the passage of Barbarossa's largest galleys; in fact, the greater part of the pirate's fleet was anchored in the lake at the time of the Emperor's arrival. On the very end of the narrow neck of land which formed the north shore of the strait was erected the strong fortress of La Goletta, a rectangular tower with thick walls and strong bastions, protected on the land side by several well fortified walls, which ran clear across the isthmus on which it stood. La Goletta was, in fact, the key to Tunis: it got its name from the fact that it "held Tunis by the throat". It controlled absolutely the approach by sea; it commanded the lake and the ships that lay therein. Barbarossa had been quick to realize its importance, and the moment that he learned of the Emperor's expedition, he concentrated all his energies on strengthening its defenses. He had no time to spare for those of Tunis itself; but he rightly judged that Charles would never venture to advance unprotected overland against the city as long as its chief bulwark remained, untaken, in his rear. The foresight of the pirate was justified by the event. The Emperor immediately decided to attack La Goletta; it was there that the fate of the expedition was to be decided.

The siege of the fortress lasted three weeks and a half, from June 20 to July 14. La Goletta was defended by a garrison of about 5000 Turks and an indefinite number of Moors, commanded by the famous corsair, Sinan 'the Jew'; upwards of 300 cannon were mounted on its walls. The besiegers were obliged to dig trenches and parallels to protect their advance

toward their final objective. But what made their task particularly difficult was the sudden attacks to which they were subjected by light-armed Moorish troops concealed in the olive groves nearby. Barbarossa, whose headquarters were in Tunis itself, organized these diversions with consummate skill. Small bodies of Christians were being constantly cut off; not until the last days of the siege did they learn how to defend themselves. Sorties from La Goletta itself were of frequent occurrence, and usually timed so as to coincide with the attacks from the olive groves; Barbarossa kept in touch with his commanders inside the fortress by means of light boats on the shallow waters of the lake. The Christians suffered throughout the siege from lack of proper drinking water, and from consequent overindulgence in fruits and in wine; dysentery and intoxication made difficulty from beginning to end. Jealousies between the different nationalities of which Charles's army was composed were another fertile source of trouble. The Spaniards and the Italians held one another in contempt, and often failed to cooperate in attack and defense. The Germans were insolent and insubordinate, and shocked the decorous Spaniards by their lack of respect for the person of Charles. One of them actually pointed his gun at the Emperor, and, though apparently drunk, was executed forthwith. Charles himself showed great personal bravery throughout the siege, and proved, on the day of the final assault, that he was by no means devoid of skill as a tactician. It was, in fact, his baptism of fire, and he came out of the ordeal with high credit. The eulogies of the standard Spanish authorities are doubtless overdrawn, but it is evident that the vague doubts, expressed ever since Charles's first appearance in Spain, as to whether he would prove a worthy inheritor of the warlike traditions of his Iberian forebears, were henceforth definitely and permanently set at rest.

During the course of the siege the Emperor established connection with the dethroned ruler, Muley Hassan, who had promised to come to his aid, at the moment of his arrival, with several thousand Arab and Berber troops. The ex-king, when the critical moment came, proved totally unable to make good his word. He did not reach the Emperor's camp till June 29, and when he did finally arrive, brought with him a bare 300 men; what was even more serious, he failed to furnish any supplies, which the Emperor needed far more than he did soldiers. But despite all these disappointments, Muley Hassan was cordially received. Though his military value for the moment might be small, he was likely, in case the Emperor should be successful, to prove subsequently indispensable as his local representative; moreover, the information that he could furnish in regard to the country around about was of great help to the invaders. So Charles, after warning him not to attempt any treachery, turned him over to the care of his generals, who saw to it that he was properly impressed with the irresistible power of the Christian arms.

The day for the grand assault on La Goletta was fixed for July 14. Sunrise was the signal for a tremendous bombardment, which was directed against the fortress from all four sides at once. Spanish batteries fired from the north and east—that is, from farther up the isthmus, and on the sea side; there were twenty-one guns in the latter detachment, which was stationed at a point 400 paces distant from the fortress. It devoted its attention to the main tower of La Goletta, and the gunners were encouraged by the presence of the Emperor in their midst. On the west side, near the lagoon, the Italians took charge of the bombardment; their batteries also were established only 400 paces away from their objective. But perhaps the most effective cannonading of the day was delivered from the fleet itself against the sea walls and southern towers; over 70 ships participated in it. They were divided into three squadrons, to facilitate maneuvering; they advanced, ship by ship, in regular alternation, and having delivered their fire, returned to reload. Particularly valuable were the great guns of the larger carracks. They shook the towers of La Goletta until one of them tumbled and fell, spreading consternation throughout the fortress. About midday orders were given to cease firing, in order that the

besiegers might note the effect of their bombardment, which was decidedly the most marked on the eastern and southern sides; it therefore devolved upon the Spaniards, on land, and the Knights of St. John of Jerusalem, who were gathered, for the most part, in the ships of the fleet, to lead in the grand assault, for which every preparation had been made. The Spaniards were commanded by Alvaro de Bazán; and the Knights, who were rowed toward the shore in small boats and finally completed the distance by wading, pressed forward most gallantly behind their standard-bearer. Ladders were on hand, and the walls were scaled; after some furious fighting, the Turks gave way; many of them were killed and wounded, while the rest escaped to Tunis. The booty captured in La Goletta was large and rich; significant among the trophies were the fleurs-de-lis of France, stamped on several of the cannon and cannon balls. But by far the most valuable prize that fell into the Emperor's hands was neither the fortress itself nor the spoils it contained, but the pirate fleet on the waters of the lake, comprising all the ships under Barbarossa's command, save for fifteen galleys which he had held in reserve at Bona. According to the Emperor himself, it numbered eighty-two sail. Why it had failed to cooperate in the defense of La Goletta will always remain one of the mysteries of the siege; but as soon as the Christians had got possession of the fortress, there was no possible alternative for it save immediate surrender.

Apparently some of the Emperor's counsellors felt that he ought to rest satisfied with the capture of La Goletta, and urged him to retire to Europe without attacking Tunis. But Charles plainly saw that his prestige would infallibly suffer if he came back with, the goal he had announced unattained, and he wisely insisted that Tunis must be taken. Whether it would not have been even wiser still to make sure, in the first place, of the capture of the galleys at Bona is another matter. Barbarossa, rather than Tunis, was the Emperor's real objective; it was more than doubtful if the pirate could be captured, but if he could have been deprived of the sole remaining portion of his fleet, he would at least have been immobilized for some time to come. But Charles's heart was set on the taking of Tunis. He listened, as usual, to the objections of his councilors, but none of them served to divert him from his purpose.

On July 20 the Christian army began to advance along the northern shore of the lake; as horses were lacking, the cannon had to be dragged along the sandy roads by the soldiers themselves. The heat and drought were terrific; every soldier had been ordered to carry enough water to last him three or four days, but many of them had failed to do so, and in consequence soon began to suffer agonies of thirst. The Emperor accordingly directed his march toward some wells of sweet water of which Hassan had informed him, and which lay about three miles to the northeast of the city walls. Barbarossa had foreseen the necessity of this movement on the part of his foe and determined to take advantage of it. When Charles arrived within sight of his objective, he found the infidel army drawn up in the form of a crescent, with its flanks resting on the lagoon and on the olive groves, so placed as to make it impossible for the Christians to reach the wells without a battle. But the pangs of thirst made Charles's army desperate, and the issue of the ensuing conflict was soon decided in its favor. The Spaniards bore the brunt of the fighting, and the Emperor himself was in the thick of it. His horse was shot under him, a page was killed by his side, and he saved the life of one of his followers. The poet, Garcilaso de la Vega, was also wounded in the course of the day. By evening Barbarossa had withdrawn his army to the shelter of the walls of Tunis; the Christians were established in possession of the wells.

Charles had doubtless expected more battles on the morrow, but events occurred during the intervening night which spared him the necessity of further fighting. Within the walls of Tunis were several thousand Christian captives, who might easily break out of confinement and bear aid to the invaders; it was Barbarossa's plan to forestall this possibility by ordering a wholesale massacre. His subordinates, however, objected strongly, not so much, in all

probability, out of humanitarian motives, as because they did not wish to have their own property destroyed; and finally the pirate consented to a postponement. This decision proved fatal to his hopes of retaining Tunis. The prisoners were informed of the advance of the Christian army, and finally certain renegades, who desired to make their peace with the prospective victors, furnished them with the means of regaining their liberty. Under the lead of a Knight of Malta, they burst into the arsenal, armed themselves with pikes, muskets, and cutlasses, and poured forth to attack the Turks and Moors. By the morning of July 21, the city was no longer in a condition to defend itself, and when the Emperor, advancing from his camp, was apprised of the state of affairs within the walls, he entered Tunis without serious opposition. The Moors in the city implored him to spare them the horrors of a sack and sought to curry favor with him by the warmth of their welcome to Muley Hassan. The Emperor, however, had promised his soldiers that they should enjoy the ordinary three days of plunder; and, after considering the question in council with his generals, he felt obliged to keep his word. The outrages that occurred in the allotted time were rarely exceeded in the sixteenth century and stand out in distressing contrast to the clemency shown by Suleiman the Magnificent at the time of his capture of Bagdad in the previous December. On the other hand, it is fair to recall that the Christians, and especially the Spaniards, were but recouping themselves for losses which they had sustained, for many years past as a result of corsair raids, and that they could scarcely be expected to regard Barbarossa in the light of an ordinary foe.

If the postponement of the massacre of his Christian captives was the immediate cause of Barbarossa's loss of Tunis, the permission to plunder which Charles granted to his soldiers was the principal reason why he failed to capture Barbarossa. Taking advantage of the confusion which reigned in the city, the pirate gathered a few thousand Turks and Moors about him, and retired in safety to Bona, where, it will be remembered, he had kept fifteen of his best galleys in reserve. Andrea Doria, who had remained in La Goletta, had failed to obey Charles's order to occupy Bona; nay more, when subsequently commanded to send a sufficient number of galleys thither to cut off Barbarossa's escape by sea, he only dispatched a squadron of fifteen, a force quite inadequate to accomplish its purpose. The pirate, after giving his men two days of much needed rest, was able to sail away without molestation; and though Bona itself was soon after occupied by a Spanish garrison, the continuance of the struggle was nevertheless inevitable. Had the Emperor, with all his forces, laid siege to Algiers, whither it was soon learned that Barbarossa had retired, it is possible that he might have effected his purpose then and there, and broken the connection between the Turkish Empire and North Africa. But the lateness of the season, the state of his army, and, above all, the imperative need of his presence in Europe, combined to convince Charles that that enterprise must be postponed. It was a disastrous mistake, as the sequel was abundantly to prove.

Before departing for Sicily, Charles signed a treaty with Muley Hassan, by which that ruler was set up in Tunis as a vassal of the Emperor, on condition that he should give no aid to any of Charles's enemies, whether Christian Frenchmen, Turkish corsairs, or disaffected Moors. A strong Spanish garrison was left in La Goletta, whence it could dominate the entire situation, and give prompt notice of the approach of any danger. During the second and third weeks of August, the last squadrons of the Christian fleet set sail for their respective destinations, the Emperor accompanying the Italian division to Trapani, and subsequently to Messina and Naples. Ostensibly, at least, he had scored an important success; the capture of Tunis unquestionably marks the apogee of the Spanish power in North Africa. But his failure to capture Barbarossa himself served almost to render nugatory the victory which he had won. While still in Sicily, Charles received a most surprising and discouraging piece of news—a striking proof of the irrepressibility of the pirate, and an evidence that the recent campaign had, after all, been comparatively futile.

Barbarossa had resumed the offensive in dramatic fashion. He had passed on from Bona to Algiers, where he increased his fleet to more than thirty sail; then, foreseeing that the Spanish dominions would be absorbed in getting ready to welcome the returning expedition of the victorious Emperor, and consequently more than usually unprepared for a hostile attack, he flew the flag of Spain from his masthead, disguised his crews and soldiers as Christians, and sailed boldly forth to the Balearics. His daring was rewarded with astounding success. He was received with every kind of rejoicing and with ringing of bells. According to one account, the inhabitants were engaged, at the very moment of his arrival, in desecrating the corpse of an executed prisoner, which the authorities had disguised in such fashion as to make men believe that it was Barbarossa himself. Certainly no surprise was ever more complete. The pirate concentrated his forces against Port Mahon, in Minorca; he captured a Portuguese caravel in the harbor and carried off most of the inhabitants of the city, to serve as slaves and rowers in his galleys. On his way back he visited Oropesa, on the Valencian coast, fought off the inhabitants of the adjacent towns who had hurried to the rescue, and finally sailed away with 6000 ducats, which he consented to accept as ransom for captives.

At this point the story of the relations of the Emperor and his infidel foes enters on a completely new phase. Several causes combined to produce the change. In the first place, the reopening of the war with Francis I distracted Charles's attention once more from the Turkish and North African problems; his admiral, Andrea Doria, also, was necessarily much preoccupied with the naval side of the conflict with France, and had consequently less time to devote to Barbarossa. Secondly, the situation in North Africa developed, in the years succeeding the conquest of Tunis, in such fashion that the Emperor felt justified in temporarily leaving it alone. Barbarossa, after his raid on the Balearics, had been summoned to Constantinople, so that the archenemy was gone. He left an able representative in Algiers; but everywhere else on the Barbary coast the Spaniards and their Moorish vassals had distinctly the upper hand; even the king of Tlemcen was so impressed by their power that he thought, for one moment, of recognizing Charles's overlordship. And finally, the departure of Barbarossa for Constantinople, and the new naval program of Suleiman the Magnificent, served to transfer the chief scene of interest to the eastern waters of the Mediterranean, and ultimately brought the republic of Venice into the struggle against the Turks. The net result of these different factors was to diminish the immediate interest of Spain and the Emperor in the struggle, and to shift, for the time being, the chief burden of what fighting was to be done to the shoulders of another power. The activities of Charles during this phase of the conflict are, on the whole, less military and naval than diplomatic.

By the month of August 1536, it became generally known that the Sultan was preparing a great naval expedition against Italy for the following year. His agreement with Francis I was in full running order now, and the allies were timing their movements to coincide. In July 1537, a Turkish fleet of a hundred ships appeared in Italian waters, with Barbarossa in supreme command, and the famous Lufti Pasha, seraskier of Rumelia, as his principal subordinate. The infidels ravaged the coasts of Apulia. They effected a landing at Otranto, laid waste the surrounding country, and carried off numerous captives. Andrea Doria was far too weak to resist them, and retired with the few ships at his command into the harbor of Messina. Never had the Turkish naval power seemed so menacing before.

Yet, curiously enough, it was at this very moment of imminent peril that the Emperor was offered an opportunity to detach Barbarossa from the service of the Sultan and to win him as a vassal and an ally of Spain. In April of the same year, 1537, there had appeared at the court of Ferrante Gonzaga, the viceroy of Sicily, a Spanish gentleman called Alonso de Alarcon, who

had been for five years a prisoner of the pirate, and bore a strange message from him to the Emperor. Apparently Barbarossa was anxious to change sides. It was clear that he was profoundly jealous of Lufti Pasha; and the recent fate of the grand vizier Ibrahim was a warning to all servants of Suleiman the Magnificent. By dint of liberal concessions, Charles might be able to win over the pirate and the fleet that he commanded; possibly an offer of Tunis would turn the trick. Gonzaga, of course, was alive to the possibility that these proposals were being made with treacherous intent, with the idea of lulling Charles into a false sense of security, in order to render more certain the triumph of the Turk. Nevertheless, he determined to communicate them to the viceroy of Naples, the Spanish ambassadors at Rome, and Andrea Doria; and he despatched Alarcon himself in a swift brigantine to Barcelona, to deliver his story in person to the Emperor. Nothing more, however, came of the matter for the time being. The episode was chiefly important as paving the way for subsequent developments.

The next six months saw a general gathering of the Christian forces, at the exhortation of Paul III, for a campaign against the infidel on a really large scale. The truces of Bomy and Monzón had halted the fighting between Charles and Francis, and seemed to make possible an effective crusade. The failure of the French king to appear in Italy caused the Turks to withdraw from Apulia and concentrate against Corfu; after suffering a repulse at the hands of its heroic garrison, they again transferred the scene of their activities to the eastward and raided the islands in the Aegean Sea. These operations naturally aroused the ire of Venice, whose Aegean and Ionian possessions bore the brunt of the Turkish attack, and determined the republic, after long wavering, to join with the Emperor and the Pope against the infidel. In early February 1538, a new 'Holy League' was formed between the three allies for offensive and defensive war against the Turk. The quota which each one should furnish was determined in advance, as was the division of the spoils in the event of victory. But it was plain from the outset that the interests of Charles and of Venice were so divergent that they were highly unlikely to cooperate effectively; and the situation was further complicated by the attitude of the king of France. The Pope journeyed to Nice in the month of May, in the hope of arranging a permanent peace between the Emperor and his principal rival, and thus ensuring the success of the crusade. But his efforts were only partially successful. A ten years' truce was the most he could accomplish; moreover, the whole atmosphere was so charged with suspicion that it was obvious that Charles could not possibly give his undivided attention to the Turkish war. He insisted on conducting the struggle on defensive lines during the rest of the year 1538, while the Venetians wished to carry the war into the enemy's country. His eyes were fixed on the situation in the western basin of the, Mediterranean, and on the maintenance of the Spanish power in North Africa; theirs were directed to the Adriatic and the Aegean. The results of these divergences were to be only too plainly evident when the time for decisive action came.

The rendezvous for the different contingents of the Christian fleet was fixed at Corfu. The first to arrive were the Venetians, with fifty-five galleys, under Vincenzo Capello. Next, on June 17, came the twenty-seven that were furnished by the Pope; they were commanded by Marco Grimani. Both these leaders wanted to get started at once, and seek out the enemy in the Aegean Sea; but the viceroy of Sicily, who was commander-in-chief, insisted on waiting for the arrival of Doria, who was occupied till the latter part of July with the various goings and comings of the Emperor in connection with the interviews at Nice and Aigues-Mortes, and did not finally reach Corfu until September 7. He brought with him forty-nine galleys, thus carrying the Christian total to over 130; on September 22 a large contingent of 'naos gruesas de combate,' sent on by the Emperor, also arrived, and there was the usual swarm of minor transports. The fleet carried 16,000 land troops, and 2500 cannon. In the meantime, Barbarossa, with a somewhat smaller number of ships, had been able to take up a position just inside the entrance to the Gulf of Prevesa, under the shelter of the guns of the fortress, close to

the site of the battle of Actium. His inferiority in numbers was very largely compensated by the excellence of his crews and the skill of his captains. All the most famous of his corsairs accompanied him; especially the terrible Torghoud, or Dragut, who was to be a thorn in the side of the Christians for many years to come.

But before the rival fleets got into contact, a strange scene was enacted at the town of Parga, on the shore opposite Corfu. Alonso de Alarcon unexpectedly appeared there, on the night of September 20, as the messenger of Barbarossa, and held a secret interview with Andrea Doria and the viceroy of Sicily, who were empowered to treat with him in the name of the Emperor. Negotiations had made much progress since the previous year; it now looked as if there were a real prospect of inducing the pirate to change sides. On two vital points, however, no agreement could be reached. Barbarossa would be satisfied with nothing short of Tunis, where the Emperor was determined to maintain Muley Hassan. Charles wanted the pirate to burn such portions of the Turkish fleet as he could not bring over with him into the service of Spain, a proposal which failed to appeal to Barbarossa. As Alarcon was only acting in the capacity of a messenger, he could not settle these questions without referring to the pirate, and the ensuing delay spoiled all chances of an immediate understanding. Doria and Gonzaga went back to their fleet to prepare for battle, but in view of the negotiation in which they had just taken part, it was inevitable that they should enter the conflict with somewhat mingled feelings.

As soon as he had returned from his secret interview with Alarcon, Doria led the Christian fleet out of Corfu in the direction of Prevesa. It at once became evident that Barbarossa could not be easily tempted to abandon his strong position inside the gulf and risk a battle in the open sea; and at a council on board the Christian fleet a plan was advanced of landing the troops, capturing the castle, and blocking up the infidel fleet within the gulf by sinking a ship at the narrowest point of the entrance. It seems that Gonzaga originated this scheme, and Doria at first appeared to approve of it; but when the moment for action came, he entirely refused to carry it out. Unfavorable weather conditions, lack of deep water close in shore, and unwillingness to denude his fleet of fighting men, have all been put forward as reasons to explain his conduct. There is also a strong possibility that his action may have been determined by secret intrigues with the foe. At any rate, the landing operation was not attempted; the Christian ships kept out in the open sea; and finally Barbarossa was persuaded by his pugnacious subordinates to issue out of his retreat and go forth to seek the foe. The Turkish formation was that of a huge crescent; it was divided into several groups, with Dragut and a squadron of his fastest ships in the center and vanguard.

The engagement, which ensued on September 27, is usually known as the battle of Prevesa, and has been a fertile source of discussion ever since. What is perfectly clear is that Doria and his Venetian and papal allies failed to cooperate effectively; they were cut off from one another and followed different plans of action. United, it is probable that they might have secured a decisive victory; as it was, the day passed off without any serious fighting. There was a certain amount of long-range cannonading; a few of the Christian ships were captured, burnt, or sent to the bottom; some of the smaller ones were sunk in a gale which blew up, apparently, in the course of the afternoon; at night the allies retired to Corfu, while Barbarossa, who was victorious if anyone can claim to have been, sought safety from the weather in the shelter of the Gulf of Arta. The Spaniards drew some consolation for the inglorious issue from the conduct of one Martin de Munguia and a company of 200 Biscayan arquebusiers whom he commanded. The ship in which they were placed had borne the brunt of such fighting as had occurred; it had been almost shot to pieces; its crew had fled; and at the end of the day, it had been given up for lost. But the Biscayans were able seamen, and kept the ship afloat. They beat off several savage attacks, and entirely refused to haul down their flag. Finally, five days

after the fight, they managed to reach Corfu, battered, crippled, the only heroes of the entire conflict, long after their companions had given them up for lost.

Doria was anxious to deliver before the end of the year some blow which would serve to neutralize the effect of Prevesa; and the allies finally agreed to attack the strong fortress of Castelnuovo at the entrance to the Gulf of Cattaro. On October 27 the place was taken; but its capture only served to bring the old divergences between Doria and the Venetians more sharply than ever to the fore. The Venetians claimed the right to occupy and hold it, under the terms of the League; but Gonzaga and Doria insisted that it be garrisoned by Spaniards, 4000 of whom were finally left there under command of Francesco Sarmiento. They were not to remain long. In the summer of 1539 Barbarossa reappeared with a large army and a fleet of 200 ships to retake the fortress. The garrison performed prodigies of valor in its defense, which was prolonged from July 12 to August 7, and cost the besiegers many lives; but Doria failed to come to its rescue, and it was finally obliged to capitulate. Only 800 of the garrison, counting women and children, survived. One of the prisoners was Martin de Munguia, of Prevesa fame. Barbarossa complimented him on his past performance and promised him his favor if he would change sides; but on receiving a point blank refusal, he made haste to have him executed.

The dispute between Doria and the Venetians over the possession of Castelnuovo foreshadowed the dissolution of the Holy League; it was the beginning of the end of that chapter of Charles's Turkish wars. From the Spanish point of view, that phase had been most unfortunate. It had served to distract attention from North Africa; and the Venetian alliance, which had formed the keynote of it, had been productive of nothing save misunderstanding and defeat. Soon after the dispute about the occupation of Castelnuovo, the Doge made overtures for peace with the Turks; though these were not finally successful until October, 1540, it is clear that the Venetians pinned their faith on them from the very outset, for when the Emperor offered to put them in possession of the fortress that they had coveted, they unhesitatingly refused him. They doubtless had their own suspicions of double dealing on the part of Charles and Doria, and justifiably; for secret negotiations with Barbarossa continued, as we shall later see, for many months to come. In any case, it was obvious that Spain and Venice could no longer effectively combine; geographically, politically, and commercially, their aims and ideas diverged; and the sole result of the league that had been arranged between them had been to raise the Turkish navy to a position of recognized supremacy in the Mediterranean from which it was not to be displaced till the battle of Lepanto. We may well believe that the lesson was not lost on Charles; and the result of it was to bring the purely Spanish side of the war against the infidel, which he had rather neglected ever since the capture of Tunis, again prominently to the fore. Henceforth the North African situation, and the repression of pirate raids, became once more his chief preoccupations; and the scene of interest shifts back from the Adriatic and Ionian seas to the western basin of the Mediterranean.

The summer and early autumn of the year 1540 witnessed three events, all of which reflected the new tendency of affairs. The first was the capture, in the middle of June, of the corsair Dragut, by Giannettino Doria, a nephew of the admiral, and Berenguer de Requesens, commanding the galleys of Sicily. There had been a good deal of indiscriminate chasing of this pirate, whose ability and boldness were by this time generally recognized, in the months succeeding the surrender of Castelnuovo; the chief scene of operations was the waters near Sicily, where Dragut had effected a number of daring raids. Neither there, nor off Sardinia, had it been possible to find him, but at last his pursuers had the good fortune to run him down

in the inlet of La Giralata, between Calvi and Bastia, on the northern shore of the island of Corsica. So utterly desolate and unfrequented was the place that the infidels had neglected to keep any lookout for the foe. Most of them fled inland when the Christian fleet appeared. Dragut, with a few followers, attempted to put to sea, but was captured, with eleven galleys, two of which had been lost by the Venetians at Prevesa. The corsair was carried off to Genoa, and chained with the other galley slaves to a rowers' bench, until, four years later, he was ransomed by Barbarossa.

The inevitable reply to this Christian victory took the form of a raid on the fortress of Gibraltar in the early part of the following September. It was organized in Algiers, at the instigation of Barbarossa. It was led by a Sardinian renegade, who called himself Ali Hamet; and a certain Caramani, who had been a galley slave under Alvaro de Bazán, was placed in command of the accompanying troops. The expedition consisted of 16 vessels, rowed by about 1000 Spanish captives, and carrying over 2000 soldiers, mostly Turks, with a sprinkling of Valencian Moriscos. It left Algiers on August 24, and turned its course westward in order to avoid the Spanish galleys, which were concentrated at that moment off the Balearics. On September 9 the infidels arrived off Gibraltar, and, concealing their identity by hoisting Spanish flags, landed upwards of 1000 troops before they were discovered. The fortress just managed to hold out against them, but they gutted the town at the base of the Rock, slew twenty of its defenders, took seventy-three prisoners and a lot of booty, played havoc with the smaller vessels that lay at anchor in the harbor, and got away, unscathed, to their own galleys. For three days more they hung about the Rock, haggling over the amount of ransom that should be paid them for their prisoners. At last, fearing that the Spaniards were inventing delays in order to bring up overwhelming reinforcements, they departed, on September 12, for the Barbary Coast, and cast anchor in the harbor of Velez de la Gomera. Seven thousand ducats were sent after them from Spain, and after prolonged negotiations, the prisoners were given up.

At the time of the attack on Gibraltar, the Spanish galleys were moving westward from the Balearics, in the hope of getting news of the movements of the infidel fleet. They were commanded, at the time, by Don Bernardino de Mendoza, who had recently taken the place of Alvaro de Bazan. At Cartagena, on September 18, he learned of the raid on Gibraltar, and though his ships were somewhat less numerous than those of the enemy, he at once started out to find the foe. Finally, on October 1, off the little island of Alborán, 125 miles due east from the strait, the rival fleets came into contact. The combat that ensued was fierce in the extreme; both sides were confident of victory and anxious to fight to a finish. Many deeds of heroism were performed on both sides, but after an hour, victory declared itself for the Spaniards. Ten of the enemy's ships were captured and one was sunk; the five others managed to escape. Most of the infidel captains were slain, among them Caramani; upwards of 700 others were killed or wounded; Ali Hamet and more than 400 of his men were taken prisoners, and over 700 Christian captives were set free. On the side of the Spaniards, the loss in killed and wounded was scarcely less heavy than that of their foes; but the moral effects of the victory were so great, and the lesson which it had taught to the pirates had been so severe, that the return of the fleet to Malaga was celebrated with salvos of artillery and triumphal processions.

If the results of the Prevesa campaign had cured the Emperor of all desire to make war in alliance with the Venetians against the Turk in the Eastern Mediterranean, they had not caused him to abandon his plans of attempting to win Barbarossa away from the service of the Sultan. The encounters which we have just been describing had all occurred while Charles was absent in the Low Countries. They were due to the activity of the Spanish commanders on the spot, and do not prove that the Emperor had yet made up his mind to trust the solution of the whole naval problem in the Mediterranean to the arbitrament of war. On the contrary, there were

many considerations which urged him to continue the crooked diplomacy of 1537 and 1538. A new war with France was highly probable, and with Barbarossa in supreme command of the entire Turkish navy, the Franco-Turkish alliance would be more menacing than ever. The Emperor's resources were exceedingly low; and the need of chastising the Lutherans in Germany promised in the near future to drain them to the dregs. The ransom of the survivors of the garrison of Castelnuovo gave a pretext for renewing the negotiations that had been broken off on the eve of the battle of Prevesa, and in the autumn of 1539 we find Andrea Doria and the viceroy of Sicily, with the approval of Charles, once more making overtures to Kheireddin Barbarossa. The name of Alarcon no longer appears; the principal agents on this occasion were the *contador* Juan Gallego, Captain Juan de Vergara, and a certain Doctor Romero, all of whom were in Constantinople in the spring of 1540. So important did Charles consider the pirate's adherence, that he authorized his representatives to offer more for it than before. He would abandon Muley Hassan and give up Tunis; he might even consent to the dismantling of Tripoli and La Goletta. Barbarossa apparently had professed himself satisfied with the terms which the proposed agreement called on him to perform. He even went so far as to promise Charles to help him in an offensive war against Venice, and to come to his aid if he were attacked by the king of France. Everything seemed, in the early part of 1540, to point to a successful termination of this singular intrigue, when suddenly, at a moment's notice, the whole affair was dropped. According to a letter of Cobos to the Emperor, it would seem that the French representative at Constantinople, the argus-eyed Rincon, got wind of the negotiations and told them to Suleiman; but one of Charles's agents at the Porte was convinced that Barbarossa, "more Mussulman than Mahomet", had really been duping the Emperor from first to last; that he had never had any intention of deserting the Sultan, but had entered into negotiations with Charles solely in order to learn his designs, and report them to headquarters. "His treachery is worse", so the agent concludes, "than that of Count Julian or the Bishop Oppas". Whatever the methods by which it was brought about, it is certain that Charles's projects were terminated for the time being, and they were not to be renewed until 1545.

The failure of his plots made the Emperor ready to fight; in fact, he was most anxious to get in a hard blow before his attention was distracted by the now inevitable war with France. There was little or no question as to where it could be most effectively delivered. The desires of Spain, her traditions in North Africa, and the safety of navigation in the western basin of the Mediterranean, all dictated an assault on the town of Algiers. It had been the chief headquarters of pirate fleets ever since its capture by Arudj Barbarossa in 1516, and the main starting point of the terrible raids of which the Spaniards were constantly complaining. It stood out unsubdued in a region which, for the most part, had been forced to acknowledge the overlordship of Spain; it was a bar to the development of her Mauretanian Empire. A vigorous attack on it might also divert the attention of Suleiman from the Hungarian campaign on which he had started in January, 1541. Indeed, it is highly probable that the Sultan's withdrawal to Constantinople, in the autumn of that year, at the instance of Barbarossa, was caused by his fears of what Charles might accomplish in the West.

Algiers was at that moment commanded by a henchman of Barbarossa named Hassan Aga. Born in Sardinia, he had been captured in a pirate raid and converted to Islam; and though apparently a eunuch, he had already signalized himself by desperate courage on the field of battle. The garrison he commanded, however, was not large—probably not over 1500 Turks and 5000 or 6000 Andalusian Moors—and it occurred to the Spanish governor of Oran, the Count of Alcaudete, that he might be detached from the service of his Turkish masters, and induced, by the offer of a suitable reward, to deliver up Algiers without attempting a defence. We are not very fully informed in regard to this affair, but it is interesting to observe that it

began in the autumn of 1540, or, in other words, at the very moment when it had become obvious that Charles's plan for winning over Barbarossa could not possibly succeed; apparently the habit of secret negotiation with the foe had by this time become so strong in these Turkish wars that it was no sooner checked in one direction than it broke out in another. On this occasion it seems probable that Hassan Aga was really persuaded seriously to consider the surrender of Algiers, but demanded, for appearance's sake, that the Emperor should attack him with forces sufficiently overwhelming to give him an adequate excuse for so doing. Such, at least, seems to be the most obvious explanation of the way in which Charles insisted on undertaking the enterprise so late in the year, at the very stormiest season, and against the advice of all his councilors, of his brother Ferdinand, and even of the Pope. It is not inconsistent with the insolent refusal with which Hassan Aga replied to Charles's demand that he give up Algiers before he was attacked—a refusal which has been explained in so many different ways by Spanish and Moorish historians. In any case, the project ultimately failed. Whether Hassan was merely playing with the Spaniards, or whether, at the critical moment, the protests of his subordinates held him loyal to Barbarossa, it is certain that he defended his post with courage and success, and that a subsequent renewal of negotiations, after the failure of Charles's attack, was unable to win him away from his allegiance.

The Emperor spent the summer and early autumn of 1541 in Bavaria and Lombardy. He had begun his preparations for attacking Algiers long beforehand, but apparently had succeeded in keeping them secret, for we learn that Hassan Aga was not apprised of them until just before the expedition sailed. The rendezvous for the different detachments had been fixed at the Balearics, where the Emperor arrived, with the galleys of Andrea Doria, on October 13, to find Ferrante Gonzaga with 8000 Spanish troops from Naples and Sicily, 6000 Germans, and 6000 Italians awaiting him; but the force from Spain, under Bernardino de Mendoza and the Duke of Alva, was so slow in getting started that the Emperor subsequently sent word for it to proceed directly to the North African coast, where he finally united with it on October 21. All told, the expedition numbered 65 galleys and 450 other ships, with 12,000 sailors and 24,000 troops. Almost all the greatest soldiers of Spain accompanied it, among them Hernando Cortes, the conqueror of Mexico, who had returned from the Indies in the preceding year. On Sunday, the twenty-third, the troops were landed at the head of the bay, near the mouth of the Harrach, southeast of Algiers; while the fleet was left scattered along the shores, completely at the mercy of any storm that might blow up.

On the morning of the twenty-fourth, the march on the city began: the Spaniards, under Gonzaga, in the lead, the Emperor, with the Germans, in the center, and the Italians in the rear. The army was much hampered in its advance by a series of sudden attacks from light armed Berber troops. To avoid this embarrassment, it was decided to carry the heights of Koudiat-es-Saboun, whose deep ravines promised to afford much needed shelter. This feat was promptly performed by two Spanish regiments; the Emperor at once transferred his headquarters to the new position, and by nightfall the whole army was safely intrenched from the shores of the bay to the crest of the heights, whence it could sweep the city with a devastating fire. Inside Algiers there was terror and confusion. It is said that in the evening a Moor stole out and was brought before the Emperor, and begged him, when he assaulted on the morrow, to leave one of the gates of the city unguarded in order that the inhabitants might have a means of escape. Everything combined, on the evening of the 24th, to convince the Emperor that he was certain of his game.

But shortly before midnight rain began to fall, and rapidly increased in violence; a wind also blew up from the northwest, and before many hours had become a howling gale. The Christians were unable to find adequate shelter from the elements, and the defenders, perceiving their situation, determined to profit by it. In the small hours of the morning of the

25th, they directed a sortie in force against the Italians, who broke and fled before them. The heroism and devotion of a small detachment of the Knights of St. John of Jerusalem served to check the retreat before it became a rout, and ultimately drove the assailants back within the walls; the Emperor also showed courage and presence of mind, and rallied his Germans for an attack on the foe. But the rain had rendered the muskets and cannon of the besiegers well-nigh useless, while the Moors shot their crossbows from the walls with deadly effect; and the discouragement of the Christians reached the point of demoralization when they looked out on the bay and saw what the storm had done to their fleet. No less than 140 vessels had been driven, utterly helpless, upon the shore. It was necessary to send off company after company to protect the shipwrecked crews from Moorish attacks. Worst of all, it soon became evident that Andrea Doria did not dare to risk the rest of his fleet by remaining where he was; and he finally dispatched a swimmer to the shore to beg the Emperor to abandon the enterprise, and to inform him that he would await him in the more sheltered waters off Cape Matifou. When the soldiers saw the admiral weigh anchor, they gave up in despair. They were tired, wet, and desperately hungry, and obviously in no condition to fight. On the morning of the 26th, to the amazement of the Algerians, Charles began to withdraw his forces to the eastward. Three days later, they reached Cape Matifou. At a council of war the preponderant opinion was in favor of retirement. It was in vain that Hernando Cortes, who had been confronted with far more difficult situations in the war against the Aztecs, assured the Emperor that if he were allowed to remain with a small portion of the army, he felt perfectly certain he could conquer Algiers; the more cautious advice of Doria prevailed, and on November 1 the army began to embark. The ships were in bad condition and terribly overcrowded. The bad weather persisted throughout the month. There were several wrecks, and Charles, who was the last to set sail, was forced to put into the port of Bugia to refit; he did not reach Majorca till November 26, nor Cartagena till December 2. The total losses are almost impossible to ascertain; but it does not seem likely that they were less than 150 ships and 12,000 men, not to speak of large quantities of cannon and supplies; in Spain it was believed that Charles himself had been killed, and his return was consequently celebrated with rejoicings. The moral effects of the defeat were of course immense. It was the first serious reverse that the Emperor had ever sustained. It weakened his confidence in his own good fortune, while it encouraged the infidels to greater activity than ever. Hassan Aga was loaded with presents by Suleiman and given the title of Pasha. Algiers was henceforth regarded as impregnable; while the raids of the Barbary corsairs and pirates became an even worse menace to Western Christendom than before.

From the failure before Algiers to the end of the reign, the war against the infidel rather falls into the background. Charles himself took no further active part in it. He was busy with Continental politics and the suppression of the Lutherans; he was only too glad to leave his Turkish and Moorish enemies alone, provided they would consent to do the same by him; indeed his chief contribution to the struggle after 1541 was another attempt to gain peace with his foes. The story of that attempt we must now briefly relate, and then pass to the remaining conflicts on the Mediterranean and in North Africa.

The Franco-Turkish alliance caused Charles more annoyance than ever during the Hapsburg-Valois war of 1542-44. Andrea Doria was unable to deal effectively with the joint fleets of his foes, and at the end Barbarossa was permitted to ransom Dragut. In 1545 the Emperor wished to concentrate against the Lutherans; and accordingly dispatched a secret envoy, one Gerard Veltwyck, to Constantinople, in the hope of getting peace with Suleiman on the Danube, and with Barbarossa as well in the Mediterranean and North Africa. Veltwyck's instructions and correspondence are complicated and very interesting. He was

apparently commanded to carry on his negotiations ostensibly in accord with the French ambassador, and for the purpose of obtaining a joint peace; but he was also given private orders of his own. He was urged to try to bring Venice into the discussion in such a way as would render the Emperor safe in that quarter, and to checkmate any possible efforts of the Lutherans to get help at the Porte. On the Danube Charles's emissary soon accomplished his purpose. An eighteen months' truce there was arranged on November 10, 1545, to be converted in June 1547, into a permanent peace; the rumblings of a war with Persia which broke out in 1548 were doubtless a strong factor in causing Suleiman to agree to a suspension of hostilities in the West. As for Barbarossa, it was plain that Charles was in mortal terror lest he should attack Tunis; and Veltwyck was therefore charged to inform him that if he would refrain, the Emperor would make no effort to oust him from Algiers and the other North African ports of which he illegally held possession. This singularly one-sided proposal was naturally not accepted; but the Emperor was relieved of the worst of his anxieties in that direction by the death of the old pirate on July 4, 1546, at the age of at least eighty; he was certainly one of the most picturesque figures in the whole history of naval warfare. But if Barbarossa was gone, Dragut still remained, as Charles and Spain were to learn to their cost. In the year 1550 the Mediterranean was ablaze once more.

Dragut's four years as a galley slave in the service of Doria made him burn for revenge on his Christian captors. After his ransom by Barbarossa in 1544, he devoted all his energies to the collection of a fleet, which should enable him to dominate the Western Mediterranean. He carried neither the banner of the Sultan, nor of any of the North African, states, but flew a red and white flag with a blue crescent on it, emblematic of his freedom from all overlordship, and of his resolve to maintain complete independence. Partly by treachery, and partly by force, he managed, in the early months of 1550, to establish himself at Monastir and El Mehedia, to the southeast of Tunis; thence he organized ravaging expeditions against the Sicilian, Neapolitan, and Spanish coasts. By midsummer of that year, the situation had become intolerable, and Charles, who was busy in the Netherlands and the Empire, sent orders to Andrea Doria and Juan de Vega, the viceroy of Sicily, to oust the pirate from his new headquarters. The expedition had the good fortune to arrive at its destination while Dragut was absent on a piratical raid. Monastir surrendered without attempting a defense; the troops and artillery were promptly landed; and, most important of all, Doria was able to place his ships in such fashion as to cut off Dragut, on his return, from bearing aid to El Mehedia. The siege of that fortress was long and very difficult. Incredible amounts of ammunition were shot away before it was possible to breach the walls, and many gallant lives were lost in the ensuing assault, but finally, on September 10, the place was entered, the defenders were overpowered, and those who were not killed, reduced to slavery. A strong Spanish garrison was installed, and on September 25 the victors sailed away to receive the plaudits of all the Hapsburg lands; but the sequel soon showed that these rejoicings were premature.

Dragut, infuriated at the loss of El Mehedia, retired temporarily to the island of Gerba, where Doria went to seek him out in the spring of 1551. The admiral caught the pirate fleet in an inlet from which escape without a conflict seemed absolutely impossible and contented himself with guarding the entrance to it. But Dragut was more than equal to the occasion. While he distracted Doria's attention by a heavy artillery fire from the shore, he caused all his ships to be dragged overland on greased ways to the other side of the island of Gerba, where he launched them and got away without his opponents being any the wiser. Such energy did not long remain unnoticed by the Sultan; the next time that Dragut was heard from, he bore an official title and commanded Suleiman's galleys. Aided by a still larger fleet under the terrible Sinan 'the Jew', he attacked the strongholds of the Knights of St. John of Jerusalem. At Malta, their principal fortress, he was repulsed: but he had no difficulty in capturing the neighboring

island of Gozzo; and finally on August 16, 1551, he took Tripoli, where the Order had been established since 1528. El Mehedia itself was in grave danger of sharing the same fate, more especially as its garrison was discontented and mutinous; and so, after the Tripolitan Knights had been offered and refused an opportunity to establish themselves there, it was abandoned and dismantled in 1554. All the fruits of its capture in 1550 had been thrown away; and the reign ended with the Turk in the ascendant on the sea.

The land operations of the Spaniards against the infidel in North Africa during the closing years of the Emperor's reign were even more unfortunate than their ventures on the Mediterranean. Their failure, several times noted heretofore, to make any serious effort to penetrate inland, or to establish their authority elsewhere than on the coast, had caused deep dissatisfaction to the more enthusiastic of the expansionists. When, in the spring of 1535, Martin de Cordova y Velasco, the brave but imperious Count of Alcaudete, arrived at Oran with the title of captain-general, he determined to do his utmost to atone for this defect; but the remedy he applied proved worse than the disease.

The goal of his efforts was the kingdom of Tlemcen, whose capital was the chief center of the commerce of the western part of North Africa. The puppet sovereign set up there by the Marquis of Comares in 1518 had recently found difficulty in maintaining himself against rivals supported by Barbarossa, whose predominance in the seaports of Tenes and Mostaganem enabled him to influence the course of Tlemcen's trade; and Alcaudete's first act, on arriving at Oran, was to dispatch a strong force to reestablish the Spanish protégé. But the expedition, after having been on the point of scoring a notable success, was forced to retire with its mission unaccomplished. Indeed, had it not been for the effect of the contemporaneous success of the Emperor at Tunis, the last vestige of Spanish authority at Tlemcen would have been shattered then and there. As it was, the different claimants continued to quarrel for the control until after the defeat of Charles at Algiers, when Alcaudete, convinced of the necessity of striking some vigorous blow in order to rehabilitate Spain's badly shaken prestige, offered to fit out a fresh expedition for the conquest of the kingdom. This time he had better success than before. He won two bloody battles over superior forces on February 3 and 5, 1543; on February 6 he entered the city of Tlemcen at the head of his troops. But instead of installing a strong Spanish garrison—the sole possible way to secure what he had won—he entrusted his conquest to the same local vassal who had previously given his allegiance to the Emperor, with the result that shortly afterwards the Turkish protégé regained control. In 1547 Alcaudete returned to the charge once more, entered Tlemcen and again set up a puppet ruler; but by this time his Turkish rivals had learned what the Spaniards were apparently never able to comprehend, namely, the futility of all efforts to maintain control through vassal kings. In the spring of 1552 the redoubtable Hassan Corso, sent in from Algiers, conquered Tlemcen and established a Turkish governor and garrison, and therewith all Alcaudete's hopes of recapturing it were gone. Henceforth the scene of the conflict transfers itself to the presidios on the coast, where the Spaniards during the remaining years of the reign continued to fight a losing contest.

In September, 1555, they were forced to surrender Bugia, which capitulated to Salah Reis, the new and energetic viceroy of Algiers, after twelve days of hopeless resistance. The generous terms which the Spanish commander, Alonso de Peralta, demanded and obtained at the time of the surrender were afterwards shamefully violated by the victors. The majority of the garrison was enslaved; Peralta and the rest were cast adrift on the Mediterranean and only reached Alicante by a miracle. Bugia had been uninterruptedly in Spanish hands for a period of forty-five years, and so deeply was the nation cast down by the news of its loss that Peralta was executed in the public square at Valladolid, a really innocent victim of the popular wrath. In the following year the death of Salah Reis necessitated the abandonment of a Turkish

project to besiege Oran, and Alcaudete, taking advantage of the ensuing demoralization of his foes, began collecting a large force to besiege Mostaganem. But there were as usual interminable delays. Not until August, 1558, was the expedition able to start, and the Turks had been given their opportunity to prepare. An assault, delivered under hopeless conditions, suffered a disastrous repulse. A retreat ensued and soon became a rout. Alcaudete was slain in an attempt to rally the fugitives, and only a handful got back to Oran. The Emperor was on his death bed when the sad news reached Castile; and it was decided not to darken his last hours by telling him what had occurred.

Taken as a whole, Charles's reign had witnessed a radical alteration of the aspect of the conflict between Spain and the infidel. He had inherited from his predecessors merely a desultory struggle for supremacy in North Africa, which had been constantly relegated to the background by the pressure of other affairs. In the period of his rule, new elements had come into play, which had served to create fresh ramifications of the contest, and invest it with a new and far wider significance. Practically all of these new elements had been the result, directly or indirectly, of the advance of the Turks in the Danube valley and in the Mediterranean. The task of defending the Austrian lands was never absent from the Emperor's mind, and Spanish gold and Spanish soldiers were being constantly requisitioned to enable him to accomplish it. The rise of the Barbarossas not only brought the Ottoman power into rivalry with Spain for the control of North Africa. It subjected the coasts of the peninsula and its dependencies to merciless raids, of which the inhabitants were constantly complaining. It imperiled the whole structure of Spain's Mediterranean empire by menacing communication between the different parts of it, and thus forced Charles into naval warfare upon a large scale. What had been but a side issue of the latter part of the reign of the Catholic Kings was now thrust prominently into the foreground. In one or another of its various phases, the war with the infidel was one of the Emperor's most unceasing cares.

It must be confessed that the story as a whole is rather a record of failure than of success. On the Danube the Turk, in 1558, was perhaps less of a menace than in 1529 or in 1532, but he was certainly far more dangerous than in 1516. In the Mediterranean the situation was very much worse. Navigation in the western basin of it had hitherto been generally safe; but at the time of Charles's death, there was no area between Gibraltar and Constantinople which was not likely to be visited by infidel corsairs; while the verdict of Prevesa, in 1538, had established, at least for the time being, the primacy of the Ottoman fleet. In North Africa the Spaniards were distinctly weaker at the end of the reign than at the beginning; and it is with good reason that the most recent Mohammedan history of the region calls the period beginning with Charles's accession, that of "the revival of Islam". Since the death of Ferdinand the Catholic, Spain had lost Algiers and Tripoli, Bugia, Tenes, Dellys, and Velez de la Gomera. She had taken El Mehedia in 1550 only to abandon it in 1554; she had gained the overlordship of Tlemcen only to lose it again, and had been forced to give up all hopes of penetrating into the interior. Moreover her one great conquest, the capture of Tunis and La Goletta, had proved, before the end of the reign, to be largely illusory. Her vassal, Muley Hassan, was unable to maintain authority there. In 1542, he was dethroned, imprisoned, and blinded by his son Ahmed, who ruled till 1569 in no friendly spirit to the Spaniards; even the commander of the Spanish garrison at La Goletta adopted the customs and costume of Islam. The prestige of Spain, as a conqueror of the infidel, was lower at the end of Charles's reign than at the beginning.

As far as the situation in North Africa was concerned, the cause of Spain's ill success was fundamentally the same as that which had explained her halting progress there under the

Catholic Kings. The whole matter had been treated as a side issue. There was no systematic or continuous policy for the permanent establishment of Spanish power. No consistent efforts were made to penetrate the interior, or even effectively to colonize the regions already won. The garrisons in the coast towns were inadequate and ill-furnished. The fortifications were allowed to fall into disrepair. Above all, there was a constant tendency to rely on puppet vassal kings—Moorish chieftains whose loyalty to Spain was measured solely by their dread of Turkish domination or their fear of dethronement by some local rival. We have remarked that Charles had been obliged to take the infidel problem as a whole more seriously than his grandparents had done before him, at least after the fall of Granada in 1492; but the portions of it that claimed his most urgent attention were the struggle for naval supremacy and the wars on the Danube. The essentially Spanish side of the problem—the consolidation of the North African conquests—was relegated to the background quite as emphatically as before. It was all an interesting example of the way in which Spain's mounting power and prestige under Charles were counterbalanced by her enforced assumption of new duties and responsibilities. She was mighty, indeed, as she had never been before, but, on the other hand, she had never before had anywhere nearly so much to do. A French historian has said of the Emperor, "[Sa] force a toujours ete exactement egale & sa faiblesse", and the generalization is especially true of his rule in his Iberian dominions. Spain's increased resources had to be almost exclusively applied to what her monarch regarded as his most serious responsibility—the wars against different enemies for the maintenance of Hapsburg supremacy in Western Europe. North Africa from the outset had been subsidiary to these, and though the experience of Charles's reign had served to show him that it was far more important than he had originally thought, he was never able to afford sufficient time, money, or men to establish the Spanish power there with any measure of permanence.

CHAPTER XXVI  
GERMANY, ENGLAND, AND CHARLES'S LAST YEARS

IF Spain was anxious to have Charles make peace with Christian kings in order to save time and strength to fight the infidel, she was even more desirous to have him utilize the resources which could be husbanded by the cessation of the wars with France for the purpose of putting down the Lutherans in the Empire. In her eyes the suppression of heresy was the most important task of all; even her chronic complaints about the Emperor's prolonged absences could be silenced by the plea that he was departing to attack the Protestants. Doubtless the Spanish monarch had been obliged by the political situation to postpone that work much longer than he desired. The development of Lutheranism since 1521 showed that it was far more than the mere monkish quarrel which Leo X had thought. Every year it became plainer that Charles could not hope to overthrow it until all his other responsibilities had been cleared away. This was not accomplished till the winter of 1544-45, when the Emperor's last war with Francis I had been closed by the peace of Crespy, and a truce with the Turk on the Danube, though not actually signed until the following November, was clearly in prospect.<sup>1</sup> Then, and not till then, were Charles's hands free.

Yet never, in all his career, were the Emperor's caution and foresight more plainly manifest than at the moment when he resolved to open his long delayed campaign against his Lutheran foes. His recent successes, more especially his triumph over the rebel duke of Cleves, had convinced him, so he tells us in his memoirs, that it would not be impossible "to restrain such presumption by force; indeed it appeared quite an easy task if undertaken with adequate means, and under favorable circumstances"; but he was determined to render those means so adequate and those circumstances so favorable as to make assurance doubly sure. His army needed strengthening after the strain of the recent war with France; particularly was he in want of Spanish soldiers, whose orthodoxy would make them willing fighters in a war for the suppression of heresy, and whose efficiency was already the terror of Western Europe. Even more essential was it for him to justify his cause before the world, to prove that he had no idea of unrighteous conquest, and that his sole object was to preserve the unity of the church, as a Holy Roman Emperor was in honor bound to do. Finally, it was of the utmost importance that he make sure of the attitude of the papacy, for whose benefit, in one sense, he was proposing to wage war, and whose hearty cooperation was indispensable to success. And as the easiest means of effecting these ends, Charles called on the Pope in the autumn of 1544 to summon the general council of Christendom, which had been so often demanded ever since the beginning of Paul's pontificate, but which, for one reason or another, had never been able to meet for effective business. If such a council were summoned, the Lutherans would have no excuse for refusing to attend it. If they did so refuse, Charles would be absolved from all his promises to leave them in peace; if they did not, they would be certain to be outvoted by the Catholics, and the Emperor would gain their submission without a war. As for the Pope, the council would be a means of holding him in leash, as similar councils had been for his fifteenth-century predecessors; Charles could test the genuineness of his enthusiasm for ecclesiastical reform. The choice of the meeting place, which must have been foreseen—at Trent in Tyrol—was deeply significant. Nominally German, it was really Italian in sentiment and affiliation, and close to many important Italian sees. A council meeting there would not be

“free, Christian, and general”, as the Lutherans desired; it would infallibly be dominated by Spanish and Italian bishops; and yet it would formally comply with the expressed wish of the Protestants that it should meet on German soil. As Charles had doubtless foreseen would be the case, the Lutherans declined to fall into the trap. They refused to recognize the validity of the council or be bound by its decisions; when it finally opened on December 13, 1545, not a single one of them was found to be in attendance.

From that moment war was inevitable, and the Emperor began to prepare for it in earnest. The complicated tale of his diplomatic negotiations with the different German princes does not concern us here, but the military side of the story is of great interest, for Spanish soldiers played an important part in the ensuing campaigns, and the reputation and dread of the Spanish arms were thereby much enhanced. Charles passed the year 1545 and the early part of 1546 in the Netherlands and on the Rhine. In April 1546, he moved across to Ratisbon, partly to hold a Diet, at which his secret agreements with the different princes he had won over to support him were concluded, and still more to take up an advantageous position for the union of the various bodies of troops which began to pour in on him from south, east, and west. Among the first to arrive was Don Alvaro de Sande with his *tercio* of 2800 Spaniards from Hungary; another Spanish contingent, 3000 strong, came on from Lombardy; and scattering German levies streamed in from the different states of the Empire. On August 12, at Landshut, Charles effected a junction with the 12,000 Italian troops under Ottavio Farnese, which the Pope, by a treaty of alliance of the previous June, had promised to furnish him. He now had an army of nearly 40,000 men. It seems probable, however, that he was still numerically inferior to his Lutheran foes, who had concentrated their forces near Ingolstadt to the west of him, in the hope of preventing his union with the only large contingent which he still expected—some 15,000 to 20,000 troops from the Netherlands, under Maximilian of Egmont, Count of Buren. Charles’s forces, as usual, were heterogeneous to the last degree, and the Duke of Alva, who was his constant adviser throughout the campaign, urged him to avoid a pitched battle until he was more certain to win.

There are few instances in history of a military inefficiency more glaring than that displayed by the Schmalkaldic forces in the summer of 1546. Whatever the relative size of the two armies after the arrival of the papal levies in August, it is certain that until that time the Lutherans were enormously superior; had they struck at once, after Charles arrived at Ratisbon, they would have had the game in their own hands. Divided leadership, and excessive respect for the counsels of Martin Luther to avoid aggressive warfare, and “let the word of God take care of itself”, were doubtless chiefly responsible for their mistakes; in any case it is certain that the Emperor took advantage of them in masterly fashion. It was on August 28 that the opposing forces first came into contact, on the plain before Ingolstadt; but the ensuing engagement was limited to a half-hearted cannonade; the Lutherans did not venture a vigorous attack. During the following week they had recourse to abusive letters. On the thirtieth they declared that “the Antichrist at Rome... had issued a decree that all the wells, fountains, and other bodies of stagnant water in Germany should be poisoned, in order that by the joint malice of Emperor, Pope, and Devil, the slaughter of man and beast may be accomplished”. On September 2, they wrote Charles that ‘under pretense of obedience to God’s word, and the Christian religion, he had forgotten his vows made to God, his Lord and Creator, at his baptism, and violated his oath to the whole German nation’; this letter, says the Lutheran, Sastrow, “was not written by man, but by Lucifer himself, with the characters of hell; it cost the German nation tons of gold, the lives of many thousands of its citizens, and the shame and dishonor of multitudes of women and girls, all of which might have been avoided had the letter remained unwritten; they challenged the Emperor’s authority with it and then ran away”. It was on September 4 that the Lutheran retreat began. Its object, in so far as it had any

at all, was to cut off Buren's detachment, which was advancing from the west, but even in this it was unsuccessful. On September 15 the Netherlanders arrived safely at the Emperor's camp before Ingolstadt and brought the total of the Imperial forces to more than 60,000 men. But not even yet did Charles venture to take the offensive. Alva's policy of inactivity had more than justified itself in the past. The season was getting late. If the enemy did not force him to a pitched battle, he was only too glad to let things remain in statu quo. And so the next two weeks were spent in doing nothing, on the upper Danube, between Ulm and Giengen; there was not even a skirmish between the hostile forces. The cold German autumn played havoc with Charles's Italian levies; there was much disease, and many desertions. The Spaniards were better off, but made trouble about their pay. The case of the Lutherans was even worse. Their treasury was empty; subsidies that had been promised from France and the South German towns did not arrive; and when, in early November, news came that Charles's brother Ferdinand and Duke Maurice of Albertine Saxony had entered upon the conquest of the Ernestine lands (which had been formally transferred to the latter by the Emperor on October 27), it was the signal for the scattering of the Schmalkaldic forces to the four winds of heaven. The municipal levies departed one by one; Philip, the landgrave of Hesse, retired "to his two wives"; and the Elector, John Frederick of Saxony, too late to recover his territories before winter set in, consoled himself by plundering the defenseless dependencies of the Empire.

In the following spring all eyes were turned on Saxony, for John Frederick had managed to collect a considerable force during the winter months, and obviously proposed to use it for the recovery of the electoral lands. The Emperor had not originally intended to take the field himself but had sent to Maurice a reinforcement of 7000 men, under Albert Alcibiades of Brandenburg-Culmbach. These troops, however, were surprised and defeated by the elector on March 2 at Rochlitz, and it soon became clear that Charles would have to come in person to save the situation. His forces were now much smaller than they had been in the previous year. The papal levies had been recalled. Many of the Germans and Netherlanders had gone home. When, on April 13, Charles crossed the Saxon frontier with Ferdinand and Maurice, his army numbered only about 25,000 men. Alva was chief in command under the Emperor, and the proportion of Spanish troops was much larger than before. In the early morning of April 24 the imperialist forces reached the Elbe opposite Mühlberg, where John Frederick, after listening to a sermon, was eating a lazy breakfast. He had carefully destroyed the bridge across the river and felt sure that it would be impossible for the Emperor to attack him. But Charles and Alva knew how to force the fighting at the proper time, as well as how to rest, when expedient, upon the defensive. Descrying a bridge of boats which was attached to the opposite bank, they ordered a dozen Spanish soldiers to swim across the river with their swords in their mouths, cut down the guard, capture the bridge, and set it in place; and this was done. The discovery of a ford made the operation of crossing even simpler, and the corpulent elector's unaccountable failure to defend his strong position on the higher bank showed Charles and Alva that victory was within their grasp. The ensuing battle degenerated into a sort of running skirmish, in which the imperialists lost only about 50 men, and the enemy over 2500, with the whole of his artillery and baggage train. John Frederick himself fought bravely, but was finally captured, and brought before the Emperor with his face streaming blood from a sword-cut in his cheek. Charles characterized his victory in the apposite words, "I came, I saw, God conquered."

The story of the use Charles made of his triumphs in the Empire lies for the most part outside the scope of the present volume; its main lines, moreover, are well known, and need not be repeated here. Titian's two greatest portraits of him give a pretty clear inkling of what was passing through his mind at the time; the pale, set face, furrowed with care, and plainly revealing the beginnings of decaying health, expresses a stern resolve to keep the mastery of

Western Europe in the hands of the house of Hapsburg. His treatment of the captive elector and landgrave was ungenerous, if not positively dishonorable. The constitutional reforms which he sought to put through in the Diet which met at Augsburg on September 1, 1547, ran counter to all the main tendencies of German history for the previous three centuries, and afford convincing proof of his incorrigible lack of sympathy with his Teutonic subjects. As for his provisional settlement of the religious question—the Interim, which, on June 30, 1548, became a law of the Empire by a recess of the Diet—it was a Catholic settlement with certain notable concessions to Protestantism, made inevitable, on the one hand, by Charles's zeal for the faith, and, on the other, by the fact that despite the treaty of June, 1546, he was now once more at odds with Paul III. To a brief account of the origin and progress of that quarrel we must now devote ourselves. Its ramifications are very wide, and they ultimately led Charles to put forward an entirely new dynastic plan, which threatened powerfully to affect the development of the Spanish Empire.

Profound distrust is plainly evident in every communication between Emperor and pontiff, from the middle of the summer of 1546. Charles had many grounds for suspicion of the Pope. Paul had been far too neutral to suit his taste during his recent war with the king of France; moreover, the Emperor had grave doubts about the papal attitude on the question of ecclesiastical reform. Paul, on his part, feared to see Charles too strong. Might he not use his victory over the Lutherans, not so much for the benefit of Rome, as for the further extension of the power of the house of Hapsburg? Might he not win for himself such a position as would reduce the papal authority to a cipher? The first open evidences of the approaching breach were the order (January, 1547) withdrawing the papal contingents which had reached Germany in the previous August, and the stormy scene in which Verallo, the papal nuncio, announced their recall to Charles. The next was the decision of the Council of Trent, in the following March, against the Emperor's wish, to transfer its sittings to Bologna. This transference was made possible by the votes of the Italian prelates, who were of course in the majority; and Charles was not slow to attribute their attitude to the influence of the Pope, who desired to have the council more closely under his own supervision, and further removed from possible interference by the Emperor. But fourteen Spanish and Sicilian bishops, at Charles's command, continued their sittings at Trent, and refused to recognize the validity of the sessions at Bologna; apparently the Spanish church was national and loyal to its king, rather than Roman and obedient to the Pope. The episode may well have had its effect on Charles, and reminded him, in the midst of all his German and imperial preoccupations, how largely, for his real power, he was dependent upon Spain.

Meantime grave news was coming in from Italy, also plainly foreshadowing a papal-imperial quarrel. The death of the Duke of Orleans (September 8, 1545) destroyed the last hope that Charles's enemies may have cherished of keeping Milan out of Spanish hands; and the appointment, in the following April, of Ferrante Gonzaga as viceroy there showed that Spanish interests in Lombardy were not only to be vigorously pressed, but pressed, if need be, in opposition to those of the Pope; for Gonzaga was a bitter enemy of the Farnese, and was known to have cast longing eyes on Parma and Piacenza. Clearly the Spanish supremacy weighed more heavily on Italy than ever before, and Paul, as head of the church, and also as an Italian potentate, felt himself hemmed in on every side. A series of revolts against Charles's allies and representatives in Italy broke out during the years 1545-47, and though positive proof is not in every case forthcoming, it is impossible to resist the conclusion that they were all, directly or indirectly, inspired by the Pope. First came a rising in Siena against the imperial commissioner Juan de Luna. The rebels put themselves under the protection of the Pope; the Spanish garrison was expelled, and the intervention of Cosimo de' Medici and of Diego Hurtado de Mendoza was necessary before it was readmitted and order restored. In January,

1547, occurred the famous attempt of the Fieschi against the Dorias in Genoa. A slipping plank was the conspirators' undoing, but the fact that they had been permitted to purchase four papal galleys to aid them in their attempt showed plainly enough what the pontiff's attitude was. Had the plot succeeded, it would have been a terrible blow to Charles. Hot on the heels of this (May, 1547) came news of a rebellion in Naples, which it took all the skill and courage of the viceroy to put down. The ostensible cause of the revolt was the appearance of a papal commissary with a brief, which opened an old sore by hinting at the establishment of the Inquisition in the kingdom; but it was generally believed that Paul had taken this measure merely as a means of stirring up trouble for the Emperor, and that his real object was to tie the hands of the Hapsburg. Charles, urged on by Gonzaga, was in no mood to tolerate such machinations as these; and in September, 1547, he showed his real feelings by tacitly consenting to the murder, through the instrumentality of Gonzaga, of the papal bastard, Pier Luigi Farnese, and by the subsequent annexation of Parma to the duchy of Milan.

Both in Germany and in Italy, then, both in religion and politics, the opening months of the year 1548 found the temporal and spiritual heads of Western Christendom in deepest jealousy and suspicion of one another. Charles knew that Paul was eagerly seeking for allies in his schemes for retaining his rival within bounds. Fear of Hapsburg power might keep them from immediately declaring themselves, but it was evident that the Emperor was surrounded by potential foes. Some means of concentrating his resources and solidifying his authority must clearly be found. Had he been a less devoted Catholic, had it not even been, perhaps, for his maternal inheritance, he might have sought to find the way out of his difficulties along the lines which Napoleon Bonaparte, two and a half centuries later, declared he should have followed; he might have turned Protestant, defied the Pope, and sought to conquer Europe at the head of a unified Germany. But under the circumstances as they actually existed, such a policy would have been impracticable. Even if we assume, what is by no means certain, that there was sufficient popular enthusiasm for Lutheranism in the Empire to have made it possible for Charles, as its champion, to overcome the established forces of particularism and disunion; even if we leave out of account his own religious inheritance; it was impossible for a Spanish king to be a heretic. Spain, as he was learning more and more surely every day, was, far more than Germany, the real source of his power. Spain, ever since the revolt of the Comuneros, had stood loyally by him, in fair weather and foul. Spanish soldiers and Spanish gold had been in large measure responsible for his victory over the Lutherans. Spanish bishops had stayed on at Trent, and in spite of their orthodoxy had supported him against the Pope. If a conflict with Rome was to be fought, it would be far better, from every point of view, to lean on the support of that part of his dominions which was "plus catholique que le pape", than to imperil his reputation and tempt Providence by making common cause with a lot of German heretics. Everything that had happened since the peace of Crespy had served to make Charles yearn for Spain and for Spanish help; it was to Spain that he was to turn in the present crisis.

His son Philip was by this time twenty-one years old. In May, 1543, he had married his first cousin, the Infanta Maria of Portugal, partly as a means of continuing the traditional Castilian policy of strengthening all ties with the Lusitanian kingdom, partly because the Emperor was in desperate need of funds, and Portuguese dowries were gratifyingly large; but in July, 1545, the princess died in giving birth to a son, the unfortunate Don Carlos, so that Philip was left a widower at the age of eighteen. The prince had already had some experience in ruling; for Charles had entrusted him with the regency of his Spanish realms, when he departed for the Empire and the Netherlands in 1543. Philip had unbounded respect for his father; their correspondence is full of expressions of confidence and affection; the Emperor knew that he had a son after his own heart. During all the difficulties and vicissitudes of the next few years he longed increasingly for Philip's companionship; and it may well have been

the situation which developed in Milan after the death of the Duke of Orleans in September, 1545, that first suggested to Charles the idea of trying to win for Philip the succession in the Empire. Though Philip had been nominally duke of Milan since October, 1540, the duchy was still reckoned as a fief of the Empire, and all its traditions were imperial, not Spanish. Philip's hold on it would be immensely strengthened if he could win the imperial crown, and the scattered territories of the house of Hapsburg would be bound together more closely than ever before. But what would be the attitude towards this scheme of Charles's brother and German representative, Ferdinand? In 1531 Ferdinand had been permitted to assume the title of King of the Romans, together with the claims to the imperial succession which that dignity implied; since that time it had been generally understood that Ferdinand would follow Charles, and that Ferdinand's son Maximilian would be elected to succeed him. Could Ferdinand be expected to acquiesce in any change in these arrangements?

It seems clear that the two brothers discussed the question at Ratisbon in the summer of 1546, in connection with a proposal of Charles to render the Empire hereditary in the House of Hapsburg. Ferdinand was of opinion that the most that would be possible would be to bind the electors for two or three elections to come, and then let things go back to the old established footing. For the time being the matter was dropped, but the events of the next twelve months made the Emperor more anxious than ever to put the project through, and at the Diet of Augsburg in the summer of 1548 it was taken up again. This time it was agreed that Philip should leave Spain and come to meet his father in the Empire; while his cousin Maximilian, Ferdinand's son, who was soon to go to Spain to marry the Emperor's daughter Mary, should remain there with his bride as regent in the Iberian kingdoms. It is worth noting that in the famous paper of instructions which the Emperor dispatched to Philip from Augsburg on January 18, 1548, there is not the slightest hint of the project under discussion. It is by no means certain that Charles had yet definitely decided to carry it out; in any case he was keeping his plan to himself.

In October, 1548, the Infante sailed from Rosas, for Genoa, and proceeded, in leisurely fashion, via Milan, Tyrol, Munich, and Heidelberg, to Brussels, where he joined his father in April, 1549. He made every attempt to please his future subjects in each of the different countries through which he passed, and during the tour of inspection on which he was sent in the Low Countries. Contemporaries differ widely in their estimates of the measure of success that attended these 'efforts; but in general it seems probable that the well-known formula, that he pleased the Italians little, displeased the Flemings, and made the Germans hate him, is not far from the truth. Linguistic limitations were the beginning of his difficulties. He would speak nothing but Spanish; it was a curious reversal of the situation that had obtained when his father had made his first journey into Spain. His haughtiness and reserve were another fertile source of trouble, at least with the Flemings and the Germans. If he consented to drink beer with them, he always made a wry face over it, and nothing whatever would induce him to get drunk. There was also a very general impression that he lacked manliness and courage; there are different stories of the way in which he handled himself in tournaments, but most men were convinced that he had no stomach for a fight. Certainly his hosts were by no means pleasing to him; nothing but his sense of duty and respect for his father kept him from taking the first ship back to Spain. Probably the only really happy hours of that period of his life were those which he daily spent in the companionship of the Emperor, listening, questioning, and taking notes, drawing on Charles's vast and varied experience of the difficult art of reigning. His Spanish subjects whom he had left behind were quite as dissatisfied as he. They ardently desired to have their prince to themselves, and had no wish to see indefinitely perpetuated the situation that had existed under the Emperor.

In June, 1550, Charles and Philip left the Netherlands for Germany. On July 8, accompanied by the King of the Romans, they entered Augsburg, where the Diet had been summoned to meet them. The complexion of affairs had been considerably altered since Charles's last sojourn in Germany, chiefly owing to the death (November 10, 1549) of Pope Paul III, and the election in the following February of the much more complaisant Julius III; the arch-disturber of the Emperor's plans was gone. Charles, however, had now proceeded too far with his scheme of altering the succession in the Empire to be willing to draw back from it; the papal policy might, and indeed in all probability would, soon veer around again; and so, in the autumn, a Hapsburg family council was called to consider the question. Mary of Hungary was summoned from the Netherlands; she had been won over to support the views of Charles, who counted on her well-known ability to influence her brother Ferdinand. Ferdinand, in turn, insisted that Maximilian should also be sent for from Spain; and though Charles had no wish for him to be present, the demand was so clearly just that it was impossible for him to refuse.<sup>1</sup> The family deliberations were often interrupted by the Emperor's gout, by the business of the Diet, by the disturbances in the Empire, by the news of the Turkish war, and by the tension in the Netherlands over the introduction of the Spanish Inquisition—Mary of Hungary had to return thither on this account less than three weeks after her arrival in Augsburg, and did not get back until the following January—but finally, in November, the question of the succession was vigorously taken up. The relations of Charles and Ferdinand had grown less and less cordial during the preceding months. To the natural distrust engendered by Charles's plans for putting Philip on the imperial throne were now added other grievances. There were violent quarrels over the use of the funds which had been granted by the Diet. Ferdinand wanted to employ them for the defense of his Danubian lands against the Turk, whilst Charles insisted that they be spent on the reduction of Magdeburg. Ferdinand even went so far as to declare that he was bound rather to his honor than to Charles, and that he demanded his money for the defense of Hungary with the clearer conscience because Charles had stirred up the Sultan to wrath by the capture of Monastir and El Mehedia; never had men seen the two brothers so angry with each other before. Only the influence of their sister Mary prevented an open breach, and it did not seem as if even that could bring them to any sort of an agreement when, on February 14, 1551, the Diet was formally closed. In the next few weeks, however, the situation cleared up. Almost no details of the discussions have been preserved to us, but finally, on March 9, a formal agreement was signed between Ferdinand and Philip to the effect that as soon as Ferdinand, after Charles's death, should be crowned Holy Roman Emperor, he would see to it that Philip was elected King of the Romans, and that Philip, in his turn, would do likewise for Maximilian. A number of other minor stipulations provided for the most intimate cooperation between the two branches of the house of Hapsburg, and especially for the hearty support of Ferdinand to the Spanish hegemony in Italy; ostensibly, at least, the Emperor had gained his point, and yet preserved peace with his brother. In May Maximilian accompanied Philip back to Spain, where the latter once more assumed the regency; while the former, who was quite as unsympathetic with things Iberian as his cousin was with things Teutonic, made haste to gather up his wife and children and bring them back into the Empire.

Surprise has often been expressed that the Emperor should have been so blind to the dangers with which he was surrounded in the summer and autumn of 1551, that he made no adequate preparations to meet the catastrophe that was to overwhelm him in the following year. For the first and only time in his life he was guilty of overconfidence. The most probable explanation of his mistake is the fact that he had been so absorbed, ever since the peace of Crespy, in German and in papal affairs that his grasp of international conditions had temporarily deserted him. In the Empire and at Rome, the outlook was far brighter than it had been after his victory at Muhlberg four years before. He had rammed the Interim down the throats of the Lutherans; there was, of course, still grumbling and resistance, but Charles had

successfully encountered that sort of thing before, and was confident of his ability to do so again.<sup>2</sup> He had succeeded in winning his brother's consent to an arrangement which he believed would secure a larger measure of cooperation between the German and Spanish branches of his dynasty than he had previously dared to hope for; the power and prestige, both military and financial, of his Iberian dominions would thenceforth be available to support the house of Hapsburg in the Empire. The papacy was far less ominous. Julius III was neither so able nor so cantankerous as his predecessor; and in May the fathers had reassembled at Trent. The real source of danger was France. Henry II had made peace with England. He was in league with Dragut. He was supporting Charles's enemies in Parma, and on September 26, 1551, he actually declared war. But the season was so far advanced that he was not able to accomplish much. Charles was assured by his sister Mary that in the Netherlands she would be able to take care of herself. It was in Germany, as she repeatedly warned him, with the possibilities of an alliance between Henry and the Protestant princes, that the real peril lay. But Charles refused to listen to her. He knew that the French king was a far more ardent Catholic than his father had been before him. He could not believe that he would combine with heretics. A little more forcing the pace, he was now convinced, would settle the fate of the remnant of the rebels. When he was finished with them, it would be time to turn on the French. The sequel was to show that his calculations were wrong; but it is not altogether surprising that he made the mistake.

His treatment of the captive elector and landgrave evoked much hostile comment. Men felt that such honorable foes should have been restored to liberty; and that their continued detention was an insult to the German nation. His enforcement of the Interim made him many enemies; he stopped at no measures, however brutal, that would enable him to accomplish his end. But if he had used German methods and German soldiers to effect these objects, it is not impossible that he might have weathered the storm. The fundamental cause of the revolt that overthrew him was the growing conviction that he was sacrificing German liberties in the interests of Spain. His Spanish veterans were an eyesore to all men; they were not very numerous, but they were scattered through the country in such fashion that they seemed to be everywhere, and created the impression that Germany was a conquered land. Certain contemporary remarks deserve quotation in this connection. "Germans have become so tame", remarked an imperial councilor, "that they will all yet learn Spanish". "Spanish troops", declared another, "shall teach the German cities the Catholic truth". "Spaniards", wrote Roger Ascham from the imperial court, "had now in their hands the seal of the Empire, and in their swing the doing of all things, and at their commandment all such men's voices as were to be called the imperial diets; compelling the Germans in their own country to use strange tongues for their private suits, wherein they could say nothing at all, or nothing to the purpose; using camera imperialist at Spires for a common key to open all men's coffers when they listed". The younger Granvelle, who had succeeded his father in the autumn of 1550, showed a true appreciation of the real state of affairs when he told Charles, on September 1, 1551, that he "could not remain safely in Germany after the Spaniards had gone," and the Emperor enclosed this opinion in a letter to his sister Mary, written two and a half weeks later, in which he pointed out the difficulty of deciding whether he should go next. And if the presence and conduct of the Spanish soldiers was a sign which everyone could read, there were other even more ominous portents for those who were in a position to know all the facts. The electors were furious when they heard of the family compact. When Ferdinand asked for their support of it, they indignantly refused to let the Spaniards "lead them like cattle by the nose". The Diet accepted, but certainly disliked, the new arrangement which Charles, in 1548, for purposes purely dynastic, had made for the Low Countries. They were erected into a circle of the Empire simply and solely that they might enjoy imperial protection in case of war. Of German control they were made completely independent; they became a hereditary dominion of the

house of Hapsburg, transmissible even to female heirs. It was only too evident that they were destined for Spain and for Philip; the Hispanicizing of their government, which had begun in 1522, was now rapidly approaching completion. On every hand it was the same story. Charles's growing dislike of Germans and things German, and his increasing affection for Spain and for Philip, were leading him on to a rearrangement of his family possessions which promised ultimately to merge the Holy Roman in the Spanish Empire.

The blow that was to hurl the Emperor from his high place fell like a thunderbolt in the spring of 1552. Maurice of Saxony was the king-pin of the combination that delivered it; Charles had been warned of his machinations as early as November, 1550, but refused until too late to pay any heed. The Princes' League, on whose support Maurice depended, was gradually and securely formed in late 1550 and in 1551; but the success of the rebels was by no means assured until their acquisition, by the treaties of Chambord and Friedewald, in September, 1551, and January, 1552, of the support of the king of France. It was the first real test of the policy of alliances with foreign Protestants for the preservation of the balance of power, which in all the important international crises of the next hundred years was to prove the salvation of France, and the undoing of the house of Hapsburg; it was the policy of Richelieu and of Mazarin in the Thirty Years' War. Charles was at Innsbruck when his foes, who had massed their forces in Lorraine and in Franconia, were finally in a position to strike. For a moment the Emperor thought of escaping to the Netherlands, but the way was barred; in the latter half of May, as the sole means of eluding the troops of Maurice, he fled over the Brenner, in a storm of sleet and snow, and found safety at last in the little Carinthian town of Villach. All the work that he had accomplished since the battle of Muhlberg was now undone. His plans for winning the imperial crown for Philip were shattered forever; and though, with characteristic obstinacy, he refused to recognize it, there was no longer the remotest chance of reestablishing Roman Catholicism as the sole religion in the Empire. Lutheranism, from thenceforth, was bound to receive some form of legal recognition.

Bitter indeed must have been Charles's thoughts during those weeks of retreat in the Tyrolese mountains. He had been just on the verge of an overwhelming triumph; then fortune had deserted him, and he had been reduced to impotence. Doubtless he was sorely tempted to get rid of Germany at once; never had it seemed so inhospitable and unattractive; never had Charles so longed for Philip and for Spain. Yet it was contrary to his nature to suffer the slings and arrows of outrageous fortune without a return blow. To Ferdinand, under suitable guidance, he left the temporary settlement of internal affairs at the conference of Passau; but as soon as the treaty signed there had set him free, the Emperor hurried back across Southern Germany to seek satisfaction at the hands of the French, in whom he now recognized the chief source of his misfortunes. The news of the doings of Henry II in Italy<sup>1</sup> may well have stimulated his desire for revenge; the blow that had fallen on the Hapsburgs in the Empire must not be suffered to be followed by another against their dominions across the Alps. "Necessity", so he wrote to his sister Mary on November 13, "knows no law", by way of justification of his unholy alliance with the wild marauder, Albert Alcibiades, who joined him with 18,000 good troops in return for the imperial ratification of his plunderings of the bishoprics of Wurzburg and Bamberg. The Emperor's objective was the city of Metz, which the French had occupied and fortified six months before. In the end of October he sat down before it with what a contemporary calls "the biggest army he ever collected at his own expense", possibly as many as 75,000 men. For two long months the siege continued. Never in his whole career had the Emperor shown more dogged persistence. Despite his deep discouragement, and bodily ills; despite horrible weather, and the more than usually bitter quarrels between the different elements of which his motley forces were composed, he kept his soldiers steadily at their task. But Alva was fatally hesitant at the critical moments. The

German commanders were openly scornful of his generalship. The duke of Guise met every move of the attacking forces with consummate skill, and finally, on the first day of the year 1553, the Emperor raised the siege and departed for the Netherlands. Had he been successful at Metz, he might well have returned to the Empire and made one last desperate effort to regain all that he had lost in 1552. The fires of ambition—at least of dynastic ambition—were not yet quenched within him, though his physical condition made all activity a torture. But his failure in the great siege, on which the eyes of all Europe were directed, convinced him that in Germany, at least, the game was played out. He shook the dust of it from his feet and retired to his native land. That, and his Spanish dominions, were all that were left to him now. Henceforth he would devote all his energies to strengthening and consolidating them, and to seeking for some means of compensating his beloved Philip for the failure of his plan of getting him the succession in the Empire.

Under the circumstances it was natural that the Emperor's attention, during the spring and summer of 1553, should be chiefly directed to the state of affairs in England. The 'good duke' of Somerset had perished on Tower Hill on January 22, 1552; the next twelve months had seen the triumph of the policy of the odious Northumberland; ultra-Protestant reforms, spoliation of the church, truckling to France, were the order of the day. But the health of the boy king Edward was beginning to fail. In the spring he had been attacked by measles and small pox; in January, 1553, he developed a chill, and shortly afterwards showed signs of consumption. Northumberland did not realize the true state of affairs until the end of March; but as soon as it had become evident to him that the king could not survive, he bent all his energies to altering the order of succession established by the will of Henry VIII, depriving the princesses Mary and Elizabeth of their just rights, and ultimately transferring the crown of England from the Tudors to the Dudleys, by the marriage of his son Guildford to the ill-fated Lady Jane Grey. The ceremony was performed on Whitsunday, May 21; precisely one month later, the instrument altering the succession received its final signatures.

John Scheyfve, the very mediocre imperial ambassador in London, was slow to realize the true state of affairs. Not till June 11 did he report to the Emperor that "the Duke has formed some mighty plot against the Princess [Mary] and feels confident that he will prevail". During the early months of the year Charles's mental and physical state had been so bad that his ordinary activities were suspended. 'He spent long hours sunk in thought and then wept like a child; no one dared offer him any consolation or had enough authority to dispel his dark imaginings so injurious to his health'. To ambassadors he gave audiences "that lasted about as long as it takes one to recite the creed"; his single care and occupation, day and night, was "to set his clocks and keep them going together; he has many, and they are his chief thought, with another new sort of clock he has invented and ordered to be set up in the frame of a window. As he cannot sleep by night, he often summons his servants, assistants, and others, and orders them to light torches and help him to take some of his clocks to pieces and set them together again". But the news of the prospective disinheritance of his cousin Mary aroused him to a realization of the dangers and possibilities of the English situation. On June 23 he wrote out instructions for three special ambassadors to the English court, who finally reached London on July 6, the very day of Edward's death. Of these three, the Franche-comtois Simon Renard was much the ablest. In the course of a few weeks the conduct of affairs was entirely in his hands; in September his two associates, and also Scheyfve, were recalled.

The brief, pathetic reign of Lady Jane Grey was ended on July 19, when Suffolk proclaimed the Princess Mary to be lawful Queen; six days later Northumberland was in the Tower. Charles's ambassadors had reported to him on the seventh, and his characteristically cautious reply of the eleventh advised them to do little more than sit still and watch events; but his cousin's triumph was so rapid and complete that a few weeks later he adopted a bolder

course. On July 29, the day after he had definitely learned that the rebellion was at an end, he wrote to his son Philip at Valladolid to ask if he would care to wed the new queen of England. Negotiations for a second Portuguese match for the prince were then pending, and though Charles had little faith that they would terminate successfully, he was unwilling to commit himself until definite word was received; he offered the possibility of the English marriage merely as a suggestion, with the strictest injunctions to keep the matter secret. But certain passages in the letter make it clear what thoughts were uppermost in the Emperor's mind. "Nothing happier", he wrote, in reference to the recent revolution in England, "could have taken place from the point of view of these dominions and their relations with France. Although I believe that the English will do all in their power to prevent our cousin from wedding a foreigner, her discretion and tact may render it possible, directly or indirectly, to propose once more a match which was talked of many years ago, and which several considerations might recommend to her as a wise choice. I am sure that if the English made up their minds to accept a foreigner, they would more readily support me than any other, for they have always shown a liking for me. But I assure you that the hope of winning many other and more important states would not avail to move me from my intentions, which are in the opposite direction". Philip's answer, dated August 22, reached Brussels on September 11. "The Portuguese business," so he stated with pleasing frankness, he had "decided to break off in view of the reply that the king could not possibly give his sister more than 400,000 ducats of dowry. All I have left to say", he continues, "about the English affair is that I am rejoiced to hear that my aunt [sic for 'cousin'] has come to the throne in that kingdom, as well out of natural feeling as because of the advantages mentioned by your Majesty where France and the Low Countries are concerned. It is certain that if she suggested a match between herself and your Majesty, and your Majesty was disposed, it would be the very best thing possible. But as your Majesty feels as you say about the question, and if you wish to arrange the match for me, you know that I am so obedient a son that I have no will other than yours, especially in a matter of such high import. Therefore I think best to leave it all to your Majesty, to dispose as shall seem most fitting."

The phraseology of these letters is particularly significant as an indication of the fact that it was more even of the Low Countries than of Spain itself that the Emperor was thinking at the time that he first broached the question of the marriage of Philip and Mary. He was one of the first statesmen to appreciate how closely the political as well as the commercial interests of England and the Netherlands were interwoven, and how indispensable was each to the safety of the other. His reasoning was the counterpart of that of Lord Burleigh when he averred, under Queen Elizabeth, that "the Netherlands were England's strongest bulwark"; it had its echo in the action of the British government when Germany invaded Belgium in 1914. Charles had already resolved to leave the Netherlands to his son, and definitely to incorporate them into the Spanish Empire; but the recent evidences of the hostility of Henry II had shown him how infinitely difficult it was going to be for Philip to retain them in the face of the opposition of France. Even two years before, when his prospects had been far brighter, it had been the prevailing opinion that the Low Countries could not be regarded as really safe unless an important English seaport could somehow be acquired, so as to protect the sea route between Flanders and Spain. There had even been talk at the time of sending an expeditionary force against England for that purpose. But now Charles had been defeated in the Empire; moreover, the French king, after the raising of the siege of Metz, had shifted the scene of operations to the Netherlands frontier. So immediate, in fact, was the danger, that in April Charles had written Philip that unless he could soon arrive in Flanders with plenty of funds to save the situation, there was a serious chance of the Low Countries being lost. The Spanish domination there was obviously unpopular. Men spoke longingly of the cheerful and jovial disposition, affable demeanor, and linguistic talents of Ferdinand's son Maximilian, and compared them

with the sinister and taciturn ways of the Spanish ruler, who knew “neither Latin, French, nor Flemish, the native languages of the States.” Clearly the maintenance of Spain and the Netherlands in a single hand was going to prove even harder than Charles had originally thought, and the Emperor knew, if any one did, the difficulties inherent in the possession of scattered states. Then suddenly came the possibility of solving these thorny problems by a single stroke—not the difficult acquisition of a mere channel port by the hazards of war, but the absorption of nothing less than the whole of England, by the easy and traditional Hapsburg method of marriage. The intimate commercial ties that bound England and the Low Countries were but an added argument in favor of the new plan; in the reign of Henry VIII an imperial ambassador is said to have calculated that no less than half the population of England depended, directly or indirectly, for subsistence, upon the wool market of the Netherlands. It was an entrancing prospect that had suddenly opened; enough to atone for the miseries of 1552, enough to awaken the old Emperor from his lethargy, and make him announce to Philip that “God be praised, I am well.” He even allowed himself, in the latter part of November, some fleeting thoughts as to ultimate results. If children should be born to Philip and Mary, “it would be possible to give them England and the Low Countries, leaving the Spanish dominions, the Italian states, and the adjacent islands, to the son of the first marriage [i.e. Don Carlos]. This would protect both countries and drive the French from the Ocean, which would be the best possible means of encouraging commerce, the foundation of the Low Countries’ prosperity, and hold the French perpetually in check ... Even if there were no children, the marriage would serve, as long as it lasted, to enable the Low Countries to send through England to Spain for help as often as need might arise. It would bridle the French, and it might be possible not only to hold out against them, but also to use the alliance to make them see reason.” It is interesting to see how the Emperor’s dynastic ambitions leaped into flame at a crisis like this. Not even his love for Spain was proof against them. If his dearest hopes were realized and the English marriage was put through and proved fruitful, he would abandon his original plan of giving the Low Countries to Spain, in favor of the creation of a ring of Hapsburg states that would ultimately encircle and paralyze the hereditary enemy, France. His dream, at the moment, was rather of Hapsburg supremacy than of Spanish Empire.

There remained the all-important question as to how Mary and England would regard Charles’s schemes for a Spanish marriage. In view of the violent oscillations of English history since the death of Henry VIII, the Emperor was quite alive to the importance of going slowly. On July 29, when Renard and his colleagues had their first audience with the new queen, the conversation turned chiefly on the question of religion and the advisability of extreme caution in restoring the ancient faith. The question of Mary’s marriage was in everybody’s mind; but when it was broached, in general terms, the queen declared that she never thought of wedding before she came to the throne, that “as a private individual she would never have desired the Emperor to the principal lords and the quotation is taken from a paper members of the Council of State.” “A Proposal to be made by it, but preferred to end her days in chastity”, and that the Emperor would remember that she was thirty-seven years of age”. She realized, however, that now that she occupied a public position, the situation had changed, and she ‘would submit herself to the Emperor’s decision as to her marriage and all other matters, since he was willing to take the trouble to advise her’. During the next three months there was much talk and correspondence, but no definite decision. Renard had to get rid of his colleagues, whose jealousy had made them a hindrance to his plans; the question of rival candidates—both English and foreign—for the queen’s hand, had to be disposed of; the opposition of certain prominent councilors, and the intrigues of the French ambassador, Antoine de Noailles, had to be met and overcome. But the candidacy of Philip loomed larger every day, and in mid-September Renard received from Granvelle the definite news that the prince had given his consent. Finally, on Sunday evening, October 29, the queen sent for the

imperial ambassador. After having invoked the holy sacrament, as her protector, guide, and counsellor, she knelt and with her visitor and her lady in waiting she repeated the *Veni creator Spiritus*. She then gave Renard her promise to marry his Highness ... and her mind, once made up, would never change, but she would love him perfectly and never give him cause to be jealous ... Sire, continues the ambassador's report, the joy this declaration gave me was such as your Majesty may imagine, and if she had invoked the Holy Ghost, I had invoked the Trinity to inspire her with the desired answer".

That simple scene portended much. It showed, what the events of the succeeding years were conclusively to prove, that though Charles and Mary had agreed upon the course to be pursued, they had been impelled to their decision by very different motives. Political and dynastic reasons, as we have already seen, had led the Emperor to propose the match. He wanted to protect his native land, and ardently longed to be revenged upon the French. That the marriage would also redound to the advantage of Catholicism was all to the good, but that was distinctly a secondary consideration; his advice to Mary about her religious policy is conclusive proof of this. For the queen, on the other hand, religious considerations were of primary importance. Her whole soul longed to bring England back to the Roman fold; and the real reason why she accepted Philip was because she doubted her ability to do it without the aid and support of Spain. She has rightly been characterized as the "most honest of Tudor rulers"; when she told Renard that as a private individual she had not desired matrimony, she spoke the literal truth. It was "conscience, not inclination, that impelled her to wed". With the Emperor's dynastic plans she was certainly sympathetic, but chiefly as a means to her own most desired ends. This divergence of objects was ominous for the future; as matters ultimately developed, it was to prove fatal. And the situation was still further complicated by the attitude of the English nation. Despite the enthusiasm with which Mary's accession had been hailed, it is not certain that the majority of Englishmen approved of the restoration of Catholicism, even as it had been at the end of the reign of Henry VIII; as for the possible bringing back of the Roman jurisdiction, the Venetian ambassador, Barbara, had reported in 1551, that the detestation of the Pope was now so confirmed that no one, either of the old or new religion, could bear to hear him mentioned, and there is no reason to believe that the situation was very different in 1553. To the Spanish marriage, and the Spanish domination which it would necessarily imply, there could be no question that the nation was bitterly opposed; and when men discovered the purposes for which Philip intended to use them, their opposition was to become more bitter still. The Tudors had inspired England with their enthusiasm for independence—for the elimination of foreign interference in national affairs; the idea of being dragged at the tail of the Hapsburg kite was intolerable. Not only, then, were the objects of the two parties in the Spanish marriage divergent; but England, without whose support neither one could be attained, was fundamentally hostile to both. As in Germany, Charles's projects were confronted with the bitterest national opposition.

But the Emperor once more had the bit in his teeth and did not care. Military successes against his French foes—the captures in June and in July of Therouanne and Hesdin, and the successful defense in September of Cambray—had further encouraged him; if he could only put through this English marriage, he felt that he could retire and end his days in peace. "At all costs", so he wrote to Renard on November 28, "it is our desire ... that England and the Netherlands be paired off together, in order to afford one another mutual aid against their enemies"; if concessions to English policy were necessary to accomplish this end, they were to be made without the slightest hesitation. And so it came about that the marriage treaty, which was practically settled in the month of December, was arranged in such fashion as to relieve the worst of English fears. The sturdy patriotism of Stephen Gardiner, who conducted the negotiations in London, doubtless counted for much, but it was the Emperor's complaisance

that made possible the final issue. Philip was to be rather a prince consort than a king. He was strictly to observe the rights and privileges of all classes, and foreigners were to be excluded from office. He was to have no claims on English ships, guns, or treasure for his foreign wars, and to do his best to maintain peace between England and France. If Mary should die childless, his connection with England should be at an end. If there were issue of the marriage, that issue should inherit, as the Emperor had planned, the Low Countries and Franche Comté, as well as England, while the rest of the Spanish dominions should go to Don Carlos. In case Don Carlos should die without heirs, the child of Philip and Mary should inherit the Spanish Empire. Small wonder that the Spaniards murmured loudly against the alliance, and protested that it amounted to disinheriting the Infante.<sup>1</sup> Whether all these stipulations would have been rigidly carried out if Mary had borne Philip the much desired heir may well be doubted; but the fact that the Emperor consented to their insertion shows how important he deemed it to make certain of the English alliance in view of the situation in 1553.

It was over six months more, however, before the wedding could take place. In the latter part of January, 1554, several weeks after the Count of Egmont and other gentlemen had arrived in London to do the formal wooing, a striking demonstration of the unpopularity of the queen's policy was afforded by the famous rebellion of Sir Thomas Wyatt. It was aided and abetted by the French ambassador Noailles, and narrowly missed complete success. Even after it had been put down, and the ensuing executions had duly taken place, the people manifested their detestation of Spain and of Catholicism in no uncertain terms. "A dead cat, having a cloth like a vestment of the priest at Mass, with a cross on it afore and another behind put on it; the crown of the cat shorn, a piece of paper like a singing cake put between the forefeet of the said cat, bound together, ... was hanged on the post of the gallows in Cheap, beyond the Cross, in the parish of St. Matthews, and a bottle hanged by it ... The Lord Mayor and his brethren, the aldermen of the city of London, caused a proclamation to be made that afternoon, that whosoever could utter or show the author of the said fact should have £6, 13 sh. 4 d. for his pains, and a better reward with hearty thanks. But at that time after much enquiry and search made, it could not be known, but divers persons were had to prison for suspicions of it."<sup>1</sup> Small wonder if Philip hesitated to come to England as long as men's minds were in such an excited state. He found, moreover, much in Spain to delay him. There was the difficult question of providing for a regency, which it was finally decided to entrust to his sister Joanna; but the death of her husband, the prince of Portugal, and the birth of her son, the ill-starred Sebastian, made it impossible for her to reach Valladolid till after Philip left it. Not till the fourth of May did the prince at last start northward. At Compostela, where he stopped to pay homage to Santiago, he signed the marriage contract, brought on from England by the Earl of Bedford. On Thursday, July 12, he embarked at Corunna. On the twentieth he landed at Southampton. On Monday, the twenty-third, he met his bride at Winchester, and there, two days later, they were married. The Emperor had a very interesting wedding present in readiness. In order that Philip might have the title of king, and possibly as an earnest of his own future intentions, he had conferred on Philip the investiture of the kingdom of Naples and Jerusalem.

Philip had been amply warned, before he left Spain, of the necessity of accommodating himself to foreign customs which he loathed; and he had prepared himself to undergo a martyrdom similar to that which six years before he had endured in the Netherlands and in the Empire. He kept only four Flemings and six Spaniards with him when he landed; he recommended them "to live in all respects after the English fashion" and showed them the way by calling for beer; he proudly exhibited to the English aristocracy the 50,000 ducats that he had brought with him to distribute. But these efforts to please were painfully unsuccessful. Amid the salvos of artillery that accompanied his landing, it was observed that a cannon ball

struck the water in disagreeable proximity to the royal barge. When Philip entered London with his bride, the crowd in the streets jeered at the cross of Santiago on his breast as if it were a symbol of idolatry. The Council was unnecessarily explicit in pointing out to him that he could exercise no independent authority. They made it clear that they had no use for him whatsoever save as a means to cause the queen to bear a child; and they spoke of the Pope as if he were “merely a man like the rest of them.”

More serious still were the first evidences of the trouble that was bound to arise out of the divergency between the aims of the two parties to the marriage. Mary, who had accepted it chiefly as a means to enable her to bring back the Roman jurisdiction in England, had hitherto followed the Emperor’s advice and rested content with the restoration of religion to the state that it was in at the death of Henry VIII: now that she was married, she was eager to proceed with the work of reconciliation with Rome, and to admit to the realm the papal legate, her beloved Reginald Pole. With England safely entangled in the Hapsburg net, the Emperor did not feel that he had the right to interpose further delays, though he dreaded the effect of the possible restoration of the abbey lands. Assured, however, that the Pope would not insist upon this, he withdrew his last objections, and on November 20 Pole once more trod the soil of his native land. Philip, an even better Catholic than his father, bade the legate welcome; a resolution favorable to reunion was extracted from Parliament, and on November 30, the feast of St. Andrew, England was solemnly restored to the communion of the see of Rome. But the great act with which Parliament legalized the restoration in the following December bore an ominous title: “An Act repealing all statutes, articles, and provisions against the See Apostolic of Rome since the twentieth year of King Henry the Eighth, and also for the establishment of all spiritual and ecclesiastical possessions and hereditaments conveyed to the laity.” Only on condition that they were allowed to keep the ecclesiastical spoils, would Englishmen consent to return to the Roman fold. Let the pliant Julius III be succeeded by a Pope who would not consent to that concession, and the whole fabric which Mary had reared with such pains would fall to the ground.

Meantime the development of the political and dynastic side of the marriage was such as to arouse the gravest misgivings. In September, 1554, only two months after his arrival and wedding, it was evident that Philip desired to leave England and go to help his father in the war against France. He even hoped, under the pretext of an escort, and in defiance of the marriage treaty, to be able to take with him a few thousand English troops. Renard, with a true appreciation of the ticklish state of affairs, prevailed on him, for the time being, to remain; day by day it became increasingly evident that everything depended on whether or not the queen was to have a child. Mary herself was certain that she would be confined in the latter part of April, 1555. So confident was she of the approaching event that circular letters were drawn up to announce it—the day and the month only being left blank; it was even decided that the child was to be a boy. But the appointed time passed by without result; and soon it began to be whispered that the queen had never been pregnant at all. Mary alone refused for some months longer to give up hope, and assured her attendants that she felt the child; but it gradually became more and more obvious to her physicians that she was the victim of a tragic delusion.<sup>2</sup> And if no child was to be born, the major part of what Charles had hoped to gain from the English marriage would be lost. His scheme of permanently uniting the Netherlands and England, and thus gaining lasting control of both sides of the Channel, would fall to the ground. The advantages of the match, both to Spain and to the Low Countries, would last only as long as Mary’s own life; as soon as she died, the connection would be broken. A significant proof of the international effect of the news that Mary’s hopes had been disappointed was afforded in May, 1555. The war on the Netherlands frontier, which had gone well for France in the early months of the preceding year, had once more turned in favor of the imperialists;

Charles himself had taken the field for the last time. Namur was successfully defended. There was an indecisive engagement at Renty, and then the French retired. If the expected child arrived, it was evident that England would be bound more closely than ever to the house of Hapsburg. The French saw the handwriting on the wall and thought it might be well to end the war while they could do so with honor; in the latter part of 1554 the Constable Montmorency asked for the mediation of England. A peace conference was accordingly opened at Marcq, in the Calais Pale, on May 23, under the presidency of Cardinal Pole; but as soon as it was known that Mary's hopes were to be disappointed, the backs of the French plenipotentiaries stiffened. They demanded impossible terms, and before the end of July the conference broke up, having accomplished nothing.

Another heavy blow, from a most unexpected quarter, fell on the Hapsburg projects on the very day of the opening of the conference at Marcq. On March 24, Julius III, whose easy-going complaisance had proved infinitely helpful to the Emperor at all critical moments during the previous six years, had died; his successor, Marcellus II, had a pontificate of but twenty-two days; on May 23, Giovanni Pietro Caraffa was elected, at the age of seventy-nine, to the chair of St. Peter, and took the title of Paul IV. The new Pope was not only a fanatic zealot; he was also, most unfortunately for Charles, the bitterest enemy of the house of Hapsburg; and his accession was the signal, all over Europe, for the downfall of nearly everything that the Emperor, in the past two years, had striven so hard to erect. Paul was plainly going to lend his heartiest support to the French, particularly in Italy, where he was hemmed in by Hapsburg foes; the Spanish domination there was in greater danger than ever. In England, too, his influence was immediately felt. He detested Cardinal Pole, and would not tolerate for one moment the retention by the laity of the spoils of the church, the one condition on which Parliament had been willing to consent to the restoration of the Roman jurisdiction.<sup>2</sup> Ecclesiastically as well as politically the major part of what Charles and Mary had hoped to set up when the queen's marriage was arranged in 1553 seemed now destined to fall; and Philip, whose impatience to leave England and go to the aid of his father had only been restrained by the importunities of Renard, felt that it was no longer possible to postpone his departure. On August 28 he bade good-by to his sorrowful queen, who had now abandoned her last hopes of an heir; on September 8 he met the Emperor at Brussels. His sole remaining hope of getting any real good out of the English alliance lay in persuading Mary, in violation of the terms of the marriage treaty, to invest him with real authority as king, and he corresponded with her for that purpose in the weeks after his arrival in the Netherlands. Mary herself would have been only too glad to comply, but she dared not fly in the face of Parliament, Council, and the nation. And so argument and persuasion soon gave way to recrimination; Philip ordered the removal of his personal attendants, in order "to agitate the Queen", and threatened never to return. The mass of the people openly rejoiced; they had come to detest the very sight of a Spaniard.

In justice to Philip it is only fair to add that dissatisfaction with England, and desire to help fight the French, were not the sole, or even the most important reasons, that led him to desert the queen. His father had summoned him to the Netherlands for a very special purpose. The Emperor's resolve to abdicate and go into retirement, of which there had been many a hint during the previous three years, was now practically ready to be put into effect, and the presence of his son was necessary for the ceremony. The death of Charles's mother, the unfortunate Joanna, which had occurred on the thirteenth of the previous April at Tordesillas, probably helped to confirm, if not to hasten, his decision;<sup>2</sup> it also doubtless served to turn his thoughts once more to Spain, from which they had been temporarily diverted in recent years by his desire to unite the Netherlands and England. Mary's childlessness had knocked that project on the head; Spain and the Low Countries were henceforth to be permanently joined,

not only under Philip, but also under his successors. The best that Charles could hope for as far as England was concerned was that Spanish influence would be sufficiently perpetuated there to enable him to count on her aid in keeping the sea route open—a hope which was destined to be cruelly disappointed in the succeeding years. The accession of Paul IV was an added reason why his attention should be once more attracted to his Spanish dominions. A war for the maintenance of Spanish supremacy in Italy was clearly inevitable; Charles himself was too weary to fight it; his Spanish son must take the reins. The dynastic ambitions, which had flared up so strongly in 1553, now fell into the background once more; it was plain that Europe would not tolerate their realization. Spain, ‘the inexpugnable’, and his Spanish son Philip occupied all Charles’s thoughts once more; if he could not have all Europe under the heel of the house of Hapsburg, he could at least contribute notably to the aggrandizement of the Spanish Empire. Yet in and by the very act by which he was to give it the largest territorial addition which it acquired in Europe in the course of his reign—his Burgundian inheritance, the Netherlands and Franche Comté—he was really paving the way for its ultimate downfall. Without the support of England, which Spain was soon to lose, it was to prove impossible for her to retain the Low Countries, in the teeth of Protestant opposition and the hostility of France; indeed, it was her attempt to do so which was to prove the most important factor in bringing her into the conflict with England which lost her the sovereignty of the seas. That Charles realized the danger is shown by his anxiety to obtain the English alliance in 1553, and by the provisions of the marriage treaty; that Philip also realized it, in a measure, was to be shown by his offer of marriage to Queen Elizabeth after the death of Mary in November, 1558; but neither of them could be induced to take the sole and truly heroic measure that could certainly avert it—namely, to separate the destinies of Spain and the Low Countries, and to leave the latter, where they belonged, with the inheritance of Ferdinand. Never had the Spanish Empire been so top heavy before; never had it acquired such an incongruous addition.

But Charles was not gifted with the vision of a prophet. The English alliance was tottering in 1555, but it was by no means as yet irretrievably lost and it was entirely possible that it might be completely reconstituted. And so the preparations for the abdication were pushed rapidly forward. On September 26 the States General, and the chief officers of the Low Countries, were sent orders to convene, on October 14, at Brussels. Ferdinand was also invited to come, in order to lend added solemnity to the occasion, and sent his second son to represent him. The heavy rains, and bad state of the roads, made it necessary to postpone the assemblage until October 25; on that day the Emperor, mounted on a mule, and accompanied by Philip and a few personal attendants, left the little house in the park where he had taken up his residence, and rode to the palace in Brussels. At four o’clock in the afternoon he entered the great hall, where the members of the States General, the provincial governors and principal magnates, and Knights of the Golden Fleece, were assembled to meet him; it is said that as many as one thousand were present. The Emperor was conducted to a raised dais, whereon were seats for himself, his sister, and his son. One of his councilors made a formal address to the estates, to apprise them of the purpose for which they had been convoked; then Charles, seated, with his spectacles on, and a little paper in his hand to refresh his memory, began to speak. He recalled the events which had made him the heir of such great and such scattered dominions. He reminded his hearers of the endless journeyings which his many responsibilities had entailed: nine times to Germany, six times to Spain, seven to Italy, ten to the Low Countries, four into France, twice each to England and North Africa, eight crossings of the Mediterranean, three voyages on the ocean. He spoke of his failures and successes, in peace and in war, and frankly confessed his incapacities and his faults; he begged forgiveness for what he had done amiss, and assured his hearers that it was not vaulting ambition, but sense of duty, that had been his constant motive. He continually harped on his love for his native land and grieved that it was impossible for him to leave it at peace. The time, however, had now arrived for him to give

effect to the decision which he had made long before—to go into retirement and hand over his responsibilities to his beloved son, who was there present to receive them, and to whom he begged his hearers to render the same measure of love and obedience which they had always shown to him. The Emperor himself was deeply moved; tears rolled down his cheeks as he spoke. The audience also wept; there was not, in the opinion of Sir Thomas Gresham, who witnessed the scene, “one man in the whole assembly, stranger or other, that during the time of a good piece of his oration poured not out abundantly tears, some more, some less.”

But a moment later the charm was broken. Philip threw himself at his father’s feet, and was about to kiss his hand; but Charles raised him, and addressing him in Spanish, proceeded formally to invest him with the sovereignty of the Netherlands. The sudden shift to a foreign tongue was a rude shock to the whole assembly, and the bad impression was heightened when Philip, seated, briefly apologized to the councilors for his inability to speak French, and informed them that the bishop of Arras would address them in his stead. Nothing could possibly have been more prophetic; all the miseries of the future were foretold in that brief scene. Granvelle did his utmost to reassure the assembly. He spent half of his speech in asseverations that nothing but the express and reiterated command of Charles could have induced his son to consent to replace him; the rest was filled with promises to respect all the national liberties—promises which were to be broken almost at the very moment that they were made. The sympathies of the company were momentarily revived when Mary of Hungary announced her resignation as regent, and told of her intention to accompany her brother into retirement; she had done well by the Netherlands for twenty-four years, and men willingly believed her protestations of affection. But the impression created by Philip’s Spanish ways was indelible; and when the deputies separated to return to their homes, they were less filled with regrets over the termination of a glorious past than with grave forebodings for the future.

No such touching ceremonies accompanied the Emperor’s renunciation of his other and yet greater dignities and possessions. On January 16, 1556, in his little house in the park, he handed over to his secretary, Francisco de Eraso, the act of abdication of all his Spanish dominions, in the Old World and the New, and of Sicily; his two sisters, Mary and Eleanor, Emanuel Philibert of Savoy, and a number of Spanish grandees alone were present. On the twenty-eighth of the following March, Philip was solemnly proclaimed king at Valladolid, his son, the Infante Don Carlos, making the formal announcement in person. At the same time, and in gross violation of his constitutional authority, Charles secretly created Philip and his successors vicars perpetual of the Empire in Italy, which was thus henceforth to be subjected to Spain. On February 5 the signature of the truce of Vaucelles with the French gave the Emperor the opportunity to hand over Franche Comté to his son; it had not been possible for him to do this during the continuation of a state of war, for the county and the duchy had pledged mutual neutrality as long as the fighting lasted, and abdication during hostilities might have exposed it to French attack. There remained only the Empire to be gotten rid of—the burden which of all others Charles was most anxious to lay down, and in which his destined successor was to be his brother Ferdinand. Doubts as to the possibility of an imperial abdication without the consent of the Diet, the misgivings of Ferdinand, and fears of the possible effects of the hostility of Paul IV combined to persuade Charles to postpone the final act. Not till the spring of 1558 were the last difficulties cleared away; not till May 3 of that year did he know that his resignation had been definitely accepted. But for practical purposes the delay made little difference. Ferdinand had virtually been Emperor in everything but name since 1553, when Charles departed from the country of his worst defeat.

It was not till the autumn of 1556 that Charles was able to get away to Spain and to the rest for which he so ardently longed. Money to pay his debts was only found with difficulty.

There were many last farewells to be said. The political horizon was also very dark. The rage of Paul IV when he heard of the conclusion of the truce of Vaucelles plainly showed that war in Italy was bound soon to break forth. The conduct of Philip, too, must have caused Charles grave misgivings. Less than a month after his father's abdication of the Netherlands, he was proposing to go back to Spain; already it was clear that it was going to be his policy to rule the Low Countries "from afar off." But at length Charles set sail from Flushing, on September 17; his two sisters accompanied him on another ship; he was escorted by Spanish, Flemish, and English squadrons. On September 28 he landed at Laredo, and thence promptly departed for his chosen retreat, the Hieronymite convent of Yuste in Estremadura. At Burgos and at Valladolid the Castilian aristocracy flocked to pay him homage. In the latter city he consented to dine in state; it was his last formal public appearance. Finally, on February 3, 1557, he reached his destination, and the convent gates closed behind "the chief of men that had ever been or would ever be."

The story of the Emperor's life at Yuste has been so often and so fully told that it is almost impossible to add anything more. It was studied in the utmost detail three centuries after his death, during the decade between 1850 and 1860, by five different authors, who completely dispelled the persistent delusion that he lost touch with outside affairs during the period of his retreat. They showed that he received many visitors, that he was accurately informed of the progress of war and diplomacy all over Europe, that he sent Philip advice on the conduct of a campaign, and that he used his influence to help raise money and troops for his son. At one time there was even a rumor that he expected to leave the convent, and lead an army for the defence of Navarre. But if Charles ever said anything to encourage this last idea, "it must have been", as Quijada reported, "from mere policy". His activities were rigidly confined to what he could accomplish from his place of retreat; the power and authority were now in Philip's hands. The course of events after the spring of 1556 must consequently be reserved for another volume; all that remains to be considered here is the general effect of Charles's character and reign upon the development of the Spanish Empire.

Territorially he had considerably increased it. The enormous extension of the Spanish lands in the New World will be taken up in another place; in Italy, Charles had added Milan and the Presidios by conquest; while the Netherlands and Franche-Comte had been united to Spain by the manner in which he had divided his inheritance. In North Africa, it is true, Spain had lost more than she had gained. The advent of the Turks, the pirate raids, Charles's failure before Algiers, and the various defeats of the Count of Alcaudete, more than neutralized the effects of the conquest of Tunis. In Europe, however, which was far more important, it is clear that the lands which acknowledged the rule of the Spanish crown were both more extensive and more widely scattered in 1556 than in 1516.

But the mere possession of territory is an unsafe criterion of greatness; it is time that we looked for other indications of the effect of Charles's reign on the development of the Spanish Empire. Certainly his rule caused Spain's name and fame to be carried much farther through Western Europe than ever before. The last remnants of her mediaeval isolation were gone. The Italian peninsula had now become almost completely Hispanicized. At the north as well as at the south of it, Spanish authority was securely established; Spain also really controlled the destinies of the intervening petty states; only the Pope and the Venetians maintained a precarious independence. Moreover, for the first time in recorded history, the Northern, Teutonic, as well as the Latin, Mediterranean countries had seen and felt the Spanish power. The Spanish soldier was now a familiar figure in the Netherlands, in the Empire, and in the Danubian lands; a Spanish king-consort sat on the throne of England. It is an open question, as we have often pointed out, whether this enormous extension of power and prestige ought properly to be regarded as Spanish or as Hapsburg; but the men of the sixteenth century

thought rather in national than in dynastic terms, and in view of the progressive Hispanicization of Charles's character and policy, it is small wonder that they not only attributed his triumphs to Spain but regarded them as redounding almost exclusively to her benefit. When the Emperor divided his inheritance in 1555 and 1556, there was no longer any room for doubt. All the adjacent and immediately important acquisitions had been adjudged to Philip—the economic nerve centers in the Netherlands, which were traditionally associated with the Empire, the Italy over which men had fought and bled for the previous sixty years. To Ferdinand was left only the nebulous authority of the Holy Roman Empire; the Hapsburg lands in the Rhine and Danube valleys had already been his since a much earlier date. Already one can discern the dread of Spanish preponderance—a dread which the succeeding years were enormously to intensify, a dread which outlasted its reason for existence, and endured until long after the Spanish Empire had become a ghost of its former self.

The development of Charles's religious policy, also, had a powerful effect upon the destinies of Spain. He was irrevocably committed, by his traditions and inheritance, to the cause of Rome. Both as Holy Roman Emperor and as king of Spain, it was inevitable that he should be a Catholic, and do his utmost to advance Catholicism. He might temporize with Lutherans, and even quarrel with Popes, in order to gain political and dynastic ends; but of his fundamental loyalty to the ancient faith there could not be for one moment any serious doubt. Of heresy, to the very last, he kept his Spanish and Italian dominions clear. In the Netherlands, where his authority was firmly intrenched, he persecuted the Lutherans with the utmost vigor; the very first martyrs to the Protestant cause were burnt in the public square at Brussels on July 1, 1523. In the Empire alone the Reformation had beaten him, and won a precarious right to coexistence with the ancient faith; but as the Empire had now been turned over to Ferdinand, it no longer formed a part of the Spanish picture. In all the lands that he left to Philip, Roman Catholicism had virtually been maintained to the exclusion of everything else. At the time of his abdication, no other country in Western Europe, save Portugal, was so free from the taint of heresy as were the different scattered states that composed the Spanish Empire. And the inevitable result of this was that the cause of Spain and that of Catholicism came to be synonymous in men's minds. Spain was henceforth saddled with the role of championing the Old Faith against the New, just as hitherto she had been saddled with that of upholding the Cross against the Crescent, and of carrying the Christian faith to the New World. That the Emperor had bequeathed to his son a bitter quarrel with the papacy served if anything to make the matter worse. It was apparently Catholicism according to Spanish, not papal definition, that Spain was attempting to foist on Europe. Small wonder that men took alarm at such tremendous pretensions. Was it to be the aim of Iberia to dictate the religious as well as the political future of the rest of Western Christendom? All these developments are usually associated with the reign of Philip II; but the foundations for them were laid during the reign of his father. Both politically and religiously, Spain had inherited a burden far too heavy for her to bear, a task that her resources could not enable her to perform.

But that was by no means all. Not only was the burden too heavy and the task too hard, but the path that Spain was obliged to travel in order to carry them was mediaeval rather than modern,—the path of reaction rather than of progress, of darkness rather than of light. Against the modern political principle of national independence and individuality she was already committed; she was forced to combat it in order to maintain her own inherited preponderance. Against the still more modern principle of balance of power, which was to be gradually evolved as the most obvious method of preserving national independence, she was equally inevitably arrayed indeed, the first great victories of that principle were won in defending the liberties of Europe from the perils of Hapsburg and Spanish supremacy. Religiously, too, the tale was much the same. Whether Protestantism or Catholicism is the better faith is a matter on

which men have continued to dispute ever since the Reformation; but there can be no question that religious toleration is one of the greatest blessings which the progress of the last four centuries has conferred upon mankind. That Protestantism does not mean toleration, the history of those four centuries has abundantly proved; but it was at least through the persistency of Protestantism's efforts to win for itself the right to exist, that men first came to realize the horrors and the futility of religious persecution. And Spain's inherited religious role—her most sacred duty, as she conceived it to be—committed her in advance to that very policy of persecution and intolerance which the more fortunate and enlightened nations to the north of her were gradually to abandon in the succeeding years. She was landed in a sort of strait-jacket of unbending mediaevalism, from which honorable escape seemed utterly impossible; she was almost obliged to be an anachronism. Against freedom, both national and ecclesiastical, she had been forced to take her stand. Verily, she was the child of fate.

How far Charles realized the nature of the position in which he had left Spain, and the impossibility of the task he had bequeathed to Philip, how accurately he was able to forecast the future, is a problem which must constantly recur to all students of the sixteenth century. In the matter of religion there can be little doubt that, whatever the dangers and difficulties he foresaw, he ardently believed that his was not only the right but also ultimately the winning side. Heretics had threatened and had been put down before, ever since the days of Constantine. The peace of Augsburg, it had to be confessed, was the greatest rent that had ever been made in "the seamless garment of Christ"; but surely, with the forces of militant Catholicism rallying to the standard on every hand, it was going to be possible to mend it soon. The very ambiguity of the phraseology of the instrument was an indication that neither party expected it to be permanent. And this reasoning was doubtless intensified by the development of the Emperor's own character during the latter years of his life. Ever since his defeat in the Empire, he had become increasingly religious. The evidences of his personal piety multiplied apace. He was more zealous than ever for the persecution of heretics, less willing to sacrifice the interests of the faith to political and dynastic considerations. Religiously, then, he had no misgivings for the future. He was convinced that the cause of Catholicism was bound to triumph in the end.

In matters political, however, it was a somewhat different story. There is reason to think that Charles was not entirely free from doubts and forebodings as to the prospects of Philip's reign in Spain and in the Spanish Empire. The significance of the fact that the Emperor had been willing to abandon his claims to Burgundy in 1529 has been already commented on. It showed that he realized that men regarded the integrity of France as the symbol of European escape from the perils of Hapsburg preponderance, and that the literal fulfilment of the treaty of Madrid would serve to unite all Western Christendom against him. The nature of his defeat in Germany in 1552 emphasized the same lesson in another way. His victories in the preceding years had made him too great for the general welfare; all the outside powers, and even some of his own vassals, desired to have him checked. And so at his abdication he had divided his inheritance,—in itself a confession that his life had stood for an ideal no longer realizable; the union of Spain and Germany in one hand had proved a dream that could not possibly come true. But now there remained the further question: was not the Spanish part—Philip's inheritance alone, disburthened of the Empire and the Austrian lands—too great to be regarded with equanimity by the rest of Europe? Without the Netherlands and Franche-Comté, it would probably not have been so. The Spanish authority in Italy had been so long established that no one save France—the hereditary foe there was greatly disturbed by its recent extension through the addition of Milan; and the American possessions were still too remote seriously to affect the course of European politics. But the acquisition and retention of the Burgundian lands altered the whole situation. It planted the Spanish power in a region where it had never

been before, where it had no tradition or precedent, and where, most important of all, it continued to threaten France with the old bugbear of encirclement and would therefore inevitably arouse her hatred. Certainly the Emperor had realized the danger here. His anxiety for the English alliance in 1553, and the provisions that he made for the inheritance of the issue of Philip and Mary, are sufficient proof of this. But the grandchild he so ardently longed for was never born, and the sovereignty of the Netherlands remained in Spain; nay more, the most important result of the English alliance was to estrange instead of unite the parties to it, and ultimately to convert the island realm into the most effective and unrelenting of Philip's foes. All this it was impossible for Charles to foresee; but the events of the first part of the year 1558—the loss of Calais, the increasing estrangement of Mary and Philip, the queen's despondency and her tragic sense of failure—must have given him cause for the gravest fears. The Burgundian inheritance, with all that it implied, was full of evil portent for the future of the Spanish Empire.

If much can be deduced from the Emperor's actions in regard to his views on the events of the day, something may also be gleaned from his different writings. Of these the best known is his Commentaries or Memoirs, which he dictated for the most part as he voyaged up the Rhine, from Cologne to Mainz, in June, 1550, and finished at Augsburg in the latter part of the year. These, however, are little more than a bare narrative of events and campaigns; moreover, they only carry the story to the month of August, 1548, and consequently shed no light at all on what was passing through the Emperor's mind during the years when he finally settled the disposal of his vast inheritance. More enlightening by far are the various 'Poderes' and 'Instrucciones' which he drew up for the guidance of those to whom he entrusted the regency in Spain during the periods of his various absences from the peninsula, and finally his so-called political testament of October 25, 1555. Many of the less important of the 'Poderes e Instrucciones' remain, still unpublished, in the archives at Simancas and Madrid; the best known of them, however, especially those to Philip in 1539, 1543, and 1548, have been printed more than once, and afford rich material for the student who desires insight into the underlying principles of the Emperor's government. Over the genuineness of the 'testament' of 1555 there has recently raged a vigorous controversy. It was first published in a French translation of one of the Italian texts in which it was first discovered, by Antoine Teissier, the councilor and historiographer of the Elector Frederick III of Brandenburg, in 1699, at Berlin, for the instruction of the elector's son. Neither Teissier, nor any of the various writers of the eighteenth and nineteenth centuries who subsequently mentioned it, cast any doubts whatever upon its authenticity; but in 1919, it was declared to be an absolute forgery, and nothing more nor less than an Italian essay on the theory of statecraft; while the most recent authority inclines to the view that while the basis of it is genuine, many of its statements were modified and changed by the Italian compilers of the best known of the available texts. Whatever the final conclusion in the matter of the 'Testament' (and it certainly seems clear that it is not entirely apochryphal), there is enough material in the various 'Poderes' and 'Instrucciones' to enable us to form a basis for important deductions in regard to the Emperor's political theories and expectations for the future.

We have no space to go into details; in view of the careful analyses that have already been made, it would be but a task of supererogation to do so. There is, however, one fundamental idea which may be discerned in almost every line that the Emperor wrote, which it were wellnigh impossible to exaggerate or overemphasize. It is perhaps best expressed in a single phrase in the Instruction to Philip of 1543: "por no dexaros menos de la herencia que herede." A sturdy defensiveness, not aggression, in other words, was the keynote of Charles's policy, and the line of action that he commended to his son. Maintenance of the status quo was the utmost that he could hope for; the Spanish Empire was already so great that any attempt to

enlarge it further would be certain to meet disaster. Charles realized that his own vast inheritance had been the result of a dynastic accident, and that Europe, more sensitive than ever before to the principles of nationalism, would never permit its like to occur again; he had divided his dominions, as we have already seen, as a concession to the sentiment of his day and generation. Yet nothing would induce him to surrender one foot of what he had inherited and won, or suffer it to pass out of the orbit of Hapsburg control; and he was most anxious that Philip should be guided by the same idea. Acceptance of the enormous possessions and responsibilities with which it had pleased God in His infinite wisdom to invest him was the principle which he sought to inculcate at every turn. To attempt to increase them further would be manifestly unwise; to shun or shirk them would be cowardly. The details of the various 'Instrucciones' which Charles left to his son were chiefly designed to help him in carrying out this fundamental principle.

Illustrations of this spirit of 'sturdy defensiveness' may be found on almost every page that the Emperor wrote. The duchy of Burgundy, so he told his son, was unquestionably the lawful patrimony of the house of Hapsburg, and its claim to that territory must never be abandoned; so essential, however, was the maintenance of peace, especially in view of the exhaustion of his treasury, that it would be better to leave the matter in suspense than to engage, for the sake of it, in an unnecessary war. The French had wickedly despoiled the Emperor's kinsman and faithful ally, the duke of Savoy, of a large part of his estates, in the hope of opening a road for themselves into Italy; yet Philip was advised to let the injury pass without revenge, and to concentrate his efforts on the defense of the remaining possessions of the Savoyard. The papacy, also, had given the Emperor much cause for complaint; Paul III had deserted him after his victory over the Schmalkaldic League, and had constantly sought to thwart him in Italy, while Paul IV had made trouble for the house of Hapsburg in every corner of Christendom. Yet Philip was urged to treat the successor of St. Peter with reverence and respect; to wait until the refractory pontiff was removed by the hand of death, and then to use every lawful means to compass the election of a more amenable successor. Even against the infidel the Emperor emphasized the danger of offensive warfare. The passage in which he discusses this subject is curiously involved, but certainly his counsels did not breathe the spirit of the Crusades. The Turk, he insisted, could be more advantageously met with craft than with force. No war against him should be undertaken at all unless it could be justified in the eyes of the world as being of a strictly defensive character. If Philip should be so fortunate as to capture any place from him, he was to direct all his energies to fortifying and retaining it, rather than endanger his army by attempting further conquests.

The same essentially negative spirit which animates the Emperor's advice on the conduct of foreign affairs is made evident in a somewhat different fashion in his remarks on internal government. The famous passage in the instruction of 1543, in which Charles gives his views of the characters of his principal counsellors, affords an admirable illustration of this. No one of them, he repeatedly insists, was so good or so wise as to be given unquestioned precedence over the rest or even permitted to seem to monopolize Philip; it was in order to prevent this that the Emperor had filled the most important posts with the heads of opposing factions. The Duke of Alva was the best soldier in Spain, well versed in foreign affairs, and should always be consulted in matters military and diplomatic; but he was also of the ancient Castilian aristocracy—a grandee of grandees—who had not ceased to aspire to rule the realm. It would therefore be dangerous to admit him to the inner circle of the government. Cobos and Zuniga were financiers and administrators, whose value was perhaps all the greater because they belonged to opposite parties and would consequently emphasize both sides of every question. On the other hand, they were both avaricious, and Philip was cautioned not to reward either of them so liberally as to give the other just cause for complaint. And so on through the entire

list; never a word of praise without the corresponding apportionment of blame; perpetual balancing of good and evil. It was a notable proof of Charles's shrewdness and insight, a monument to the keenness of his judgment of men; and yet, when one comes to consider it as a whole, its net effect was to paralyze initiative. So evenly weighted were the pros and cons that Philip must often have been at a loss which way to turn. One sees the reason for the fatal hesitation which was to be the source of so many of his subsequent defeats. A modern nation, with a cabinet in which all parties were given equal representation, would certainly find it difficult to follow any definite or consistent policy; the probable result would be to make it stand stock still. The system of government which Charles commended to Philip was bound to arrest the progress of the Spanish Empire.

It was doubtless the vast size and unwieldiness of his Spanish inheritance—more obvious than ever when coupled with his Austrian and imperial one—that first convinced Charles that he must be content to rest on the defensive; and the lesson that Spain had taught him sank deep into its teacher's heart. The Spanish ideal was henceforward the preservation of the status quo; Spain is conservative, not progressive; she looks backward, not forward. She seems overwhelmed by the tremendous load that God has given her to bear, oppressed by a fatalistic feeling that the future depends solely on His will, and is out of her power to mold or control. There is the sharpest possible contrast between the eagerness and enthusiasm with which Pedro of Aragon went forth to win the crown of Sicily, and the almost reluctant acquiescence with which Charles V finally accepted the inheritance of Milan; and the attitude of both rulers is accurately reflected in that of the peoples over whom they held sway. The Spain of the middle of the sixteenth century gives the impression of being strangely tired. The fire and energy which characterized every action of the heroes of the Reconquest and of the early builders of the mediaeval Aragonese Empire are no longer there. She was conscious, in other words, that she had reached her zenith. There was no longer any possibility of her rising any higher; the only question was whether she could prevent a decline. A spirit of grandiose somberness seems henceforth to pervade her. She was proud of the mighty deeds that she had wrought in the past; for the future she must trust in the omnipotence of God.

Never before had Spain and Charles of Burgundy been so close to one another's hearts as during the last few months of the Emperor's life at Yuste. The long and difficult process of reconciling their originally divergent viewpoints had now attained its final stage. The news that poured in from abroad was mostly sad, yet nothing of necessarily fatal significance was reported; nation and retired ruler were calm and undismayed. In February, 1558, Charles got word of the death at Talavera of his sister Eleanor, the widow of Francis I, who had bidden him good-by only two short months before; but the Emperor himself, in the following summer, seemed in excellent condition; in July he was described as "well and fat, with a better color than for many a day". On August 31, however, there was a sudden turn for the worse; while sitting on a covered terrace by the western side of his apartment, he was attacked by chills and a fever, and retired to his room, which he was never more to leave. In the early hours of the morning of September 21, it was evident that the end had come. Rousing himself from his lethargy he grasped, for one brief instant, the crucifix which had been held in death by the Empress Isabella; then, calling loudly on the name of Jesus, he sank back upon the pillows and expired. Twenty-six years later his remains were removed from Yuste to the Escorial, where they lie today, under the altar of the great monastic church, amid surroundings symbolic of the union of the vast empire which he bequeathed to his successor, with the spirit of the ancient faith in which, at the last, he found enduring peace.

**BOOK VI**  
**SPAIN IN THE NEW WORLD**

CHAPTER XXVII

THE CIRCUMNAVIGATION OF THE GLOBE AND THE ISLANDS OF THE PACIFIC

THE progress of Spanish discovery and colonization was much influenced under Charles V, as it had been under the Catholic Kings, by the long-standing rivalries between Portugal and Castile. It was early in March, 1518, at Valladolid, when he had been in his Iberian dominions for less than five months, that the new monarch received an application, of far reaching consequences, from a Portuguese adventurer who had but recently taken up his residence in Spain.

Ferdinand of Magellan, to call him at the outset by the Anglicized version of his name, was born of noble parentage, probably in the city of Oporto, about the year 1472 or 1473. Transplanted at an early age to the capital for his education, as was the custom with the scions of the aristocracy, he grew up a page in the household of Queen Eleanor, and thence passed, in 1495, into the service of Emmanuel the Fortunate. Fired by his sovereign's enthusiasm for exploration, an eyewitness of the triumphant return of those memorable expeditions to the Far East and to the New World which succeeded the circumnavigation of the Cape of Good Hope, he enlisted as a volunteer in the great armada which Francisco de Almeida, the first viceroy of India, led out to the Orient in the spring of 1505. After distinguishing himself by many acts of skill and gallantry on the East African coast and the western shores of India, he passed from the service of Almeida to that of Albuquerque, and in the autumn of 1510 joined the famous expedition which captured Malacca in the following summer. Whether or not he sailed in the fleet which Albuquerque dispatched to the Moluccas, we cannot surely tell; but we know that he was on most friendly terms with Francisco Serrao, one of its principal commanders, and that he later exchanged letters with him about the wealth of the new lands. In 1512 we find him back again in Portugal, and in the following year taking part in an expedition against the Moors of Azamor; but it was his experience in the Far East that had sunk most deeply into his heart, and was destined to give birth to the project which won for him immortal fame.

An interview with King Emmanuel, on his return from the Moroccan campaign, proved to be the critical moment in Magellan's career. We know that the explorer asked for an increase of his *moradia*, or annual court stipend, and was refused. It is also natural to infer—though there is no documentary evidence to prove it—that Magellan broached to the king a plan of reaching the Spice Islands by the western route, but failed to elicit any encouragement from his master. At any rate, it is certain that the rebuff that he received determined him, like Columbus in 1484, to try for a more favorable reception for his projects in Spain. Discussion with his friend, the learned cosmographer, Ruy Faleiro, convinced him that the idea of reaching the Spice Islands by the west was perfectly feasible; and if he were determined to try to realize it, there would be obvious advantages in entering the service of the king of Spain, within whose demarcation, under the treaty of Tordesillas, lay most of the water he would be

obliged to traverse. In October 1517 he crossed over to Seville, and took out his naturalization papers as a subject of King Charles.

Shortly afterwards he applied to the officials of the *Casa de Contratación* for aid in carrying out his great project. He told them that the Spice Islands belonged to Spain by virtue of the demarcation line; he promised to prove it before all the doctors who might contradict him, and would pledge his head for it. Furthermore, when the officials replied that it was impossible to go thither without trespassing within the demarcation of Emmanuel the Fortunate, he replied that if they would give him ships and men, he would show them “navigation to those parts without touching any sea or land of the King of Portugal”. Most of the officials were unconvinced, but one of them—Juan de Aranda—made up his mind that Magellan knew whereof he spoke; he questioned him more closely, and also catechized Faleiro, who had arrived in Seville in the month of December. Faleiro found it difficult to get on with Aranda. He was furious when he discovered that the official wanted a share in the profits of the expedition, if it should be possible to arrange it. However, on realizing that Aranda was the only possible open sesame to an audience with Charles, he swallowed his bile, and the three men journeyed northward to Valladolid. Aranda got his companions into touch with Chièvres, Adrian of Utrecht, the Chancellor Sauvage, and the rest of the Flemings; he enlisted the support of Juan Rodriguez de Fonseca, head of *the Casa de Contratación*, and now bishop of Burgos also; in fact, he did everything for his associates that could contribute to their success. Even the unforgiving Faleiro was forced to recognize the importance of his services. In February 1518, at Valladolid, he and Magellan signed a formal agreement,<sup>1</sup> in which they promised to give Aranda an eighth portion of such profits as they should derive from their expedition.

One month later, on March 22, Charles gave his consent to the *capitulation y asiento*, defining the terms under which Magellan and Faleiro were to sail for the Spice Islands. He promised to furnish them with five ships, provisioned and equipped for two years, and carrying crews to the total of 234 men. He agreed that for ten years to come no other explorer should be sent out on the same quest. Magellan and Faleiro were to receive one-twentieth of all profits arising from the expedition; and a subsidiary document gave them the rank and pay of captains, with all the dignities and powers thereto pertaining. The rights of the king of Portugal were carefully safeguarded. Nothing was to be done, no discovery to be undertaken inside the limits of his line of demarcation. This last stipulation, however, was by no means sufficient to mollify the wrath of Emmanuel the Fortunate, who was apprised of all that had happened since Magellan and Faleiro had left his dominions, and was furious at the prospect that Castile would reap the profits of an opportunity which he had suffered to escape him. An excellent occasion for making trouble was afforded by the fact that negotiations for his marriage with Charles’s sister Eleanor were at that moment pending, and that his ambassador, Alvaro da Costa, was resident at Charles’s court, charged with the duty of bringing them to a conclusion. Da Costa tried to persuade Magellan to abandon his intended enterprise. He sought to bribe him. There was even talk of his assassination. The Portuguese ambassador also approached the Flemings, and he finally remonstrated with Charles himself; the matter continued after the removal of the court to Saragossa, whither the explorers accompanied the young monarch in May; it was reopened after Charles reached Barcelona. But da Costa’s efforts were in vain. In such matters Charles relied, at this stage, on the advice of Fonseca, who gave enthusiastic support to Magellan’s cause. The crying need for funds, and the strong probability that an expedition to the Spice Islands would help to supply them, were other considerations which doubtless carried weight. Charles stood loyally behind the leader of the expedition; and he further indicated his enthusiasm for the explorers by decorating them with the Cross of the Order of Santiago. Nay more, when, after Magellan and Faleiro had gone to

Seville to fit out, a Portuguese agent started a riot among their crews, Charles expressed himself so vigorously that no more difficulty was experienced for some time. The hostility of King Emmanuel, however, was only held in leash; and he continued to show it by sending out expeditions to intercept Magellan after he had set sail.

The opposition of Portugal was not the only hindrance that Magellan was compelled to overcome before he was able to depart. The officials of the *Casa de Contratación* interposed delays, and a sharp letter from the Emperor was necessary to bring them into line. Faleiro, too, made trouble. Whether it was through jealousy or madness, it is not possible to say; but the fact remains that from a colleague and friend he was rapidly becoming converted into a troublemaker and foe, until finally a royal cedula of July 26, 1519, effected his removal, by ordering him to remain in Seville after Magellan's departure, to superintend the fitting out of a second expedition. From that moment he drops out of the picture, and Magellan was left in supreme command. Faleiro's elimination at this stage of the proceedings was probably not an unmixed evil; indeed, it is quite possible to regard it as a blessing in disguise. His scientific accomplishments and knowledge of navigation had certainly been a priceless asset to Magellan in launching his project and in obtaining the indispensable support of the crown. Without them the whole plan might well have been nipped in the bud. But Faleiro's temper was far too uncertain to enable him to stand the strain of a long and arduous voyage. He was utterly deficient in practical common sense and ability to get on with his fellow men. He had made his contribution, and it was time for him to give place to others.

In the meantime, the preparations for the voyage were pressed forward as rapidly as these various difficulties would permit. The funds which the *Casa de Contratación* was able to furnish proved inadequate, but the merchants of Seville advanced the rest, so that the entire cost—over 8,000,000 maravedis—was finally defrayed. The five ships that composed the expedition—the San Antonio (120 tons), the Trinidad, in which Magellan sailed (110 tons), the Concepcion (90 tons), the Victoria (85 tons), and the Santiago (75 tons)—were provided for defense with high castellated structures fore and aft. The total number of persons who finally embarked was probably upwards of 265. They were a cosmopolitan lot. Most of them were Spaniards, but there were also many Portuguese—far more, in fact, than Charles desired—besides Italians, Frenchmen, Flemings, Germans, Greeks, and Moors, one Englishman, and Magellan's Malaccan slave. The ships were plentifully supplied with cannon, powder and ball, and provisions of all sorts. On August 10, 1519, the fleet left the quays at Seville, and dropped down the Guadalquivir to San Lucar. On the twenty-fourth Magellan made his last will and testament. On September 10 the expedition weighed anchor, and sailed boldly forth on its memorable voyage.

Among the supernumeraries (*sobresalientes*) who sought and obtained passage with the explorers was a native of the town of Vicenza in Lombardy named Antonio Pigafetta, who had come to Spain with the papal nuncio Chiericato in 1519. He tells us that he was possessed with a desire to see and experience "the great things of the Ocean", and that through the favor of Charles V he was able to attach himself to the expedition. He sailed in the Trinidad as a servant or *criado* of Magellan. It was most fortunate for posterity that he was able to do so; for his account of the expedition is by far the best that has come down to us, and, in fact, one of the most precious narratives of travel in the history of the world.

Favorable winds accompanied the explorers during the first few weeks of the voyage. They put in *more antiquo* at the Canaries, and then ran down along the West African coast inside the Cape Verde Islands. As they approached the Line, the weather became much worse. There were harassing alternations of flat calms and heavy gales; and before they got across to the eastern point of Brazil, a large number of the crew were sullen and discontented, while

Juan de Cartagena, the captain of the San Antonio, showed such open insubordination that it was necessary to put him in irons. But the hardships of the voyage were by no means the only cause of trouble. Many of Magellan's companions had been pledged to rise against him long before the expedition set sail from San Lucar. The Portuguese, as will be readily surmised, were at the back of these conspiracies. There are hints of a prearranged mutiny in letters of King Emmanuel's agents in Castile to their master. Not long after his departure, Magellan received definite warning "that his captains had told their friends and relations that if they had any trouble with him they would kill him". The great explorer, then, was not unprepared for emergencies; and when, in the end of March, 1520, suffering from cold and short of provisions, the little fleet anchored, to spend the Antarctic winter in the harbor of Port St. Julian, in southern Patagonia, the blow fell.

On Easter Sunday (April 8) Magellan commanded that all hands should go ashore and attend mass, and that afterwards the captains of the different ships should come to dine with him. Both orders were but partially and halfheartedly obeyed, and on the following morning Magellan awoke to discover that a band of armed men from the Concepcion had boarded the San Antonio, overpowered the officers, and assumed control. The mutineers were led by Gaspar de Quesada, the captain of the Concepcion, which had already been gained for the rebel cause; and the Victoria, whose captain, Mendoza, was notoriously hostile to Magellan, was also quick to give its adherence. Juan de Cartagena likewise took sweet revenge for his previous punishment by lending his support; and Juan Sebastian del Cano, who was later to win immortal fame by completing Magellan's great work, was numbered, at this juncture, in the ranks of the *sublevados*. The situation was such as would have daunted any but the stoutest heart; but Magellan was fully equal to the occasion. It was clearly a case of win all or lose all; unless the mutiny was completely and immediately suppressed, his hopes were blasted and his career ruined. Numbers were apparently against him; he must therefore try the effect of a surprise. So he sent a handful of men to the Victoria, many of whose crew, despite the treachery of Mendoza, he knew to be loyal to himself. The captain was held for a moment in parley, and then suddenly cut down; while at the same instant the Victoria was boarded by fifteen men from another boat which had been kept in readiness for the crucial moment. There was practically no resistance. Magellan's ensign was hoisted, and the Victoria, together with the Santiago, which had been loyal from the first, was moved alongside the Trinidad, so as to block the mouth of the harbor and prevent the possible escape of the Concepcion and the San Antonio. The odds were now three to two in favor of authority, and the morale of the mutineers had been broken by the swiftness and success of Magellan's onset.

A delay of twenty-four hours and a bloodless contest between the Trinidad and the San Antonio were sufficient to induce the rest of the rebels to surrender; and an inquiry was promptly held to determine their fate. 'Politically mercy' was clearly the line for Magellan to take with the rank and file—the more so as he could not dispense with their services for the remainder of the voyage; but of the ringleaders it was equally obvious there was every reason to make an example. The body of Mendoza, slain on the Victoria, was accordingly drawn and quartered, as was the immemorial custom with traitors; Quesada was taken ashore and beheaded on the Saturday after the mutiny, and his body, after execution, subjected to the same treatment as that of Mendoza; while Juan de Cartagena, and the priest, Pedro Sanchez de Reina, who had been caught in the act of attempting to stir up a second revolt after the failure of the first, were marooned. More than thirty-five others were found guilty by the court of inquiry, and sentenced to death, but Magellan pardoned them. Having shown enough decision and severity to make it certain that his authority would thenceforth be unquestioned, he could afford to be generous to the rest of his vanquished foes. The mutiny, though passed over in

silence or else accorded only bare mention by the four historians of the expedition who actually accompanied it, was really the turning point of the whole adventure.

The expedition remained in the bay of St. Julian until August 24, 1520. Much time was spent in careening and caulking the ships. In the end of April a reconnaissance to the southward was undertaken by Magellan's loyal follower, Joao Serrao, with thirty-seven men in the Santiago. But a gale blew up after they had gone some sixty miles. The Santiago was driven ashore and went to pieces. The captain and crew, with the exception of Serrao's negro slave, were fortunate to escape alive, but they were destined to endure terrible hardships before they got back to their comrades. A river three miles wide barred their passage northward along the shore; finally a raft was constructed on which two of them fought their way across and managed, after terrible privations, to bring the news of the disaster to Port St. Julian; a relief party was organized, and the rest of the survivors were finally rescued. Efforts were also made to learn something of the interior of the country and its inhabitants, six of whom were brought to Magellan's ship and given food enough to satisfy twenty men, "but the six ate it all, since they were so big that the smallest was bigger and taller than the tallest man in Castile." Two of the *gigantes* were later somewhat treacherously enticed on board, and manacled, to be taken home as a present to the Emperor, but they both died before the expedition had begun to cross the Pacific. This last episode naturally aroused hostility between the natives and the explorers during the closing weeks of their stay at Port St. Julian, and made the prospects of the two marooned culprits—Juan de Cartagena and Pedro Sanchez de Reina—even darker than they had been before.

It was on the twenty-first of October, 1520, that the adventurers, in the four ships that remained to them, reached the Cabo de las Virgenes and the opening of the strait that bears Magellan's name. The next thirty-eight days were spent in threading its devious windings. The farther the explorers went the more difficult their enterprise seemed to be. The low-lying pampas at the eastern extremity of the strait gave way as they passed westward to the bleak precipices of the Southern Andes. The waters beneath them were apparently fathomless. New and strange forms of life appeared on sea and shore. Even the dauntless spirit of Magellan was appalled. At least one council was held to discuss the expediency of turning back, but when it came to the question of abandoning the search to which he had dedicated his life, the courage of the great captain revived, and the doubts and objections of the more timid were set aside. Desertion, however, Magellan could not prevent, the more so as the necessity of sending out constant reconnoitering expeditions gave every opportunity for it; and before the expedition had reached the Pacific, the San Antonio was seized by a party of mutineers, under the pilot Estevan Gomez, and taken back to Spain. It was a dastardly act, and it was rendered even more heinous by the lies that the deserters told about their leader when they got home. But nothing could induce Magellan to swerve from his purpose. Finally, in the end of November, a well-equipped boat, which had been sent ahead to explore, returned, after three days' absence, with the news that it had found "the cape of the other sea .... The captain-general wept for joy", continues Pigafetta, "and called that cape, Cape Deseado, for we had been desiring it for a long time." <sup>2</sup> "The other sea" proving blessedly free from the terrible gales that had recently beset their course, they called it the Pacific, a name which finally prevailed over that of the Mar del Sur first given to the same ocean by Vasco Nunez de Balboa, when he saw it, seven years before, from a "peak in Darien."

For nearly three weeks the explorers worked their way northward along the Chilean coast; then, on December 16, when they were near the fiftieth parallel of latitude, they struck out boldly, in a northwesterly direction, across an apparently unlimited waste of water. After thirty-nine days they sighted a small island covered with trees (probably Puka-Puka, in the Tuamotu Archipelago); it proved to be uninhabited, and they passed on. Eleven days more

brought them again within sight of land (probably Flint Island, in the Manihiki group), but it was likewise uninhabited and desolate, “and they called these islets the Unfortunate, since they found there neither people, nor consolation, nor any kind of food.” For thirty more days they struggled on, again without sight of land, their only encouragement being a favorable wind. Never had the pangs of hunger been so sharp. Rations were reduced to the lowest possible limits, and consisted, says Pigafetta, “of biscuit which was no longer biscuit, but powder of biscuits swarming with worms, for they had eaten the good. It stank strongly of the urine of rats. We drank yellow water that had been putrid for many days ... and ate some ox hides that covered the top of the mainyard,” after they had been softened in sea water for four or five days. “Rats were sold for one-half *ducado* apiece, and even then we could not get them.” Scurvy broke out, and several died; more sickened, and all were utterly discouraged, when at last, on March 6, 1521, they sighted an island (almost certainly Guam) which proved to be inhabited, and the worst of their troubles were over for the time being.

The thievish propensities of the natives impressed the explorers so vividly that they gave the archipelago that they had reached the name of the Ladrones or Robber Islands. “The inhabitants entered the ships,” declares Pigafetta, “and stole whatever they could lay their hands on,” including “the small boat that was fastened to the poop of the flagship. Thereupon, the captain-general in wrath went ashore with forty armed men, who burned some forty or fifty houses together with many boats, and killed seven men.” Fresh, fruit and vegetables, however, were obtained, and on March 9 the explorers departed on a southwesterly course which brought them, on the 16th, to Samar in the Philippines. Two days later they landed at the neighboring island of Humunu (Malhou or Homonbon), and were visited there by a prau with nine men in it. These people soon proved to be friendly, hospitable, and anxious to trade, and in a short time “they became very familiar with us.” The sick recovered rapidly with the good food that was now easily available. Troubles and complaints began to vanish as the wonders of unknown lands continued to unfold themselves. Finally, on March 28, after they had passed over to the little island which is now called Limasaua, the adventurers encountered some natives who were able to comprehend the language of Magellan’s Malaccan slave, and thus knew definitely for the first time that their great quest had been successful, and that they had reached, by sailing westward, the outer confines of the Asiatic world.

For the next few weeks fortune continued to smile. On Good Friday, March 29, Pigafetta and another man were sent ashore to visit the local king and see the country; and such was the hospitality with which they were treated that Pigafetta was forced “to eat meat on holy Friday, for I could not help myself,” while his companion “became intoxicated as a consequence of so much drinking and eating.” Easter Sunday, the 31st, was the first anniversary of the mutiny at Port St. Julian, and therefore doubly appropriate for remembering God’s mercies. Mass was accordingly celebrated on shore with the utmost solemnity, and Magellan was deeply gratified by the participation of two of the local chieftains in the service. “They went forward to kiss the Cross as we did,” writes Pigafetta, “and when the body of our Lord was elevated, they remained on their knees and worshipped Him with clasped hands.” But the greatest triumph of all occurred two weeks later, when the expedition had passed on to Cebu. After some hesitation, the king of that island agreed to a treaty giving Spaniards the exclusive privilege of trading in his dominions, and a little later he accepted the Christian faith. On Sunday, April 14, the ceremony of baptism was performed with appropriate rites. The king of Cebu was called Don Carlos, in honor of the Emperor, and the queen was given the name Joanna, in honor of the Emperor’s mother. As the news spread, the people came in from the countryside and the neighboring islands to follow the royal example; within a few weeks over two thousand had been baptized. Apparently, however, the natives did not show sufficient alacrity to suit Magellan in fulfilling their promises to destroy their ancient idols, and on one occasion they

were reproved by the captain for their slackness in this respect. Thereupon they replied that they were preserving the idols “not for themselves but for a sick man who had not spoken now for four days, so that the idols might give him health. He was the prince’s brother, and the bravest and wisest man in the island. The captain told them to burn their idols and to believe in Christ, and that if the sick man were baptized, he would quickly recover; and if that did not so happen they could behead him [i.e., the captain] then and there. Thereupon, the king replied that he would do it, for he truly believed in Christ. We made a procession from the square to the house of the sick man with as much pomp as possible. There we found him in such condition that he could neither speak nor move. We baptized him and his two wives, and ten girls. Then the captain had him asked how he felt. He spoke immediately, and said that by the grace of our Lord he felt very well. That was a most manifest miracle in our times. When the captain heard him speak, he thanked God fervently.” After this episode, iconoclasm apparently proceeded at a more satisfactory rate, “and the people themselves cried out, ‘Castiglia! Castiglia!’” as they destroyed their idols.

But alas, that the triumph so gallantly won should have been destined by fate to be so cruelly short-lived! Some of the petty rulers of the territories near Cebu had become restive under the suzerainty of the king of that island, and Magellan, naturally wishing to strengthen the authority of his friend and convert, gladly offered aid in effecting their subjugation. One of the most troublesome of these petty rulers was the rajah of Matan, an island just across the harbor of Cebu, and when one of the rajah’s minor chieftains promised the Spaniards his support in subduing his overlord, Magellan thought the opportunity too good to be let slip. Against the advice of his old friend and comrade, Joao Serrao, he embarked at midnight on Friday, April 26, with fifty-nine of his followers, in three boats. The king of Cebu and upwards of a thousand natives accompanied them in war canoes. But when the armament reached Matan, these auxiliaries were requested by Magellan to remain where they were, and “watch the Spaniards fight”; while the captain, with forty-eight of his men, waded ashore and advanced, unsupported, against the foe. It soon became apparent that he had undertaken an impossible task. The natives had prepared the ground in front of their village with stakes and pitfalls. As the assailants advanced they were attacked by vastly superior numbers. Showers of arrows, stones, and small javelins fell among them, and though their armor protected their bodies, their arms and legs suffered cruelly; Magellan himself was among the first to be wounded. The crisis came when the captain ordered a few of his men to advance and fire a group of huts; for when the natives saw their dwellings in flames, they turned on the invaders with redoubled fury. Magellan gave the word to retire slowly and in order; but all but a handful of his men broke and fled, leaving their captain and six or eight others to get away as best they could. Magellan’s sole thought seemed to be to cover the retreat of his friends; “he turned back many times”, writes Pigafetta, “to see whether we were all in the boats”. Step by step the little band retreated to the shore, and a good crossbow flight beyond, fighting until up to their knees in water. Magellan, his helmet lost, was recognized by the natives, and was wounded again and again. “An Indian hurled a bamboo spear into his face”, writes Pigafetta, “but the latter immediately killed him with his lance, which he left in the Indian’s body. Then, trying to lay hand on sword, he could draw it out but halfway, because he had been wounded in the arm with a bamboo spear. When the natives saw that, they all hurled themselves upon him. One of them wounded him on the left leg with a large cutlass, which resembles a scimitar, only being larger. That caused the captain to fall face downward, when immediately they rushed upon him with iron and bamboo spears and with their cutlasses, until they killed our mirror, our light, our comfort, and our true guide. ... I hope through [the efforts of] your most illustrious Lordship that the fame of so noble a captain will not become effaced in our times. Among the other virtues which he possessed, he was more constant than ever anyone else in the greatest of adversity. He endured hunger better than all the others, and more

accurately than any man in the world did he understand sea charts and navigation. And that this was the truth was seen openly, for no other had had so much natural talent nor the boldness to learn how to circumnavigate the world, as he had almost done... In the afternoon the Christian king sent a message with our consent to the people of Matan, to the effect that if they would give us the captain and the other men who had been killed, we would give them as much merchandise as they wished. They answered that they would not give up such a man, as we imagined [they would do], and that they would not give him for all the riches in the world, but that they intended to keep him as a memorial.”

The death of Magellan changed the whole face of affairs. His successors in the supreme command were by no means his equals; still worse, the fact that it had been possible to kill him and to defeat the expedition which he had led against Matan undermined the prestige of his followers with the natives. Their ally, the king of Cebu, turned against them; a group of twenty-nine were enticed ashore, and, save for two who scented danger and made their escape in the nick of time, were surrounded and slaughtered. It is scarcely necessary to add that the Christianity which the natives had so recently adopted was not proof against the shock to which this additional demonstration of the mortal character of its propagators subjected it; and as the survivors sailed away, they saw the inhabitants tearing down all the emblems of the faith, save the great cross, which, as Herrera assures us, they were unable to bring to the ground while the ships of the Spaniards remained in sight. Desertion and death had by this time reduced the numbers of the participants in the expedition by more than half. Since there were not enough left to man the three ships that still remained, it was decided to abandon the Concepcion, which was no longer in a seaworthy condition, and to transfer her cargo and crew to the Trinidad and the Victoria. This was done, off the island of Bohol, just opposite Cebu, and thereupon the expedition bore away to the southwest. This course took the explorers away from land, and provisions were again beginning to run short, when they finally arrived at the island of Palawan, where they were fortunate in finding the inhabitants friendly and plenty of food of every kind. They were also able to seize native pilots, whom they forced to conduct them to the town of Brunei, on the northwest coast of Borneo, of whose wonders many rumors had reached them; and there they arrived in the middle of June.

A royal welcome and opportunities to trade encouraged the travelers to plan for a long stay. They were, moreover, fascinated by the sights that met their eyes; the city of twenty-five thousand houses built all on piles in the salt water, “except the houses of the king and certain chiefs”; the graceful praus with gold and silver ornaments; the elephants; the fat king, with whom “no one was allowed to communicate save through a speaking tube”; and “the three hundred foot soldiers with naked rapiers at their thighs to guard him.” But rumors of treachery soon began to fill the air. Several members of the expedition were seized and detained by the king. Two large junks and a fleet of over two hundred praus attempted a naval demonstration against the Trinidad and the Victoria, and had to be fought off with cannon. The capture, on July 30, of another junk with a number of notables on board did not serve to make the Spaniards sure of their position. In early August, accordingly, they sailed away to the northeast, taking with them sixteen men and three women whom they had captured on the junk, and not stopping till they reached an islet off Banguay, where they landed to caulk and refit their badly damaged ships. Setting sail once more on September 27, they altered their course to the southeast. Learning from the crews of captured junks that the Spice Islands which they had set out to find were not far off, they continued their voyage until November 8, 1521, when they cast anchor off the shore of Tidore in the Moluccas.

It was but natural, now that the explorers had reached their goal, that the hostility of Portugal, which had pursued them so relentlessly at the time of their departure from Spain, should give unwelcome evidence that it was still alive. Ternate, a neighboring island, had

already been occupied by the subjects of King Emmanuel; in fact, the explorers soon found out that Magellan's old friend, Francisco Serrao, had been made captain-general of the forces of the king of Ternate, and had been poisoned eight or nine months before their arrival, through the instrumentality of the king of Tidore, against whom he had been leading the troops of his master. On November 14 they were visited by a renegade Portuguese from Ternate, one Pedro Affonso de Lorosa, who not only brought them much valuable information in regard to conditions in the Moluccas, but also was able to give them news of events that had occurred in Spain after their departure, and of the various efforts of the Portuguese king to intercept them. Clearly, in view of the enmity of their ancient rivals, it behooved them to take all possible precautions; and as the Portuguese had already established themselves in Ternate, it was evidently the part of wisdom for them to do likewise in Tidore. A treaty was accordingly signed with the local ruler, who had received them with the utmost kindness, and assured them that astrologers had been prophesying their arrival for a long time. He listened with deep attention to the explorers' accounts of the greatness of the Emperor and assured them that he wished to live at peace with him. He gladly accepted all their proposals for trade and promised them that if his island would not afford them enough spices to fill their ships, he would personally undertake to see that they were obtained elsewhere.

For the next six weeks trading went merrily forward. Cloves and spices were brought in from every side, and the explorers "bartered for them with might and main", knives, caps, hatchets, scissors, clothes, glassware, and Bornean gongs being given in exchange. Relations with the king of Tidore became more cordial every day, and the rulers of many of the neighboring islands came in and signed treaties of peace with the representatives of the king of Spain; some of the nobles of Ternate, also, dissatisfied with their experiences at the hands of the Portuguese, crossed over to Tidore and offered their allegiance. Altogether it would seem that the Spaniards made a considerably more favorable impression in the Moluccas than their predecessors had done before them; and when their ships were filled and the time came for them to leave, they were unfeignedly sorry to depart.

The adventures of the explorers were not yet at an end. On Wednesday, December 11, all was ready, and the Victoria stood out to sea; but when it came the turn of the Trinidad to weigh anchor, it was discovered that she had sprung so bad a leak that there was not the remotest possibility of her being able to set sail. The Victoria accordingly returned; a council of war was held, and it was finally determined that the Trinidad should discharge her cargo, receive a thorough overhauling, and sail for Panama after the change of the monsoon; while the Victoria, after being lightened of some of her burden, which was believed to be dangerously heavy, as well as of a part of her crew who preferred to remain in the Moluccas, should take advantage of the east winds then prevailing and sail direct for Spain via the Cape of Good Hope. Juan Sebastian del Cano was placed in command of her; although involved in the mutiny at Port St. Julian, he had since that time given high proofs of loyalty, skill, and efficiency as a navigator. Fifty-nine others accompanied him, of whom thirteen were natives and forty-six Europeans (among them, Pigafetta); while fifty-three others remained behind with Gonzalo Gomez de Espinosa, who was left in command of the Trinidad. Thus only 101 were still remaining of the 265 or more who had set forth with Magellan; and most of the members of the two parties into which the expedition was now divided were destined never to see each other again.

Idle story of the subsequent adventures of the Victoria and of the Trinidad and their crews is full of thrilling details; here it can only be indicated in outline. The Victoria, steering a southwest course, reached the island of Timor on January 25, 1522, remained there for barter and provisions for nearly two weeks, and on February 13 started southwest across the Indian Ocean for the Cape of Good Hope. The ship's condition gave constant cause for alarm. The

food and water it carried became more and more unfit for use. Disease broke out, and many died; “when we cast them into the sea” writes Pigafetta, who almost alone of that whole ship’s company had succeeded in keeping always well, “the Christians went to the bottom face upward, while the Indians always went face downward.” So great were their sufferings that “some of our men wished to go to the Portuguese settlements at Mozambique,” but the rest, “more desirous of their honor than of their own life, determined to get to Spain, living or dead. Finally, by God’s help, we doubled the Cape [of Good Hope] on May 6, at a distance of five leagues.” On July 9 they reached the Cape Verde Islands, where they were obliged to put in for provisions and refitting. Fearing a hostile reception from the Portuguese if their identity should become known, they attempted at first to conceal it; but the truth soon leaked out, and thirteen of their number were detained. With eighteen Europeans and four natives left on board, they completed the last stage of their journey.<sup>2</sup> Finally, on Monday, September 8, as Pigafetta relates it, “we cast anchor near the quay of Seville and discharged all our artillery. Tuesday, we all went in shirts and barefoot, each holding a candle, to visit the shrine of Santa Maria de la Victoria, and that of Santa Maria de l’Antigua.”

Heavy as had been the sufferings of the crew of the Victoria, they were exceeded by those of the men who accompanied Gonzalo Gomez de Espinosa on the Trinidad. After a thorough overhauling, she set sail for America on April 6, but she was not destined to get far. Disease, shortage of provisions, and head winds forced the adventurers, after a few weeks, to turn back; and the cup of their bitterness was filled to overflowing when they found, on reaching the Moluccas, that the Portuguese had regained control. A fleet of seven ships, with more than three hundred men, under Antonio de Brito, had arrived there a short time after the departure of the Trinidad, possessed themselves of the warehouse which the Spaniards had built, and captured the scanty garrison which they had left to guard it; and when Espinosa and his men returned, they also were obliged to surrender. The Trinidad shortly afterwards went to pieces in a heavy squall, and the survivors of the expedition, of whom there were now but twenty-three, were left at the mercy of their captors. De Brito maltreated them in every possible way. He insulted and abused them in the presence of the natives, in order to undermine the prestige of Spain; and then sent them via Banda and Java to Malacca and Cochin. Vasco da Gama, who was viceroy there at the time that they arrived, refused to give orders for their release; but his successor, Enrique de Meneses, who replaced him in the year 1524, proved more compassionate, and commanded that they be sent back to Portugal. But their numbers had by this time been again sadly diminished. Death had claimed the majority of them; two had been detained in the Moluccas; one had escaped and got back as a stowaway to Lisbon. Five only—Espinosa and four others—of the fifty-four who had stayed with the Trinidad when she parted company with the Victoria in December, 1521, ever saw Europe again, and Espinosa was the only one of these to get recognition and reward.

Del Cano, the captain of the Victoria, had better luck; indeed, from all we know of his character and actions, the recompense he received was far greater than he deserved. He returned to Spain at a fortunate moment for himself, for Charles had only just got back from the Empire, and everything combined to invest del Cano in the popular mind with the credit that in reality was due to his leader. Charles sent for him to Valladolid the moment he arrived. He and his companions were presented at court; he was given an annual pension of five hundred ducats and a coat of arms. There was good reason for the Emperor’s satisfaction, over and above the reflected glory which the successful accomplishment of the circumnavigation cast upon him; for the cargo of spices which the Victoria brought home was worth considerably more than it had cost him to send the expedition forth.<sup>1</sup> Charles’s perennial need was money; if a hazardous preliminary exploration of uncharted seas could succeed, in spite of

almost every conceivable misfortune, in sending home survivors with a cargo that could be disposed of at a profit, it was clear that the experiment was well worth repetition.

But after all, for the student of the history of the Spanish Empire, at least, the most important result of the voyage of Magellan was neither economic, nor even scientific, but rather what may perhaps be best called psychological. It occurred, not only at the beginning of Charles's reign, but also at the most critical moment of his fortunes. His election as Holy Roman Emperor took place two months before Magellan's departure. His authority was challenged, almost overthrown, and finally reestablished in Spain, during the months covered by the voyage. The Diet of Worms, the beginning of the wars against the king of France, and the first foreshadowings of the struggle against the Turks, all fall in the same period, and the Emperor got back to Spain just fifty-three days before del Cano arrived. The news that the first circumnavigation of the globe had been accomplished—accomplished from Spain, by men whom he had sent forth, and in the teeth of the most violent opposition from the king of Portugal—strengthened Charles in the belief that it was his destiny to rule the world. *Austriae est imperare orbi universo* had already been the motto of the house of Hapsburg for many years past, but hitherto the interpretation of it had been limited to Europe. Now Charles had not only inherited the American lands which had been won under the rule of the Catholic Kings, but he had himself sent forth the first expedition to put a girdle around the globe. And moreover, with the idea that his empire was to be indeed and in truth a world empire, came the added conviction that, for all practical purposes, his Iberian dominions were destined to be the center of it. Traditionally his imperial title was of preeminently German origin, but the power that was to enable him to make that title a reality, to an extent that few of his predecessors had done, was brought to him, it was equally obvious, through his Spanish inheritance. He had just got back from the Germany where Martin Luther had raised the standard of revolt, to the Spain where revolt had been crushed. He could take advantage of the victory that had been won for him in his absence. Small wonder if all things combined as markedly to draw him closer to his Iberian dominions as, on the occasion of his first visit to the peninsula, they had combined to do the reverse; small wonder if the immediately succeeding years were preeminently the Spanish period of his rule. Through Spain could the destinies of the house of Hapsburg be actually realized; through her could the program of *Plus Oultre* be accomplished, until his family dominions encircled the earth.

If profit was to be derived from the circumnavigation of the globe, it was essential to reassert and fortify Spain's claims to the Moluccas, by far the most valuable source of revenue that had been found. That claim was bound to be resisted by the Portuguese, and thus to perpetuate the hard feeling between the two nations over the limits of their colonial empires,—a feeling which dated back to the days of Columbus.

It was evident, in the first place, that Magellan's expedition must be promptly followed up, and before the end of the year 1522, Charles had issued a series of thirty-three 'privileges' to any of his subjects who would undertake to fit out ships to go to the Moluccas. But it was not until 1525 that a voyage was actually begun; and in the meantime Charles turned his attention to negotiations with the king of Portugal. On February 4, 1523, he dispatched two ambassadors to his cousin to propose that the limits of their respective jurisdictions on the other side of the globe be determined in accordance with a continuation of the Tordesillas line, but at the same time asserted that the Moluccas clearly belonged to Spain; King John, however, was totally disinclined to concur in this. Clearly the next step was to arrange for a conference of expert scientists and lawyers to discuss the matter; and on April 11, 1524, this conference met at the bridge over the Caya, which forms the boundary between Spain and Portugal. It has gone down into history as the Junta of Badajoz, since its meetings were alternately held in that city and in Yelves. It continued its sessions until May 31. Among the

Spanish representatives were Ferdinand Columbus, Juan Sebastian del Cano, Sebastian Cabot, and Juan, the nephew of Amerigo Vespucci. But the conference broke up without reaching any decision. The Spaniards pushed their case aggressively, and even asserted that Sumatra, as well as the Moluccas, clearly fell within their line of demarcation. The Portuguese, on the other hand, played for obstruction and delay. They were, without doubt, in a serious quandary, for if the line should have to be pushed eastward in order to give them the Moluccas, they would inevitably, by the same process, be deprived of Brazil. Under the circumstances, it was impossible to arrive at an agreement, and so Charles turned his attention once more to the sending out of a fresh expedition to assert his claims.

The commander of this new expedition was Garcia Jofre de Loaysa, a native of Ciudad Real, a knight commander of the order of St. John, and a kinsman of the archbishop of Seville (1539-46) of the same name. The armada was to consist of seven vessels, and was fitted out and dispatched from Corunna, whose advantages for the purpose were pointed out to the Emperor in an interesting memorial which has fortunately been preserved to us. Sebastian del Cano was Loaysa's first lieutenant, and there was the usual galaxy of detailed and prolix instructions as to the course to be steered, the precautions to be observed, the discoveries to be made, the barter to be effected, and the policy to be pursued in regard to the Portuguese. The fleet left Corunna July 24, 1525, and reached the southern part of Patagonia in December, where it encountered storms so violent that the ships were separated and several of them lost; when Loaysa entered the Pacific on May 26, 1526, he had only three vessels left. Two months later, on July 30, 1526, Loaysa himself died and was buried at sea; and he was followed, five days after, by Sebastian del Cano, who had succeeded him in command of the armada. When the survivors, on the first day of the year 1527, finally arrived in the Moluccas, it is clear that discipline had utterly broken down; and they soon began to engage in fighting the Portuguese, who were in no mood to be ousted from the position they had won, and returned their hostility with interest.

Meantime, at home, Charles continued to contemplate the sending out of fresh expeditions. A group of Sevillans, fired by the prospects of gain through the spice trade, engaged Sebastian Cabot to pilot a fleet to the Moluccas. The Emperor, hearing of the project, put himself in touch with its originators, gave them the ships that they needed, and, in an agreement of March 4, 1525, fixed the conditions of his concurrence. Cabot was not only to visit the Moluccas, but to pass on to "Tarshish, Ophir, East Cathay, and Cipango"; he was to bring home all the precious stones that he could find; according to Herrera, he was to go by the route that Magellan had followed, but there was also, apparently, high hope in Spain that he would be successful in finding a much shorter way, for the belief in the existence of a strait near the middle of the Western Hemisphere continued to persist, in spite of all discouragements. The expedition was to have set sail in August 1525, but a serious disagreement between Cabot and the merchants who employed him postponed its departure until the following April. The subsequent development of this lamentable affair, which was to divert the expedition from its original objective and convert it into an exploration of the southeast coast of South America and the estuary of the La Plata, will be taken up in another place; for the present it suffices to observe that it put an end to Charles's first project for following up the expedition of Loaysa.

Other things had meantime occurred to modify the Emperor's outlook on the whole problem of the Spice Islands. On March 10, 1526, a month before Cabot's departure, Charles had married his cousin, Isabella of Portugal; peace and amity were proclaimed afresh between Spain and her western neighbor. Under these circumstances it did not seem wise to dispatch another expedition to the Moluccas direct from Spain, for such action could only be construed in hostile fashion by the Portuguese; on the other hand, the Emperor could not persuade

himself to abandon the claim which Magellan had established, and which Loaysa and Cabot had been sent out to reenforce. The best solution of the dilemma seemed to lie in the Western Hemisphere where Hernando Cortes and others had by this time established the Spanish power; for America was still regarded as a Spanish outpost on the way to the Spice Islands, quite as much as a new dominion great and valuable in itself. An armada could be sent out from its Pacific coast without fear of arousing the hostility of Portugal, which would not, in all probability, be apprised of its dispatch; and there would be the additional advantage of a much shorter journey. On January 20, 1526, accordingly, Charles sent from Granada, where he was spending his honeymoon, a royal decree to Hernando Cortes, ordering him to dispatch ships from the west coast of Mexico to the Moluccas, to discover what had become of the ship *Trinidad*, and of the subsequent expeditions of Loaysa and Cabot.

The story of the ensuing enterprise can be very briefly told. Command of it was given to Alvaro de Saavedra Ceron; it consisted of two ships and a brig; it carried a letter, explaining its objects, from Hernando Cortes to the king of Cebu; it set sail on the last day of October 1527, from the port of Zacatula, at the mouth of the Rio de las Balsas. On December 29, it reached the Ladrone; shortly afterwards it came into contact with natives who had known Castilians, and a little later with survivors of the expeditions of Magellan and Loaysa. There the adventurers became involved, like their predecessors, in negotiations with the natives, and in fighting with the Portuguese. In the course of the next few years they became scattered, many of them finding their way home to Europe by way of India and the Cape of Good Hope. Saavedra Ceron apparently died at sea, in the month of December, 1529, in the course of a futile effort to get back to New Spain; and the ship in which he sailed returned to the "port of Zamafo" in the island of Gilolo, which seems to have temporarily become the principal center of Spanish influence in the East Indies. Altogether, the different expeditions by which Charles had attempted to enforce his claim to the Moluccas had signally failed to accomplish their purpose. The Portuguese, constantly reenforced from India and from Malacca, had maintained the upper hand.

The news of all these events made the Emperor less and less anxious to prolong a struggle in which ultimate success seemed increasingly remote. His affection for his wife was doubtless another element to influence him in the same direction, while the pressure of the war against Francis I, and the need of his presence in Italy, constituted conclusive arguments in favor of a settlement, provided it should prove possible to reach one on reasonable terms. Finance was, as usual, his most urgent problem; and so, finally, on April 23, 1529, at Lerida, on his way to Barcelona and Italy, he put his signature to an agreement which had been reached by his representatives and those of the king of Portugal during the preceding weeks at Saragossa; the treaty of Saragossa is the name it bears today. It provided that in view of the prevailing uncertainty in regard to distance, position, and latitude, and the impossibility of accurately measuring them, the line of demarcation between the possessions of Spain and Portugal on the other side of the globe should be drawn from pole to pole nineteen degrees or 297'5 leagues east of the Moluccas; that the Emperor should neither claim, trade, nor sail beyond it; and that, in return for this renunciation, the king of Portugal should pay him in instalments the sum of 350,000 ducats. Neither of the contracting parties, as Herrera sagely remarks, had any real idea of what he was buying or selling, and the treaty consequently stipulated that if a subsequent scientific investigation should accurately determine the exact position of the original line of demarcation according to the treaty of Tordesillas, the present agreement should be void and of no effect. This investigation, however, was never made. The line laid down by the treaty of Saragossa continued to be valid, in theory at least, and so far as the Moluccas themselves were concerned, in practice also. They remained in Portuguese hands

until, in 1581, Portugal and her colonies were annexed to Spain; twenty-four years later, in 1605, they were seized by the Dutch.

But with the archipelago of St. Lazarus—such was the name that Magellan had given to the group of islands where he had met his death—there was a different tale to tell. As these islands lie in a northwesterly direction from the Moluccas, they were unquestionably in Portuguese waters; but the Portuguese had done practically nothing to assert their claims to them, and Charles was not the man to let unclaimed territory remain indefinitely without a master. In the years 1538-41 we find him making formal *asientos* or agreements for the discovery, conquest, and settlement of the “islands in the Southern Sea toward the westward” with Pedro de Alvarado, the adelantado of Guatemala, and with Antonio de Mendoza, viceroy of New Spain. Whether the phrase “islands in the Southern Sea toward the westward” was a definite reference to the archipelago of St. Lazarus, it is impossible to say; but it seems clear that the question of trespassing within the Portuguese demarcation must have been squarely faced, and a decision reached to break the treaty of 1529. Spain and Portugal were now far less friendly than they had then been. The death of the Empress had severed the strongest bond of union between them, and Prince Philip was not yet betrothed to the Portuguese Infanta. On the other hand, the old notion that Spain’s possessions in the New World were in some measure an outpost on the way to the Far East had not yet entirely died out. In the end of the year 1542, accordingly, an expedition was prepared, and set sail (November 1) from Navidad, on the west coast of Mexico. Command of it was given to one Ruy Lopez de Villalobos, a native of Malaga and brother-in-law of the Viceroy Mendoza; it was composed of six vessels, carrying upwards of three hundred and seventy men. After a three months’ voyage, during which numerous minor islands were discovered, the explorers reached Mindanao on February 2, 1543, and christened it *Caesárea Karoli*—“because it was so great, and gave evidence that the majesty of the name was suited to it.” This appellation, however, did not last; but the name which Villalobos, a few months later, gave the group to which Mindanao belongs—“the Philippines, after our most fortunate Prince”—has endured unchanged until this day.

The christening of the Philippines was the most permanently important thing accomplished by Villalobos. The rest of his stay in the “islands toward the westward” was one long chronicle of struggles with the Portuguese, to whom he was finally obliged to surrender himself; and he died of a fever at Amboina on Good Friday, 1546, receiving the last rites of the church at the hands of St. Francis Xavier. Had he not labelled the islands with a Spanish name, it seems probable that the work he had started would not have been continued, and that the Portuguese would have been left undisturbed within their line of demarcation, as provided in the treaty of 1529. As it was, his renaming of the archipelago of St. Lazarus paved the way for its permanent occupation by Legazpi in the succeeding reign. It was the last expedition to the “islands toward the westward” during the Emperor’s life, and taken by itself, it was assuredly neither creditable nor successful. It was sent out on a quest which no interpretation of the treaty of Saragossa could possibly justify. It failed to effect any permanent Spanish settlement in the islands which it visited. Yet it managed to prevent the extinction of the name and fame of Spain in that part of the world. It preserved the memory of Magellan’s great exploit. It was a challenge to the conquistadors of the reign of the Prudent King to carry further the great work that had been begun under his father. Whether that challenge and its subsequent acceptance by Legazpi was an advantage or the reverse to the Spanish Empire as a whole is a difficult question. The subsequent development of the American possessions proceeded at such a pace in the ensuing years that the Moluccas and the adjacent islands were soon relegated to the background; moreover, Spain’s battle line, at the Emperor’s death, was so far flung that she emphatically needed rather to contract than to expand it. On the other hand, her final acquisition of the Philippines in 1571 was to make her empire a world empire

to a degree that it could not otherwise have been. Together with her contemporaneous victory over the Turks at Lepanto, and her subsequent annexation of Portugal and its dependencies, it placed the capstone upon the edifice of her preponderance throughout the globe.

CHAPTER XXVIII  
THE CONQUEST OF NEW SPAIN

“I AM the man who has given your Majesty more provinces than your ancestors left you cities”. Such was the haughty reply which the Emperor is said to have received, when he angrily demanded the name of a gray-haired Spaniard, who had ventured to push himself through the jostling crowd and mount the steps of the imperial carriage in a desperate effort to attract Charles’s attention. The picture that the story conjures up—of a daring, devoted, and incredibly effective servant of the crown, whose successes had aroused the jealousy of his master, and who was consequently suffered to die in obscurity—was already a familiar one in the history of Spain, and destined to become still more so as the years rolled on. The boast, on this occasion, may not have been literally substantiated by the facts, but the man who made it was unquestionably the chief founder of the Spanish power in the northern half of the Western Hemisphere.

His name was Hernando Cortes, and he was born in the year 1485, at the little town of Medellin, on the Guadiana—the scion of parents of gentle though not distinguished ancestry, without much property or political influence. Destined by his father for the law, he was sent at fourteen to the University of Salamanca to study that profession; but his career there was less notable for his academic attainments than for his fondness for brawling and amorous adventures. After two years it became evident that he was best fitted for a military calling; and after wavering for some time between Italy and the Indies as the most favorable scene upon which to begin, he finally decided in favor of the latter, and sailed in a trading vessel for Española in 1504. His character at that time, though not yet fully developed, gave clear promise of what it was to be. Calculated audacity formed the basis of it, and, coupled with a truly Napoleonic ability to seize opportunities and to estimate men, furnishes the key to his brilliant successes. Zealous, like all true Spaniards, for the advancement of the faith, determined to effect the subjugation of the Indians, and get possession of their treasures, he shrank from no means to accomplish these ends; yet there are few instances in his whole career in which he was cruel or bloodthirsty without a purpose. In his care for his person and dress, in his passion for gambling, and in the looseness of his relations with women, he was typical of the Spaniard of his day and generation; but he kept business and pleasure rigidly separate, and when he recognized the moment for decisive action, drove forward with a power that refused to be denied. His followers could not resist the magic of his appeal. Under his leadership they attempted and achieved the impossible.

For the first six years after reaching the New World, he found little opportunity to exercise his particular talents. He announced, on arrival, that he had come to seek for gold, and not to till the soil; but save for distinguishing himself in sundry encounters with rebel Indians, he lived for the most part on his repartimiento at Daiguao. In 1511, however, a new field was opened to him. One of the first acts of Diego Columbus, who had succeeded Ovando as Governor of Espanola in 1509, was to fit out an expedition for the conquest of Cuba. Command of it was given to Diego Velasques, who had already risen to wealth and fame in the Indies, and Cortes was among the earliest to volunteer. The invaders easily accomplished their object. The unwarlike natives could make no effective resistance, and were slaughtered wholesale; by the summer of 1514 the Spaniards were virtually in control of the entire island; a year later they had founded seven towns, of which the most important was that of Santiago.

Cortes showed valor and skill in the conquest of Cuba; he won a high place in the esteem of his comrades, and also, at first, of the Governor Velasquez. But the relations of the two men soon changed for the worse. According to Cortes's chaplain and apologist—the chronicler, Francisco Lopez de Gomara—the trouble originated in Cortes's refusal to fulfil his promise to marry a lady whom he had persuaded to become his mistress; the Governor took the matter up, there were a trial, two imprisonments, and subsequently miraculous escapes. In the history of Las Casas, who was at that time in Cuba, and an eyewitness of the scenes he describes, the source of the difficulty is reported as a plot, in which Cortes was deeply involved, to lodge complaints against Velasquez before the royal judges at Espanola, in order to get him removed from his post. There is better reason to accept this story than the first. Injuries to women were too frequent in Spanish America to enlist the intervention of the constituted authorities, and the story of Las Casas fits in well with what we know of Cortes's character and ambitions. Whatever the facts about the origin of their quarrel, it would appear that outwardly some sort of a reconciliation was patched up between the two men; Cortés married the lady he had wronged; Velasquez conferred on him the office of alcalde, and actually stood godfather to one of his children. But smoldering jealousy and distrust still remained. Each man had formed his estimate of the other, and longed to sweep him from his path. Both might be forced to dissemble for a time, but an opportunity to give vent to their real feelings was ultimately bound to come.

Cuba was at that time an improvement on Española from the point of view of the Spaniard who had come out to seek his fortune; yet its supply of gold and potential slaves was by no means inexhaustible. Schemes for an expedition to Tierra Firme, further westward, began to take shape, and in 1517 a certain Francisco Hernandez de Cordoba, with the help of Velasquez, got together a fleet of three vessels, and set sail. After a passage of twenty-one days, they reached Cape Catoche, on the northwest point of Yucatan. The natives gave them a hostile reception. Forty-eight of their number were killed, two captured, and the rest wounded, including Cordoba, who died of his injuries a few days after his return to Cuba; on the other hand, the survivors took two of the Indians prisoners, and brought back glowing reports of the gold that they had seen, at which “we felt well content, for at that time Peru was unknown.” It seemed worthwhile to follow up the expedition, and accordingly Velasquez sent out a larger armada in May, 1518, under the command of his kinsman, Juan de Grijalba, with instructions to continue the discoveries of Cordoba, and establish, if possible, trading relations with the natives. Grijalba reached the island of Cozumel after a short and pleasant voyage, coasted around the end of Yucatan, and came down along the shores of the Gulf of Campeachy, past the mouth of the Tabasco, which he named for himself, to an island off the harbor of what was subsequently to be Vera Cruz, where he reported finding recent proofs of cannibalism and human sacrifices. Thence he passed over to a smaller island close at hand, which he christened, through a misunderstanding of a native word, by the name of San Juan de Ulua. There he became convinced of the necessity for further help and formal authorization to begin to colonize. He accordingly dispatched one of his followers—Pedro de Alvarado, with whom he was not on good terms—to the Governor Velasquez, in Cuba, to seek for what he desired.

The governor, in the meantime, having become very impatient at not receiving any news from Grijalba, sent out another adventurer—Cristobal de Olid—to look for him. But Olid, soon after reaching Cozumel, encountered heavy weather and was obliged to return with his mission unaccomplished. Soon after Olid got back, Alvarado also arrived, and the news and the specimens of gold that he brought with him determined Velasquez to send out a still larger fleet. The reasons that led him to give command of it to Hernando Cortés, in view of the difficulties that had arisen between them in the past, have been variously estimated by different historians, and there is no possibility of obtaining certainty on the point. The whole

of the Indian administration at that time was so honeycombed with official jealousy and suspicion that men like Velasquez were constantly reversing their policies; the enemy of one day was often the friend of the next, and cause and effect are difficult to trace. The appointment of Cortés is usually attributed to the influence of two favorites of the governor. They are said to have formed a partnership with the future conqueror for a share of all spoils that his expedition might obtain. It is also not impossible that something may have been due to the influence of Alvarado, in view of what we know of his subsequent career, and of the fact that he was already at odds with Grijalba. At any rate, when Grijalba finally got back to Cuba, in the midst of the preparations for the new expedition, we know that he was coldly received by Velasquez, who was obviously determined to have a fresh deal all around. Meantime, the governor took pains to look out for his own interests, and sent back to Spain for a formal authorization to conquer, settle, and trade with the lands that had been so recently discovered.

Cortés saw in the appointment he had received the chance for which he had been waiting ever since he came out to the Indies. It was the opportunity of a lifetime to make a fortune and win renown. A gambler by nature, he resolved to stake everything on the venture before him. The accounts vary as to the proportions of the cost borne by him and by Velasquez, but it is clear that Cortés put into it every ducat that he could raise. The feverish energy of his preparations threw the town of Santiago into a whirlwind of excitement. The inevitable jealousy was aroused, and communicated itself to the governor, who finally decided to revoke Cortés's appointment. Cortés, however, was warned in time to forestall Velasquez's intentions by a characteristic stroke. By superhuman exertions, he rushed his men and supplies on board the ships on the very day that he received the news (Las Casas tells us that he bought up the whole meat supply of Santiago and paid for it with the gold chain that hung around his neck); and after taking leave of the governor, stood down the bay. Whether, as Bernal Diaz del Castillo asserts, that leave taking was public, outwardly friendly, and in presence "of all the most distinguished citizens of the town," so as to shame Velasquez into desisting from his purpose; or whether, as Las Casas would have us believe", the departure of Cortés resembled a flight, with futile and undignified recrimination at the water's edge, it is clear that the two men parted foes. In addition to the memory of their ancient quarrel, their interests in the present venture were diametrically opposed. Unless Cortés were ousted from the command, it would profit Velasquez nothing. If Velasquez's authority were recognized, all Cortés's hopes would be gone. These jealousies and rivalries were soon to bear bitter fruit.

Cortés left Santiago on November 18, 1518, and for the next three months, as he himself long afterwards described it, played the "gentle corsair" along the Cuban shores. Neither his army nor his stores were yet adequate for his purposes; and as he slowly proceeded westward, he steadily increased them, by either seizing or purchasing what he wanted from the settlements where he landed, or from the ships that he chanced to meet. Velasquez sent constant orders to displace or imprison him, but it was observed that those who bore them never ventured to carry them out, and invariably ended by joining with Cortés himself. Never had the magnetism of the great conqueror been so evident before; it was like a triumphal procession. When, on February 10, 1519, he finally left the shores of Cuba for Yucatan, his armada consisted of eleven vessels, one of one hundred tons, three of from seventy to eighty, and the rest caravels and open brigantines. They carried upwards of 600 soldiers, including a certain number of Cuban Indians, the two natives of Yucatan who had been captured by Cordoba two years before and were indispensable as interpreters, and 100 mariners. Olid, Alvarado, and other well-known cavaliers were among them, and also, fortunately for posterity, that sturdy soldier historian, Bernal Diaz del Castillo. Ten brass cannon, and some smaller pieces called falconets, together with a plentiful supply of ammunition, formed an essential part of their equipment; the adventurers also brought with them sixteen horses, and

the supreme importance that was attached to their presence is attested by the great difficulties involved in their transportation, and by the care with which the old chronicler describes them one by one. Altogether it was an imposing armament; but after all, the expedition's best prospect of success rested on the fact that its indomitable leader had burned all his bridges behind him; that retreat, in the face of the enmity of Velasquez, was impossible; that he had to go forward because he could not go back. Had he known of the terrific difficulties which he was soon to encounter, and had honorable retirement been an open alternative, it is possible that even his stout heart would have quailed. It was the absence of all chance of retracing his steps that explains the astounding success of his memorable adventure.

Another result of the fact that Cortés had set sail in defiance of the constituted authority of the governor of Cuba was that he was scrupulously careful to act, in every particular, after his departure, in the strictest possible accordance with the principles of law and justice. Sooner or later, if he proved to be successful, he would have to gain the sanction of the Emperor in Spain, over the head of his enemy, Velasquez; and the surest way to do this would be to show himself worthy of the imperial confidence. Discipline was enforced with the utmost rigidity. Maltreatment or robbery of the natives was severely punished, for Cortés's announced policy at the outset was to respect the persons and property of the Indians, provided they accepted the Christian religion and the sovereignty of Spain. A certain formality was even observed in the process of demanding this acceptance. A *requerimiento* or summons, containing a most unhistorical account of the origin of the primacy of Roman Christianity, was invariably pronounced whenever a new district was reached, regardless of whether or not any Indians were near enough to hear it, or able to understand it if they were. The bull of Alexander VI was also read to prove the title of the king of Spain. Such a proceeding was obviously a hollow mockery in fact. In theory, however, it salved the conscience of the legalist, and it also had a good effect upon the soldiery.

A few words must be inserted at this point in regard to the origin and civilization of the native peoples with whom Cortés was to come into contact; for the Indians of Yucatan and Mexico were infinitely further advanced than those whom the Spaniards had already encountered in the islands. The earliest race, of which we have any real knowledge, to inhabit the southeastern part of Mexico, and the northern half of what is now Central America, is known as the Mayas. This people exhibited in their ancient native culture what is probably on the whole the highest aboriginal development that has yet been found to have existed in the Western Hemisphere. There are clear traces of centralized governments and legal systems. Trade and agriculture were highly developed. Architectural ruins and hieroglyphic inscriptions have furnished the material for the most interesting and valuable archaeological discoveries of the last two decades. The accuracy of the scientific observations of the Mayas is illustrated by the excellence of the calendar they invented; and the enduring qualities of their native language are attested by the fact that it has not only held its own against the Spanish, but in certain cases actually supplanted it; for there are families of pure white blood in Yucatan today who know no other speech than the Mayan.

Into the regions immediately northwest of the Mayan territory, there had been a series of migrations, from at least as early as the eighth century of our era, by tribes who came down from farther northward still; and these migrations reached their culmination in the year 1325, with the arrival of the famous Aztecs on the shore of Lake Texcoco. Tradition tells us that the newcomers found there a cactus growing out of a rock, with an eagle perched on the cactus, and a serpent in the talons of the eagle; that their soothsayers assured them that it was an excellent omen, and that they then founded on an island in the salt waters of the lake a city which they called Tenochtitlan in memory of the events which led them to settle there.

The dominant characteristic of these Aztec invaders was their passion for fighting: indeed it is doubtful if a more warlike race (save possibly a few of the African tribes) has ever existed on the face of the globe. Everything combined to magnify and exalt the profession of arms; religion, education, and public life were alike directed to the promotion of it. The Aztec priesthood held the whole people in a grip of iron, and directed its chief attention to the worship of the god of war; their principal religious festivals were bloody sacrifices of the most distinguished of their war captives, whose bodies were afterwards often served up in a solemn ceremonial banquet for their captors. Their discipline in action evoked the admiration of the Spaniards; the surest road to honor and advancement was to win distinction on the field of battle; cowardice or desertion was punishable by death. In other respects the Aztec civilization presented strange contrasts between enlightenment and barbarism. Their systems of government and taxation were orderly and secure. Justice was administered by regular tribunals, and the rights of private property were respected and recognized. Their public couriers were more rapid and efficient than those of Europe. They had accomplished notable things in architecture and engineering. Their picture writing had reached, a high stage of perfection. They were extraordinarily skillful in many trades and arts. They had made great strides in astronomy and in medicine; and homes for the aged were provided at the public expense. Yet they possessed no beasts of burden nor milk-producing animals; they had no coinage; iron, tin, and lead were unknown to them, as was also every kind of cereal except maize. Above all, the dark authority of the sacerdotal order, and the terrible rites of their polytheistic faith—the repulsive images and revolting sacrifices—served to oppress the vast mass of the people with a sense of superstitious awe, and opposed an insurmountable barrier to the attainment of the higher culture which their gentler Mayan neighbors to the south had already won.

The boundaries of the region that acknowledged the Aztec overlordship at the time of the arrival of the Spaniards are impossible accurately to determine; but it seems clear that it stretched from the Atlantic to the Pacific, covering the bulk of the southern portion of the present republic of Mexico, and also a small part of that of Guatemala, with isolated colonies stretching down to Panama. The center of it was the island city of Tenochtitlan, or Mexico, connected with the shores of Lake Texcoco by three giant causeways, each of them several miles in length and wide enough to permit ten horsemen to ride abreast. It numbered, apparently, at the time of the arrival of the Spaniards, about sixty thousand families, and save for the royal palaces, and the great solid pyramidal teocalli or temple, was probably chiefly composed of adobe huts. It was the chief residence of the Aztec ruler, Montezuma, who had occupied the throne since the year 1502. He had begun his career as a soldier, but subsequently passed into the priesthood; and it was this curious mixture in the training that he had received that chiefly accounts for his fatal hesitations in dealing with the Spaniards. Personally brave, he was also grossly superstitious; and when once convinced that the invaders were the supernatural beings whose coming had been predicted by the Aztec oracles, the spirit of resistance died within him, and he tamely submitted to their most outrageous demands.

The Spaniards reached the island of Cozumel on February 18, where they were received by the natives in such friendly fashion that Cortés promptly tried to instruct them in the mysteries of the Christian faith. To judge from his own account of the affair, his efforts were most successful; but a more probable explanation of the apparent readiness of the Indians to be converted is the fact that the cross which they were asked to adore happened also to be the symbol of their own god of rain. It was at this time also that Cortés had the incredible good fortune to pick up a Spaniard, Jeronimo de Aguilar, who had once been settled in the colony at Darien, but had subsequently been shipwrecked on the shores of Yucatan, while trying to cross

over from Tierra Firme to Espanola. Since that time he had become thoroughly Indianized; he had learned to speak Mayan and had married a Mayan wife, and was invaluable as an interpreter in Yucatan. But that was by no means all. When the Spaniards passed on to the mouth of the Tabasco River, they were fiercely attacked by the natives, whom they defeated with great slaughter at Cintla on March 25. This battle was of much value to the invaders in more ways than one. It gave them experience in the native methods of warfare, and confidence in their own ability to defeat them. It resulted in the submission of the entire region to their authority, and the formal acceptance by the inhabitants of the Christian faith. And, finally, it caused the local cacique to hand over to Cortés, as a pledge of his obedience, a present of twenty female slaves, of whom one, a Mexican woman who was rechristened Marina, was to be his chief means of communication with the Aztecs in the succeeding months. But it was solely through Aguilar that Marina, who had known Mayan in her youth, was able in turn to communicate with Cortés; each one of these precious discoveries supplemented the other, and the good luck by which the Spanish leader had been able to secure them seems still more striking when we read that the best of the Indian interpreters who had been brought over from Cuba had already succeeded in deserting to the foe. On Palm Sunday the Spaniards sailed away from Tabasco, which they renamed, before their departure, Santa Maria de la Victoria; at midday on Holy Thursday, April 21, they cast anchor at the island of San Juan de Ulua. On the morrow, Good Friday, they set foot on the mainland, on the site of the modern town of Vera Cruz.

The four months (April 22-August 16, 1519) that elapsed between the landing of the Spaniards and the beginning of their march inland are remarkable, on the one hand, for a complete change in the official status of the expedition, and, on the other, for the development of relations with the natives. These two processes went on simultaneously and exercised an important influence upon each other. For the sake of clearness, however, it will be better to examine them separately.

Despite the fascination of Cortés's personality, there still remained a considerable faction of the Spaniards which was convinced that the supreme authority of Velasquez should be maintained, and felt that it was the first duty of the expedition to follow implicitly the instructions he had given it. These instructions—to preach the Gospel, establish trading relations, and obtain treasure—had now, these men argued, been practically fulfilled; it was consequently time to go back and report. These arguments were reenforced by the hardships and sufferings the expedition had undergone. The camp had been pitched in a most unsanitary spot; before the end of May, some thirty men had died. A party was sent north along the coast to discover a more favorable place, which was finally found, some dozen leagues away; and Cortés gave orders that the camp be transplanted thither. This command was the signal for a vigorous remonstrance by the Velasquez faction, and for a definite demand that the whole expedition return to Cuba; and greatly were the grumblers amazed when Cortés, instead of refusing, showed himself disposed to comply. But they little realized the craft, the ambition, or the prescience of their leader. Cortés had plainly foreseen what was coming, and had already prepared to meet it. He had made sure of the support of some of the ablest of his followers. He had learned much of the internal conditions of the Aztec empire. He was now quite convinced that it would be possible to overthrow it, and to win glory and treasure unlimited thereby; and his adherents had circulated the news among the soldiers and successfully appealed to their cupidity and ambition. When it was known that he had acceded to the request for a return to Cuba, there was an outburst of disappointment. Not only did the Velasquez faction find itself outnumbered; a counter demand was now made that a colony forthwith be founded, subject only to the authority of the Spanish crown, and entirely independent of that of Velasquez; and before long it became evident that this was to be the way out.

There only remained the question of methods, and here it was Cortés's far-sighted resolve to observe the forms of law that ultimately gave the solution. The mediaeval traditions of Castilian municipal independence were pressed into service. Cortés nominated all the officers of the first *ayuntamiento* of the Villa Rica de Vera Cruz—*alcaldes*, *regidores*, *alguaciles*, and the rest; into the hands of the body thus formed he resigned his commission; by it, in turn, he was unanimously appointed, in the name of the Emperor, captain general and chief justice of the newly founded colony. The supreme authority under the Spanish crown was now in his hands, and he straightway proceeded to fortify it in such fashion as to preclude the possibility of its overthrow. On July 16 he dispatched a ship with two envoys direct to Spain, bearing letters to the Emperor, telling him exactly what had occurred, and begging him to confirm all that had been done; the whole of the treasure which had already been collected was sent back at the same time as a present to his Majesty. And the grand culmination of his carefully laid plans was the scuttling of the remaining ships which had brought him to Mexico, as they rode at anchor off the shores of Vera Cruz, thus cutting off all possibility of retreat for the faint hearted, and making death the sole alternative to absolute success in the stupendous venture against the mighty Aztec empire, upon which he had now resolved to stake his entire fortune.

Meantime, while establishing his own ascendancy and authority over his followers, Cortés skillfully prepared the way for the work of conquest that lay before him. An embassy from Montezuma appeared at the Spanish camp on Easter Sunday, two days after the landing; from it and its successors Cortés got much valuable information. Montezuma, it was clear, had no wish to receive the Spaniards, and at first flatly refused Cortés's request for an interview; on the other hand, it soon became equally evident that he was very much afraid of them, and inclined to believe that they were really gods. More important still, Cortés soon became apprised of the fact that the rule of Montezuma was by no means universally acceptable to all his subjects. There was an ambitious pretender who aspired to dethrone him. There were various regions, most of them recently conquered, which longed to rise in revolt. One of these regions was the town and district of Cempoala, which Cortés and his followers visited on their way northward to the new site which had been selected farther up the coast. It was ruled by a cacique so fat that Bernal Diaz decided to "call him by this name", and it was so well built and amply provisioned that the Spaniards compared it to Seville and Villaviciosa. One day was enough to enable Cortés and his men to persuade the cacique to defy Montezuma and transfer his allegiance to the king of Spain; nay more, the cacique followed the Spaniards on to the next town, Quiahuitztlan, to make certain that its ruler imitated his example, and that the Spaniards did not repent of their decision to protect him. While the Spaniards were at Quiahuitztlan, an event occurred which Cortés utilized to his own advantage with even more than his usual adroitness. Five tax gatherers from Montezuma arrived to collect tribute, and to demand the surrender of twenty sacrificial victims, in punishment for violating Montezuma's orders not to receive the dreaded Spaniards, whom they openly flouted as they passed them. Cortés thereupon ordered the local cacique to refuse to yield the tribute or the victims, and to imprison the tax gatherers, thus definitely committing the cacique to open rebellion. Then suddenly reversing his policy, he took the five tax-gatherers out of the hands of their jailers, and, after assuring them that he had thereby saved them from death, sent two of them back to Montezuma, to prove his good will and the friendliness of his intentions. Thus with one and the same stroke, he had won valuable allies, and allayed the hostility of the power he had resolved to conquer. Meantime he strengthened his hold on Cempoala and Quiahuitztlan by spreading the notion that he and his followers were demigods, certain to bring victory to any cause they espoused; while the building of the new town of Vera Cruz in the immediate neighborhood gave him a coign of vantage from which to detect the slightest sign of disloyalty. Finally, he demolished the local idols, and demanded and obtained the acceptance

of Christianity. Dread of the loss of the precious alliance of the divine strangers proved an effectual deterrent to any serious resistance.

The march to Mexico began on August 16, 1519. The expedition numbered between three and four hundred foot soldiers, fifteen or sixteen horsemen, and six pieces of artillery. Forty native chiefs accompanied it as counsellors and guides, and there was a considerable force of Indian soldiers and porters. The route for several days lay steadily upward; the luxuriant vegetation of the tierra caliente gave way to the pine clad slopes of the Sierra Madre; the snowy summit of Orizaba shone out on the left. After six days the Spaniards entered a high and fertile valley, and, crossing it, arrived at the town of Xocotla, whose chief, doubtless instructed beforehand by Montezuma, sought to dissuade them from continuing their march to Mexico. Finding, however, that they were resolved to proceed, he advised them to pass through the city of Cholula, and leave the independent republic of Tlascalala on one side. But the Cempoalan chiefs assured Cortes that this was a ruse; the Cholulans, they declared, were notoriously treacherous, and on the friendliest possible terms with Montezuma. The Tlascalans, on the other hand, were enemies of the Aztecs and therefore more likely to be favorable to the Spaniards. Toward Tlascalala Cortes accordingly directed his force, sending on ahead "a letter to the Tlascalans, although we knew that they could not read it, and also a red fluffy Flemish hat, such as was then worn."

The Tlascalans found it difficult to decide how to receive their mysterious visitors, but finally elected to lure them on by the appearance of friendship, and then suddenly to fall upon them unawares. The Spaniards were suffered to pass unmolested through the opening in the great wall which served as the frontier of the republic, and to advance some dozen miles beyond it; but Cortés was keenly on the watch to prevent a surprise, and kept his force in constant readiness to do battle. It was fortunate that he did so, for on September 2, two days after passing the great wall, the Spaniards found themselves suddenly surrounded by a vast host of hostile Indians, which Cortés estimates at no less than one hundred thousand men. Any such figure as that is ridiculously inadmissible; yet there can be no reasonable doubt that the Spaniards were outnumbered, in this, and in many succeeding battles, in the proportions of ten to twenty to one, and even more; and some explanation is consequently necessary to account for the regularity with which they emerged victorious. The comparative ineffectiveness of the natives' weapons, and their massed formations, on which the Spanish artillery and smaller arms did such deadly execution, their natural amazement at the guns and the horses, and their superstitious conviction that the invaders were demigods, have all been held partially responsible for what actually occurred; but the capitally important element in the case was the fact that the Indians were invariably less anxious to kill their enemies than to capture them alive to be sacrificed to their war god. None of the contemporary chroniclers states this in so many words; but the more one reads, the more inevitable seems the conclusion. It explains the way in which they crowded around single Spaniards, and used their darts and spiked clubs to wound rather than to kill. It certainly went a long way towards accounting for the Spanish victory in the battle of September 2, and in an even more decisive engagement which followed, on the fifth.

The eighteen days which elapsed between this second battle and the entrance of the Spaniards into the Tlascalalan capital on the twenty-third show Cortés at the height of his powers as a diplomat and a master of men. Of military operations they were virtually empty, save for one abortive night attack by the Tlascalans on the Spanish camp, and an expedition of Cortés to destroy some neighboring villages. Politically, however, they were crucial in the extreme. From Cortés's viewpoint, they opened darkly; for the sufferings and hardships the

Spaniards had undergone had sapped the courage of the fainthearted; the old Velasquez faction raised its head, and had to be cajoled and bullied back into obedience. But the Tlascalans had clearly had enough of fighting, and before long an embassy from them appeared at the Spanish camp to sue for peace. This embassy, however, had been preceded by one from Montezuma, which came to congratulate the Spaniards on their victories, to offer them rich gifts, and to bribe them not to advance farther. Montezuma even promised to acknowledge the suzerainty of the Spanish king, and pay him any tribute in token of it that he should desire, provided Cortés would renounce his intention of visiting the Aztec capital. It was a fresh revelation of Mexican wealth, and of the weakness and vacillation of the Mexican ruler; and it came in the nick of time for the purposes of Cortés, for the spectacle of the humiliation of their Tlascalan foes enhanced the respect of the Aztecs for the Spaniards. Cortés graciously accepted the gifts of Montezuma, but refused to bind himself not to advance; moreover, he kept all but two of the envoys as his guests, for they served as hostages for the good behavior of the Aztec emperor, who forthwith sent on another embassy, with even richer presents than before, to express his solicitude for the safety of the Spaniards, and to warn them against the perfidy of their Tlascalan hosts; while the latter, needless to add, replied in kind. The Spanish leader had, in fact, attained a position where he was simultaneously courted by both the rival powers; but it was evidently the part of wisdom to hold fast to the Tlascalans. Peace and alliance were duly concluded. The Spaniards made a solemn entrance into the capital, where they were received with every mark of friendliness and respect. There was the usual exposition of the Christian faith and demand that it be accepted and the idols cast down. At this, however, the Tlascalans demurred. The most they would do was to admit the Christian God to a parity with their own; “they would not leave off making sacrifices even if they were killed for it”; and, at the advice of his chaplain, Cortés reluctantly accepted this compromise. Meantime the Spanish commander got much precious information out of his hosts, especially in regard to conditions in Mexico, and its hostile relations with Tlascala in the past.

The submission of the Tlascalans had its effect on Montezuma. He now abandoned his attempts to induce the Spaniards to turn back and sent an embassy to invite them to visit him in Mexico. He furthermore advised them to travel by way of the town of Cholula, some twenty miles to the south-southwest of Tlascala, assuring them that the inhabitants were his vassals and friends, and would give his guests a most cordial welcome. The Tlascalans warned Cortés that Cholula would prove a trap, and that Montezuma planned to use it to effect their destruction. They told him that the Cholulans had dug pitfalls in the streets, and gathered stones upon the housetops with which to kill their guests. They besought him on no account to go there. But Cortés was convinced that to show weakness would be fatal. He sent the Cholulans the regular message, demanding that they submit to his authority, and accept the Christian faith, and on October 12 started to march toward their city, taking a large force of Tlascalans with him to help his Spanish followers. Outside the city he was welcomed by the inhabitants with every appearance of friendliness. On entering it he was conducted to a spacious dwelling place, while his men were encamped in the sacred square enclosure where the temple stood; but there were also signs that treachery was in the wind. Ambassadors from Montezuma came and went. There were traces of the pitfalls of which the Tlascalans had spoken. Finally, Marina obtained from a Cholulan woman the information that there was a plot for a wholesale massacre of the Spaniards; Montezuma, it seemed, was the originator of it, for he had been told by his oracles that Cholula was to be the grave of strangers. Clearly the only means of safety was to forestall the plotters, and with characteristic promptness, Cortés adopted it. The chief caciques and a host of their followers were enticed inside the enclosure where the Spaniards were, and where every preparation had been made for their destruction. The caciques were taken aside and taxed with their treachery. When they attempted to lay the blame on Montezuma, Cortés pretended to be infuriated by such defamation of his friend and

ally. A musket shot was fired, as a preconcerted signal, “and”, as Cortés grimly describes it, “we did such execution that in two hours more than three thousand persons had perished.”

The report of the massacre, when carried to Mexico, threw Montezuma into such a panic of fear and of doubt as to render him incapable of decisive action. The Spaniards stayed on at Cholula until the beginning of November, and in the meantime received a stream of conflicting messages from the Aztec ruler. At first he tried to dissuade them from coming; then he sent them a large present of gold, and assured them that he longed to receive them and do them honor. It was also during this period that Cortés sent ten of his followers to make the ascent of Popocatepetl, which with its twin sister, Ixtaccihuatl, guards the sides of the high pass over which the Spaniards would have to go on their way to Mexico. As the mountain, at that time, was in active eruption, the adventurers were unable to reach the summit; they got nearly to the top, however, “and so high was it, that, when they were up there, the smoke began to come out, and they say it came with such an impetus and noise as if the entire mountain was about to sink; so they descended, and brought with them a considerable quantity of snow and icicles, which seemed a strange thing to see in these parts, for according to the opinion of the pilots, they belong to the tropics.” On their way up Popocatepetl, the Spaniards got a look into the great valley of Lake Texcoco, with the city of Mexico seeming to rest upon its surface; they also discovered an excellent road to it, and God knows,” as Cortés wrote to the Emperor, “how happy I was about it.”

The first seven days of the month of November were occupied by a leisurely advance from Cholula to Iztapalapa, on the end of a promontory jutting out into Lake Texcoco. Cortés’s forces now probably consisted of about three hundred and fifty Spaniards and a few thousand Tlascalans, for most of the Cempoalans had turned back at Cholula, and some losses had been sustained in the different battles that had taken place. As the invaders advanced, they became more and more encouraged. Gifts and prayers from Montezuma showed how completely he had been cowed, and the territory through which they passed proved to be restive under the Aztec yoke. Their admiration, too, was aroused by the great beauties of the scene spread out before their eyes—the grandeur of the mountains, the charm of the floating gardens, the gorgeous flowers and well built houses; and their cupidity was likewise stimulated by the unmistakable evidences of wealth and treasure that met their gaze. On Tuesday, November 8, the climax came, when the Aztec emperor, accompanied by two hundred chiefs, appeared at the north end of the great southern causeway to welcome formally the representative of Charles V. Never before had the civilizations of the Old and the New Worlds been confronted with one another in such dramatic fashion. The interview that followed is described in detail in Cortés’s second letter: —

“When I approached”, wrote the Conqueror, “to speak to Montezuma, I took off a collar of pearls and glass diamonds, that I wore, and put it on his neck, and, after we had gone through some of the streets, one of his servants came with two collars, wrapped in a cloth, which were made of coloured shells. These they esteem very much; and from each of the collars hung eight golden shrimps executed with great perfection and a span long. When he received them, he turned towards me, and put them on my neck, and again went on through the streets, as I have already indicated, until we came to a large and handsome house, which he had prepared for our reception. There he took me by the hand, and led me into a spacious room, in front of the court where we had entered, where he made me sit on a very rich platform, which had been ordered to be made for him, and told me to wait there; and then he went away. After a little while, when all the people of my company were distributed to their quarters, he returned with many valuables of gold and silver work, and five or six thousand pieces of rich cotton stuffs, woven, and embroidered in divers ways. After he had given them to me, he sat down on another platform, which they immediately prepared near the one where

I was seated, and being seated”, he made Cortés a long speech in which he virtually conceded everything, acknowledging his vassalage to the Conqueror’s distant sovereign, and placing himself and all that he had at the disposal of the king of Spain. “And then,” continues Bernal Diaz del Castillo, “he went to his palaces, which were not far away, and we divided our lodgings by companies, and placed the artillery pointing in a convenient direction, and the order which we had to keep was clearly explained to us, and that we were to be much on the alert, both the cavalry and all of our soldiers. A sumptuous dinner was provided for us, according to their use and custom, and we ate it at once.... Thanks to our Lord Jesus Christ for it all.”

The events of the next six days served to convince the Spaniards that, however reckless they had been in entering the Aztec capital, they would have to be more reckless still if they desired to remain there in safety. The inevitable differences of opinion at once arose with Montezuma as to whether or not the Aztecs should embrace Christianity and abandon their own gods. This Cortés demanded when he formally returned the Aztec emperor’s visit the day after his arrival, and again, more insistently, four days later, when he had been taken to look at the great teocalli and saw signs of the horrors that had recently been enacted there. But Montezuma courteously and firmly declined to do more than permit the invaders to worship their own God in their own way. The Aztec gods, he assured them, were also very good, and he begged his guests to say nothing more to their dishonor. Clearly the Spaniards could not submit to a rebuff like this without serious loss of prestige; moreover, as they surveyed the city from the heights of the teocalli, another ominous thought occurred. Would it be possible for them to get out of Mexico alive, if the friendship of their hosts should be exchanged for hostility? Let the drawbridges on the various causeways be raised, and they would be absolutely cut off in the midst of their enemies, who, if they could not overwhelm them by force of numbers, would certainly be able to kill them by starvation. The only road to safety lay in anticipating their potential foe, and the method by which it was finally resolved to do so was as characteristic of Cortés as was his anticipation of Velasquez at the time of his departure from Cuba. The sole way to cow the Mexicans was to seize the person of Montezuma, thus demonstrating, in dramatic fashion, the superiority of the power and authority of the Spaniards. A pretext was found in the murder, by one of Montezuma’s local representatives named Quauhpopoca, who ruled over the region near the present town of Tuxpan, of a couple of Spaniards who had been sent to escort him to Vera Cruz, in order that he might acknowledge the suzerainty of the king of Spain. The facts of the case were by no means clear, but Cortés chose to pretend that Quauhpopoca had acted throughout on Montezuma’s orders, and that he even had been commanded to destroy the Spanish garrison that had been left behind at Vera Cruz. On Monday, November 14, Cortés visited Montezuma and confronted him with this tale. He further requested that the Aztec emperor have the conduct of his lieutenant forthwith investigated. Finally he insisted, as a pledge of his sincerity and determination to have justice done, that Montezuma should at once take up his residence at the Spanish headquarters. At first the Aztec emperor seemed horrified at this demand; but on being assured by Marina that he would be well treated if he came quietly, but killed on the spot if he resisted, he finally gave his consent. As the imperial litter passed through the streets, borne by the attendants, and guarded by the Spaniards, signs of commotion were apparent, but Montezuma ordered it stopped, and “thus all was as completely quiet as though nothing had happened ... And I, and those of my company,” as Cortés naively adds, “did everything we could to please him.”

The next six months were spent by the Spaniards in utilizing the consequences of this daring coup d’état. Quauhpopoca, his son, and fifteen of his followers were caught, sent to Mexico, interrogated, and promptly burned alive in front of Montezuma’s quarters, and, “so

that there could be no obstruction while they were being burned, Cortés ordered shackles to be put on Montezuma himself ... and if before this he was scared, he was then much more so". After the burning, the fetters were removed, and a long and outwardly friendly conversation between the Aztec emperor and his captor took place; its chief result was to show how utterly all Montezuma's power of resistance had been sapped. In the meantime, small parties of Spaniards were sent out to explore the surrounding country, to estimate its treasures and mineral wealth, and above all, to make certain of the attitude of the rulers of the various adjacent states which formed the loose confederation acknowledging Montezuma's overlordship. Every advantage was taken of local jealousies and quarrels to secure recognition of the supremacy of Spain; finally Montezuma summoned "all the lords of the city and the neighboring countries", and in a voice choked with sobs, solemnly commanded them one and all henceforth to regard and obey the Spanish monarch as their rightful sovereign, and to pay to him all the tribute and services that they had hitherto paid to the Aztec emperor. It took a long time to collect the wealth—to the value of over six hundred thousand pesos—which, in consequence of this ceremony, began to pour into Mexico. There was much quarrelling over its distribution, after the royal quinto had been set aside. To pacify the malcontents, Cortés voluntarily gave up much of what fell to him, and caused it to be secretly divided among the poorer soldiers.

There remained, finally, the great question of the overthrow of the Aztec religion, which Cortés, with all his energy, had been unable to accomplish during the early months of his stay at Mexico. The more he learned of the local cult—the grinning idols, with necklaces of human hearts and skulls, and the horrible butcheries on the tops of the teocallis—the more his Christian soul revolted; and at last, after a violent scene with Montezuma and the priests, he started to cast down the images with his own hand "and I swear to God", writes Andres de Tapia, "that he looked supernatural as he did so". Altars were erected, mass was said, "and the Aztecs came to ask the new gods for rain, since the old ones had been done away with; and they went to the service in full sunshine, but when they came away, it was so wet that their feet were covered with water, whereat the Indians marveled greatly." All this, however, by no means atoned for the horror which the desecration had aroused among the mass of the people. The priesthood was furious, and strove in every way to stir up the Mexicans to expel the hated strangers. Montezuma himself began to fear for their safety, and plainly told them so; and their danger was enhanced by the fact that the godlike attributes with which they had originally been credited had begun to wear off as the Aztecs came to know them better. Even their horses no longer inspired fear.

At this moment an ominous cloud appeared on another part of the horizon. One day in early May, 1520, Cortés was suddenly confronted with news of the arrival of eighteen ships off the shore near Vera Cruz.

In order to understand the fresh danger with which Cortés was now threatened, we must briefly revert to his relations with Velasquez. His solemn defiance of the authority of the Cuban governor on the shores of Vera Cruz, and his dispatching of two envoys to Spain to state his case before the Emperor, had both been daring plays whose value remained to be proved; and Cortés was to have further experience of the jealousy of his ancient enemy before he succeeded in getting the full support of the Spanish government. His envoys, on reaching Spain, found that Velasquez had forestalled them, and had turned Fonseca and most of the other Indian officials against their master. Indeed, when the emissaries of Cortés finally got an audience from Charles, in March, 1520, at Tordesillas, on his way to Corunna, they found the young monarch so prejudiced against them, and so preoccupied with his journey into the

Empire, that they entirely failed to enlist his sympathies. Meantime, in Cuba, Velasquez had received from Spain the authorization for which he had asked, giving him the right to trade and to found settlements, and also a commission, naming him adelantado. Strengthened by these, he prepared a force to be sent to the Mexican coast, to assert his authority there and to punish the rebellious Cortés. The command was entrusted to a daring but careless and arrogant conquistador, Pánfilo de Narvaez. The fleet numbered eighteen vessels, carrying nine hundred Spaniards and one thousand Indians, eighty horses, and a large assortment of arms. Before it could set sail, a rumor of its departure reached the audiencia of Santo Domingo, the most important civil and judicial body resident in Spanish America at that time; and the audiencia, perceiving that great scandal would result from open strife between two prominent conquistadores, sent one of its members, Lucas Vasquez de Ayllon, to warn Velasquez to desist. But Velasquez was by this time too far committed to draw back. Narvaez set sail in early March, 1520, and took with him Ayllon, who apparently preferred to accompany the expedition which he had been unable to prevent rather than return to Santo Domingo with a report of failure. His importunities, however, so wearied Narvaez that, soon after the expedition had reached the coast of Mexico, he was unceremoniously packed off by ship to Cuba, whence he made his way back, after many hardships, to Santo Domingo, to announce to the audiencia the flouting of its authority.

Narvaez arrived at San Juan de Ulua on April 23, and soon was informed of what Cortés had accomplished, and the state of affairs at the Mexican capital. To Montezuma he sent word that Cortés was a rebel whom he had been commissioned to chastise; and at the same time he insisted that Gonzalo de Sandoval, who was in command at Vera Cruz, should submit to his authority and that of Velasquez. But Sandoval was staunch. Not only did he ignore the demands of Narvaez; he promptly dispatched the messengers who had borne them, bound hand and foot on the backs of Indian porters, to carry to Cortes the news of what had happened. The Conqueror saw that with affairs in such a ticklish state in the city of Mexico, a quarrel with the newcomers should, if possible, be avoided. He therefore released the messengers on their arrival, extorted from them priceless information in regard to Narvaez, won them over to his own service by flattery and blandishments, and finally sent them back to the sea coast bearing the friendliest of letters to the recent arrivals, and expressing his regret that he could not have been there to welcome them in person. But, in the meantime, Narvaez had established his headquarters at Cempoala, and made it evident that he intended to fight. His attitude toward Sandoval was so hostile that the latter had to retire to the mountains to avoid a pitched battle, and the Indians on the sea coast, plainly reading the signs of the times, began to refuse to work on the fortifications of Vera Cruz, or to bring food and provisions to its garrison.

Under all the circumstances, it was essential to assume the offensive before the situation grew worse. In the end of May, therefore, with the unanimous approval of his officers, Cortés left Mexico for the coast to deal with Narvaez, taking eighty or ninety of his followers with him; Alvarado, with the rest, remained behind to guard the capital. Various messages were exchanged between the hostile camps, as the Conqueror and his tiny army proceeded eastward; the chief result being to reveal Narvaez as both arrogant and weak, and to convince Cortés that it would be possible to defeat him. Cortés had meantime been joined by sixty Spaniards under Sandoval, and a number of Indians, so that his total forces numbered two hundred and sixty-six; and he had secured a large supply of copper-tipped lances from a neighboring tribe, with which to withstand the dreaded cavalry of his foe. Still Cortés did not propose to have a pitched battle if he could help it. All through the march to the coast he kept up the fiction that he had gone forth merely to effect the arrest of a rebel, and not to oppose an honorable foe; and such were the slackness and ineptitude of Narvaez that the Conqueror succeeded in effecting his purpose "with no more loss than two men". On the night of May 28-

29 he surprised the teocalli in Cempoala, where his enemy had established his headquarters. The plan of the attack had been carefully rehearsed, and each of his men discharged to perfection his allotted task. Narvaez was captured; his plans were exposed; his followers, undeceived, made haste to abandon him and enroll themselves in the service of the victor; and all "rejoiced very greatly that God should have ordained and provided such an ending. For I assure your Majesty," continues Cortés, "that, if God had not mysteriously intervened, and had Narvaez been victorious, it would have been the greatest injury which for a long-time past Spaniards had done to one another."

Cortés had triumphed again; but, as before, he was not permitted to rest long on his laurels. Three days after the capture of Narvaez, two Tlascalan Indians arrived at Cempoala, with the alarming news that the city of Mexico had risen against the Spaniards. A revolt had been brewing for some time. Conditions in the city, as we have already seen, were unstable at the moment of Cortés's departure to fight Narvaez, but the outbreak was accelerated by the stupid brutality of Alvarado. Trouble arose over an attempt of the Aztecs to replace some of their idols. The Spaniards replied by falling upon an unarmed mob on the occasion of a great religious festival. A ruthless massacre of the Mexicans ensued, and drove those who escaped it to desperation. Alvarado and his men were hemmed in on every side ; several of them were killed, and two, who managed to escape and carry to Cortés (about June 10) a confirmation of the report brought by the Tlascalans, assured him that the Mexicans had resolved to rid themselves forever of their hated guests, and exterminate them in whatever way should prove most feasible, before the Conqueror had an opportunity to march to their relief. However much Cortés may have been irritated by the blundering of Alvarado, he had to rescue his lieutenant; for if the garrison in Mexico were permitted to succumb, the prestige of the Spaniards would be gone. Leaving Narvaez himself, and one of his subordinates, to be kept as prisoners of war at Vera Cruz, Cortés accordingly set out for Mexico, with all the forces he could muster. Counting his own followers and those of Narvaez, he had upwards of 1000 Spaniards and nearly 100 horses, and as he passed through Tlascala he was joined by 2000 native soldiers of the republic. On June 24, at midday, he reentered Mexico, at the head of this formidable array. The inhabitants made no attempt to prevent him, but they showed by their averted faces how their attitude toward the Spaniards had changed.

Alvarado and most of his men were still alive; for the Mexicans had decided to leave them to be taken off by the slow processes of starvation. But the arrival of Cortés resulted, as usual, in greatly increasing the pace of events. His peremptory demand for provisions so kindled the fury of the Mexicans that they forthwith resolved to attack the Spanish quarters. On the day after Cortés's return, the whole city rose in arms. The drawbridges on the causeways were raised so as to cut off retreat. Flaming arrows rained upon the thatched roofs of the houses where the Spaniards lodged. The streets were packed with soldiers, and when the Spanish firearms ploughed furrows in their serried ranks, fresh men instantly took the places of the fallen. Hard fighting continued all that day and the next, without decisive result. On the twenty-seventh the Spaniards remained behind their defenses, and busied themselves with the construction of three movable towers, or burros, as they called them, to be pulled through the streets on rollers with ropes, and afford protection for a sally. On this same day the Spaniards induced Montezuma to address his subjects from the roof of his prison, in the hope of persuading them to desist from the attack, but the speech was so feebly delivered that it produced no effect; nay more, a shower of stones and other missiles was discharged, and the captive emperor was hit on the head. On the twenty-eighth the burros were tried in action, but they did not prove so effective as had been hoped. That evening Cortés held a council of war, in which it was recognized that there was no hope of permanent victory for the Spaniards as

long as they remained where they were, and that they must needs devote all their efforts to getting out of Mexico alive. The first step to this end was to gain possession of one of the causeways, and fill up the breaches caused by the removal of the drawbridges. The shortest, western (or Tacuba) one was selected for the purpose, and the twenty-ninth and thirtieth saw desperate fighting to clear the way. Some time during these two days the captive Montezuma died. Whether it was as a result of the wound that he had received on the twenty-seventh, and of his subsequent refusal to take food and have his hurts dressed or whether he was murdered by the order of Cortés on the eve of the Spaniards' departure, it will probably never be possible to determine. At any rate he was no longer of any value to his captors. His authority with his own subjects had vanished; he was therefore quite useless as a political pawn.

At midnight on June 30 all was in readiness, and the Spaniards and their Tlascalan allies filed silently out into the rainy streets. They brought with them a portable bridge to enable them to cross the gaps in the causeway; a less useful load was the treasure in gold and jewels which most of them insisted on trying to carry off. If they had hoped to get away without alarming their foes, they were doomed to disappointment. The Aztecs were keenly on the watch, and the moment the Spaniards appeared, the whole city was aroused. The horrors of the ensuing hours are impossible to describe; they have gained for the occasion the name of the *Noche Triste*. The crisis came when the Spaniards reached the breaks in the causeway and tried to make use of their portable bridge. Jammed together in the narrow passage, they were attacked from behind, and shot at on all sides from war canoes in the lake. The portable bridge soon became unfit for further use. The gaps in the causeway were quickly choked with guns, ammunition, baggage, and treasure, and the struggling bodies of horses and men; it was by setting his long lance in the wreckage at the second cut that Alvarado is said to have accomplished the famous 'leap' which tradition has inseparably associated with his name. Discipline vanished, and retreat became a rout; when Cortés, the next morning, gathered together the survivors of his little band, at the village of Popotla, on the shores of the lake, he found that they numbered less than half of his original force.

For the next six days the Spaniards retreated, by slow stages, and with infinite suffering, expecting to take refuge with the friendly Tlascalans. Their route lay around the northern end of Lake Texcoco, and from Monday to Saturday they covered less than thirty miles. Finally, on the seventh of July, on the great plain of Tonanpoco, near the city of Otumba, they found the Aztec army, in overwhelming numbers, drawn up to intercept their retreat. Death was thus the only alternative to victory in the ensuing battle, and the thought inspired the Spaniards to the most desperate efforts. Through the morning hours fortune wavered between the combatants. Toward midday the Spaniards showed signs of giving way; then finally, just as everything seemed irretrievably lost, the Aztec general took up a prominent position in front of his troops, with his gold and silver plumes and his banner displayed. There was only one possible way in which to save the day, and that was to kill him, and then make the most of the ensuing disorganization; and Cortés, with six of his followers, accomplished this object. In a trice, defeat was converted into victory. The Aztecs fled in every direction. A demoralized remnant of retreating Spaniards had turned and routed their savage foes.

The republic of Tlascala stood loyally by its Spanish allies in their hour of need. It refused a pressing invitation from the Aztecs to desert them, and for twenty precious days afforded them rest and refuge and an opportunity to bind up their wounds. But Cortés chafed at every moment's delay. His whole soul was set on the recovery of the empire he had lost, and despite all the murmurings of the Tlascalans and of his own followers, he promptly set about his preparations to that end. His first task was to restore the prestige of the Spanish arms, fallen as a result of the flight from Mexico; and the simplest way to accomplish this seemed to be to attack and subjugate some of the neighboring tribes. That of the Tepeacans, to the southeast of

Tlascalala, seemed the best to begin with, and an occasion for hostilities was afforded by the fact that they had murdered a dozen of Cortes's followers a short time before. In the early part of August, accordingly, an expedition was prepared, and succeeded, after two engagements, in overwhelming the Tepeacans; a number of them were enslaved and distributed among their conquerors. Other attacks, for similar purposes, were launched against other tribes in the next three months, and were uniformly successful. When Cortes got back to Tlascalala in December, he found that all but a few of his followers had forgotten their grievances, and were once more enthusiastic for the resumption of the campaign against Mexico. Not only was the morale of his forces restored; their numbers were also increased. Two ships sent by Velasquez, with supplies and reinforcements for Narvaez, were seized on arrival at Vera Cruz, and the men they carried were persuaded to enroll themselves under the banners of Cortés. The members of an expedition sent out from Jamaica to colonize the region known as Panuco, farther up the coast, found the task too difficult and soon followed suit; and finally, the captain of a supply ship from the Old World, hearing rumors of the great events that were taking place on Tierra Firme, decided that Vera Cruz promised better than the islands, as a place in which to dispose of his wares. The cargo furnished Cortés some of the things he needed most; and the crew, catching the thrill of adventure in the air, marched off into the interior to throw in their lot with the great Conquistador.

On December 28, 1520, Cortés left Tlascalala at the head of a force of nearly six hundred Spaniards, and set up his headquarters at the town of Texcoco, half a league to the east of the lake whose name it bears. For the next four months all his energies were directed towards preparations for the siege of Mexico. The bulk of these preparations consisted in a series of military and reconnoitring expeditions around the shores of the lake; their principal object was to isolate the Mexicans and cut off the possibility of their being aided by their neighbors. Iztapalapa and Tacuba, which commanded respectively the land ends of the southern and western causeways, were captured in turn; as was also Cuernavaca across the sierras to the southward. But it was not merely by conquering adjacent towns that Cortés had planned to make sure of his game. His previous experience had firmly convinced him that Mexico could not be captured without a fleet of brigantines on the lake; and with characteristic energy he prepared to have these built. Sails, rigging, and iron work were brought on from Vera Cruz; timber and pitch were obtained from the adjacent forests, and the work of construction was pressed forward at Tlascalala. In the spring, thirteen vessels were carried, piece by piece, on the shoulders of porters, all the fifty miles from Tlascalala to Texcoco, whence a short canal was dug to launch them on the lake. Reinforcements had meanwhile arrived in Vera Cruz, despatched, in all probability, by the authorities of Española, in response to the requests which Cortés had previously sent; they comprised upwards of two hundred and fifty infantry, a number of horsemen, and a plentiful supply of gunpowder, which was sorely needed. At the same time, a conspiracy, hatched in the army at Texcoco, to murder Cortés and a number of his subordinates, was betrayed and defeated in the nick of time; and the ringleader was hanged from the window of his lodgings.

On Sunday, April 28, 1521, the brigantines were launched on the lake with impressive ceremonies, and a review of the troops—eight hundred and eighteen foot and eighty-seven horse—was held at Texcoco. Ammunition was now plentiful once more. The Indian auxiliaries were numerous, and for the most part keen to fight. Never before had Cortés disposed of such a formidable array. The month of May was chiefly occupied in reviewing his forces and distributing them in the most effective manner for the accomplishment of his purpose. Alvarado, with thirty cavalry, one hundred and sixty-eight foot, and twenty-five thousand Tlascalans, was stationed at Tacuba. Sandoval and Olid, with slightly larger detachments, were placed where they could command respectively the land ends of the eastern

and western branches of the great southern causeway. Cortés himself took command of the brigantines, which he justly characterized as “the key to the whole war,” and retained about three hundred Spaniards to man them. Each of the brigantines was furnished with oars and with sails, and each of them carried a single piece of artillery.

The first event of the siege itself was the cutting of the aqueduct which brought water to Mexico from the springs of Chapultepec; this was accomplished by Alvarado and Olid on Sunday, May 26. Five days later, the fortress of Acachinanco or Xoloc, at the junction of the eastern and western branches of the great southern causeway, was seized by the besieging forces, and Cortes enabled to set up his headquarters there; this operation was carried out both by land and by water, and furnished the first practical demonstration of the value of the fleet of brigantines, which beat off and wellnigh destroyed some fifteen hundred native war canoes which attempted to dispute its passage. With Alvarado in control of the western or Tacuba causeway, the city of Mexico was now completely invested, save for the long dike which connected it with the north shore of the lake; and this, in turn, was soon occupied by Sandoval. The next two months saw desperate and bloody fighting. In the third week of June, the Spaniards made rapid progress, and by the twenty-second they appear to have held possession of most of the city. Then for the next eight days the tide turned, and on the thirtieth (the anniversary of the Noche Triste) they suffered a bloody repulse, in which more than fifty of their number were captured alive and subsequently sacrificed, in plain sight of their friends, on the summit of the teocalli of the Mexican war god. The first three weeks of July witnessed a general slackening of the siege, largely because, after having witnessed their recent reverse, many of the Indian allies of the Spaniards began to desert them, and vigorous efforts were necessary to bring them back to their allegiance. By the twentieth there was a resumption of active operations, in which the Spaniards followed the policy of consolidating their advance by a systematic destruction of temples and buildings. Slowly but surely their progress continued, from south to north; finally the carnage became so frightful that Cortés made various attempts to induce the new Aztec emperor, Guatemoc, to yield to the inevitable, so as to avoid further slaughter. None of these efforts, however, was successful; indeed, the last of them, which occurred on August 9, resulted in the killing and sacrificing of the messenger who bore the proposal, and was the prelude to the last attack of the Mexicans on the Spaniards. But flesh and blood could endure no more. On the twelfth the Aztecs yielded, and requested Guatemoc to meet Cortés in the market place to discuss terms; and it was the failure of the Mexican emperor to appear at the rendezvous that gave the Spanish commander the pretext for a final assault. This time there was practically no effective resistance. The half starved Mexicans were butchered in droves, and Guatemoc was captured while trying to escape in a canoe. On August 13 the proud capital of the mighty Aztec empire bowed its head in submission to the authority of Charles V.

One grievous disappointment awaited the conquerors. They had kept up their courage during the trying days of the siege by telling each other wonderful stories of the immense treasure they were certain to gain; and deep was their chagrin when the spoil was collected, and found, after all, to be scanty indeed. Convinced that much more was hidden away, they put the ex-emperor Guatemoc to inhuman tortures in the hope of making him reveal the place where it was secreted. All that they were able to extract from him, however, was a confession that much gold had been thrown into the lake; and yet the divers who were sent after it found almost nothing. On the other hand, such treasure as Cortes was able to send home, coupled with his account of the siege and the efforts of his friends in Spain, sufficed, after long delays, to turn the scale in his favor in the settlement of the long outstanding question as to whether his acts and authority should be repudiated or confirmed. His enemy, Velasquez, had done his utmost to ruin him, and in April, 1521, when the revolt of the Comuneros was at its height,

had succeeded, with the support of the bishop of Burgos, in extorting from the regent, Adrian of Utrecht, an order for an official visitation of the conquests of Cortés, an inquiry into his conduct, and the seizure of his person. But the officer entrusted with this task proved alike incompetent and untrustworthy; he was bribed by Cortés to abandon his object, and returned ingloriously to Castile. Shortly afterwards (July, 1522) the Emperor got back to Spain. He at once perceived the importance of the new conquests, and of settling all questions that had arisen in connection with them. A board of inquiry was accordingly appointed, composed impartially of the friends of both sides. After patient deliberation, it rendered its verdict in favor of Cortés, and forbade Fonseca and Velasquez to interfere any further in his affairs. The judgment of the board was ratified by the Emperor, and a commission appointing him governor, captain-general, and chief justice of New Spain, with all the powers pertaining to these titles, was dispatched to Cortés from Valladolid, October 15, 1522. Thus the conqueror of Mexico, who through all the hardships and perils of the expedition had never known how he stood with the government in Spain, was at last confirmed in the supreme authority under the crown over the lands that had been won by his foresight and daring.

In Mexico the work of reconstruction was begun at once. A cathedral, dedicated to St. Francis, arose on the site of the teocalli of the Aztec war god. A palace of hewn stone, which was subsequently appropriated as a residence for the viceroys, was erected for Cortés on the corner of the great square; and a strong fortress was built to protect the Spanish quarters. "Your Sacred Majesty may believe", wrote Cortes to the Emperor in October, 1524, "that within five years this will be the most nobly populated city which exists in all the civilized world, and will have the finest buildings."

On the seacoast, moreover, new cities were begun; while fresh attempts by Francisco de Garay to settle in the Panuco region were frustrated, and the territory in question brought under Cortés's control. At the same time, an expedition was sent through to the Pacific, where it founded the town of Zacatula, near the mouth of the Rio de las Balsas; a dockyard was speedily constructed there, and active preparations made for the building of a small fleet of four vessels with which to explore the adjacent shores. These ships, unfortunately, were destroyed by a fire as they were approaching completion; but the energy and zeal which were shown in their construction reveal how deeply the exploring enthusiasm of the conquerors had been stirred. The key to it all, as is evident from the letters of Cortés to Charles V, was the conviction that the continent was pierced by a strait, which would enormously shorten the voyage to the Spice Islands. The Conqueror planned to send the Zacatula fleet southward along the Pacific coast as far as the Strait of Magellan to search for it; while he urged on the Emperor the importance of exploring the shores of the Atlantic for the same purpose from Vera Cruz to Florida, and thence northward, if need be, to the Bacallaos, or 'codfish waters' off Newfoundland, which had already been visited by John Cabot and Cortereal. "If the strait exists", he roundly declared, "it cannot escape both those who go by the South Sea and those who go by the North... As I am informed of your Majesty's desire to discover this strait, and of the greater service your Royal Crown would thereby receive, I ignore all other profits and interests to follow this other expedition." This belief in the existence of a strait through the Western Continent continued for many years before it was finally disproved; on the other hand, schemes for the construction of an interoceanic canal were definitely broached before the end of the Emperor's reign.

In spite of his assurances to his imperial master that the search for a strait occupied his exclusive attention, Cortés did not neglect the extension of the Spanish power on land in the

years immediately succeeding the conquest of Mexico. Especially was his attention directed toward the region to the south, alike by reports of its riches and by a natural fear that if he did not occupy it at once he might be forestalled by others of his countrymen advancing northward from Darien. In November, 1523, accordingly, he sent Pedro de Alvarado, with more than 300 men, into the territories beyond the rich valley of Oaxaca. There, after a series of bloody battles with the natives, Alvarado founded (July, 1524) the city of Santiago de los Caballeros, which subsequently was given the name of Guatemala. After a ravaging expedition into the region of Salvador he returned in 1526 to Mexico, and shortly afterwards to Spain, where he was accused on various grounds before the Council of the Indies. Finally released, he was sent back to the lands he had won; we shall hear of him again in connection with the conquest of Peru.

More important in its influence upon the fortunes of Cortés was an expedition led by Cristobal de Olid in January, 1524, to Honduras. Olid made the journey by sea; touching at Cuba, he fell under the influence of the enemies of Cortés, and was persuaded by them to renounce his allegiance. When the news was reported in Mexico, Cortés despatched one Francisco de Las Casas to recall the rebel to obedience; and though Las Casas was wrecked on the coast of Honduras, and subsequently taken and imprisoned by Olid, he was afterwards able to raise an insurrection against his captor, with the final result that Olid was beheaded. A little later, Las Casas started back for Mexico; but before he could arrive there, Cortés, who had become greatly alarmed at getting no news from Honduras, determined to go thither and investigate in person. He started in October, 1524, taking with him about 150 Spaniards, over half of them cavalry, a large force of Indians, and the ex-emperor Guatemoc. His route lay overland, through Yucatan, across difficult country, intersected by rivers and swamps, and covered by dense underbrush. In the course of the journey a rumor reached Cortés of a plot of his Indian followers to massacre his Spaniards, and the report served as a pretext for the execution of Guatemoc, who was hanged from a ceiba tree, with seven minor Aztec potentates who had accompanied him; "this death, which they suffered very unjustly," as Bernal Diaz asserts, "was considered wrong by all those who were with us". Another episode of the expedition sheds light on the results of the Spanish efforts to spread the Christian faith. Wherever they went they demanded its acceptance; and they apparently found an unusually willing convert in the Indian ruler of the island city that rises from the waters of Lake Peten. On his departure thence, Cortés left one of his precious horses, which had recently gone lame, in charge of his hosts, who fed the beast on flowers and chickens, so that he incontinently died. But so indiscriminately had the necessity of caring for this horse and of accepting the Christian God been mingled in the instructions which the Spaniards left behind them, that the Indians, not unnaturally, got the two things confused. When two Franciscan friars visited the place, nearly a century afterwards, they found the inhabitants worshipping an image of Cortés's charger, and believing that it controlled the thunder and the lightning.

In the spring of 1525, Cortés and his men came out on the so-called Golfo Dulce at the head of the Bay of Honduras. There he soon learned of the execution of Olid and of the subsequent return of his followers to their allegiance. There also he found another party of some sixty Spaniards who had formed a portion of an expedition led by Gil Gonzalez Davila from Panama for the purpose of exploring the region of Nicaragua. The repentant rebels and the followers of Gil Gonzalez were all in wretched condition—sick, half starved, and in fear of the Indians—so that Cortés, instead of having to fight, found himself welcomed on all sides as a savior and guardian angel. The main settlement was moved eastward to Puerto Caballo, on the seacoast; the chief wants of the colonists were gradually supplied; exploring expeditions were dispatched into the interior, and plans were made for the establishment of new towns.

Never had Cortés been seen in a better light; his energy and cheerfulness were an inspiration to all. Any jealousy which the followers of Gil Gonzalez may have felt was forgotten in the enthusiasm which his leadership evoked. But from these arduous and fruitful labors the Conqueror was recalled by ominous tidings from Mexico. Word came that the government there had gone to pieces in his absence, and that the city was rent by dissension and misrule; that a false report of his own death was being circulated and believed, and that the sole remedy for the situation was his immediate return. In the spring of 1526, accordingly, he set sail for Vera Cruz, and, after a stormy voyage, reached San Juan de Ulua on May 24, and the city of Mexico on June 19.

The source of the trouble that brought Cortés back to Mexico was, first and foremost, the removal of his own strong hand, and secondly, the envies and jealousies which had been aroused by his successes. We have already had several occasions to observe that the Spanish colonial administration was honeycombed with quarrelling and jealousy; the system itself seemed to foster them, and the bigger the man the surer he was to make enemies. So badly had things gone in Mexico since the Conqueror's departure that on his return he was welcomed by the mass of the people with delight. They regarded him as the sole means of restoring law and order. But unfortunately the reins of government were no longer in Cortés's hands, for those whom he had left in authority had utilized the period of his absence to work his overthrow. Charge after charge had been preferred against him before the home government. He was accused of poisoning his wife, who had died three months after her arrival in Mexico; of appropriating a larger share of the treasure than was his due; of plotting, finally, to defy the imperial authority and to set up an independent kingdom of his own. Whether guilty or not, it was evident to the Emperor that the Conqueror of Mexico was becoming dangerously powerful. On November 4, 1525, accordingly, Charles had written him a letter informing him that he had decided to send out an officer—Luis Ponce de Leon—to take his residencia. This letter reached Cortés in Mexico on June 24, 1526, just five days after his return from Honduras; and it was but eight days after that—on July 2—that Ponce de Leon himself arrived.

He was welcomed by the Conqueror with courtesy and outward friendliness, and the preliminaries of the residencia were instituted at once. During the first seventeen days, so Cortés tells us, no person appeared to enter a complaint against him, and shortly afterwards Ponce de Leon fell ill and died. On his deathbed he delegated his functions to an elderly and infirm licentiate, Marcos de Aguilar, who speedily followed his predecessor to the grave, thus giving the enemies of Cortés the opportunity to circulate a report that they been removed by poison, and otherwise to "defame him with their viperous tongues." The air had, in fact, become so charged with suspicion that the Conqueror soon saw that nothing was to be gained by remaining on the spot. The best chance of vindicating himself was to return to Spain and lay his case before the Emperor in person, and this he determined to do. In the spring of 1528 he set sail from Vera Cruz, where he was saddened by the news of the death of his father. In May he arrived at Palos, to be stricken again by the death of Gonzalo de Sandoval, the most loyal and trusted of all his followers. After various delays he was received by the Emperor, who was holding his court at that time in Toledo.

Outwardly the reception that was accorded him left nothing to be desired. Charles knew how to discount the calumnies of his foes, and realized the immensity of his debt to the Conqueror. The title of Marquis of the Valley of Oaxaca and a huge grant of lands were conferred upon him. He was given full power to continue his explorations. He was confirmed in the office of captain-general of New Spain. He was raised to the knightly Order of Santiago, and visited in person by the Emperor when ill. These marks of royal favor were reflected in the attitude of the grandees. The Conqueror was lionized wherever he went and strengthened his social position by marrying the niece of the Duke of Bejar. There was, however, one

possible recognition of services rendered, more important, if less conspicuous, than any of the rest, which the Emperor was resolved that Cortés should not be given, and that was reinstatement in the civil government of his conquests. Whatever the greatness of the work he had done, whatever the baselessness of the accusations of his foes, the combination of civil and military authority would make him too powerful to suit the views of such a master as Charles. The process of withdrawing his political authority had in fact begun before he reached the court, with the appointment, on December 13, 1527, of a royal commission of five persons—the first ‘*audiencia* of New Spain’—to take over the government of the recent conquests; and the new policy was to be carried still further while Cortés was in Castile. The doings of this first *audiencia* brought a flood of complaints. Its president, the notorious Nuño de Guzman, proved an unscrupulous tyrant; and a joint meeting of the Councils of Castile, of the Indies, and of Finance, which was called to consider the situation, gave its opinion, on November 9, 1529, that the true solution of the existing difficulties lay in the appointment of a royal representative in New Spain with the fullest powers. This recommendation resulted in the selection ten days later of Antonio de Mendoza as the first of the Spanish viceroys on the American continent, and also in the sending out of a second *audiencia* to tide over the interim—it was nearly six years—before Mendoza could get his instructions and reach his post. Cortés, by these means, was entirely ousted from the government of Mexico; and the measure of his impotence may be gauged by the fact that on his return thither in 1530 he was formally forbidden to enter the capital, and the clergy were reprovved for praying for him in church.

The career of the great conquistador had in fact long passed its zenith at the time he returned to the lands he had won. Even the military authority he had been allowed to retain was rendered nugatory by the concurrent jurisdiction of the *audiencia*, which sent back constant complaints of his claims and pretensions. Before long he retired to his estates at Cuernavaca to busy himself with agriculture, sheep raising, and the establishment of new industries. But his restless spirit could not long be satisfied with such sedentary pursuits; and soon afterwards he embarked on a series of explorations of the Pacific coast, “in which”, as the old chronicler sadly declares, “he had no luck at all, for everything turned to thorns”. The expedition of Saavedra Ceron in 1527, which has been recounted in the previous chapter, had turned his attention once more to the shores of the Pacific; and his imagination was further roused in the succeeding years by the news that reached him of the riches of Peru. In May, 1532, he accordingly fitted out two ships in the port of Acapulco, “to explore the shore and search for islands and new lands”; but the commander was incompetent, the crews mutinied, one of the vessels was lost at sea, and the other was driven ashore near Jalisco. Undismayed by this misfortune, Cortés, in October, 1533, sent out two more ships from the port of Tehuantepec. One of these reached the coasts of Lower California. The other, having lost most of its officers and crew, was obliged to land at the port of Chiametla, within territory claimed by Guzman, the odious president of the first *audiencia* and the mortal enemy of Cortes; needless to add, he appropriated the ship. Once more the great Conqueror resolved to try his fortune; in 1535 he took command in person of a large expedition, which carried the previous explorations to the north somewhat farther, and even sought to establish a colony at the tip of the California peninsula. But the prosecution of his magnificent schemes demanded resources far greater than the authorities were willing to grant. The new viceroy, Mendoza, who had by this time reached his post, threw every obstacle in the way of Cortés’s plans; and finally the Conqueror, despairing of fair treatment, left Mexico for the last time in the spring of 1540, and returned, worn out and broken hearted, to Spain. His attempt to retrieve his fortunes by participation in the Algerian campaign was a dismal failure. His star had now set, and others had risen to replace him. He had done his great work, and, like many another loyal servant of the Spanish crown, was ruthlessly cast aside and suffered to die in neglect. The end came at

the little village of Castilleja de la Cuesta, on December 2, 1547, while the master whose authority he had established in the New World was celebrating his recent triumph over the Lutherans of the Empire.

The arrival in Mexico, in October 1535, of Antonio de Mendoza, the first viceroy of New Spain, marks an epoch in the history of the Spanish dominions in the New World. It initiated a new system of government and administration, which lasted, with certain important modifications, down to the revolutions of the nineteenth century. But as the present chapter is devoted to conquest and discovery, we can afford to postpone the consideration of these things. Our task, for the moment, is to complete the story of the exploration and conquest of the various territories which were ultimately to compose the viceroyalty of New Spain; and we can begin to the best advantage with those which were discovered and occupied by expeditions sent out from Mexico.

The majority of these expeditions were directed toward the northwest. Most of them were by land, but a few were by sea, and as the latter naturally connect themselves with the last ventures of Cortes which have just been described, it will be well, even at the sacrifice of chronological sequence, to dispose of them at once. In 1539, before leaving for Spain, the Conqueror dispatched Francisco de Ulloa to explore farther north in the Gulf of California; and this expedition, after ascertaining that, contrary to the general belief, the peninsula of Lower California was not an island, followed up its western coast to a point about the latitude of 28° or 29°. From there one of the ships was sent back to report, while Ulloa continued northward: whether or not he ever returned is uncertain, but there is reason to believe that he did. There is also evidence that another expedition led by Captain Francisco de Bolanos made further explorations of the California peninsula in the latter part of 1541 or early the following year, and that an account of his voyage was in the hands of Rodriguez Cabrillo,<sup>2</sup> a Portuguese mariner in the service of Spain, who was sent out in 1542 by the viceroy Mendoza, largely in the old hope of finding a strait through the Western Hemisphere. On February 3, 1543, when, this expedition had reached the southern part of the coast of the present state of California, its leader died; but his pilot, Bartolome Ferrelo, took his place, and conducted the exploration considerably farther northward: some say to the neighborhood of Cape Mendocino, others to the latitude of the southern boundary of the state of Oregon. Heavy weather was encountered, and the two ships of which the expedition. was composed were separated for a period of three weeks, but they got back at last, on April 14, 1543, to the port of Navidad, from which they had set forth. If they had not discovered the strait they had set out to find, they at least had explored many hundreds of miles of unknown coast.

Meantime even greater progress was being made in the exploration of the interior. Rumors began to reach Mexico in the year 1529 of wealthy Indian tribes some hundreds of miles to the northwest, and especially of seven cities of unusual size and riches, which soon came to be known as the Seven Cities of Cibola. It was Nuno de Guzman who first determined to test the truth of these stories; in the winter of 1529-30 he led a large expedition into the region of Culiacan. He failed to find the treasures that he sought; yet he dared not return unsuccessful to Mexico in view of the changes that had taken place there since he left; so he finally retired to the neighborhood of the present town of Guadalajara, where he busied himself in forming the settlements that were to become the kernel of New Galicia. In 1536 the tales of the wonders of the regions to the northwest were revived by the arrival in Mexico of Alvar Nuñez Cabeza de Vaca, who had passed through them on his long journey from the Gulf of Mexico to the Pacific, and this time the new viceroy, Mendoza, resolved to follow the matter up. He entered into correspondence with a certain Francisco de Coronado, who had come out with him to

Mexico in 1535, and had recently been appointed to the governorship of New Galicia, with the result that in March, 1539, Fray Marcos de Nizza and another monk, with a negro guide called Stephen, who had accompanied Cabeza de Vaca, were sent on a reconnoitering trip into the regions in question. In September Fray Marcos returned, to report that he had seen from afar a city larger than Mexico, and that he had been assured that it abounded in gold and precious stones. Preparations accordingly were begun for the conquest of the new lands. A force of some three hundred Spaniards and a large body of Indians was collected at Compostella, the capital of New Galicia. Coronado was given command of the expedition, and on February 23, 1540, it started northward.

The tale of the ensuing venture forms one of the most remarkable chapters in the early history of the New World. Hernando de Alarcon, who had been sent up the Gulf of California with two ships to carry provisions, found the mouth of the Colorado in the month of August, and subsequently ascended it in boats, possibly as far as the lower end of the Grand Canon. Coronado had meantime marched overland, dividing his forces as he went. With the advance guard he proceeded to Cibola, where he was forced to fight a bloody contest with the Indians before he could effect an entrance, only to discover the falseness of Fray Marcos's reports; "and such," declares the contemporary account, "were the curses that some hurled at the Friar, that I pray God may protect him from them." A little later another detachment crossed over the Colorado and penetrated to the westward; while de Cardenas with twelve companions tried to explore the Grand Canon. They spent three days on the bank, "looking for a passage down to the river, which looked from above as if the water was six feet across, although the Indians said it was half a league wide. It was impossible to descend, for after these three days Captain Melgosa and one Juan Galeras and another companion, who were the three lightest and most agile men, made an attempt to go down at the least difficult place, and went down until those who were above were unable to keep sight of them. They returned about four o'clock in the afternoon, not having succeeded in reaching the bottom on account of the great difficulties which they found, because what seemed to be easy from above was not so, but instead very hard and difficult. They said that they had been down about a third of the way, and that the river seemed very large from the place which they reached, and that from what they saw they thought the Indians had given the width correctly. Those who stayed above had estimated that some huge rocks on the sides of the cliffs seemed to be about as tall as a man, but those who went down swore that when they reached these rocks they were bigger than the great tower of Seville."

The bulk of the expedition passed the winter of 1540-41 on the Rio Grande, at what is now nearly the center of New Mexico; and in the spring Coronado started off to the northeast, in search of a town called Quivira, of which marvelous tales had been told him by the Indians. The country he had thus far traversed had been barren and desolate, though no worse than many places in the plains of Old Castile; but as he proceeded it grew more fertile; "humpbacked cattle", or buffaloes, were seen, and "there was not one of the horses that did not take flight when he saw them first, for they have a narrow, short face, the brow two palms across from eye to eye, the eyes sticking out at the side, so that, when they are running, they can see who is following them. They have very long beards, like goats, and when they are running they throw their heads back with the beard dragging on the ground. There is a sort of girdle around the middle of the body. The hair is very woolly, like a sheep's, very fine, and in front of the girdle the hair is very long and rough like a lion's. They have a great hump, larger than a camel's. The horns are short and thick, so that they are not seen much above the hair. In May they change the hair in the middle of the body for a down, which makes perfect lions of them. They rub against the small trees in the little ravines to shed their hair, and they continue this until only the down is left, as a snake changes his skin. They have a short tail, with a

bunch of hair at the end. When they run, they carry it erect like a scorpion. It is worth noticing that the little calves are red and just like ours, but they change their color and appearance with time and age.” After advancing for over a month, Coronado left his main force, and went on with thirty horsemen—still in quest of Quivira. A ride of about six weeks due north by the compass finally brought him to it—a plain Indian village in the northeastern part of the present state of Kansas, and more, if anything, of a disappointment than Cibola; thence he returned in the autumn to his previous winter quarters. The spring of 1542 found him back again in Mexico, “very sad, and very weary, completely worn out and shamefaced”, and not very graciously received by the disappointed viceroy, who had entertained such high hopes of the treasure he would bring home. He was reinstated in the governorship of New Galicia, but shortly afterwards was accused of neglect and malfeasance: his residencia was taken, and in September 1544, he was found guilty and deprived of his office. After a brief confinement in his own house, he was released on bond, and retired to Mexico to live out the rest of his days. Like many another of the Spanish conquistadores, he could do great deeds when great opportunities came; but when the special incentive to high effort was removed, the effects of the reaction were little short of disastrous.

The story of the expeditions sent out from Mexico to the northwest needs to be supplemented, at this point, by a few paragraphs on the discovery and first settlement of the regions to the southeast, now comprised in the republics of Central America. These territories, as we have already seen, had been coveted by Cortes, who had sent Alvarado into Guatemala and Salvador, and Olid to Honduras; and it was their ultimate fate to become a part of the viceroyalty of New Spain. The larger part of them, however, was first occupied from Panama, and the dominant figure in their early history is that of the savage Pedrarias Davila.

It will be remembered that this official—over seventy years old at the time—had been sent out from Spain as governor to Darien in the year 1514. From the first he seemed determined to sweep all rivals from his path, and win for himself all the favors of the crown. He executed Balboa, with four of his companions. He founded the town of Panama, on the Pacific side of the Isthmus, and moved the seat of the government thither in 1519. He sent out expeditions and raids against the natives of the surrounding regions. Such vigorous proceedings roused the inevitable complaints at home; a new official—Lope de Sosa—was sent out from Spain to take his residencia; and simultaneously a fresh expedition was prepared under Gil Gonzalez Davila, to carry further the explorations he had begun. In undertaking this enterprise, Gil Gonzalez had banked on the friendship of de Sosa, whom he had expected to convict and supersede Pedrarias; but Lope de Sosa died almost immediately after his arrival at Panama (May 1520), with the result that Pedrarias remained in power, and naturally threw every obstacle in the way of Gil Gonzalez. But the latter was not to be swerved from his purpose. With infinite difficulty he constructed four small vessels, and in January, 1522, sent them off on an expedition, which explored the Pacific coasts of the territories to the northwest, as far as the boundaries of the present state of Honduras; he himself, in the meantime, followed on, overland, discovering the Lake of Nicaragua on his way, and making numerous, if temporary, converts among the Indians; in June, 1523, he was back at Panama. Convinced that he had found a most valuable bit of territory, and that Pedrarias would hinder rather than help him in developing it, he promptly got away to Espanola, where he was received with approval by the authorities, and urged to continue the work of exploration. In the spring of 1524, accordingly, he reembarked for Tierra Firme; but this time, in order to avoid Pedrarias, he directed his course to the shores of Honduras, whence he proceeded by land to the regions he had found.

In the meantime, Pedrarias, profoundly jealous of the good fortune of Gil Gonzalez, determined to make an effort to get hold of Nicaragua for himself, and dispatched his lieutenant, Francisco Hernandez de Cordoba, to occupy it in his behalf. The situation was further complicated by the arrival from Mexico of Olid and Las Casas in Honduras, and finally, in 1525, of Hernando Cortes; and the ensuing confusion became worse confounded still when Cordoba, thinking that he saw in the number of rival claimants an opportunity to strike a blow in his own behalf, threw off his allegiance to Pedrarias, and announced his intention of seizing Nicaragua for himself. This last source of trouble was indeed speedily removed, for the hoary-headed Pedrarias, coming up from the south, surprised and executed his rebellious subordinate. At this juncture the home government intervened, and deprived Pedrarias of his authority at Panama; but he richly indemnified himself, in the course of the next few months, by securing the appointment to the governorship of Nicaragua. The quarrels of the various claimants to that region showed the need of a stern rule, which it was universally known that Pedrarias would provide: there was, moreover, no one else who seemed capable of withstanding the efforts of Lope de Salcedo, the new governor of Honduras, to extend his power over the Nicaraguan lands. The end of the year 1528 saw the veteran firmly established in control of the territory for which he had longed, with the full approval of the constituted authorities. It had been thought out a contest of rogue against rogue; but in that kind of encounter none could equal Pedrarias. On Monday, March 6, 1531, the old man died, at the age of ninety: one of the ablest but most repellent figures in the ranks of the conquistadores; proud, selfish, treacherous, and revengeful.

The whole story of the occupation of the Central American lands—it has only been recounted here in the barest outline—is, in fact, one of the most miserable chapters in the history of the Spanish Empire. It contains no outstanding achievements; it is but a chronicle of jealousy and self-seeking, of sordid scrambling for territory and gold. None of the characters, save possibly Gil Gonzalez, is really attractive; and the inability of the Emperor and of the *audencia* in Santo Domingo effectively to adjudicate their various quarrels, is a sad commentary on the inherent evils of government from afar. Yet no picture of the Spaniards in America would be adequate without it; the infamy of Pedrarias, his rivals and associates, makes the greatness of Cortés stand forth in clearer light. The ranks of the conquistadores contained bad men and good. Courage and persistence were their commonest virtues; brutality and self-seeking their outstanding faults. Sometimes the good qualities so prevailed over the bad ones as to make possible achievements at which the world has not yet ceased to wonder. Sometimes, when the scales were tipped the other way, we marvel that anything was accomplished at all.

We now pass for a few moments to another region, which the Spaniards had explored before Cortés conquered Mexico, but which was ultimately to form part of the viceroyalty of New Spain—the Florida where Ponce de Leon had first landed in 1513. This part of the story is almost exclusively a record of exploration, for none of the efforts at settlement and colonization in the eastern part of the North American continent attained anything more than evanescent success.

Ponce de Leon's voyage of discovery up the west coast of Florida was followed in the next few years by several others in the same waters, the most important being that of Alonzo de Pineda in 1519, which came to the mouth of a river of great size; earlier writers used to identify it as the Mississippi, but it seems on the whole more probable that it was Mobile Bay. Two years later Ponce de Leon arrived again, with all the material and apparatus of colonization, to exercise his rights under the patent of 1514, and started a settlement in the

neighborhood of Tampa Bay. The venture was unlucky from the first. The natives proved hostile, and soon compelled him to return to Cuba with all his followers; and Ponce de Leon himself succumbed shortly afterwards to the effects of a wound from an Indian arrow. "Not yet," comments Oviedo on the failure of this enterprise, "had the time come for the conversion of that land to our holy Catholic faith; for the Devil was still permitted to deceive and possess those Indians, and the population of Hell to be augmented by their souls."

Meantime, on the Atlantic coasts other projects for exploration were pushed vigorously forward, one of the dominant motives being the old desire to find a transcontinental strait. In 1521 Lucas Vasquez de Ayllon—the man who had vainly attempted to prevent the expedition of Narvaez to Mexico in the previous year—sent out a caravel, under Francisco de Gordillo, which reached the neighborhood of the present city of Georgetown, South Carolina, captured many Indians, and got back in safety. Two years later Ayllon got a patent in Spain, giving him the right to colonize and govern 800 leagues of shore, and in 1526 he set forth to substantiate his claim. With three ships and some 600 followers he coasted along toward the northeast, finally establishing a settlement in a marshy and unhealthy spot which some have identified with Jamestown. Indian hostility and swamp fevers, however, condemned the colony to a short life. Ayllon died on October 18, and only a quarter of his company got back to Santo Domingo.

But if schemes of colonization languished, the zeal for exploration continued; the conviction of the existence of a strait remained; and the man who was to write the next chapter of the great quest was that Portuguese sea captain, Estevan Gomez, who had deserted Magellan with the good ship San Antonio. He had never wavered in his belief in the existence of a passage to the northwest, and in 1524-25 he set out from Corunna to find it, in a ship furnished by the Emperor for the purpose. It is impossible to state with any definiteness where he went, though it seems probable that he made the American shore in the neighborhood of Nova Scotia, and then coasted southwest to the region of Virginia. Needless to add, he found no strait, nor the cargo of spices for which the Emperor had hoped; but he loaded his vessel with Indians for the voyage back, and the similarity of the two words *clavos* (spices) and *esclavos* (slaves), and the natural credulity of mankind, led many to believe, for some time to come, that he had actually discovered the passage he had sought. In any case, the reports of the lands he had seen were sufficiently interesting to cause the Emperor to make a vigorous protest, when, more than ten years later, reports began to come back of the explorations of Jacques Cartier in the Gulf of St. Lawrence. In Charles's eyes the Frenchman was a common trespasser on lands that were undoubtedly Spanish under the bull of Alexander VI and the treaty of Tordesillas; and when King Francis gave signs of following up his discoveries, the Emperor ordered the preparation of a fleet to prevent him. In the summer of 1541 two caravels were sent out to find Cartier; but they returned unsuccessful in the following winter. For the next two years the matter hung fire, the situation being much influenced by the course of the war in Europe; finally, in 1545, in his instructions to his son, Charles laid stress on the necessity of keeping an eye on the French, so as to prevent them from sending a fleet to the Indies. "In their previous attempts, however," he continues, "it has been noticeable that they have exhibited little pertinacity; and if a vigorous opposition is maintained, they immediately give way and withdraw."

We return to the waters of the Gulf of Mexico, and to that Pánfilo de Narvaez who had attempted in 1520 to oust Cortés from Mexico. After his fiasco at Cempoala, Narvaez had been imprisoned for a space at Vera Cruz; but he was finally liberated on orders from the home government, and shortly afterwards went back to Spain. His experience had apparently not wearied him of adventure; for he promptly applied to the Emperor for a new grant, and was authorized, in December, 1526, to conquer the country from the peninsula of Florida to

the Rio de las Palmas. In the following June he set sail from San Lucar, with five ships and about 600 colonists; and after being delayed in the West Indies by exceptionally heavy weather, he landed near Tampa Bay in April, 1528. But the Indians proved inhospitable and dangerous. There were the usual tales of fabulous treasures to be obtained further westward, and Narvaez accordingly soon decided to move on. Contrary to the advice of Alvar Nunez Cabeza de Vaca, the treasurer and historian of the expedition, he divided his forces; and retaining 300 of his men to march along the coast under his own leadership, he dispatched the rest with the ships to meet him further west. But the rendezvous that was arranged proved too indefinite to be of any practical value. The two parts of the expedition never saw each other again, and though those who had gone by sea finally managed to effect a safe return, only four of those who had accompanied Narvaez ever got back to tell their tale. The story of their awful sufferings in the summer of 1528—the dismal swamps, the Indian attacks, the efforts to construct ships with inadequate materials, the westward struggle, and the death of Narvaez—need not be repeated here. We will pass on instead to the late autumn of the same year, when the remnants of the expedition, about eighty men in all, finally gathered themselves around Cabeza de Vaca on an island off the coast of Texas.

Escape was sought on every hand, but proved at first quite impossible to find. Hunger and exposure further reduced the company; small parties wandered off and were never heard of more. Meantime the survivors won great fame, without wishing it, as traders and medicine men among the surrounding Indians. They were utilized by the natives for all sorts of purposes. They became, in fact, too valuable to be let go. “I was in this country nearly six years”, wrote Cabeza de Vaca, “alone among the Indians and naked like them”. Finally, however, in 1534, he got away, and with two other Spaniards and a negro, all of them survivors of the original expedition, started westward across the plains. The progress of the party was exceedingly slow. Their reputation as miracle workers preceded them as they went. Often they were obliged to remain for weeks in one spot; and frequently they were accompanied by three or four thousand persons, “and as we had to breathe upon and sanctify the food and drink for each ... it may be seen how great was the annoyance”. But at last one of the adventurers happened to see “the buckle of a sword belt on the neck of an Indian, and stitched to it the nail of a horseshoe. He took them, and we asked the native what they were; he answered that they came from Heaven. We questioned him further, as to who had brought them thence; they all responded that certain men who wore beards like us had come from Heaven and arrived at the river, bringing horses, lances, and swords... For this we gave many thanks to God our Lord. We had before despaired of ever hearing more of Christians.” Some days afterwards Cabeza de Vaca came upon “four Christians on horseback,” who, seeing him in such strange attire, and in company with Indians, were greatly startled; “they stood staring at me a length of time, so confounded that they neither hailed me, nor drew near to make an inquiry.” A few weeks later the wanderers were at Compostella, telling their story to Nuno de Guzman, who received them cordially, and gave them clothes; but “for some time,” so their leader assures us, “I could not wear any, nor could we sleep anywhere else but on the ground.” Finally, on July 24, 1536, they reached Mexico. They had crossed the continent, from the Atlantic to the Pacific; and the stories they brought back of the regions they had traversed led directly, as we have already seen, to further explorations. It was the negro whom Cabeza de Vaca had brought with him across the plains that accompanied Fray Marcos in 1539.

One more great adventure—in some respects the most remarkable of them all—completes the list of expeditions which issued, directly or indirectly, from Florida. Hernando de Soto, who with “nothing more than blade and buckler” had already won distinction in the conquest of Peru, returned early to Spain a comparatively rich man, and in 1537 was formally authorized by the Emperor to conquer, pacify, and people the region now comprised in the

southeastern quarter of the United States. In April, 1538, he left Seville with a gallant company of 600 men, which included a number of Portuguese. Eight weeks afterwards he reached Cuba, where he remained for nearly a year, collecting material for his adventure. In the spring of 1539 he set sail for Florida, and landed at Tampa Bay on May 30. Shortly after his arrival there, he had a piece of good luck, reminiscent of the encounter of Cortés with Jeronimo de Aguilar more than twenty years before. A survivor of Narvaez's unfortunate expedition, a Sevillian by the name of Juan Ortiz, who had lived in misery among the Indians for over eleven years, was picked up by some scouts who had been sent out to seek for him, and proved invaluable as a guide and interpreter during the ensuing months.

For some time the expedition busied itself with local explorations in West Florida; it passed the first winter near Appalachee Bay. In March, 1540, de Soto broke camp and started off in a northeasterly direction across what is now the state of Georgia. In late April he was on the Savannah, not far from the site of the present city of Augusta; thence he turned northwest and followed up the river almost as far as the southern boundary of North Carolina. No gold or other riches had thus far been found; but the dauntless leader, though he saw that it was imperative to get back to within reach of the coast, resolved at least to return by a different route. Striking off, accordingly, towards the southwest, he reached, in mid-October, the head of Mobile Bay. There he fought the bloodiest of his various encounters with the Indians; 2500 is the lowest of the contemporary estimates of the natives that were slain, while of the Christians "there fell eighteen... and of the living, one hundred and fifty Christians had received seven hundred wounds from the arrow, and God was pleased that they should be healed in little time of very dangerous injuries." Not yet, however, could de Soto bring himself to turn back, or even to send "news of himself, until he should have discovered a rich country"; so, after a month's rest, he started off once more to the northwest, until, on May 8, 1541, he struck the Mississippi, to the south of the present city of Memphis. Barges were built and a crossing effected, and during the ensuing summer the expedition roamed over the eastern part of Arkansas. A few hundred miles to the northwest, Coronado and his thirty horsemen were simultaneously seeking Quivira. Indeed, an Indian woman who had escaped from one of Coronado's companions fell into the hands of de Soto's men nine days later, "and told them that she had run away from other men like them ... and gave them the names of some captains."

The end, however, was near at hand. In the spring of 1542 de Soto started down the Mississippi; not, indeed, with the idea of going home, but rather of reaching a point whence he could communicate with Cuba in the hope of obtaining reinforcements. But the strain and the sufferings which he had endured for the past three years had at last begun to break down his health. Fever overtook him, and on May 21 he died, and his body was sunk in the waters of the 'Great River'. After vainly seeking to get to Mexico overland, the survivors built boats, floated down to the mouth of the Mississippi, and then coasted along to the southwest, until, on September 10, 1543, 311 members of the original company finally succeeded in reaching Panuco. From the point of view of the seeker for gold and precious stones, the expedition had been absolutely sterile, and de Soto, whose notions on the subject of treasure-hunting had been formed in the school of Pizarro and his companions, was utterly discouraged when he died. From the humanitarian standpoint, moreover, the entire expedition had been nothing short of atrocious. Oviedo describes de Soto himself as "very fond of the sport of killing Indians," and there are numerous passages in the contemporary accounts recording acts of cold-blooded cruelty unsurpassed in all the annals of Spain in the New World. Yet one cannot forbear to pay tribute to the indomitable will and magnificent courage which led the Spaniards to persist in their undertaking in the face of all the hardships and perils they were called upon to endure. Their venture has rightly been designated as "the most remarkable exploring expedition in the

history of North America”; for endurance and heroism, it is in some respects unique; and the chief share of the glory belongs to its gallant leader, who chose death in preference to returning unsuccessful.

In order to complete our survey of the process of discovery and acquisition of the various territories that were ultimately to compose the viceroyalty of New Spain, it remains for us to examine the history of the early attempts to settle and colonize the northern part of South America—the region now comprised in the republic of Venezuela.

The chief attraction of this territory was the opportunities it offered for slave-hunting. The natives were easier to capture than the better organized and more warlike tribes of North America; moreover, being accustomed to a tropical climate, they fared far better and could do more work when transported to the islands. A subsidiary inducement was the pearl fishing, whose center was the island of Cubagua, just off the Venezuelan coast; down to about 1535, when the oyster beds began to get exhausted, it was one of the most important scenes of Spanish activity in the New World. Many of the slaves that were captured on the mainland were used in the fisheries, and Las Casas assures us that the tyranny which the Spaniards exercised over them in this occupation was “one of the cruellest things that is in the world”, and that “there is no hell in this life, nor other desperate state in this world, that may be compared unto it.”

The eastern part of the region in question, namely, from Cape Maracapaná around to the Gulf of Paria, was, during the first half of the Emperor’s reign, alternately the scene of the most brutal kind of slave hunting, and of misguided and unlucky efforts on the part of the Dominican monks to protect the natives from the ravages of their countrymen. It seemed to be the fate of the Dominicans to be punished for the very outrages they had gone forth to prevent; for the Indians did not distinguish between the different types of Spaniards, or the different objects by which they were animated, and not seldom destroyed monasteries under the impression that they were capturing forts. The great names of the story are those of the ‘Apostle’ Las Casas, whose schemes for the founding of a model colony at Cumana were shattered almost before they had got started; the ferocious Gonzalo de Ocampo, whose slave hunts counteracted all the efforts of the monks; Antonio de Sedeño, who tried, without much success, to occupy Trinidad in 1530, and Diego de Ordaz, who attempted, also fruitlessly, to explore the Orinoco in the immediately succeeding years. Over and above the fundamental differences between the Dominicans and the conquistadores about the treatment of the natives and the development of the territory, there were even more than the usual number of petty complaints and recriminations between the individual adventurers before the audiencia at Santo Domingo, with the net result that by 1540 the greater part of the region was virtually abandoned as unprofitable, and no further attempt was made to develop it during the Emperor’s reign.

Somewhat different was the fate of the regions farther westward—the territory between Cape Maracapaná and Lake Maracaibo. For more than a quarter of a century after Ojeda’s first visit to these shores, they were left virtually alone by the Spaniards, save for occasional raids by slave hunters and pirates. It was to put an end to these depredations, and to answer the various complaints which were constantly pouring in about the scandalous treatment of the natives of these parts, that the audiencia of Santo Domingo, in the year 1527, sent thither Juan de Ampues, who had already won an enviable reputation by the kindness of his dealings with the Indians in the islands. The chief results of the mission of Ampues were the founding of the city of Santa Ana de Coro, in the northwestern part of the region that had been assigned to him, and the initiation of a policy of friendly relations with the natives, which promised to

produce the most gratifying results. But Ampues had scarcely got started on his colonization scheme when he was ordered to give way to settlers of a very different sort, sent thither under the auspices of a famous German banking house.

The older historians are almost unanimous in stating that the grant by the Emperor of the region of Western Venezuela to the Weiser Company was made as a security for pecuniary indebtedness. More recently it has been urged that this was not the case, but that the whole affair was merely one—the most conspicuous and dramatic—of a large series of money-making enterprises in the New World, on which the great house of Weiser, with the imperial approval, had already embarked, before ever it was established on the Venezuelan shores. Making due allowance for the intensity of German colonial enthusiasm at the time that this theory was first put forth, and the obvious desire of its chief proponent to find historical precedents for his country's expansion, there is much to be said for the view that he advances. Charles realized that the Spaniards were rather conquerors than colonizers; he also knew something of the economic efficiency of the great German banking houses. If the Indies were to be made to yield the highest possible profit, it would be necessary to supplement the efforts of the conquistadores with those of settlers who would utilize and develop the new territories to the best advantage. The Welsers had had a business agent in Santo Domingo since 1525; when Charles learned how badly things were going on the northern coasts of South America—from the point of view both of the humanitarian and of the economist—it was natural enough that he should turn to them for help.

It is unnecessary to enlarge upon a story which has recently been told with such a wealth of detail. The Welser occupation began with a series of *capitulaciones y asientos* issued by the Emperor in the year 1528; the first party of settlers reached Coro in February, 1529; some months later they succeeded in ousting Ampues. For the next sixteen years the Welsers remained in full possession; but their administration gave rise to such universal dissatisfaction that it was evident that sooner or later they would be obliged to withdraw. They treated the Indians even more abominably than had the Spaniards before them. Never had the good intentions of the home government in respect to the natives been more shamefully disregarded. The region around Coro was virtually depopulated, and Coro itself was converted into a great slave market. The Welsers were also constantly in trouble with the audiencia of Santo Domingo, whose efforts to exert authority over them they regarded in the light of an unwarrantable infringement of their patent. The home government was inundated with charges and counter-charges. The only bright spot in the picture is the achievements of the Welsers in exploring the valley of the Orinoco and the regions farther westward; for they penetrated into territory which has not even today been thoroughly opened up. The chief hero of these explorations—indeed, the most interesting figure in the whole Weiser episode—was a hard-hitting Swabian, named Nikolaus Federmann. In the year 1530 he led an expedition into the back country, whose itinerary it has never been possible definitely to trace. In the autumn of 1536 he started out on an even more remarkable adventure farther westward, which finally brought him to the high plateau of Bogota, to meet there with Quesada and Sebastian de Belalcázar. But these achievements were of slight consequence when weighed against the miseries of the colony as a whole. In 1546 the Welsers' grant was formally rescinded, and after ten years more of complicated litigation, through which the Germans sought to reassert their title, the judgment against them was confirmed by the Council of the Indies, and their last claim to Venezuela was thus finally and definitely abrogated.

Such in brief outline is the story of the discovery, conquest, and settlement of the territories which came to be known as the viceroyalty of New Spain. It certainly seems at this

distance to have been a most heterogeneous and ill-assorted agglomeration; all the worst and most characteristic faults of Spanish political administration in the Old World seem to have been reproduced, if not accentuated, in the New. Yet if one looks at the situation from a contemporaneous point of view, one will not be at a loss to find a reason, at least, if not a justification, for the gathering together under a single viceroy of such different and scattered lands. That reason is that all these separate regions had been discovered and colonized by expeditions sent out from the islands of the West Indies. They were linked together, from the earliest days, by the fact that they all recognized, in theory, at least, the supremacy, under the crown, of the authority of the audiencia of Santo Domingo; and when, with the establishment of the viceroyalty in 1535, the seat of that authority was transferred to Mexico, the link had become so strongly forged that it seemed undesirable to try to break it. New Spain, in other words, was distinctly Atlantic in its connotation and traditions; while the region of New Castile, to which we turn in the succeeding chapter, was equally essentially bound up with the Pacific.

CHAPTER XXIX  
THE CONQUEST OF NEW CASTILE

THE founder of Spanish power in South America was a far less attractive personality than the Conqueror of Mexico. His name was Francisco Pizarro, and he was born sometime in the eighth decade of the fifteenth century in the city of Truxillo in Estremadura, the illegitimate son of a colonel of infantry who later served in Italy in the armies of the Great Captain. It is said that he was abandoned by his parents shortly after his birth, and was left at the door of the church at Truxillo, where he was suckled by a sow. He certainly had neither education nor upbringing. He drifted for a time into the occupation of swineherd; but finding little to attract him in such a sedentary pursuit, he resolved to try his fortune in the New World. There is apparently no record of the time and occasion of his crossing the Atlantic: but we know that he accompanied the expedition of Ojeda for the settlement of New Andalusia in 1509; that he crossed the Isthmus of Panama with Balboa in 1513; and that he subsequently shifted his allegiance to Pedrarias. These incidents of his early career give an indication of his character. The key to it was insatiable ambition and lust for gold, and willingness to sacrifice everything thereto. He was restrained by no fear or scruple. Religious enthusiasm, which for Cortés was a beacon light, was for Pizarro little more than a means to an end. He was, in fact, a gambler for the highest stakes. Having risen from nothing, he had nothing to lose; and as each succeeding venture left him better off than when he entered upon it, he gradually acquired a confidence in his destiny which rendered him invincible at the crisis of his career, but was also a potent cause of his tragic and unhonored end.

Balboa's discovery of the Pacific in 1513, together with the general ill-success of the various efforts to develop the Atlantic coasts in the neighborhood of Darien, gave rise to the view that it might be the part of wisdom to transfer the Spanish settlement to the southern side of the isthmus. This idea did not actually bear fruit till the founding of the town of Panama in the year 1519; but before that time a number of expeditions were sent out to explore the Pacific coast, and in these Pizarro played a prominent part. Most of them were directed to the northwest, and yielded no gold: but in 1522 Pascual de Andagoya, sailing southward, returned after a summer voyage with a tale to stir men's blood. On his arrival in the region called Chochama, just south of the Gulf of San Miguel, he was begged by the inhabitants to help them against their enemies of the neighboring district of Biru, who came in canoes to assail them at every full moon. Having obtained reinforcements from Panama, Andagoya set forth with his friends of Chochama, and after a journey of six days reached Biru, attacked its principal stronghold, and received the submission of its chieftains. There he heard rumors of a still greater power—that of the Incas—farther southward, and he started out in a small vessel to investigate them. But an attack of fever soon compelled him to turn back: and a few weeks later he was once more in Panama, reporting his discoveries to the local authorities. Evidently the affair was well worth following up, though Andagoya himself was too ill to do so; and so Pedrarias finally persuaded him to hand over the conduct of the whole matter to Francisco Pizarro, who was eagerly on the watch for just such a chance, and had enlisted the support of two other residents of Panama. The first of these was one Diego de Almagro, a foundling of origin more obscure if possible than Pizarro's, and like him unable to read or write. The second

was Hernando de Luque, then a vicar at Panama, who had previously been a schoolmaster in the settlement at Darien.

The misfortunes that accompanied the efforts of the three associates would have daunted any but the stoutest hearts. Luque had a hard time in collecting money and supplies. When Pizarro left Panama on November 14, 1524, he had only one ship, carrying about one hundred men; while Almagro, who followed shortly afterwards with another vessel, had the utmost difficulty in finding his partner. Both adventurers encountered bad weather and hostile natives, and suffered heavy losses of men; but they got as far south as the Rio de San Juan, and both succeeded in bringing back gold. When they returned to Panama to refit, it was even harder than before to obtain funds; but the indefatigable Luque finally persuaded a local functionary named Espinosa to advance 20,000 pesos; and a fresh start was made in 1526. This time there were two ships and about 160 men; a few horses also were purchased and brought along. Pizarro was landed with the troops at the southernmost point that had been reached before, one of the wettest and most unhealthy spots on the entire coast; thence one of the vessels was sent still farther south to explore, while Almagro in the other went back to Panama for fresh recruits. When the two ships returned from their respective missions, they found the followers of Pizarro deeply discouraged. They had made arduous expeditions into the interior to no purpose. They were stricken with swamp fever, and many of them were anxious to return. But the reports of the explorers and the specimens of native gold work which they brought back with them revived the drooping spirits of Pizarro's men. The new recruits whom Almagro had brought from Panama were full of enthusiasm for further adventure. It was accordingly decided to resume the advance southward; and they all coasted along together as far as Atacames in the northern part of the present republic of Ecuador.

Evidences of a civilization far superior to anything they had yet encountered met the gaze of the explorers as they proceeded on their way. Broad areas were under cultivation; towns of several thousand inhabitants were seen; the natives wore ornaments of gold and precious stones. Here at last was a region well worth conquering; the ambition and avarice of the Spaniards flamed up at what they saw. But conquest would obviously be difficult in the extreme. The natives were numerous, well equipped, and warlike. Clearly the adventurers could do nothing without reinforcements, and a council was called to determine their course. Almagro wished to leave Pizarro with the troops, as he had done before, and himself go back for help to Panama; while Pizarro not unnaturally protested at being constantly assigned the harder and more perilous part. Hot words would have been followed by blows had not the cooler members of the company intervened. The quarrel was temporarily patched up, but neither of the participants forgave or forgot. Meanwhile Almagro's plan was adopted. Pizarro and his followers were transferred to the little island of Gallo, farther north, where they were comparatively safe from native attacks. Almagro returned to the Isthmus for more men and supplies. There was grumbling among the troops when the decision was announced; and treacherous attempts were made to have the expedition recalled. Indeed, Pizarro found it advisable to send back to Panama the one ship that had been left him by Almagro, on the pretext of having it repaired, but really as a means of getting rid of the more mutinous of his men.<sup>1</sup>

The next phase of the story reveals the truly desperate resolution that animated Pizarro. When Almagro got back to Panama he found the authorities prepared to oppose the further prosecution of his schemes. Pedrarias, who had stood behind the three associates in the early days of their partnership, had been superseded by Pedro de los Rios, whose ears had been reached by the complaints of the faint-hearted. Not only did the new governor refuse further support to the enterprise: he promptly dispatched to Gallo a certain Cordovan, Pedro Tafur, with two ships, and orders to bring back to Panama every Spaniard on the island. At the

moment that these vessels arrived at their destination, Pizarro and his companions were in the depths of despair. Provisions had run out, and nothing save a few shellfish was to be found in Gallo. Their clothing was in rags; they had no adequate shelter; and the island was swept by drenching rains. Under the circumstances the arrival of Tafur seemed like a deliverance from a prison house; but Pizarro was resolved not to withdraw from the enterprise until he had given his luck another chance to turn. He was encouraged, moreover, by letters from his associates, urging him to hold fast, and promising to stand by him. There remained the great question of how to deal with his followers. Prayers and remonstrances could effect nothing; if the faint-hearted were resolved to return, it was better to let them go. But there were certain of his men, Pizarro was convinced, who were like-minded with himself. He therefore determined to give them an opportunity to show their mettle by staging a scene which would appeal to their Castilian pride. In the sand of the shore he drew a line with his sword, from east to west, and then addressed his men: "Friends and comrades! on that side are toil, hunger, nakedness, the drenching storm, desertion, and death; on this side, ease and pleasure. There lies Peru with its riches; here, Panama and its poverty. Choose, each man, what best becomes a brave Castilian. For my part, I go to the south". So saying, he stepped across to the southern side of the line; and sixteen others followed after him, one by one. Tafur regarded the whole proceeding as an act of madness. He refused to countenance it in any way, or even to leave one of his ships for the use of the desperate men who had flouted his authority. Only with difficulty was he persuaded to give them a few supplies. But the scene on the sands of Gallo really marked the crisis of Pizarro's fortunes. It committed him to success, because he had renounced the possibility of failure. It was like Cortes's scuttling his ships off the shore of Vera Cruz.

The little band needed all its resolution to support it through the trials of the ensuing weeks. With infinite trouble the men constructed a raft, and ferried themselves across to the neighboring island of Gorgona, where they found rabbits and pheasants, and a plentiful supply of excellent water. Day after day they scanned the northern horizon for the arrival of the succors which Pizarro's associates had promised, and after seven months had elapsed their patience was rewarded. Almagro and Luque had been true to their word, and had finally induced the authorities to consent to the sending out of a vessel and supplies, bearing positive instructions to Pizarro to return to Panama within six months and report. Pizarro was bitterly disappointed that the ship had brought him no reinforcements; but at least he was now possessed of the means of further exploration: and the time limit set by the governor for his return was sufficient to permit him to undertake them. The adventurers accordingly made haste to depart from the "Hell" where they had suffered so much. Guided by certain friendly Indians, picked up in the previous explorations, they coasted southward for twenty days, and finally cast anchor in the Gulf of Guayaquil.

If Pizarro had been gratified by what he had already seen on his previous voyage to Atacames, he was astounded and overjoyed by his experiences in the next few weeks. The natives on the shore were full of wonder and apparently friendly. Some of them came on board, with presents of delicious food. One was induced to remain and dine and was told the regulation story of why the Spaniards had come—the omnipotence of the Emperor, and the supremacy of the Christian faith. On the two succeeding days the visit was returned by Spanish cavaliers, who, after impressing their hosts by discharging their arquebuses, were taken to see the marvels of the land. The fruitful fields, the system of irrigation, the marvelous roads, and the great temple of the Sun in the city of Tumbes, with its walls literally tapestried with plates of silver and gold—all were duly noted and reported to Pizarro. Here at last was El Dorado; here was an inexhaustible reservoir of the precious metal which had been the chief magnet to draw the Spaniards to the New World. Further exploration of the coast to the southward—the adventurers reached a point about nine degrees south of the Equator—brought

additional confirmation of the riches of the land, and also of the power of the mighty emperor who ruled over it. Pizarro was convinced that he had at last found an opportunity to win wealth and fame more remarkable, even, than that of the Conqueror of Mexico. But it was clear that he could do nothing with the puny forces which he now commanded. He must return and collect a larger armament. The winter of 1527-28 found him back again at Panama.

Pizarro had good ground for exultation; for he had come in contact with what was in some respects the most remarkable civilization that the Western Hemisphere had yet produced. The empire of the Incas, whose confines he had reached, extended along the Pacific coast, from the River Ancasmayu on the north to the River Maule on the south; it included the present republics of Ecuador, Peru, and Bolivia, northern Chile, and northwestern Argentina. The story of its origin is exceedingly obscure, particularly in the matter of chronology; but it apparently centers around a hill with caves in it, called Tampu-Tocco or the Tavern of Windows; from these caves issued certain people led by four brothers, claiming descent from the Sun; of these, the eldest, Manco, founded the town of Cuzco and gained the leadership over the adjacent tribes. There followed a period of uncertain duration, empty on the whole of decisive events, but with the accession of the Inca Rocca, who flourished in the early part of the fourteenth century, we emerge upon firmer ground. From that time expansion was more rapid. The capital at Cuzco was enlarged and improved. Wars of conquest were undertaken and carried out, first to the south, west, and east, finally to the north in the region of Ecuador; that last territory, in fact, had been brought under the Inca rule only a few years before the arrival of the Spaniards. Huayna Capac, under whose reign this achievement had taken place, lived to learn of the first expedition of Pizarro and Almagro, and was apparently convinced that it boded ill for his dynasty. On his deathbed, in defiance of the established usage of the Incas, he divided the Empire, leaving the older provinces to the southward to his eldest son, Huascar, the child of his sister and lawful wife; while the recent conquests to the north were assigned to Atahualpa, the progeny of a concubine said to be descended from the rulers of Quito. On the eve of the Spanish conquest, the two half-brothers had quarreled. Finally Atahualpa marched on Cuzco, conquered and imprisoned Huascar, and possessed himself of the entire inheritance.

The government of this mighty empire was, in theory at least, an absolute despotism. Legislation, administration, religion, all took their source from the Inca himself, who, as representative and descendant of the Sun, could claim and receive universal obedience. His chief official residence was in one or another of the great palaces which the successive Incas built in Cuzco, and which were composed of massive stone blocks, accurately fitted together without cement. He lived in divine state; he wore a special and distinctive garb, and the elaborate ritual regulating the conduct of those who came into his presence served to place him immeasurably above the mass of his subjects. His wife, at least in the later period of the Inca Empire, was invariably selected from among his own sisters, in order that the purity of the race of the descendants of the Sun might be preserved. He alone had the right to declare war, which he generally exercised on the plea of advancing the worship of the Sun; for the Incas had little to learn from sixteenth-century Europe in the matter of making religion a pretext for conquest. An elaborate system of messengers and itinerant officials kept him closely in touch with every part of his Empire. The census was taken with exactness and regularity; taxation (always in kind, for money was unknown to the Peruvians) was imposed in strict accordance with it; and accurate records of both were preserved by a complicated arrangement of quipus or knotted strings—one of the most serviceable possible substitutes for writing. When a community was believed to be dangerously near revolt, it was leavened by the compulsory importation of colonists of whose loyalty there could be no fear. If a region

threatened to become overcrowded, it was relieved by the transportation of a part of its inhabitants to territories whose resources had not been developed. An even distribution of the population and an even rate of economic progress were the ideal. No one was to be overworked; no one permitted to remain idle. Probably never in the world has a communistic experiment, on a large scale, attained a greater measure of success, and it is reasonably certain that it never will again. For there obtained in the Inca Empire three conditions which seem essential concomitants of any socialistic system, and which it now seems impossible ever to reproduce. These are (1) an absolute despotism; (2) a very uniform level of knowledge and culture (save for the Amauta, or men of learning, whose attainments were placed exclusively at the disposal of the government); and (3) no foreign relations. The resemblances to the state of affairs portrayed in Sir Thomas More's Utopia (1516) are in some respects very striking.

The conditions of life, and the occupations and achievements of these early Peruvians, reveal a state of affairs far happier and more serene than existed in the grim empire of the Mexican Aztecs. Their Sun worship was never disfigured by such revolting slaughters as drenched the teocalli of Huitzilopochtli with blood. Human sacrifices there probably were—the inheritance of an earlier civilization—though the Incas did their best to suppress them; but it is certain they were limited to occasions of the highest importance, and that the methods of execution were comparatively humane. The elaborate ceremonies that accompanied burials reveal an unshaken belief in a life after death. The Peruvian love songs and hymns to the Sun, and most of all their dramatic poems, handed down by prodigious feats of memory from generation to generation, attest the existence of a literature among a people who knew no writing. They had taught themselves something of surveying and astronomy, and had evolved and reformed a very accurate calendar. Their architecture, characterized by “simplicity, symmetry, and solidity”, has stood the test of time in a region frequently visited by earthquakes, even better, on the whole, than that of the Spaniards who conquered them. Their roads, their aqueducts, their suspension bridges of osier rope, spanning chasms two hundred feet broad, with roaring torrents beneath, excited the liveliest admiration of Pizarro and his men. Their sense of beauty is attested by their notable achievements in the textile arts, by their pottery, their stone carving, and the ornaments they made out of silver and gold. But the greatest of all their accomplishments was their knowledge of agriculture; they “surpassed every other American race in their dominion over the earth”. The land was by no means universally favorable to husbandry. The bottoms of the higher valleys were fertile indeed; but the slopes were too steep to be cultivated without terracing; water was unevenly distributed and had often to be brought from afar; and the soil needed to be enriched from the guano deposits on the coast. Over all these natural difficulties the Peruvians, aided and directed by the benevolent despotism of the Incas, gained decisive triumphs. The Spaniards, who knew something of the hard lot of the farmer in such arid lands as the plains of Old Castile, were tremendously impressed by what they saw.

The Peruvian army, with which the Spaniards were so soon to come into contact, was considerably less formidable than that of the Aztecs. Its organization and discipline were admirable; and it was plentifully supplied from a series of large magazines, scattered over the country at strategic points. But it was not possessed of that desperate zeal for slaughter and combat which animated the Mexican hosts. It had no ‘religion of war’; and seemed desirous of accomplishing its ends with as little misery and inconvenience as possible to both its enemies and itself. Occasionally the Peruvian soldier could be ferocious, when his wrath was provoked by the senseless resistance of a beaten foe, and some of the Incas displayed lust for conquest; but the Peruvians were not primarily a fighting people, in the sense in which that term can be applied to the Mexicans. Their arms and equipment were generally similar to those of the other native tribes of the Western Hemisphere, who knew neither iron nor gunpowder. They

consisted of bows and arrows, slings, swords, battle axes, and spears and darts tipped with copper or bone; and, for defense, of quilted tunics and shields.

When Pizarro got back to Panama to report, he found the authorities as unwilling as ever to furnish him with the means of following up his great discoveries. The tale he had to tell was marvelous enough, and he had brought back some llamas and a few specimens of Inca art to corroborate it, but the governor had been too much irritated at the flouting of his authority to consent to give support to the enterprise on which the adventurer had set his heart. Under the circumstances there was no alternative but to apply to the Castilian crown; and to Spain accordingly Pizarro betook himself, in the early months of 1528. It was characteristic of the ups and downs of his checkered career that on arrival at Seville he was straightway cast into prison at the complaint of the lawyer Enciso, on the ground of an ancient debt; but he was soon rescued from this disagreeable predicament by an order from the Emperor, who had heard rumors of his achievements, and sent for him to come to Toledo to tell his tale. His manner of recounting it was simple and impressive, and the llamas and the golden Inca ornaments aroused the keenest interest. Before the Emperor departed for Aragon (March 8, 1529) he commended Pizarro to the friendly consideration of the Council of the Indies.

It was not until July 26, 1529, at Toledo, when Charles was about to leave Barcelona for Italy, that the *capitulación*, for which the adventurer had so ardently longed, was finally executed; the Empress Isabella signed it in the place of her absent spouse. It granted to Pizarro and his two associates a stretch of two hundred leagues along the coast of the Pacific, southward from the neighborhood of the Gulf of Guayaquil, to settle and conquer. The name of New Castile does not appear in the instrument, but it seems to have been generally applied, from that time forward, to the region in question and the adjacent lands. Pizarro was to have the titles of governor and captain-general of the whole territory, together with those of adelantado and alguacil mayor; he was in fact virtually endowed with viceregal authority, and he was to have an annual salary of 750,000 maravedis. There were the usual inducements to prospective colonists—such as partial and temporary exemption from taxation; and Pizarro was enjoined to see to it that good government was maintained, and that the natives were protected from exploitation and ill usage. His associates, though not forgotten, were less generously treated than he. Almagro was given command of the fortress of Tumbez, with an annual salary of 300,000 maravedis; while Luque was appointed to the bishopric there, and granted the title of Protector of the Indians, with a yearly stipend of 2000 ducats. The uneven distribution of these emoluments excited, when it was reported to him, the jealousy of Almagro, and sufficed to keep alive the ancient grudge which he had cherished against Pizarro since their quarrel of three years before. The measure of Pizarro's responsibility for it is not, however, altogether easy to ascertain.

Before sailing for America, Pizarro visited his birthplace, where he gathered a number of followers to share his fortunes. Among these were his legitimate half-brother, Hernando, whose arrogance and unscrupulousness exceeded his own; his two brothers, illegitimate like himself, named Gonzalo and Juan; and Francisco Martin de Alcantara, a son of his mother by a different father. His young cousin, Pedro Pizarro, who was subsequently to win fame as a chronicler, accompanied him as a page; and a fanatical Dominican, Fray Vicente de Valverde, was enlisted as chaplain. On January 19, 1530, he set sail from San Lucar—hurrying off at the last moment with the utmost precipitation, to escape an investigation by the Council of the Indies, which felt that he had failed to fulfil all the obligations imposed on him by the capitulation of the previous year, and attempted to place obstacles in the way of his departure. At Panama, he found difficulty in enlisting recruits, but at last he mustered a company of

about 180 men and twenty-seven horses. With this force—small, indeed, for the conquest of an empire—he set sail in January, 1531, on his third and final venture to Peru. Almagro, as on previous occasions, was left to follow with reinforcements.

Heavy weather forced the adventurers to abandon their original project of steering direct for the city of Tumbez, and to land much farther north, at the Bay of San Mateo. An arduous march along the shore ensued, enlivened by the capture of a large booty of gold and emeralds from the fleeing natives of the village of Coaque. From this point the ships were sent back to Panama to bring on the reinforcements; but before the adventurers reached the Gulf of Guayaquil, they were overtaken by other vessels with recruits and supplies, and the officers appointed by the crown to attend them. Shortly afterwards they were visited by the chiefs of the inhabitants of the island of Puna, which lies in the center of the Gulf, just opposite Tumbez. The islanders came over with a large fleet of balsas or rafts, and invited the Spaniards to return with them. Despite the warnings of his Indian interpreters, who assured Pizarro that the islanders meditated treachery, the Spanish commander considered the opportunity too good to lose; here seemed to be a place where he could establish his headquarters with perfect safety, in convenient proximity to the territory he hoped to win. The Spaniards accordingly were ferried across. Whatever the original plans of the islanders may have been, a visit to their guests from the Indians of Tumbez was amply sufficient to incite them to arms. Bitter hostility had existed for a long time between the inhabitants of Puna and their neighbors on the shore. Each party told evil tales of the other to the newcomers, until at last Pizarro captured some of the islanders, and handed them over to be massacred by their foes. This outrage was the signal for the outbreak of a devastating war between the Puná Indians and the Spaniards. The latter, of course, were victorious whenever they could induce their enemies to meet them in pitched battle; but night attacks, and sudden forays, they were unable to check. They were consequently much relieved by the arrival of reinforcements under Hernando de Soto, the future discoverer of the Mississippi, together with a fresh supply of horses for the cavalry. With these, Pizarro felt strong enough to set out for the mainland. Leaving Puna, accordingly, with little regret, the Spaniards crossed over to the shores of Tumbez.

While they were at Puná, and after they had reached the mainland, the Spaniards collected much precious information in regard to the divided state of the Inca Empire. They learned of the defeat and imprisonment of Huascar; of the victory of Atahualpa and of the way in which he had determined to utilize it. All this news was exceedingly welcome; it promised the Spaniards a rare opportunity for the achievement of their objects. Pizarro, accordingly, remained some months in the neighborhood of Tumbez, reconnoitering the country, and waiting for the situation to develop in the interior. To the south of Tumbez, he founded the city of San Miguel, the first European settlement within the limits of the Inca Empire. He also sent out de Soto to obtain intelligence concerning the nearest of the Inca's garrisons among the hills. After an absence of some days de Soto returned, bringing with him an Indian envoy from Atahualpa himself. This emissary, as Pizarro well knew, had been dispatched rather to collect information than to bid welcome to the invaders; but it was the Spanish policy for the time being to preserve, at all costs, the appearance of friendship. The Indian, accordingly, was treated with the utmost courtesy. He was sent back to his master with a few presents of glass and cloth, and a message that the Spaniards would soon visit the Inca at Cajamarca across the mountains, in order to pay their respects to so great a prince.

In the autumn of 1532, Pizarro started eastward across the Andes, at the head of a force of 62 horsemen and 102 foot soldiers. Their upward march was attended with many difficulties and dangers. The tropical heat of the lowlands gave way to a piercing cold; the luxuriant vegetation to stunted mountain growths; and the gayly feathered birds of the tierra caliente to

the lonely condor, circling high above the clouds. The path they followed, winding in and out among the cliffs, was so narrow and so steep that the cavalry often had to dismount. Forts were found as they advanced, so placed as to enable the garrisons to overwhelm them, but every one proved to be untenanted; clearly there was no thought of interfering with the Spaniards' march. Embassies from Atahualpa came and went, but no definite information as to his intentions could be obtained. The Inca apparently was observing a fast in his encampment outside the city of Cajamarca, and could on no account be disturbed, until the season that had been appointed for it had expired. The dread of treachery, never absent from the Spaniards' minds, was greatly increased, when, after crossing the range, they began the descent, and saw the fertile valley of Cajamarca spread out before them to the eastward. The ground was dotted with thousands of tents; at night the watchfires blazed forth in such myriads that "it looked like nothing so much as the starriest of skies." Clearly the Inca had an army of overwhelming strength, ready to do battle; what if he was planning to lure the Spaniards to their destruction, into the heart of his empire and beyond the possibility of retreat. That fear, though it was probably groundless, is the sole possible excuse—and an utterly inadequate one—for the outrages that were to be perpetrated by the invaders in the ensuing months.

On the afternoon of November 15, 1532, the Spaniards entered the city of Cajamarca. The place was apparently quite deserted; and Pizarro reluctantly consented to send his brother Hernando, and de Soto, with five or six horsemen, to the Inca's headquarters a few miles distant to announce the arrival of the Spaniards and learn how the land lay. The envoys found "the tyrant" at the door of his lodging "sitting on a low stool, with many Indians before him and women at his feet, who almost surrounded him"; but the expression of his face gave no indication of his feelings; his mind seemed to be wholly occupied with the keeping of his fast, and it was only with great difficulty that he was induced even to speak to his guests. Finally, after an exchange of boasts with the envoys as to the respective merits of the Peruvian and Spanish forces, he dismissed his visitors with the assurance that on the morrow, when his fast was ended, he would come himself to Cajamarca to interview the leader of "the Christians."

When the envoys got back to Pizarro to report, he called a council of his officers, and unfolded to them the plan of action which he had resolved to carry out. It was so plainly reminiscent of Cortes's arrest of Montezuma that there is every probability that it was inspired thereby, for the Conqueror of Mexico and the Conqueror of Peru had had an excellent opportunity for conference and discussion during their sojourn at Toledo in 1528. The project was nothing less than to seize the Inca in the presence of his own army; and Pizarro justified it on the grounds that thus alone could the possibility of Peruvian treachery be surely anticipated, and the natives terrorized into submission to the Spaniards. The motives of religion were also urged, to salve the consciences of the more scrupulous, and steel their hearts to desperate deeds. Mass was said, and the friars promised eternal salvation to all who should perish in the attempt to advance the faith. On the morrow, November 16, the deed was done. Towards evening the Inca arrived, borne aloft by his attendants in an open litter, adorned with the richest decorations. He was accompanied by some five or six thousand natives, and was permitted to enter and traverse the great square before a single Spaniard made his appearance. At his query, "Where are they?" Fray Vicente presented himself, gave the standard exposition, probably somewhat amplified for the occasion, of the Christian faith, and concluded with the demand that Atahualpa accept it, and acknowledge himself a vassal of the Emperor Charles V. The Inca naturally demurred and asked the friar to tell him by what authority he spoke. A copy of the Bible was given him by way of reply, but Atahualpa, after looking at it, threw it angrily upon the ground. Scandalized, the friar hurried off to Pizarro. He demanded vengeance for the insult and promised absolution. The signal was given, and the Spaniards, who had lain concealed in the adjacent buildings, poured out into the great square. Overwhelmed by the

suddenness of the assault, blinded by the smoke, and stupefied by the discharge of firearms, the Peruvians, incapable of resistance, were butchered where they stood. The estimates of the victims vary between two and ten thousand; but all the accounts agree that the Spaniards escaped with a single wound. That wound was received by Pizarro himself, and from one of his own men. It was inflicted while he was protecting the person of the Inca, whom a Spaniard, despite explicit orders to the contrary, strove vainly to slay as a grand culmination of the bloody work.

Atahualpa, then, was preserved alive, at least for the time; but he was kept a prisoner of the Spaniards at Cajamarca. His liberty was curtailed as little as was consistent with his security; he was even invited to dinner with Pizarro and taught to amuse himself with cards and with chess. The doctrines of Christianity were frequently expounded to him; and he was visibly impressed by the fact that the new Deity whom he was asked to adore had been able to give the Spaniards a victory against overwhelming odds, while the Sun had been impotent to save him in his hour of need. But he was naturally most anxious to regain his freedom, and as his subjects were too cowed to try to liberate him by force, it occurred to him to appeal to the cupidity of his captors. He had been shrewd enough to detect their overpowering lust for gold, and he finally offered them, as the price of his liberty, a whole roomful of the precious metal—twenty-two feet long, seventeen feet broad, and nine feet high. To this astounding proposal Pizarro assented, and allowed the Inca two months in which to collect the treasure. But before the process of collection had got fairly under way, Atahualpa gave orders for the perpetration of an act whose ultimate results proved disastrous to himself. Fearing lest his captive brother, Huascar, should take advantage of his own imprisonment to regain his liberty and the scarlet fringe of the Incas, and dreading equally the effects of a scheme of Pizarro's to sit in judgment on their respective claims to the throne, he determined to lose no time in sweeping his rival from his path. By his orders Huascar was accordingly put to death, being drowned—according to the common report—in the river Andamarca.

During the early months of 1533 the Inca's ransom began to pour into Cajamarca. It consisted of golden goblets, pitchers, and plates, tiles from the roofs and the walls of the temples, and curious specimens of Inca art. Two Spaniards were dispatched to Cuzco to hurry its collection, while Hernando Pizarro was sent off with a small body of horse and foot to the town of Pachacamac on the seacoast, to demolish the local idol and plunder the rich 'mezquita' there. In the midst of these events Almagro arrived from Panama, with a welcome reinforcement; so overjoyed was Pizarro at their coming that the ancient grudges between the two associates seemed entirely forgotten. By the middle of June all the treasure had arrived. It fell somewhat short of the promised amount; but Pizarro professed himself entirely satisfied, and apparently signed a document to that effect. Atahualpa thereupon demanded his freedom. But at that moment the air was filled with rumors of a rising of the natives. How they originated it is impossible to say, but there is no reason to believe that they were based upon fact; it is natural to lay much of the blame on the interpreter Felipillo, who had angered the Inca by his attentions to one of the imperial concubines, and could most easily revenge himself by circulating false reports. Demands were soon heard for the death of Atahualpa, especially among the followers of Almagro, who desired to push onward and get more gold, and regarded the fallen ruler as an encumbrance to their plans. Finally a solemn trial was held, in which the Inca was accused of usurping the throne and murdering Huascar, of seeking to stir up a revolt against the Spaniards, and of adulterous and idolatrous practices at variance with the Christian faith. It is not necessary to enlarge upon the farcical nature of the charges or the false interpretations, by the rancorous Felipillo, of the testimony of the witnesses that were summoned for the defense. It was, as Prescott says, "a question of expediency," and the Spaniards had determined that it was expedient that Atahualpa should die. He was condemned

to be burnt alive in the great square of Cajamarca, on the evening of the very day (August 29, 1533) that the sentence was pronounced. In consideration of the fact that, as the fagots were being piled up around him, he accepted Christianity and consented to receive baptism, he was let off with the milder penalty of death by the garrote. No plea, however, can be offered in extenuation of this judicial murder, which the greatest historian of the Conquest has rightly characterized “as having left a stain, never to be effaced, on the Spanish arms in the New World.”

The character of the rank and file of the men who had accompanied Pizarro was revealed by the unseemly fashion in which they quarreled over the division of the Inca’s ransom. The sum total was reckoned, three-quarters of a century ago, as equivalent to over fifteen million dollars; but subsequent calculations have reduced that estimate by more than two-thirds. The royal quinto was duly deducted and sent to Spain under care of Hernando Pizarro; valuable as his services had been to the expedition, they were equaled or outweighed by his insolence to Almagro, and his brother gladly availed himself of the opportunity to get rid of him. The rest of the treasure was distributed unevenly. Almagro and his men had to be content with a smaller portion, because of the fact that they had faced fewer perils than the rest; and the cavalry in general got more than the infantry. Gambling for high stakes was the natural result of the distribution. Whole fortunes were won and lost, while the prices of every sort of commodity soared to levels hitherto unknown. Discipline broke down and Pizarro soon perceived that the sole remedy for the situation was to order a fresh advance. Cuzco, the Inca capital, was clearly the next objective; and thither, in September, the Spaniards directed their march. Pizarro judged it wise, for the time being, to keep up the pretense of maintaining the Inca authority. He accordingly selected a younger brother of Atahualpa, presented him to the natives as their lawful sovereign, and took him along with his Spaniards to Cuzco.

The march to the Peruvian capital proved more difficult than that from Tumbez to Cajamarca. The road was narrow and traversed by foaming torrents, and signs began to multiply that the natives intended to dispute the Spaniards’ passage. The first serious encounter took place beyond the town of Xauxa, where Pizarro had halted with the main body of his troops to make a settlement, and whence he had sent forward de Soto with a company of sixty horsemen to reconnoiter. This advanced guard was suddenly overwhelmed in the rocky defiles by an assault of the Peruvians in vastly superior numbers. Had not Pizarro learned of the attack in time to send out reinforcements under Almagro, de Soto and his companions must have perished to a man. The affair had a tragic sequel in the Spanish camp; for it led to the burning alive of a captive chieftain named Chalcuchima, whom Pizarro had brought along with him, and whom he suspected of complicity with the natives who had delivered the assault; and “all the people of the country”, so the notary Sancho assures us, “rejoiced infinitely at his death, because he was very much abhorred by all who knew what a cruel man he was”. The puppet Inca whom Pizarro had set up also died during the march. He was, however, immediately replaced by the young prince Manco, a brother of Huascar, who arrived at the camp of the Spaniards, opportunely for their purpose, in order to apply for their protection. He accompanied the conquerors to the Peruvian capital, which they reached on November 15, 1533, the first anniversary of their arrival at Cajamarca.

The Spaniards were delighted and amazed by the greatness and beauty of Cuzco. The architecture of the great fortress on the hill, and of the Temple of the Sun, commanded especial admiration; “the walls,” declared one of them, “are made of stones as large as chunks of mountains ...” and those “who see them say that neither the bridge of Segovia nor any other of the edifices which Hercules or the Romans made is so worthy of being seen”. The treasure that the conquerors gathered was also immense. Whether it was greater or less than the ransom of Atahualpa it is impossible to determine; but it is certain that its collection and division

among the troops were succeeded by gaming, and by lavishness in expenditure, fully equal to anything that had taken place at Cajamarca. Meantime Pizarro did not neglect the more serious responsibilities of his position. Manco was crowned Inca, with appropriate solemnities, and the farce of preserving native rule was thus continued. But a truer indication of the real source of authority in Peru was given on the twenty-fourth of the following March by the erection of Cuzco as a Spanish municipality with all the time-honored offices and ceremonies thereto pertaining, and by the taking of the regular preliminary measures for the propagation of the Christian faith. Though the mass of the natives were incapable of realizing it, the supremacy of their ancient civilization had been shattered beyond repair.

Yet the conquest which had been accomplished with such extraordinary ease was not destined to be acquiesced in without further native challenge. It had been accepted chiefly because the Peruvians had failed at first to comprehend its real nature; but when they realized the true state of affairs, they longed to throw off the yoke. In the autumn of 1534, Pizarro left Cuzco for the neighborhood of the coast, where he founded, on the eighteenth of the following January, the city of Lima, the future capital of New Castile. His departure from the Inca metropolis was the signal for a native attack. Manco Inca effected his escape from Cuzco, hotly pursued by Juan Pizarro. A bloody battle was fought in the mountains, from which the Spaniards, as usual, emerged victorious. But they were confronted on the morrow by their foes in such overwhelming numbers that they decided it would be the part of wisdom to retreat to Cuzco; and this resolution was confirmed by a message from Hernando Pizarro, who had recently got back from Spain, to say that he was being besieged in the Inca capital. A series of desperate engagements ensued outside Cuzco. There was a furious sally of the Spaniards, in which the natives were slaughtered in droves. The climax came when an assault was ordered on the great fortress of Sacsahuaman, outside the city, which the Peruvians had managed to occupy; and this assault, though successful, cost the life of the heroic Juan Pizarro, who was hit on the head by a stone hurled down from above. Thereafter the struggle degenerated into an attempt on the part of the natives to starve the Spaniards out; this lasted until August, 1536, when, the season for planting having arrived, the Inca was obliged to raise the siege. It was the last serious effort of the Peruvians to reassert their power, though Manco Inca continued to be a thorn in the side of the conquerors until his death in 1544. Henceforth the main interest of the story lies not in the struggle of the Spaniards and the natives, but rather in the bloody feuds of the different factions of the invaders over the partition of the rich territory which had been won by their unscrupulousness and valor.

The tale of the development of the conquests of Pizarro during the quarter century that elapsed between the capture of Cuzco and the death of Charles V is not one of the more inspiring chapters in the history of the Spanish Empire. It contains indeed a number of stirring passages, but it is primarily a chronicle of self-seeking and avarice. There is much in it that is reminiscent of the early days of the Reconquista, especially in its numerous illustrations of the inability of the Spaniard to sink individual differences in the prosecution of a common aim. The quarrels of the Pizarros, the Almagros, the conquerors of Quito, and the royal representatives remind one irresistibly of the bickerings of the early kings of Leon, of Castile, of Aragon, and of Navarre. Lack of any outstanding personality, such as that of Cortes in Mexico, was doubtless the underlying cause; the talents and abilities of the different personalities in New Castile were so evenly matched that leadership was very difficult. That the conquest progressed at all is under the circumstances remarkable. Certainly it was the fruit of individual initiative and not of cooperation.

Another factor, which greatly increased the difficulty of maintaining a united and orderly government in the newly discovered lands, was the reports that got abroad of their fabulous wealth. Mexico had been somewhat of a disappointment to the seeker for precious metals, but

here in Peru they were apparently to be had for the asking; small wonder if adventurers of every sort and kind fell over one another in their eagerness to reach El Dorado. One of the first to be drawn thither was Pedro de Alvarado, the hero of the famous 'leap' on the Mexican causeway, who had been experiencing grave difficulties with his government in Guatemala. Learning of the wealth that had been found at Cajamarca and at Cuzco, and drawing the natural conclusion that Quito, the capital of Atahualpa, which had as yet been left untouched, would prove equally lucrative, he determined to ignore the fact that it fell within the jurisdiction of Pizarro, and get possession of it for himself. He easily collected 500 followers, landed in March, 1534, at Puerto Viejo, and started, without a moment's delay, on his way eastward across the mountains. The obstacles he encountered are almost impossible to describe. The cold was intense. Cotopaxi was in eruption, and the air was so full of cinders that the men could scarcely breathe. And after all the suffering that had been endured the expedition proved absolutely sterile. Quito was an empty shell, without gold or precious stones; moreover, Alvarado had been preceded there by the followers of the man he was attempting to dispossess. Pizarro, who had become alarmed for the safety of the 'northern province,' had detailed Sebastian de Belalcazar to guard it; and Diego de Almagro, inspired by the same idea, had joined forces with Belalcazar before Alvarado could arrive. A battle seemed imminent, but it was finally decided to negotiate. Alvarado was bought off for 100,000 Castellanos, and gave up his troops, his fleet, and his stores. He subsequently had an interview with Pizarro at Pachacamac, and finally departed in friendship and satisfaction. The episode was but an introduction to the more serious quarrels that were to come.

The source of most of these was the ill-feeling between Pizarro and Almagro that had smoldered since the early days of their partnership. When Hernando Pizarro went back to Spain in 1534 to report to the Emperor, Almagro had taken care that an agent of his own should also be on hand, in order to make sure that his own services should not go unrequited; with the result that while Pizarro's jurisdiction along the Pacific coast had been extended to a point seventy leagues farther southward than that which it had reached before, his associate had been empowered to conquer and occupy a strip extending two hundred leagues to the south of that. But this arrangement, instead of quieting the jealousy between the two conquerors, actually served to foment it; for, because of the lack of any adequate means of measuring distances, it proved impossible to settle the crucial question whether Cuzco, the capital and principal source of wealth, fell within the jurisdiction of Pizarro or of Almagro. The inevitable dispute at once arose; bloodshed was threatened, and was only avoided through the intervention of friends. Finally the appearance of amity was restored, June 12, 1535, by a singular compact, sworn to by both parties under a most solemn oath, in which each promised neither to malign nor to injure the other; but the vital issue of the possession of Cuzco remained unsolved. Shortly afterwards, Almagro, with a force of 500 Spaniards, and a large body of native carriers, determined to go south to substantiate his claim to the territory that had been allotted to him by the grant of the preceding year. False reports of its great riches had been given him by the Peruvians, who were desirous of ridding their country of as many Spaniards as possible, in order that they might more easily throw off the conquerors' yoke. Almagro put every penny he could raise into the expedition, and departed with high hopes in midsummer, 1535.

Seldom have great expectations been more grievously disappointed. The route of the explorers lay inland, through difficult mountains and sandy wastes; hunger, cold, and snow-blindness dogged their footsteps. The Spaniards burnt and pillaged as they advanced. They treated the natives with the utmost brutality, and the natives replied by killing them whenever they could. Worst of all, no gold was to be found; an advance guard, which penetrated, in all probability, to within 100 leagues of the river Maule, returned with the most unpromising

reports. Cries began to be heard among the troops for a return to Cuzco, which they now asserted to be clearly Almagro's; and Almagro's tenderness for his young son Diego, to whom he longed to bequeath a great fortune and a famous name, finally induced him to listen to their demands. By the end of the year 1536 the expedition started to retrace its steps; in the spring of 1537 it had reached Arequipa. After an engagement with the troops of Manco Inca, who had raised the siege of Cuzco only a few months before, Almagro sent a message to Hernando Pizarro, who was in command at the capital, to demand that the city admit him and recognize his authority. On receiving in reply a request for delay, on the ground that it was not yet possible to determine definitely within whose jurisdiction Cuzco lay, Almagro became convinced that Pizarro was gathering troops to attack him, and resolved to anticipate his rival. On the night of April 8, 1537, he entered Cuzco unopposed, arrested Hernando Pizarro and his brother, Gonzalo, and obtained the recognition of the municipal authorities. "Had not this deliverance come when it did," wrote the young Alonso Enriquez de Guzman, who was weary of the tyranny of the Pizarros, "I should certainly have had no other alternative than to join with the Inca, even though he had been a toad."

Rumors of Almagro's return had meantime reached Francisco Pizarro at Lima, and he dispatched to the relief of his kinsmen a force of 500 men, under Alonso de Alvarado. When they reached the banks of the Abancay, some twenty leagues west of Cuzco, they were met by an embassy of six Spaniards from Almagro, who assured them that the object they had been sent to attain was no longer possible of achievement, but that they were welcome, if they wished, to stay and help fight the natives. To this Alvarado replied by open defiance, and by casting the emissaries into a stone prison, which he caused the Indians who accompanied him to construct for the purpose. Under the circumstances a battle was inevitable; and it took place, July 12, 1537, on the banks of the Abancay, whither Almagro, with 450 men, marched out to meet his foe. The tactics of the Almagrists were exceedingly skillful. Alvarado, caught napping, surrendered after a struggle in which "only three or four were killed". He himself was placed under arrest; his army was taken over into the forces of his conqueror, and his prisoners "joyfully returned to Cuzco with the victorious party."

The news of the defeat of his lieutenant reached Francisco Pizarro at Lima. No longer strong enough to oppose Almagro by force, he prepared once more to negotiate. It was no easy matter to bring Almagro to another conference. His followers urged him to execute Hernando and Gonzalo Pizarro, and boldly assume the offensive against his old associate; and though Almagro's good nature shrank from this extremity, he was determined to hold on to Cuzco, and to fortify his claim to it by gaining for himself a port and an open line of communication with the sea. But his effort to carry out this last project brought him into proximity to his craftier partner, and led to the initiation of the very conference which Pizarro had sought to bring about. This took place in November, 1537, at Mala, on the seacoast, some fifty miles to the south of Lima; and as the two rivals were so bitter that they could not discuss the issue between them without losing their tempers, it resulted in leaving the decision in the hands of a friar named Francisco de Bobadilla, "for the devil always seeks for suitable men to do his will in affairs of importance". The friar gave judgment that, pending an accurate determination of the limits of their respective jurisdictions, Pizarro and Almagro should both retire into territory unquestionably their own; that they should refrain from all hostilities, and that Almagro should release his prisoners within six days, and give up Cuzco to Pizarro within thirty. Enriquez de Guzman assures us that "the Friar and Don Francisco Pizarro behaved with duplicity, and concealed a large body of men to seize and kill us, if we should not agree to what they desired."

Pizarro violated the terms of the decision of Mala at the first moment that there was any prospect of his doing so with advantage. The release of his brother Hernando provided him

with a capable general; his forces increased and were once more eager to fight. Almagro, who had returned to Cuzco, was rudely apprised that the treaty was at an end. Too ill to take command in person of his forces, he handed them over to his able lieutenant, Orgoñez, who sallied forth to meet the advancing army of Hernando Pizarro. The rival hosts encountered one another at Las Salinas, two miles outside of Cuzco. Orgoñez had about 600 men; Pizarro, 800; and the surrounding heights were thronged with natives eager to witness the slaughter of their detested conquerors. It was a Saturday, April 6, 1538. The desperate valor of Ordonez proved no match for the more skillful dispositions of his foe; he was overpowered by numbers, and slain in cold blood after having given up his sword; his followers fell into confusion, and finally broke and fled. In less than two hours the fight was over, and the victors were pursuing the vanquished into Cuzco. Some time elapsed before any semblance of order could be restored; but Hernando Pizarro did not propose that the rising fortunes of his family should ever again be threatened by the ambition of the Almagros. The young Diego was sent away to Lima, where Francisco Pizarro received him in kindly fashion; for the present he was regarded as harmless, but it was deemed wise to remove him from the neighborhood of his father, whose fate had been already settled by the battle of Las Salinas. After a mockery of a trial, in which he was accused of levying war against the crown, and entering into treacherous conspiracy with the Inca, the old man was secretly garroted in his prison, in July, 1538. His good nature and his unfortunate readiness to mix war and diplomacy made it inevitable that he should be the victim of the more unscrupulous Pizarros, whose cause for the time being had triumphed all along the line. Such at least was the conclusion of the prudent Alonso Enriquez de Guzman, who had recently been the most ardent partisan of Almagro. "At this time," so he assures us, "Hernando Pizarro and I had become friends, because he was alive, and the governor was dead, and it is very disastrous to have any intercourse with the dead."

The events just recounted form only the beginning of the "chronicle of the civil wars of Peru"; but before we carry that sordid tale to its conclusion, we must pause to relate the pleasanter story of the explorations and achievements of other Spanish conquistadores in the regions to the north, east, and south of the territory that had been won by the Pizarros.

It will be remembered that in the year 1534, at the time of the intervention of Pedro de Alvarado, Pizarro had sent one of his ablest lieutenants, Sebastian de Belalcazar, to hold Quito and the adjacent territory in his name, and to guard it from possible occupation by any other conquistador. After the claims of Alvarado had been duly disposed of, Belalcazar established himself at Quito, and soon after received from the crown a definite appointment as governor there. But his restless spirit was not satisfied to remain in a subordinate position. He was eager to carve out a domain for himself, and, with this idea in mind, advanced farther north until he reached the high plateau which forms the southern part of the present republic of Colombia. There, in the year 1536, he founded the town of Popayan, and thence he raided the upper valleys of the Cauca and the Magdalena, slaughtering the natives and devastating their land. One of these forays brought him, in the year 1538, to the lofty tableland of Santa Fe de Bogota, where he met with two other conquistadores who had reached the same place from very different starting points. One of them was Nikolaus Federmann, whose expedition from Venezuela has been mentioned in the preceding chapter. The other was a fellow Spaniard whose notable achievements require more careful consideration.

Gonzalo Ximenez de Quesada was born, either in Cordova or Granada, in the early years of the sixteenth century, and received an excellent training in the profession of the law. But he aspired to win fame and wealth in the Indies, and when he was about thirty years old his chance came. In the year 1535, Pedro Fernandez de Lugo, the son of that Alfonso de Lugo

who had completed the conquest of the Canaries under the Catholic Kings, and the holder of the post of adelantado there, was granted the office of governor and captain-general of the town and province of Santa Marta, between the river Magdalena on the westward and the Weiser territory in Venezuela on the east. This region, which Rodrigo de Bastidas had first attempted to settle in 1525, had had a checkered history during the succeeding ten years, furnishing the usual chronicle of maltreatment of the natives and bitter rivalries between the different conquistadores. The audiencia of Santo Domingo was at a loss how to deal with it. But the territory contained gold, of that there could be no doubt; and Lugo solved the perplexities of the authorities by indicating his willingness to take charge: He offered the post of chief judge of the new settlement to Quesada, who made haste to accept it. In December, 1535, the two adventurers arrived, with a well equipped expedition, off the harbor of Santa Marta.

It soon became evident that nothing was to be gained by remaining in the town itself. The place was a pesthole, without nearly enough houses to accommodate the newcomers, while the country for miles around had been laid waste. An expedition into the interior seemed under the circumstances to be the only alternative to withdrawal, and finally Lugo started south into the wilderness with nearly 1000 men. But misfortune dogged the footsteps of the explorers from the first. The Indians were hostile; large numbers of the Spaniards were wounded or slain; and to cap the climax the governor's son, Luis Alonso, escaped to Spain with all the gold that had been found, leaving his father in a pitiable plight. But Lugo was not to be easily diverted from his enterprise. A new expedition into the interior was speedily organized. It was to be composed of 800 men, provisioned and assisted by a flotilla of five large boats on the Magdalena, whose valley was to be the route which the explorers were to follow. Command was given to Ximenez de Quesada, in whom, despite the fact that he had hitherto had no experience save as a lawyer, Lugo had already recognized all the essential qualities of a captain.

The expedition departed on April 6, 1536. Quesada, with most of his men, started southwest across country, planning to strike the Magdalena some 150 miles above its mouth, and there to unite with the flotilla, which had gone around by sea. When he arrived at the rendezvous the boats were nowhere to be seen. One of them had been wrecked at the mouth of the river; two more had deserted and sailed west to Cartagena; the two others were weeks late when they finally reached their destination. The following months were occupied in a joint advance up the Magdalena. The hardships encountered defy description. Those in the boats were harassed by native war canoes, and in constant danger of being devoured by alligators. Those on shore had to cut their way through the densest of tropical jungles; they were bitten by insects and poisonous snakes, and jaguars attacked them in their hammocks at night. But evidences of a far higher civilization in the country that lay beyond them appeared with increasing frequency as they fought their way southward, and enabled Quesada, after the expedition had been gone eight months, to persuade his officers, who wanted to return to Santa Marta, that honor and self-interest demanded that they continue their advance. All at once the ground began to rise before them. The air became cooler and the vegetation less dense. The river grew so swift that it was impossible to navigate it, and the boats had to be sent back. But Quesada and his followers regained their strength in the higher altitudes. They clambered on up ravines and rocky defiles, and finally their courageous leader was rewarded by emerging on a broad cultivated plateau, nearly 7000 feet above the sea, dotted with villages, and traversed by laughing brooks. He had only 166 men and 59 horses left. All the rest had perished on the way or been sent back with the boats.

The explorers had every reason to be gratified at what they saw; for the Chibchas, whose domains they were about to invade, had attained a state of civilization fully comparable to that

of the Mayas, Aztecs, and Incas, and unapproached by that of any other of the indigenous peoples of the Western Hemisphere. At the time of the arrival of the Spaniards they numbered, in all probability, somewhat more than a million souls, spread over an area of about 6000 square miles. Like the Incas to the south, they were primarily sun-worshippers; but they also adored other objects of nature, such as mountains, lakes, and waterfalls. They also believed in a life after death. Their government was in theory an absolute despotism; the *zipa*, or monarch, made and executed all the laws. The country, at the time of the Spaniards' arrival, was apparently divided into a number of independent sovereignties; but it would seem that the *zipa* of Bogota, the latest of them to attain that rank, was rapidly extending his authority over the rest. The general state of culture which prevailed among the Chibchas on the eve of the Spanish conquest presents the same sort of contrasts between civilization and barbarism which we have already encountered among the Incas and Aztecs. Human sacrifices were still offered to the sun, though the custom was gradually dying out. There was no system of handwriting or substitute therefor. Beasts of burden were unknown. Yet there was a well-developed language, a calendar, a system of numeration, and a rudimentary coinage; agriculture, gold work, weaving and spinning, and commerce had reached a high stage of perfection. By a system of regular fairs the Chibchas exchanged the products of the high plateau which they inhabited for those of the tierra caliente below them, and were thus able to avail themselves of many of the commodities of the tropical as well as of the temperate zone.

The Spaniards were in no hurry to begin hostilities against the Chibchas, when the odds were so overwhelmingly against them. Quesada ordered his men to treat the natives in friendly fashion; and the natives, overawed by the appearance of the newcomers, and still more by their horses, refrained for some time from attacking them. But it was not to be expected that they should permanently submit to the program of plunder on which the invaders were plainly intent; an assault was soon delivered on Quesada's rear guard, only to be repulsed with terrible slaughter. This experience convinced most of the Chibchas that resistance was useless, and they began to sue for peace; but in the meantime they took measures to secrete their gold and precious stones, which they were determined that the invaders should not have. Most of the scouting parties that Quesada sent out to search for treasure returned empty handed; only at Tunja, which Quesada visited in person, was any large amount at first obtained. From Tunja the Spaniards directed their steps to the neighboring temple of Suamo (now Sogamoso), which was renowned for its riches; after overcoming a slight resistance, they reached it, but such was their eagerness to possess themselves of the treasures, that its feather decorations caught fire from their torches, and the magnificent structure was burnt to the ground. More efforts of the Chibchas to conceal their valuables, and the attitude of determined hostility adopted by the natives of the region near Bogota, put an end to all sentiments of mercy in the breasts of the invaders. They surprised and overthrew the native army, and then devoted all their efforts to discovering the hiding place of the *zipa's* treasures. The *zipa* was arrested and tortured so that he finally died, but not a word could be extorted from him in the extremity of his sufferings; indeed, it is probable that he had distributed all his wealth among his chiefs before he fell into the hands of the Spaniards. As the outlines of the mountains around the great plain where he was reminded Quesada of the summits of the Sierra Nevada, below which he had passed his boyhood years, he called the conquered region New Granada; and christened the city which he founded there in the summer of 1538 with the stirring name of Santa Fé (de Bogotá). The conquistadores lost few opportunities to remind themselves that the progress of the vast empire which they were winning in the New World was in some of its aspects but a prolongation of the Crusades.

Probably the last thing that Quesada had expected when he left Santa Marta was that he should meet with Europeans in the course of his explorations; and yet, shortly after the

founding of Santa Fe de Bogota, Belalcazar, as we have seen, arrived from Popayan, while Federmann at the same time appeared out of the northeast. Each of the explorers had a considerable following, and none of them was in the mood to abandon what he believed to be his own; for a short time there were good prospects of a fight. Yet there were certain considerations which served to draw them together, particularly the fact that they were all more or less at variance with the authorities who had sent them out: Belalcazar with Pizarro, Federmann with the Welsers, and Quesada with Lugo, of whom he now desired to make himself independent in the new territory he had found. Peace and amity were further facilitated by the fact that Belalcazar announced that he would be satisfied with the recognition of his title as governor of Popayan. Quesada and Federmann signed two separate agreements, on March 17 and April 29, 1539, and finally the three adventurers departed together for Spain, to lay their problem before the Emperor; Hernan Perez, the brother of Quesada, being left, with most of the soldiers, in charge at Bogotá. In Spain Belalcazar was confirmed in his governorship of Popayan; but Federmann and Quesada were less fortunate. The former, on his return, was accused by the Welsers, and died in February, 1542, without establishing his innocence; while the latter was obliged to give up all his pretensions to the lands he had found, in favor of that Luis Alonso de Lugo, the son of Quesada's old associate, who had run off to Spain with all the treasure that his father had collected in his first expedition after reaching Santa Marta. The elder Lugo had died in October, 1536, and from the purely legal point of view the young man's claims to the disputed territory were strong. Moreover Charles, who was absent in France and the Netherlands at the time of Quesada's arrival, had small opportunity to learn what all Spain knew: namely, that Quesada was a good man, and Lugo an unmitigated scoundrel. Lugo left for New Granada immediately after receiving his appointment, and misconducted himself so outrageously there in the next two years, that when orders to take his residencia were issued in 1544, he did not dare to face the test, but departed for Europe, joined the Spanish forces in Italy, and ultimately died either in Flanders or in Milan. Quesada temporarily went into retirement, and occupied himself in travel and literary pursuits not till 1550 was he permitted to revisit his conquests in the New World.

The history of New Granada during the years immediately succeeding the departure of Quesada is a weary chronicle of confusion and self-seeking. Further complications, over and above those already enumerated, were occasioned by the expansion up the valley of the river Cauca of a settlement founded in January, 1533, by one Pedro de Heredia, at Cartagena, and extending along the coast of the modern republic of Colombia from the mouth of the Magdalena to the Gulf of Urabá. Heredia began by pursuing a policy of conciliation with the natives. He drew much treasure from his new domains, especially from an expedition which he led in 1534 to the famous graves of Zenu; but his conduct drew down on him the jealousy of his associates, and in 1537 he was arrested and sent back to Spain. His successors devoted themselves to the exploration of the interior; they penetrated to the upper waters of the Cauca and the Magdalena, and there came into hostile contact with Hernan Perez de Quesada, and also Belalcazar; and the confusion already existing became worse confounded still, when Pedrarias's old associate Andagoya, who had got a grant along the Pacific coast from the Gulf of San Miguel to the river San Juan, succeeded in invading the same territory from the west. So bitter became the rivalries of the different claimants, that the Council of the Indies was at last obliged to take the matter in hand. In 1546 it sent out a commissioner, Miguel Diaz de Armendariz, to take the residencia of the different persons concerned, proclaim the 'New Laws' for the protection of the natives, and report to the home government. The result of his findings, and of the protests they elicited from the conquistadores on the ground, was the establishment in 1549 of a royal audiencia at Santa Fé de Bogotá, which thenceforth became the capital of New Granada. The new tribunal was given jurisdiction over all the different

settlements—Santa Marta, Cartagena, Popayan, and the rest—whose chiefs had quarreled over the control of the rich plateau. Its erection marks the first step in the setting apart of the region which is known today as the republic of Colombia. The fates of the two greatest of the conquistadores who had won it were characteristic of the ups and downs of those tremendous times. Belalcazar, who had stained the close of a brilliant career by the judicial murder of one of his rivals, died at Cartagena in 1550, on his way back to Spain to appeal against the penalty of death that had been awarded him. Quesada, who had been restored to favor and sent out again as marshal to Bogotá with the judges of the audiencia in 1550, passed away, full of years and honors, at Mariquita in 1579.

In Peru, after the execution of Almagro in 1539, the Pizarros made haste to gather in the fruits of their victory, and also to protect themselves against the complaints of their surviving foes. To accomplish this latter purpose, Hernando was sent off to Spain, with a magnificent present of gold for the Emperor; his enemies, however, had contrived to forestall him, and he was cast into the dungeons of Medina del Campo, where he remained a close prisoner until the reign of Philip II. Meantime, in New Castile Francisco had distributed the lands and property of his late associate among his own relatives and adherents. He was especially liberal to his brother Gonzalo, who, after conducting a successful expedition against the natives of Charcas, was rewarded with rich territories in the neighborhood of Potosí, and finally invested with the government of Quito, which Belalcazar was held to have forfeited through insubordination. Gonzalo made haste to establish himself in his new domain, which he prized for its own sake, but still more for the opportunities which it gave him to investigate the territory immediately to the east of it, which was reported by a previous explorer to be prolific in cinnamon.

On Christmas Day, 1539, Gonzalo started across the Andes from Quito, at the head of a force of 340 Spaniards. He took with him 4000 natives as porters, and a large flock of llamas; a huge drove of swine was also brought along, as the easiest method of providing food. But the hardships endured in the early weeks of their march caused the improvident Spaniards to abandon most of their supplies. There were Indian attacks. They experienced an earthquake. Worst of all, the icy cold of the peaks of the cordillera made them long to reach the warmer lands below; they were sure they could obtain provisions from the natives of those parts; at all costs they must hurry on. But when they arrived at their destination they were grievously disappointed. A certain amount of cinnamon was found, but under the circumstances in which they were it profited them nothing. The forests were so dense that they could make only a few miles a day. The Indians, naked and destitute, could give them no food. In a few weeks they were suffering from the pangs of starvation. Before long they came to the banks of the river Coca—one of the confluent of the Napo, the roaring of whose mighty cataract could be heard for miles around—and following it down for a distance of forty or fifty leagues, they came to a place where it dashed through a narrow gorge which Garcilaso de la Vega declares to have been two hundred feet deep and but twenty feet wide. Convinced that the country on the other side of the stream would prove more attractive than that in which they were, and doubting whether they would again find such a good opportunity to reach it, they felled trees across the chasm, and then constructed a bridge on which all but one of their company succeeded in passing over. After enduring more hardships, they reached the broader waters below, and then, seeing that some means of conveyance for the weaker members of their number had become essential, they set to work on the construction of a boat, using “nails made from the shoes of horses which had been killed as food for the sick,” and which “they now found more valuable than gold.”

When the boat was finished, they placed their sick and their valuables on board, and continued, keeping pace with it, to march down the banks of the river. After much suffering, they reached a spot where the natives informed them that ten days farther on they would get to a point where the river emptied into a still larger stream; and that there they would find plenty of provisions and gold. As the company was utterly exhausted, Gonzalo Pizarro determined to send forward his lieutenant, Francisco de Orellana, with fifty men in the boat, to get the promised supplies and return with them. In three days Orellana made no less than eighty leagues, but he found no food, and, doubting his ability to work his way back against the current, it occurred to him to continue his voyage down the river, discover new country on his own account, and then get back to Spain. It was the rankest kind of desertion, and he had great difficulty in persuading his followers to countenance it. One of them, indeed, named Sanchez de Vargas, was so firm in his refusal that Orellana at last turned him loose in the jungle, where he was found months later by Pizarro and his men. They, despairing of the return of Orellana, had finally started overland to find him; on learning what he had done, they undertook and accomplished the truly Herculean task of fighting their way back to Quito. It took them till the summer of 1542 to complete that terrible journey; and only the inspiring words and conduct of their heroic leader kept them from abandoning the enterprise in despair.

Meantime Orellana and his companions continued their voyage. For a long time it seemed likely that they would perish of starvation; but supplies became gradually more plentiful as they sailed on, and for a time the natives they encountered were friendly. As their first boat was manifestly inadequate for use on the sea, which they expected to reach many months before they did, they constructed a larger one with infinite labor; the nails for it had to be forged in a charcoal fire, and “they made bellows of their leather buskins.” Farther down, beyond the mouth of the Napo, the Indians became more numerous, and also very hostile. Surrounding the adventurers in war canoes, they shot at them with poisoned arrows; and Orellana was so impressed with the valor of the women that he called them Amazons, an appellation which finally prevailed over that of the discoverer himself as the name of the great river whose entire length he was the first to sail. In August, 1541, the explorers reached the sea; and following along the coast to the northwest, they finally arrived at the island of Cubagua on September 11. According to the reckoning of the priest who accompanied them, they had sailed “for one thousand eight hundred leagues, including the windings of the river,” and the estimate is not far from correct. Shortly afterwards Orellana departed for Spain to report his discoveries and get authority to conquer and colonize them. This he easily obtained, and, having collected 500 followers, set sail for the New World; but he died on the way thither, and the expedition which he led was dispersed with nothing accomplished.

It is an interesting fact that the mouth of the Amazon lies just to the west of the Tordesillas Line, so that all of Orellana’s memorable voyage fell clearly within the Spanish demarcation; but it is more than doubtful if this was known at the time. The ‘second discovery’ of the great river, by the Jesuit, Cristobal de Acuña, did not take place until nearly a century later, in 1639, when Portugal acknowledged the sovereignty of a Spanish king.

One result of the execution of Almagro was that Pizarro at once resolved to gain possession for himself of the territory of his old associate—the two hundred leagues to the southward of his own dominion. His first efforts to accomplish this purpose were not particularly successful, chiefly because of the difficulty of crossing the great desert to the south of Lake Titicaca—the very nitrate beds over which, more than three centuries later, the Chilean and Peruvian republics were to wage bitter war. But the tide of enthusiasm for arduous enterprises was running high among the best of the Spaniards in those days; and

finally Pizarro found the man that he wanted in Pedro de Valdivia, an old soldier of the Italian wars, who had come out to Peru in 1536: a daring adventurer, always keen to press forward while others held back. Under him there took service, though not till after the expedition had got started, another veteran of the Italian campaigns named Francisco de Aguirre, as distinctly a born administrator as Valdivia was a typical conquistador; the two men complemented one another at every point. But there were also elements of discord in the ranks of the invaders, the chief rock of offence being one Pedro Sancho de Hoz, who had been sent out from Spain with a commission to conquer Chile; and it was only with difficulty that Pizarro induced him to make common cause with Valdivia, by arranging that the two men should divide the command.

The expedition left Cuzco in early January, 1540; it numbered at the time of its departure about 150 Spaniards and 1000 natives. It had not gone far before Sancho de Hoz was detected in a conspiracy to assassinate Valdivia and gain for himself the leadership of the invading forces. The fortunate result was his own arrest, and his renunciation, as the price of being set at liberty, of all his rights to the Chilean lands and of his share in the command. Before the party crossed the desert Aguirre arrived with a reinforcement of twenty-five men and an ample supply of provisions. It was largely owing to his ability and foresight that the perilous passage of the next 500 miles was accomplished without the loss of a single man. After a three months' rest in the valley of the Copiapo, where the country was solemnly taken possession of in the name of the king of Spain, the invaders pressed on to the site of the present city of Santiago de Chile, which was founded on February 12, 1541. The earliest *actas* of its *cabildo* have been preserved to us, and bear witness to the indispensable services of Aguirre as an organizer during this critical stage of the newly founded colony.

The years 1541 to 1546 were occupied with the establishment and extension of the settlement already made. Valdivia had himself elected governor and captain-general by the *cabildo* of Santiago, very much as Cortés had been by that of Vera Cruz, thereby making himself independent of Pizarro, and directly subject to the home government in Spain. He was crafty enough to pretend that he did not desire the post; but there is little doubt that he saw that the acquisition of it was indispensable to the maintenance of his authority, and that he had secretly maneuvered to obtain it from the first. As it was, there were several revolts against his government, culminating, in the year 1547, after Valdivia had departed for Peru, in a conspiracy of Sancho de Hoz against the governor's lieutenant, Francisco de Villagran; it was, however, discovered and nipped in the bud, and the chief rebel paid for his temerity with his head. In 1541 there was a general uprising of the natives against the invaders. Santiago was attacked and burned; the assailants were repulsed after a bloody struggle, but the work of reconstruction was long and arduous, and had it not been for the timely arrival of a boat with reinforcements from Peru, it is quite possible that the infant colony might have perished. The experience made it evident that the tribes to the north of Santiago must be brought into subjection in order to prevent the isolation of the settlement; and this task, which was begun in 1543, was finally accomplished in 1549. In 1545 Valdivia led an exploring expedition to the south as far as the mouth of the river Biobio, and there came into hostile contact for the first time with the Araucanians, who advanced in solid masses "like Germans" and fought with a ferocity far surpassing that of any of the other South American tribes. The Spaniards were obliged to return without founding a new city as they had originally planned; clearly the conquest could not be pushed farther in that direction until their numbers and resources had been greatly increased. Meantime, in the neighborhood of Santiago, a regular system of *encomiendas* had been established, and a tradition of good order and strong government set up, which went far to lay the foundations for the large measure of happiness and prosperity which Chile was to enjoy in the succeeding centuries.

In 1546 news arrived from Peru which caused Valdivia to leave his new colony and return to the north. In order to appreciate the significance of this news, we must revert to the history of Pizarro's own dominion, which we left at the time of the execution of Almagro in 1538. Thereafter we can carry on the story of the development of Peru and Chile jointly to the end of the reign of the Emperor Charles V.

The arrogance exhibited by Francisco Pizarro in gathering in the spoils of his victory over his old associate made it inevitable that the remnants of the Almagro faction should lust for revenge. 'The men of Chile,' as they were popularly called, were scattered through the country after the death of their leader; but the house of the younger Almagro at Lima furnished a convenient meeting place for the hatching of plots, and as the months wore on without the arrival of the official from Spain whom they had looked for to do them justice, they finally resolved to take matters into their own hands. They formed the desperate resolution of assassinating Pizarro, and fixed on Sunday, June 26, 1541, for the accomplishment of their purpose. Pizarro had ample warning of the fate that was in store for him, but affected to treat the reports of it with indifference; he was, however, finally persuaded to remain away from church on the appointed day, so that the conspirators were obliged to betake themselves to his house. It was shortly after noon, and Pizarro was just finishing dinner. His attendants made a futile effort to hold the assailants in parley; but the latter soon forced their way in, cut down Pizarro's half-brother, Martin de Alcantara, and then overwhelmed the governor himself, before he had had time to put on his armor. He fought like a lion at bay, and succeeded in accounting for several of his foes; but he was quickly borne down by sheer force of numbers, and sank lifeless to the ground in a welter of blood.

The 'men of Chile' made haste to proclaim the younger Almagro, in whose name they had perpetrated this terrible deed, to be governor of Peru. But their summons to obey him was by no means universally respected. Cuzco remained loyal to the cause of the Pizarros; and their old companion in arms, Alonso de Alvarado, sent a message to Vaca de Castro, the crown's representative, who was known to be on his way to New Castile, apprising him of what had occurred, and urging him to make haste. The fact that the Pizarros were the first to get into touch with the emissary of the home government goes far to explain why he took sides against their foes; and the rash and defiant attitude of the Almagrists confirmed him in his decision. He journeyed overland from the Isthmus to Peru; at Quito he displayed his commission, empowering him to assume the government, and dispatched messengers to the cities farther south demanding that they forthwith recognize his authority. Had the Almagrists struck at once, before Vaca de Castro had had time to establish himself, it might have fared ill with the emissary of the home government. But their young leader was not the man to make the most of his opportunity. His first act was to seize Cuzco, to which he believed that he possessed unquestioned claims. He then wasted his time in futile negotiations, and thus gave Vaca de Castro the chance to occupy Lima. So confident was the latter of winning the victory in the trial of strength which he now saw to be inevitable, that he even refused the proffered assistance of Gonzalo Pizarro, who had but recently returned from the 'Land of Cinnamon'. The decisive battle began in the late afternoon of September 16, 1542, on the plains of Chupas, between Lima and Cuzco. It was a bloody contest, but the numbers and the generalship of the royalists were superior, and when night descended on the battlefield the Almagrists broke and fled. A few days later the young Almagro was executed at Cuzco. One cannot refrain from pitying him in his hard fate. He was generous and brave, but born to be the tool of others.

A clean sweep had now been made of all the original conquerors of Peru, with one exception, Gonzalo Pizarro; and he, ostensibly at least, was in full accord with the representative of the crown. The government was in the hands of a man of unusual wisdom and ripe

experience; for the moment it seemed as if the era of civil war was at an end. But at precisely this juncture the tranquility of affairs in Peru was destined to be disturbed once more, from a most unexpected source. This was the publication of a code, commonly known as the 'New Laws', which was chiefly the fruit of the labors of the 'Apostle' Las Casas in behalf of the rights of the American Indians. It received the Emperor's signature at Barcelona on November 20, 1542, and the news of it threw the inhabitants of Peru into a perfect ferment of excitement, because it threatened, if rigorously enforced, to deprive them of a large share of the compulsory services of the natives on the *encomiendas*, the chief basis of the large fortunes which every one of them had hoped to make. In Mexico, where a comparatively efficient form of government had been in operation since the arrival of the Viceroy Mendoza in 1535, the protests of the settlers against the 'New Laws' received such strong support from the royal officials on the ground, that the most burdensome parts of the code were soon suspended. But in Peru the situation was very different. It had been the Mecca of rough customers since its wealth had first become known; and the wrongs which Las Casas was attempting to right were generally believed to be particularly prevalent there. The struggles of the crown representatives and the conquistadores were not yet over; there was need for reforms and for a strong hand to administer them. One of the provisions of the new code had commanded the abolition of the old audiencia at Panama, and the creation of a viceroy and an audiencia to reside at Lima. The Emperor conferred the viceregal office on Blasco Nuñez Vela, a knight of Avila, and an ancient servant of the crown; and on May 17, 1544, the royal representative entered the Peruvian capital. He at once made it evident that he intended to enforce the new code with vigor, and that he expected prompt and implicit obedience on every hand.

But he reckoned with little knowledge of the sort of men who had conquered Peru. Vaca de Castro, interrupted in the midst of his task of restoring order, behaved, it is true, with tact and moderation. He made haste to get in touch with the viceroy on his arrival. He assured him of his loyalty, and warned him of the danger of high-handed measures; and it was entirely characteristic of Blasco Nuñez's suspicious nature that he should have rewarded his predecessor's confidence by confining him on a ship in the harbor. But Gonzalo Pizarro took a very different course. He was the last of the brood that had conquered New Castile, and had no mind to surrender all the claims he had inherited to the unpopular representative of an ungrateful sovereign. With Vaca de Castro he had preserved the appearance of friendship,—the time was not then ripe for the revolt he had long been planning; but now, with all his old associates up in arms against the 'New Laws' and looking to him to deliver them from the tyranny of the new viceroy, he saw that his chance had come. He collected a large band of enthusiastic followers at Cuzco, and started to march on Lima; there was every prospect that a battle would be fought. But the viceroy was unable even to muster forces to oppose Pizarro. His imperiousness had alienated every one with whom he came in contact. Worst of all, the members of the new audiencia, who had followed him from Panama, refused to sanction a single one of his acts; and when he showed signs of resisting them, they had him imprisoned and declared him deposed. Yet when they attempted to assert their own authority, they found that every man's hand was against them. Gonzalo Pizarro was the hero of the hour, and when he demanded that the audiencia invest him with the government, the members of that body did not venture to refuse. On October 28, 1544, he entered Lima amid universal rejoicing. The authority of the last of the conquistadores was restored.

Gonzalo Pizarro made every effort to establish himself securely in the perilous position he had won, but the prestige of the crown and its representatives in the New World was too high to permit him to do so unopposed. Before his arrival at Lima the audiencia had shipped off the viceroy to Spain in the custody of one of their own members; but the latter's heart soon failed him at the prospect of having to explain to the Emperor the imprisonment of his represen-

tative, with the result that Blasco Nuñez, at his own request, was set on shore again at Tumbez. At San Miguel he collected an army of several hundred men; and when Pizarro marched against him with superior forces he retreated to the north, and there got reinforcements from Belalcazar at Popayan. There were long months of marching and countermarching in the mountains, in which Pizarro vainly sought to bring his enemy into action; but finally by feigning a retirement to the south he accomplished his purpose. The crucial battle was fought at Anaquito (just outside Quito), on January 18, 1546. The viceroy's troops were decisively defeated; Blasco Nuñez himself was unhorsed by a blow from a battle-axe, and his head stricken off by a black slave, as he lay wounded on the ground. He had been loyal and constant in his efforts to perform an impossible task, but he was lacking in every other qualification for the difficult mission with which he had been entrusted.

Meantime the news of Gonzalo Pizarro's successful defiance of the royal authority had been received with the utmost consternation in Spain. The Emperor was absent in Germany preparing to suppress the Lutherans, just as he had been at the time of the revolt of the Comuneros, with which the uprising in Peru was popularly compared. Much correspondence and delay were consequently inevitable before the proper policy to pursue could be finally determined; but at last it was decided to send out to Peru an experienced cleric, named Pedro de la Gasca, who had already given ample proofs of his ability to serve the crown. Like Ximenes, he was quite as much of an administrator as a churchman. His feeble body and unimpressive exterior concealed an inflexible will and a keen judgment of men. Foreseeing that he would be unable to accomplish his purpose if constantly under the necessity of appealing to the home government, he demanded and obtained practically unlimited powers. Under the simple and unassuming title of president of the audiencia, he concealed an authority almost equal to that of the crown. In midsummer, 1546, he landed at the Isthmus, which was then under the control of an adherent of Pizarro. He assumed the humble garb of a cleric; and as the scope of his powers became gradually known, assured all men that he proposed to pursue a policy of conciliation. Gradually, inconspicuously, he gained for himself a foothold; and when an embassy dispatched by Pizarro to Spain, to secure the formal recognition of his government by the Emperor, passed through Panama, Gasca persuaded its leader to abandon the mission, accept his own pardon, promise to support him, and urge upon Pizarro the expediency of doing likewise. Meantime he used every effort to attain his object in Peru without another war. He sent conciliatory letters to Pizarro, assuring him of pardon for his revolt if he would but return to his allegiance. He promised to remedy all the abuses which had made the late viceroy so detested, and caused announcement to that effect to be made throughout the land. Nothing could possibly be gained, he pointed out, by continuing the revolution, when everything it had sought to win had been conceded by the crown. This reasoning had visible results in various quarters. So deeply did it impress the commander of Pizarro's fleet, which was lying at that juncture in the harbor of Panama, that he finally surrendered the control of it to Gasca, who was thus at last possessed of the means of access to Peru.

But the president's arguments were wasted on the man whom of all others they were intended to persuade. Gonzalo Pizarro did not venture on the one hand to follow the advice of those who urged him to carry his revolt to its logical conclusion—to defy the Emperor and to proclaim himself king, as the Infante Alfonso had done at Avila in 1465; on the other, he refused to listen to Gasca. He was puffed up with pride by the victories he had won, and by the adulation that had been lavished upon him. He was slow to see that the army on which he depended was already honeycombed with disaffection as a result of Gasca's proclamations; and when the president finally landed at Tumbez, in June, 1547, with a well equipped force, he found to his deep chagrin that he was incapable of opposing him. His first thought was to

retire into Chile; but the way was barred on the shores of Lake Titicaca by an army twice the size of his own, under Diego Centeno, one of the few who had previously supported the royal representative against him, and who now seized the chance to raise his standard for Gasca. The military genius of his octogenarian captain, Francisco de Carbajal, gave Pizarro an unexpected victory in the engagement that followed, October 26, on the plains of Huarina, the bloodiest that had yet been fought in Peru; and the encouragement he derived from it led him to return to Cuzco, and risk everything on the issue of a battle with Gasca. But the president did not propose to fight until he was certain of victory. He spent the winter in collecting reinforcements, so that by the time that he advanced to the final encounter he commanded the largest, best equipped, and best disciplined European army that had ever trod the soil of New Castile—utterly different from the reckless adventurers of which his enemy's forces were principally composed. The issue was decided in the vale of Xaquixaguana, some fifteen miles west of Cuzco, on Monday, April 9, 1548. There was no battle. The followers of Pizarro, after a glance at the serried ranks of Gasca's advancing squadrons, deserted their leader, and rode over in large companies to the foe. The last of the conquistadores endured the terrible mortification of being obliged to surrender without a fight.

The judgments on the way in which Gasca utilized his victory vary widely according to the viewpoints of those who have pronounced them. For some he is the faithful, benevolent, effective servant of the crown, stern only because the situation in which he found himself demanded it; for others he is but an ignoble and revengeful cleric, who, beginning with insincere professions of pardon and conciliation, left behind him a trail of blood, and departed with his pockets full and the chief administrative problems of the country unsolved. But if we approach the problem from the sixteenth-century standpoint, we shall infallibly conclude that this latter verdict is too harsh. It was not an age of mercy, least of all to the authors of revolts against the crown. It was a foregone conclusion that the chief rebel and his principal officers should be condemned to death. One cannot refrain from pitying the last of the Pizarros, the only one of them who had survived the entire sixteen years of the conquest. He had many admirable qualities, and was in the prime of life when he was sent to execution; but under the circumstances it was impossible that he should have been allowed to live. Nor is it fair to blame Gasca for returning to Spain without fully settling the government. He had been sent out with exceptional powers for a specific purpose, the putting down of the insurrection; having accomplished it, and initiated reforms which were essential for the prevention of its recurrence, he went back in 1550 to report to the crown, was rewarded with a bishopric, and died in 1567. There was one more small rebellion in Peru, after his departure, that of Francisco Giron, who, dissatisfied with his share of the spoils of the victory over Pizarro, raised the standard of revolt at Cuzco; but it was put down without much difficulty in 1554, and would have been suppressed even sooner than it was, had it not occurred in the interim between the administrations of two viceroys, when the forces of the government were without a leader. The real credit for ending the regime of the conquistadores in Peru, and of establishing the authority of the crown in its place, is principally due to Pedro de la Gasca.

We must now pass on rapidly. After Gasca's departure the chief authority in Peru was exercised by the members of the audiencia for more than a year, until the arrival of a new viceroy, Antonio de Mendoza, sent down, in September, 1551, after a long experience in Mexico. Ten months later he died, to be succeeded, after an interval of nearly four years, by his distant cousin, Andres Hurtado de Mendoza, Marquis of Cañete, who continued to hold office till 1561. These officials were chiefly busied with problems of administration which do not concern us here; but the period of their rule witnessed a further extension of the Spanish territories to the south, and thus rounds out the story of the conquest of Chile. Valdivia, as before, was the principal hero of it. On his return to Peru in the winter of 1547-48, he

promptly joined the forces of la Gasca, and lent invaluable aid in the ensuing campaign that ended at Xaquixaguana. La Gasca rewarded him with his heart's desire, the office of governor of Chile in the name of the king; and after issuing triumphantly victorious from an investigation of his conduct which was demanded by his enemies, he returned to Santiago in the summer of 1549. The chief thing that occupied him thenceforth was the prosecution of the war against the Araucanians. In 1550-51 he led armies into the south of Chile, and founded the cities of Concepcion, Valdivia, and Villarrica. In 1552-53 he penetrated still farther into the territory of the foe, and left small garrisons. But Valdivia had forgotten that the natives of southern Chile were of sterner stuff than the Incas or the Chibchas, and he was to pay for his error with his life. A tremendous uprising of the Araucanians ensued. The principal stronghold of the Spaniards was taken, and Valdivia was called south to suppress the revolt. In the battle that followed, on New Year's Day 1554, at Tucapel, near the mouth of the river Lebu, he was overwhelmingly defeated and made prisoner; and subsequently tortured to death by his captors, who cut off his arms, roasted them, and devoured them before his eyes.

The death of Valdivia threw the affairs of Chile into confusion. There were a number of claimants for his vacant office, of whom the most prominent were Aguirre and Villagran. But Aguirre had been invested in 1552 with the government of the rich mining region of Tucuman to the northeast, where by striving to render himself independent of all superiors save the home government he had become an object of suspicion to the local authorities; while Villagran had been severely defeated in an effort to retrieve the disaster of Tucapel, and was, in addition, so jealous of Aguirre, that the viceroy, who claimed the decision of the matter, was unwilling to grant him the prize that he desired. The final outcome was the appointment, on January 29, 1557, of the viceroy's son, Garcia Hurtado de Mendoza, to the governorship of Chile—two rival veterans of the conquest being thus obliged to cede their claims to an inexperienced youth, not yet twenty-two years of age. The results of the discontent that this arrangement produced must be reserved for consideration in a later volume; for the present we are only concerned with the new government's conquests. Some of these were made in Aguirre's old dominion to the south of Tucuman, where the city of Mendoza, named in honor of the viceroy, was founded in March, 1562; but most of them were effected at the expense of the Araucanians. The natives were defeated in three battles, on November 30, 1557, and on January 20 and December 14, 1558; their leader Caupolican was captured, and cruelly put to death; and exploring expeditions by land and sea penetrated as far as the Strait of Magellan. But the power of the Araucanians was not yet broken, as the history of the succeeding years was to prove; and the heroism of their resistance was immortalized by a young soldier in the Spanish forces named Alonso de Ercilla y Zuniga, in an epic poem which has been held worthy of comparison with the masterpieces of Homer and of Torquato Tasso.

In order to round out the history of the conquest of New Castile under Charles V, we must briefly recount the explorations of the Spaniards in the region of the Rio de la Plata, first discovered by Juan Diaz de Solis in 1516. The story of these events is closely interwoven with the careers of at least two of the conquistadores whose acquaintance we have already made in other parts of the New World.

It will be remembered that in the spring of 1526 the Emperor and a group of Seville merchants cooperated in the sending out of a fleet under Sebastian Cabot, to follow up the explorations of Magellan and Loaysa, and, if possible, to find a strait through the Western Continent. But the aims and ambitions of the commander and his subordinates were widely at variance. Disaffection broke out shortly after the departure of the fleet, which Cabot directed to the shores of Brazil; the leaders of the malcontents were arrested and then released; the

flagship was lost and everything thrown into confusion. At this juncture reports were received of large quantities of gold and silver that were to be found in the basin of La Plata. There was always the bare possibility that the estuary might prove to be the longed for strait; and as the expedition was in no fit state to face the perils of the Pacific, it was decided to ascend the great river of the Argentine. Three dissentients were marooned on the island of Los Patos; and in the spring of 1527, Cabot started to follow up the explorations of de Solis. He went up the Parana beyond its junction with the Paraguay, which he subsequently also ascended, probably as far as its confluence with the Pilcomayo. He founded a small settlement, which he called San Espiritu, on the lower reaches of the Parana. But his followers were decimated by disease and by Indian attacks; the promised gold and silver were not found; there were rumors of Portuguese hostility; and in 1528 Cabot started back to Spain, to report to the Emperor and demand reinforcements. On his way down the river he met with an expedition led by a rival explorer—one Diego Garcia de Mogueer—under a royal *capitulación* not very different from his own; but as the outlook was so discouraging, and the priority of Cabot's claims seemed clearly established, the newcomer soon decided to retire. Both men reached Spain in the summer of 1530, but neither of them got authority to continue their explorations; and the colony at San Espiritu was abandoned two years afterwards.

Yet their venture was soon to be repeated by others on a larger scale. Clearly La Plata was not the entrance to the strait which all men longed to find; on the other hand it might well be the opening of a shorter and more convenient route to Peru, whose vast riches were rapidly getting to be the talk of all Spain. It was chiefly with this idea that Pedro de Mendoza, a native of Guadix and a soldier in the Italian wars, applied for and obtained from the Emperor in the year 1533 a license to colonize the new region at his own expense, with the titles of adelantado and governor of the settlement he proposed to found. Over 2000 Castilians accompanied him, some of them already distinguished; and there were also a considerable number of Germans. Many of these were sent out through the instrumentality of the great house of Weiser, which had but recently established itself on the shores of Venezuela and was striving to strengthen its foothold in the New World, while others enlisted in a military capacity; among them was Ulrich Schmidel of Straubing, who wrote the earliest account of the colonization of La Plata. The expedition left Spain on August 24, 1535; there were violent disputes as to the route to be followed, which led to the murder, at Mendoza's command, of his principal lieutenant, Juan de Osorio; but in January, 1536, the fleet reached the mouth of La Plata, and in the middle of the following March a settlement was established, on the site of the present capital of the Argentine Republic, and given the name of 'Nuestra Señora de Buen Ayre. But misfortunes followed thick and fast. Lack of provisions and Indian attacks played havoc with the colony at Buenos Aires; it ultimately had to be abandoned, and the remnants of it moved up the river to the mouth of the Pilcomayo, where the town of Asuncion had been founded in 1537. An expedition into the northwest in search of Peru perished miserably in the wilderness; and finally Mendoza, worn out and discouraged, started back to Spain; but "when he was come nearly half way, the hand of the Almighty so smote him that he died miserably."

The survivors who had been left behind gathered together at Asuncion, and elected Martinez de Irala to be their governor. The Indians of that region proved more friendly than the rest; "they were so scrupulous in the observance of the treaties they made with the invaders, that they exceeded the terms of the obligations imposed," and a period of peace and prosperity ensued. Since no gold or silver was anywhere to be found, the Spaniards naturally settled down to a life of ease; their principal occupation was to find themselves wives. The Guarani women were apparently most attractive to them, and polygamy and concubinage became open and avowed. Irala married all seven daughters of the principal cacique, and desired in his will that the children whom they bore him should be considered and treated as

Spaniards. It was perhaps the first large-scale experiment in the history of Latin America in the practice of indiscriminate mingling of the two races—a practice which was to increase apace in the succeeding years, and is the key to many of the most fundamental differences that exist today between the United States and its neighbors to the south.

But Irala and his associates were not left undisturbed by the home government in the happy seclusion of the forests of Paraguay. As soon as Charles learned from Mendoza's companions of the death of the adelantado and the misfortunes of his colony, he determined to send out reinforcements to the survivors, together with a new governor appointed by the crown. In looking about for a fit man for this arduous office, his eye fell on our old acquaintance Cabeza de Vaca, who, after his perilous journey from the Gulf of Mexico to the Pacific, had returned to Spain and settled at Seville. But the veteran explorer found European city life dull after his adventures in the wilderness. His interest and imagination were constantly stirred by the stories from the ships that cast anchor in the Guadalquivir, and he eagerly seized the opportunity that was offered him to take out reinforcements to the colony at La Plata, and assume command of the settlement on his arrival. In the end of 1540 he set sail from San Lucar with 400 men and forty-six horses; after a tempestuous voyage, and a series of adventures on the Brazilian coast and the lower waters of the Parana, he reached Asuncion on March 11, 1542. He had no mind to continue the policy of Irala, who, on his arrival, was necessarily relegated to second place. He led vigorous campaigns against the more hostile of the Indian tribes, sent fresh exploring expeditions into the interior, and in general roused the colony to a far greater degree of activity than it had ever known before. But it was inevitable that he should make numerous enemies. The older settlers were hostile to his ideas, and profoundly jealous of the new comers he had brought with him; they longed for a return to the more patriarchal regime of Irala. In the course of an expedition which he had led up the river, the governor had contracted an inflammation of the eyes, and the malcontents took advantage of it to effect his removal. In 1544 he was arrested without warning in his house at Asuncion, hurried on board a boat, and packed off to Spain, with a long list of charges against him, on which he was tried shortly after his arrival. The verdict was not rendered till 1551, when he was condemned to an exile of three years in Africa; whether or not the sentence was actually enforced is uncertain, but it seems clear that he was ultimately restored to the favor of the court, and given a judicial office in the city of Seville.<sup>1</sup> On his departure from Asuncion, Irala was reelected to the governorship of the colony, and was subsequently confirmed in that position by the home government. He was given the title of adelantado, and continued to rule the settlement till his death in 1556.

This brief concluding account of the early exploration and settlement of the basin of La Plata, and the enormous importance and prosperity which that region was subsequently to attain, must not make us forget that the centre of gravity of New Castile remained in Peru until well on into the eighteenth century. We have seen that one of the chief inducements that led the Spaniards to explore the valley of the great river was the hope that it would afford them a shorter route to the richer territories farther west; and though that hope was not realized in such fashion as to be of much practical value in colonial times, it did not cease to inspire the early settlers of the Argentine. To put it in other, and still more familiar words, the conquistadores were primarily in search of the precious metals; and they neglected to develop regions which did not afford these, though they were easier to reach, and often pleasanter to live in. Many of the gravest errors of the Spaniards in the New World are directly traceable to their failure to realize that agriculture is the stablest source of a new country's material prosperity; and the economic history of Latin America since the revolutions has served to emphasize the seriousness of their mistake. The grain country of La Plata, regarded by the

Hapsburg sovereigns as a comparatively unimportant outpost, was ultimately to become the greatest of all centres of South American wealth.

CHAPTER XXX  
THE ADMINISTRATION OF THE INDIES

THE growth of the American territories of Spain proceeded at such a rapid pace during the reign of Charles V that the system of administration which he had inherited from his grandparents became manifestly inadequate before he had been many years upon the throne. At his accession he had probably regarded the American continent, in so far as he had any conception of it at all, chiefly in the light of a vexatious barrier, impeding his access to the Spice Islands beyond. At his abdication he realized that it was his principal source of wealth, the financial foundation on which the power of the house of Austria reposed; that his territories there were vastly more extensive than all the rest of his Empire put together; and that the Christianization and civilization of the Indians who inhabited them constituted a problem and a duty far more difficult than he had originally supposed. Small wonder if his reign witnessed an enormous development and ramification of the colonial system of the Catholic Kings.

Yet it is important to observe that all the fundamental principles that underlay the American policy of Ferdinand and Isabella were preserved virtually unaltered by their successor. The crown kept the exclusive control of the new dominions in its own hands. It created and maintained a separate set of institutions to aid in their administration, which was thus totally distinct from that of any of its European possessions. It kept a close watch on its representatives in the Indies; and it imposed such manifold limitations upon them that they were constantly under the necessity of referring to the home government. It rigidly supervised immigration to the New World, which, save in special cases, was theoretically restricted to the inhabitants of Castile; and trade was subjected to the same close surveillance. It persisted in regarding all the natives as subjects of the Castilian crown, and put forth edicts and laws to regulate their existence. All the development of the administration of the Indies under Charles V was accomplished in full consonance with these basic ideas. The changes consisted in the manner of their application to a vastly larger field.

Let us start with an investigation of those organs of the government of the Indies which resided in Spain, and there aided the Emperor in his efforts to perform the wellnigh impossible task of dictating, executing, and interpreting the laws for his overseas dominions at a distance of more than three thousand miles. These were the Council of the Indies and the *Casa de Contratación*.

The Council of the Indies was given its first definite and legal existence by the Emperor in August, 1524. Until that time, as we have already seen, its functions had been discharged by Juan Rodriguez de Fonseca, and a number of subordinates associated with him; but there was increasing discontent with Fonseca's management, and his death in March, 1524, gave Charles a welcome opportunity to reorganize his work. As constituted at the close of the Emperor's reign, the Council was composed of a president, the grand chancellor of the Indies; eight councilors; a fiscal, or prosecutor on behalf of the crown; and two secretaries, one for New Spain and the other for New Castile. A number of minor officials were also attached to it, among them a professor of mathematics and a cosmographer-chronicler (*cosmografo-cronista*) this last post was to be held by Antonio de Herrera under Philip II and Philip III. The

Council was to reside continuously at the royal court, so as to be constantly in touch with the sovereign whom it served; the Emperor might preside over it in person at any time. Its competence in regard to the affairs of the Indies was literally all-inclusive, exactly like that of the Council of Castile in the home government; and all other officials and tribunals in the realm were solemnly forbidden to meddle in its affairs.

Most of the laws that have come down to us in regard to the methods in which the Council of the Indies was to exercise its manifold functions are from the reigns of Philip II and his successors, when the system which the Emperor evolved was confirmed and established; but many of the provisions then enacted were actually operative before the end of Charles's lifetime. In its legislative capacity the Council prepared all the laws and ordinances regulating the government of the new domains; but its activity in this respect must not be regarded as primarily original, for its chief function was to give legal form to the principles and ideas which emanated from the crown, and to see that they were duly communicated to the officials who administered them. Only in matters of detail was it granted a free hand. It was in the execution of these laws that its chief responsibility really lay, for the Emperor had no time to devote to this arduous task, and could only approve or disapprove what his advisers proposed. It was the Council that corresponded with the adelantados, viceroys, and *audiencias*, watched over their conduct, and made arrangements for their residencias. It was the Council that proposed candidates for every vacant office in the Indies whether temporal or spiritual; for the administration of ecclesiastical affairs also fell within its jurisdiction. It was the Council that devised the limits of the different territorial divisions of the New World. It was the Council that was entrusted with the supervision of the treatment of the Indians. And finally the Council acted as a superior court of appeal in suits arising in the Spanish dominions in the Indies, one year being always allowed for bringing the matter across the seas; and it gave judgment in first instance in certain cases of the highest importance. For the exercise of their exalted functions its members were granted all the privileges and exemptions that were enjoyed by other advisers of the crown. It took conspicuous rank in that great hierarchy of councils and juntas which formed the backbone of the government of the Spanish Empire.

Its personnel under Charles V deserves passing attention. Its first president, in fact the real successor of Fonseca, was Francisco Garcia de Loaysa, the Emperor's confessor, and head of the order of the Dominicans in Spain—a fact which bears eloquent testimony to the genuineness of Charles's zeal the propagation of the faith, and for his determination to Christianize the inhabitants of the New World. When Loaysa went to Rome in 1530 to receive a cardinal's hat at the hands of Clement VII, his place at the head of the Council of the Indies was temporarily taken by Garcia Manrique, Count of Osorio, and *asistente* or chief justice of Seville. The responsibilities of the office were, however, really borne during that period by Francisco de los Cobos, who in addition to his other multifarious duties was most active in American affairs; indeed Cobos's interest and influence in the administration of the Indies continued long after Loaysa's return. On Loaysa's death in 1546 the presidency of the Council went to Luis Hurtado de Mendoza, Marquis of Mondejar, who held it till he was called by Philip II, on December 22, 1559, to be the president of the Council of Castile. He is described as having rendered particularly valuable services in connection with the differences that had arisen between Spain and Portugal over the demarcation of their respective conquests in the New World; and he was the brother of Antonio de Mendoza, viceroy of New Spain and of New Castile. This relationship was naturally most helpful to him as president of the Council of the Indies. The worst of the many difficulties which that body had to contend with was its dense ignorance of conditions in the New World; and it was still too early to apply the obvious remedy, namely, the selection of a considerable number of its members from ex-magistrates who had seen service in the Indies. The evil, however, might be mitigated if a measure of

cooperation between the officials on both sides of the water could be insured through family ties; and this consideration was doubtless uppermost in Charles's mind when he appointed Mendoza to his important post. The names of all the minor members of the Council have not come down to us; but it appears that in 1542—an important year in the history of the administration of the Indies—the Council underwent a rigid inspection and reorganization, “as a result of which two of the four *Oidores* who were in it were put out.”

The Emperor's reign likewise witnessed a notable growth of the organization, powers, and personnel of the *Casa de Contratación*—first established at Seville by Ferdinand and Isabella in 1503, with a treasurer, a comptroller, and a business manager as its chief officials. Ordinances of the years 1534, 1536, 1539, and 1543 formed the basis of the new development; most of these laws, which were first collected and published in 1552, are comprised in book IX of the *Leyes de las Indias*. But the fundamental purpose of the institution, the maintenance of strict government control over all trade and travel to and from the New World, remained unaltered; the only changes were those which were rendered necessary by the enormous growth of the work that it was created to do.

The *Casa* continued to reside at Seville, the sole port for American ships at the Emperor's accession. Its office hours, however, were henceforth much longer; and each of its three original chief officials—*jueces oficiales*, as they now were called—had the services of a number of secretaries and clerks, so that each one became, in effect, the head of a department in a government ministry. It now had a private chapel and chaplain, a prison, and a jailer, whose principal functions were those of a man of all work. Its offshoots—the chief pilot's bureau, the post office, and the School of Navigation—were also all developed and enlarged; the latter evoked the admiration of visitors from other lands, especially of Englishmen. In the early part of the Emperor's reign a *cosmografo y maestro de hacer cartas* makes his appearance; by the year 1536, when the office was conferred on Alonso de Santa Cruz, the title had been changed to that of *cosmografo*, or *cosmografo mayor* de la casa de Sevilla.

The period is also marked by the creation of two new institutions, both primarily designed by Charles to lighten the burdens of the *Casa*, and to meet various complaints of the delays that it caused, though the first of them was not entirely successful in attaining these ends. This was the so-called *Juzgado de Indias*, established in response to ceaseless grumbings from sailors and merchants about the difficulties and dangers of the bar of San Lucar, and the necessity of crossing it in order to get up the Guadalquivir to Seville. Various half-way measures to solve the difficulty having been attempted in vain, it was finally decided, in the year 1535, to set up a *juez oficial* in the city of Cadiz, to act in conjunction with the officials of the *Casa*, and to permit the loading and unloading of ships for the New World to take place at that port under his immediate supervision. The *juez oficial* was a nominee of the crown, and the *Casa* at first was expected to appoint deputies to assist him; but such was its jealousy of the functionary at Cadiz that it not seldom failed to do so, with the final result that in 1556 the new official was commanded to perform his duties alone. In reality the authority of the *Casa* was in no wise infringed by the establishment of the *juez* at Cadiz, for all of his acts had to be fully reported and sanctioned at Seville; and the fact that the *Casa* persisted in regarding him rather in the light of a rival than of a helper is a sad commentary on the characteristics of the officialdom of the time.—The other new body which took shape under Charles V was the *Consulado* of Seville, erected in 1543. Such *consulados* or guilds of merchants were already in existence in other Spanish cities, and when the Sevillans interested in the American trade petitioned the Emperor for a license to create one, he readily acceded. He hoped thereby, as he had hoped by the *Juzgado*, to diminish the work and the responsibilities of the *Casa*; and in this case he was not disappointed. The *Consulado* was represented by a prior and two consuls, elected annually by the indirect vote of the merchants of Seville engaged in the American

trade. Its principal functions were to settle all civil suits between members of the body it represented, to see to it that their common interests did not suffer from the greed of any one of their number, and to frame rules and regulations for its own procedure, which was far simpler and more expeditious than that of the ordinary law courts. It held its sittings at the Alcazar, in close touch with the Casa, which was generally grateful for the relief it afforded, and showed it none of the hostility that it had exhibited toward the *Juzgado*.

Meantime the judicial authority and functions of the Casa itself, of which traces were already discernible in the time of Ferdinand and Isabella, were placed on a firm footing by Charles's ordinance of the year 1539. It was granted criminal jurisdiction over those who infringed its own regulations, or committed misdeeds on the voyage to or from the New World. It was also given cognizance of all civil suits which in any way affected the revenues of the crown, or involved the violation of the laws for the American trade, with an appeal, if the case was particularly important, to the Council of the Indies. The erection of the Consulado, in 1543, relieved the Casa, as we have already seen, of civil cases between different merchants; but even in spite of this its judicial activities increased so rapidly that a separate court, composed of two *oidores*, had to be added to the other departments in 1583.

The subject of trade and navigation naturally follows that of the Casa which regulated them, and forms a convenient bridge between the organs of the Indian administration which were resident in Spain and those which were established in the new dominions. Certain phases of this part of the story reflect great credit on the Emperor's judgment, and mark off his Indian policy in agreeable contrast to that of his grandparents and that of his son.

Under Ferdinand and Isabella, as we have already seen, the American trade was wholly concentrated in a single port, at Cadiz till 1503, and afterwards at Seville; and the same was the case during most of the reign of Philip II. But Charles, whose more cosmopolitan experience gave him a truer vision of the opportunities involved, showed a disposition to extend to other Castilian seaports the privilege of engaging in commerce with the Indies. It seems fair to assume that it was the Flemish advisers of the early years of his reign, who turned his thoughts in this direction; and that they urged the advantages of the Biscayan ports, which were so conveniently situated for their own interests. A petition of the Cortes of 1520 serves to show that the idea of moving the *Casa de Contratación* away from Seville was very much to the fore at the time; and shortly afterwards the Emperor was presented with the 'memorial' referred to in a previous chapter, pointing out the advantages of Corunna as a center of trade and navigation. It seems clear from the language of the 'memorial' that the Casa de Contratación whose establishment at Corunna it recommended was for the purpose of the Moluccan and not the American trade; and we know that on December 22, 1522, Charles gave orders for the setting up there of a Casa de Contratación "para armamento de flotas y comercio con el Maluco". But a passage in Herrera would indicate that nothing was actually done about the matter, on account of the objections of Seville, until at least as late as 1525, nor does there seem to be any definite evidence of the existence of a Casa at Corunna even afterwards: moreover if such a body was ever actually established it must necessarily have been abandoned in 1529, when the Moluccas were sold to Portugal. On the other hand the discussion of the project of setting up a 'Casa de la Especeria' at Corunna had served to draw so much attention to the advantages of the chief Biscayan ports, that Charles resolved that they should be given a chance to participate in the American trade. In the same year, 1529, he gave orders that Corunna, Bayona, Aviles, Laredo, Bilbao, and San Sebastian; Malaga and Cartagena on the Mediterranean; and Cadiz on the Atlantic were henceforth to share the privilege of sending ships direct to the Indies. All ships so sent must indeed return to the

Guadalquivir and there be received by the *Juzgado* and the *Casa*—doubtless for fear that otherwise the royal quinto might be lost; it is, moreover, a significant fact that the traditions of the time of the Catholic Kings were too firmly established to permit the Emperor to extend similar privileges to any of the seaports of the realms of the crown of Aragon; the profits of the Indies were to be restricted to Castilians. But what is far more remarkable than the limitations to the Emperor's concessions is the fact that little or no advantage was taken of the privileges that he did concede; the license he granted "remained for the most part a dead letter." There were certain obvious excuses for the failure of these favored seaports to seize their opportunity—inadequate appreciation of the importance of the Indies, complaints by the Sevillans of the infringement of their monopoly, and most of all the activities of the French and Turkish corsairs; the Biscayan ports offered golden opportunities to the one, and Malaga and Cartagena to the other, while Seville was far harder for both of them to reach. The traditions of the preceding reign may also be made to account for something. At any rate the fact remains that, save for the temporary outburst of enthusiasm on the part of Corunna in the early years of the reign, there is practically no evidence that any of the ports to which the decree of 1529 applied showed any real interest in availing themselves of its provisions. Charles must have been much discouraged at the failure of his honest efforts to throw open the American trade to the other ports of Castile; but Philip, who personified the Spanish point of view, finally revoked his father's concession in 1573.

The Emperor's distrust of the economic capacities of his Spanish subjects also led him, in the year 1526, to abrogate the restrictions which excluded all others than Castilians from the privileges of trade and emigration to the New World. The principal beneficiaries of the Emperor's liberality in this respect were not, as in the time of Ferdinand, the inhabitants of the realms of the crown of Aragon, but rather the Italians, and still more the Germans, in whom Charles discerned large capabilities for the development of the Indies. The great banking houses, to which the Emperor was under heavy pecuniary obligations, were naturally the first to avail themselves of the new privilege. In 1525 the Welsers established themselves in Seville and in Santo Domingo, and began to take a leading part in the American trade. Three years later, in conjunction with the Ehingers of Constance, they made contracts with Charles for the importation of negro slaves and German miners, to aid and instruct the Spaniards in the development of their new domains. It was also at this juncture that they were granted Venezuela, and they participated in Mendoza's attempt to colonize La Plata in 1534. Their rivals, the Fuggers, were not far behind. In 1530 they were granted a license to colonize and develop the Pacific coast, from the southern limits of Pizarro's jurisdiction to the Strait of Magellan. They were to be ready to embark on this arduous undertaking not later than the first of January, 1533, but for some unknown reason the enterprise was given up. The high-water mark of German activity in the Indies was passed, in fact, before the middle of Charles's reign. The Welsers were forced to retire from Venezuela before his death, and their other undertakings were abandoned one by one. Spanish hostility was undoubtedly the chief explanation. The Council and the Cortes made incessant complaints of the presence of the detested foreigners in the new domains, and though he never formally abandoned his policy of admitting them, Charles found it convenient, in the latter years of his reign, to give secret instructions to the Casa to find excuses for their exclusion. It was but another instance of the progressive Hispanicization of his point of view; and his son, at his accession, lost no time in reverting to the principles of earlier days, and legally restricted emigration to Castilians.

In other respects the Emperor's policy in regard to those who wished to settle in the New World was entirely in accordance with that of his grandparents. Never had the provision excluding infidels, heretics, and their descendants been more rigidly enforced, nor *limpieza de sangre* been more rigidly insisted on. The early part of Charles's reign also witnessed a

number of wise, though unsuccessful, attempts to prevent the neglect of agriculture for the search for gold, and the consequently nearly universal tendency to abandon the islands for the mainland. Various attractive privileges were offered to prospective colonists who were willing to till the soil; and in 1526 all migration from the Antilles to the continent was forbidden, under pain of confiscation and death. But the tendency the other way proved too strong to be resisted. The edict of 1526 was first modified and then ignored, and the search for the precious metals so overshadowed all other occupations that the colonies were temporarily converted into a “mining speculation on a gigantic scale.”

It was during Charles’s reign that the practice of communicating with the New World by two annual treasure fleets—the ‘galleons’ and the ‘flota’—was gradually built up, though the system was not finally established till the time of Philip II. At first the ships sailed either singly, or in small companies; but before long the activities of French corsairs made it evident that some sort of armed protection would be necessary, and that, for the convenience of affording it, there would be certain advantages in having the vessels grouped in larger squadrons. As the wealth of Mexico and Peru was gradually revealed the danger increased apace; for the pirates would take desperate risks for a chance to capture the rich cargoes of gold, particularly whenever France and Spain were at war. In 1537, accordingly, a large armada was sent out under Blasco Nuñez Vela, to collect the gold and silver of New Spain and New Castile, and convoy it in safety to Seville; in 1543, and again in 1552, the same experiment was repeated on a larger scale. In the year 1543 we have the first hint of the later practice of definitely prescribing the routes and dates of sailing of the different squadrons—a policy which ultimately facilitated the very piracy it was intended to prevent; but at the same time there is evidence of a tendency to adopt the wiser plan of obliging each merchantman to be sufficiently large and well armed to enable it to beat off the corsairs without assistance, and of permitting each vessel to depart and return alone. It is unnecessary to follow the ebbs and flows of the conflict between these rival policies during the later years of the Emperor’s reign. Suffice it to say that the system of large fleets, with convoys to protect them, and regular routes and dates of departure, was the one which finally prevailed; we shall later study it full fledged under Philip II. Since the practice of having regular convoys was not definitely established in the Emperor’s reign, it is natural to find that the status of the so-called *averla*, or tax on imports and exports, to defray the costs of the protection of the merchantmen, was likewise unsettled during this period. The rate, at the outset, was probably about 1 per cent; but later there are instances of 5 and 6 per cent being charged; a fair average for the reign would probably be from 2 to 2’5 per cent. The manner of collecting it was also undecided, and caused endless discussions between the Casa and the Consulado.

A document in the *Archivo de Indias* gives the number of registered outgoing vessels from Spain to the Indies during the forty years of Charles’s reign as 2421; the figures for those returning as 1748. The highest outgoing number in any one year is 101 (for 1549), the lowest 3 (for 1554); the highest returning is 84 (for 1551), the lowest 10 (for 1516 and 1524). Additional lists tell us that four outgoing vessels were lost in 1549 and 1550, and no less than fifty-four returning ones between 1549 and 1555. This last figure was doubtless chiefly due to the activities of the corsairs.

A few words may not be amiss in regard to the ways and means of local traffic in the Antilles and on the mainland, supplementary to what has been said about the transoceanic voyages. The principal centers of it on the Atlantic side were Havana, Vera Cruz, and Nombre de Dios; on the Pacific, Panama and Callao. Havana, which gradually replaced Santo Domingo as the chief port in the islands, was primarily important as the point of reunion of all ships for the eastward voyage; indeed, until the discovery of the agricultural wealth of Cuba in the succeeding centuries, it drew most of its life and prosperity from the sojourn of the vessels

in its harbor. Vera Cruz was the gateway to Mexico and the territories of the audiencia of New Galicia. But Nombre de Dios was the most important of all; for it was there that all the passengers and cargoes to and from New Castile were landed and embarked. It corresponded to Panama on the other side of the Isthmus. The town, which had been temporarily established by Diego de Nicuesa in the reign of the Catholic Kings, was refounded in 1519 by order of Pedrarias, at the same time that the capital of the colony was moved across to Panama from Darien. The problem of determining the most convenient route across the Isthmus was ardently debated during the Emperor's reign. At first there was only a rough mule path, eighteen leagues in length, connecting Nombre de Dios with Panama; but as the riches of Peru were gradually revealed, the possibility of utilizing the lower reaches of the river Chagres for part of the distance was vigorously taken up. This stream, whose channel was to be of such great help in the construction of the Panama Canal, empties into the Atlantic just west of Nombre de Dios. In 1536, a station called Venta Cruz was established at the head of navigation thereon; and thenceforth, save in the dry season, the easiest and cheapest way across the Isthmus was eighteen leagues on the river from the Atlantic to Venta Cruz, and five leagues overland from Venta Cruz to Panama. The so-called Armada of the Southern Sea, or Pacific fleet, carried passengers and goods between Panama and Callao (the seaport of Lima), touching at Payta and Truxillo on the way, and collected and distributed throughout the viceroyalty of New Castile.

There were also many subsidiary ports on the shores and islands of the Caribbean—notably Cartagena on the north coast of South America—which had to be kept in touch with Spain; and the consequent dispersal of the great fleets on their arrival in western waters gave the corsairs an opportunity which they utilized to the full. So accustomed are we to regard the sacking of Spanish towns and the capture of Spanish treasure fleets as the work of Englishmen, that we tend to forget that the example which they were to follow with such astounding success in the time of Queen Elizabeth was originally set them by the French. The waters off these minor ports were the pirates' favorite hunting ground. They would lie in wait, outside the harbors, for incoming and outgoing ships; then, when they were sure that the coast was clear, and that the inhabitants had not the aid of the crews of transient vessels to help them in defending themselves, they would swoop down on the unsuspecting settlers, pillage homes, carry off valuables, and not seldom extend their depredations into the interior. The inhabitants constantly complained to the Emperor of the inadequacy of their defenses, in terms strikingly reminiscent of those used by the Mediterranean towns when suffering from the ravages of the fleets of the Barbarossas; but there is little to show that these appeals were heard. How far the French corsairs were operating on their own initiative, and how far their activities were connived at by the French government, it is impossible to determine; but it is fair to say that the worst of their depredations synchronized with the periods when France and Spain were at war.

Spain is also commonly thought of as a country of many customs dues and internal tolls; and many of our readers may consequently be surprised to learn that, until the latter part of the Emperor's reign, practically no duties at all were imposed at Seville on imports from, or exports to, the colonies in the New World. The only exception was on luxuries sent out from Spain to the Indies, and the quantity of these was so small as to be practically negligible. At the other end, an import tax or *almojarifazgo* of 7½ per cent had been charged from the very outset, the rate representing the ordinary import duty of 5 per cent plus the 2½ per cent of export duty which had been remitted at Seville; but the receipts therefrom had been exclusively applied to colonial uses, and exports to Spain had been totally free; the treasury of the mother country had thus far profited nothing from imposts on the American trade. But a change came in 1543, a fruitful year in colonial legislation. The war with Francis I was at its

height, and it is natural to infer that the need of getting funds for it was the principal reason for the adoption of a new policy. In that year it was decreed that an export duty of 2½ per cent should be collected at Seville on all commodities shipped to the New World, the rate of the *almojarifazgo* at the other end being simultaneously reduced to 5 per cent. The effect of this measure was simply to transfer one-third of the proceeds of this revenue from the colonies to Spain; the amount collected remaining the same. On goods entering Seville a new *almojarifazgo* of 5 per cent was simultaneously imposed, and also a 10 per cent *alcabala*, to be paid at once to the officers of the Casa, irrespective of whether the commodity in question was subsequently sold or not; in other words, what practically amounted to an import duty of 15 per cent was levied at Seville after 1543, so that everything brought back to Spain from the colonies paid charges at double the rate of exports to the Indies. Needless to add, all the proceeds of this import duty—and, in fact, seven-ninths of the total customs revenues both ways—were henceforth turned over to the crown, which was free to utilize them in any way it saw fit; only the 5 per cent *almojarifazgo* which was collected in the Indies was specifically devoted to colonial purposes. Needless also to add, the rate rapidly rose in the succeeding reigns; and the business of imposing and collecting the new duties added another to the long list of the responsibilities of the Casa.

The problem of estimating the amount of gold and silver that was drawn from the Indies in the Emperor's reign has proved very attractive to most of the historians of the New World from the sixteenth century until today. As the present writer has been unable to make any original investigation of this topic, he cannot do better than repeat the conclusions of the most recent and scientific authority on the subject, who has carefully scrutinized and compared the works of his predecessors. His estimates, in most cases, have effected substantial reductions of theirs; he gives the grand total, down to 1560, as 139,720,000 pesos of 8 reals, or 101,345,000 ducats. Some 10,000,000 pesos need to be deducted from this sum for the products of the islands and coast settlements on the Caribbean under the Catholic Kings; and perhaps 20,000,000 more for the income of the years after the Emperor's abdication. Thus 110,000,000 pesos would probably be a fair estimate for the forty years that Charles was on the throne, and of this the quinto would give the government 22,000,000. Until 1545 the yield of gold and silver was probably about even, the preponderance of the latter in Mexico balancing that of the former in Peru; but with the opening in that year of the famous silver mines of Potosi to the southeast of Lake Titicaca, the production of the white metal speedily forged ahead. The amounts increased apace as the result of the conquest and development of New Castile. Probably at least three-quarters of the total that was yielded by the New World while Charles was on the Spanish throne was drawn from it during the last quarter of his reign.

The evolution of the political institutions of Spanish America which resided in the colonies themselves presents certain interesting analogies to the mediaeval constitutional development of the different Christian kingdoms of the Iberian Peninsula, as the territory was slowly recovered from the Moors. In both cases the first outpost of the conquistadores was the municipality, with all its inherited traditions of self-government; in both it was gradually superseded and overshadowed by the more extensive and despotic authority of the central power and its representatives.

In the foregoing pages many instances have been given of the Spanish practice of founding a municipality directly on the occupation of any new piece of land, and the list of such cases might be indefinitely extended. It has been well said that whereas “in the English colonies of America the town grew up to meet the needs of the inhabitants of the country, in the Spanish colonies the population of the country grew to meet the needs of the towns.” All

this was fully in accordance with the best Iberian traditions, and the revival of the time-honored practice not unnaturally reawakened all the corresponding aspirations for municipal self-government. When Cortes wanted to shake off the authority of Velasquez, and get for himself the supreme command, under the crown, over his followers in the expedition against Mexico, he sought and obtained it from the *ayuntamiento* of Vera Cruz, to which, by that act, he assigned sovereign power in the new colony. When Armendariz was sent out in the year 1546 to take the residencias of the different claimants to New Granada, his first step was to get formal recognition of his authority by the local cabildos in order to preclude the possibility of encountering resistance in the name of the adelantado. In fact, throughout the whole of the Emperor's reign, the colonial municipalities enjoyed extensive powers. They generally elected the majority of their own magistrates. They were regarded as possessing a considerable, if indefinite, authority over the regions where they were. They claimed and frequently exercised the right to send representatives to Spain—like procuradores to the national assembly—to see to it that their desires were made known to the crown ; and it seems reasonable to assume, from the language of some of the Laws of the Indies, that the Emperor cherished plans for the meeting of colonial Cortes, on the model of those of Castile.<sup>1</sup> .Altogether it looked as if the finest Spanish traditions of urban democracy were destined to be revived and implanted in the New World. In later years the tide turned back. The centralizing tendencies ultimately proved too strong. Appointment by the crown representatives and hereditary tenure began to replace the free election of the city magistrates. The powers of the *cabildos* gradually declined; in fact, the same process that had taken place in the mother country in the fourteenth and fifteenth centuries was subsequently reproduced with but little variation in the colonies. But these later developments should not blind us to the strong foundation for municipal authority and self-government that was laid in the colonies during the period of Charles V; and the memory of it was to prove potent in the New World, as well as in the Old, at the time of the revolutions of the nineteenth century.

The more permanent heirs to the authority of the early conquistadores in the Spanish dominions across the Atlantic were the audiencias and the viceroys. It was through these two institutions that the crown and the Council of the Indies sought to have executed, in the colonies, the policy and laws that were devised for them in Spain.

We have hitherto encountered the term *audiencia* only in its original meaning of a tribunal or court of law; and such was its primary significance in the Spanish colonies. But the circumstances in which these institutions found themselves in the New World, and, particularly at the outset, the deliberate policy of the crown of Castile, served to thrust upon the colonial audiencias a large number of functions other than judicial. Their presidents—in those of Mexico and Lima this post was held by the viceroy himself—were the principal executive officers in the regions committed to their charge; and the audiencias, acting in conjunction with them as councils, were entrusted with “all matters with which governmental authority might properly deal”. A fuller account of the range of their powers, and the way in which they executed them, will be given a few pages later, after examination of the manner in which they were established; at this point we only hope to have made it clear that the colonial audiencias possessed political as well as judicial authority.

A tribunal of three royal judges had been set up at Santo Domingo by a decree of October 5, 1511, to act as a check on the governor of Espanola. We have already encountered it in connection with the early history of the conquest of Mexico, when it had taken a hand in the quarrels of Cortes and Velasquez; it apparently exercised all the powers of a regular colonial *audiencia* and was also proleptically referred to as such; it served in fact as a precedent for the

full-fledged institution there, which was formally established by imperial edict of September 14, 1526. The next one to follow was that of Mexico, first erected by a decree of the Emperor at Burgos, on December 13, 1527; it was subsequently to become the chief judicial and political body of the viceroyalty of New Spain, and the viceroy himself was to be its president. The third was that of Panama, created on February 3, 1535; it was abolished by the 'New Laws' of November 20, 1542, but was reestablished in 1563-64. The audiencia of Lima was set up by the 'New Laws', simultaneously with the suppression of that of Panama; it was to occupy in the viceroyalty of New Castile a position analogous to that of the audiencia of Mexico in New Spain. The next on the list was the audiencia of Santiago de Guatemala, also provided for by the 'New Laws', and formally established by decree of September 13, 1543; that of New Galicia (later Guadalajara) followed, on February 13, 1548; and the last of the seven to be created by the Emperor was that of Santa Fe de Bogota, July 17, 1549.

It is not easy to determine precisely how these different bodies were composed in the period of Charles V. The standard edition of the Laws of the Indies gives their membership as it was in the days of Philip IV and Charles II, but it is by no means safe to assume, as many historians have done, that they were constituted in the same way at the time that they were set up. We know that the viceregal audiencia of Mexico, as established by the Emperor's decree of December 13, 1527, was composed of a president and four *oidores*, a number which death soon reduced to two, while that of Lima, as established by the New Laws, consisted of a president and four *oidores letrados*; whereas in the *Recopilacion*, the composition of both bodies is given as eight *oidores*, four *alcaldes del crimen*, two *fiscales* (or crown prosecutors), and subsidiary officers—more than twice as many as in the time of Charles V. The five lesser audiencias were also originally much smaller than they were to be in the seventeenth century. That of Santo Domingo was composed, two years after it was set up, of a president and two *oidores*; but it is clear that its membership was sometimes larger and more often smaller than this, during the rest of the reign; its composition really varied from year to year, according to the exigencies of the immediate situation. The audiencia of Guatemala, as established by the New Laws, was made up of four *oidores letrados*, of whom one was to be president, and that of New Galicia, which followed in 1548, was originally composed of at least three *oidores*. Of the first composition of the temporary (1535-42) audiencia of Panama, it seems impossible, at the moment, to obtain authentic information; but we know that in 1550 the audiencia of Santa Fe de Bogota possessed two *oidores*. A fair average for these lesser institutions, then, would be three or four *oidores*, of whom one was usually president; and there seems to be no evidence of the regular presence of a 'fiscal.' In general these bodies, at the time they were set up, were about half as large as they subsequently became.

Each of these audiencias was given jurisdiction over a definite region—as definite at least as Spanish ignorance of American geography would permit—and an investigation of their boundaries will give us a good general idea of the chief political divisions of Spanish America as they existed at the end of the Emperor's reign. The audiencia of Santo Domingo held sway over all the islands of the Caribbean Sea, save those immediately adjacent to the coasts of Mexico and Central America, and over the northern coast of South America from the Rio de la Hacha eastward to the Guianas; all of what is now Venezuela, and the northeastern corner of the present republic of Colombia, consequently fell within its jurisdiction. That of Mexico bore rule over the southern and eastern parts of the present republic of Mexico, save Tabasco, Chiapas, and Yucatan, and over the coasts of the Gulf of Mexico around to the tip of Florida. Its boundaries on the north were the terra incognita of what is now the United States; on the west, the domains of the audiencia of New Galicia, which stretched away to the northwest of a line running about north-northeast from the neighborhood of Zacatula; and on the south, the Pacific, and the regions that belonged to the audiencia of Guatemala, which in turn included

everything between the Isthmus of Tehuantepec and the Isthmus of Panama. The lands of these four audiencias thus comprised everything contained in the viceroyalty of New Spain. Of the other three, all of which fell within the limits of the viceroyalty of New Castile, that of Panama, as we have already seen, was temporarily in abeyance at the time of the Emperor's death, so that in 1558 the territories of the audiencia of Lima included the Isthmus and everything which the Spaniards had conquered to the south of it, save the lands which fell within the jurisdiction of the audiencia of Santo Domingo, and those which had been assigned to the audiencia of Santa Fe de Bogota. These last comprised the bulk of the present republic of Colombia, as far east as the Rio de la Hacha, westward to the Pacific, and southward to include Popayan.

In theory, all these seven audiencias enjoyed the same power and prestige before their common superior, the Council of the Indies; but for practical purposes the two viceregal ones of Mexico and Lima were by far the most important. The viceroys had the right to send to the lesser audiencias within their viceroyalties orders which they were bound to execute and obey; the audiencias were also supposed to keep the viceroy informed of the state of affairs within their respective districts; so that though each one was regarded as sovereign in its own territory under the Council of the Indies, they were likewise generally subordinate to the viceregal administration. It is also worth noting that the regions under the jurisdiction of the different audiencias were generally coterminous with the so-called captaincies-general, or military districts, into which Spanish America was divided, and that the president of each of the lesser audiencias, who was virtually the governor of the region over which it held sway, generally occupied the post of captain-general as well. In the absence of any superior officer the audiencia itself was generally entrusted with the direction of military affairs.

It will have been made clear by the foregoing pages that the functions of these bodies were exceedingly extensive. In their judicial capacity they acted as the supreme tribunals, under the Council of the Indies, in the regions committed to their charge. They heard and decided appeals from the minor local courts of the *corregimientos*, *alcaldias mayores*, and other districts into which their jurisdictions were divided, and in all such cases their verdicts were final; only in suits where the value at stake was 10,000 pesos or more, could an appeal be made from the audiencias to the home government. They had the right to sit in judgment on the acts of their own viceroys and presidents, when appealed to by anyone who felt himself aggrieved thereby; in such cases the official concerned was obliged to be absent from their sittings. They took an important part in the conduct of local *residencias* and *visitas*, chiefly by revising the decisions of the officials appointed to conduct them, and in case they were found just, by taking measures to enforce them. And they were specially instructed to conduct the preliminary investigation of all matters arising under the famous law of Malines, put forth by the Emperor in that city on October 20, 1545, in regard to suits about *encomiendas* or the Indians thereon. The final decision in such cases was rendered by the Council of the Indies in Spain, and it was the primary duty of the audiencias to collect the evidence, and dispatch it, sealed, to the home tribunal within a period of six months. As time went on, however, and the accumulation of such suits became intolerable, the audiencias were empowered to do their utmost to diminish them, by preventing on the spot the occurrence of gross injustice. "Two days every week, and also Saturdays when there were no *pleytos de pobres*", were to be devoted to "suits between Indians, and between Indians and Spaniards."

Politically the audiencias were supposed to act as advisory councils to the executives who presided over them. Their sessions, when devoted to this purpose, were known as *acuerdos*; and the decisions there reached, when duly promulgated, as *autos acordados*. It was through

the development of these *acuerdos* that the colonial audiencias gradually became possessed of legislative and administrative powers such as their Spanish prototypes had never enjoyed; on this side of their functions they were to their respective districts more nearly what the *Consejo Real* was to Castile. The very early period, before the first viceroys arrived (as in Mexico, from 1528 to 1535), was naturally that in which the audiencias possessed the largest measure of independent authority of this sort; and some historians have even thought that it was the Emperor's original intention that they should continue to exercise it alone. Experience was soon to show that any such idea as this, if it were ever entertained, would be impossible to carry out; but the hold on legislation and administration which the first audiencias had acquired in these early years proved too strong to be shaken. They really associated themselves with their respective executives in the discharge of all their functions, and in the interims between the death or removal of one governor and the arrival of his successor they assumed all the authority of the absent official. Thus in 1550 it was decreed that in case of a vacancy in the office of viceroy of Peru, the audiencia of Lima should succeed to the government of all the provinces and districts of New Castile, and that the subordinate audiencias thereof should obey its commands "without excuse, difficulty, or delay."

It will readily be understood from the foregoing account that conflicts of jurisdiction between executive and audiencia were by no means infrequent, especially in the viceregal jurisdictions of Mexico and Peru. Most of these quarrels took place in later reigns; for under the Emperor the emphasis was so decidedly on conquest that institutional differences had little chance to come to the fore. Yet, at the close of Charles's life, things were sufficiently normal to justify one generalization in regard to these conflicts, which holds doubly good for the years to come. As law courts—their primary and original function—the audiencias maintained their unquestioned supremacy; and the viceroys were repeatedly forbidden to interfere with them in any way. But in executive and administrative affairs the shoe was on the other foot. The viceroy was the king's representative; he had the support of the home government; and his wide powers of appointment served to consolidate his position. The audiencias "may advise, they may remonstrate; but, in the event of a direct collision between their opinion and the will of the viceroy, what he determines must be carried into execution; and nothing remains for them, but to lay the matter before the king and the Council of the Indies."

The events that led up to the appointment of the first viceroys have been fully narrated in the preceding chapters. They had demonstrated on the one hand that the original conquistadores—Cortes and the Pizarros—had grown too powerful and too independent to be controlled by the home government; and on the other that no audiencia alone, unsupported by an executive with independent authority, was capable of establishing the dominance of the Spanish crown. Some sort of a royal representative on the spot, with the fullest powers, was an obvious necessity; yet the utmost care must be taken to make sure that the new official did not follow the same course as the conquistadores, and defy the authority of the monarch that sent him out. The Hapsburgs did not, as a rule, take kindly to subordinates of the brilliant or inventive sort, who wanted to strike out lines of their own. The official whom they preferred was the hardworking, competent, but obedient type, who would faithfully discharge the duties laid upon him, and send back for fresh instructions in any case of doubt. In the Indies the problem of finding the right man was particularly difficult. The distance from Spain, the slowness of communications, the new conditions of which Europe was necessarily in the densest ignorance, all rendered supervision from home exceedingly difficult, and offered the greatest temptation to independent action; it was therefore doubly essential that the crown be certain of the men that it placed there. The first viceroy of New Spain was chosen by the Empress and her principal advisers in November, 1529, while Charles was negotiating with

Pope Clement at Bologna; and the Emperor never had reason to regret the selection that had been made for him by his representatives in his absence.

Antonio de Mendoza, who was given the post, was a scion of one of the most distinguished of the families of Castile. His father, the first Marquis of Mondéjar, had done brilliant service in the Granadan war, and subsequently in the administration of the conquered kingdom; his whole family had enjoyed wide experience in the 'problems of the frontier'. Antonio's brothers were also making names for themselves. The eldest was to be President of the Councils of the Indies and of Castile, another captain of the galleys in the Mediterranean, a third—Don Diego Hurtado—one of the greatest political and literary figures of sixteenth century Spain; while his sister, Maria Pacheco, had been the heroine of the Comuneros. Of Antonio's early career we know very little, but even that is enough to show that at the time that he was chosen to be viceroy of New Spain he was already highly esteemed and trusted by the crown. He had borne a share in the suppression of the revolt of the Comuneros. He had been employed in missions to Flanders and Hungary. Three months after his selection for the viceregal office he was present at the imperial coronation at Bologna. What delayed his final appointment and dispatch to the New World for more than five years after he had been chosen for the post, it is difficult to determine. We know that Mendoza was involved in various lawsuits which had to be cleared up before he could depart; the fact that Charles did not get back to Castile until 1534, and had been busy in the interval with so many other things, may also explain something. Mendoza's character was by this time fully developed. Loyalty to the crown and devotion to the church formed the basis of it; firmness and resolution stand out in everything he did. Like the Emperor he made it a rule to listen to the advice of many different counsellors, but to reserve to himself the final decision, and he showed his Spanish training and traditions when he told his successor that the secret of good ruling was to do little, and to do that slowly, since most matters lend themselves to that kind of treatment, and in that way alone can one escape from being deceived.<sup>2</sup>Nor were the gentler virtues lacking; there are countless instances of his generosity, liberality, and boundless hospitality. Such was the splendid equipment of the first of Spain's proconsuls in the New World.

We have spent some time on the personality of Mendoza, because it was he that really laid down the lines on which the viceregal office was to develop in the Indies. During the reign of the Emperor, the history of the institution is the history of its first incumbent. Everything was still in the experimental stage; and the terms of Mendoza's commission and instructions tell us more about the office that he held than do the Laws of the Indies. The viceroy was to be the immediate representative of the Spanish monarchy in his viceroyalty. He was to be welcomed, wherever he went, with royal pomp and circumstance; his progress, on arrival, from Vera Cruz to Mexico was the occasion of a display of unparalleled magnificence. His appointment was at first during the good pleasure of the king, and Mendoza remained in New Spain from 1535 to 1550; in 1555, however, the normal term of the viceregal office was fixed by law at three years, though the sequel was to show that this provision was not rigorously observed. With the office of viceroy was coupled that of president of the viceregal audiencia, and the sum total of the regular emoluments of both posts was upwards of 8000 ducats annually; there were also a host of perquisites.

One of the most significant features of Mendoza's instructions is the emphasis they lay on his ecclesiastical and economic duties. He was commanded to provide for the building of an adequate number of churches, to delimit the boundaries of the various bishoprics, and to take special care for the instruction of the natives. He became, in fact, the chief ecclesiastical as well as political representative of the Spanish monarch in America, and exercised in his name the extensive rights of patronage which the crown enjoyed. He was directed to set out, after a brief stay at the capital, on a tour of inspection of the different towns of the viceroyalty. He

was to learn of local conditions on the *encomiendas*; above all he was to have his eye out for every opportunity to make money for the crown. He was constantly to be on the watch for hidden treasure; he was to consider whether it was not high time to withdraw the privilege of exemption from the payment of the *alcabala*; he was to investigate the feasibility of government operation and control of the Mexican mines; the welfare of the royal treasury was in fact to be the object of his most unremitting care. Evidently, under the Emperor, the Christianization of the natives and the acquisition of revenue were still the principal keynotes. The one was in fact the *quid pro quo* of the other; and the viceroy, as the king's chief representative, was primarily responsible for the progress of both.

The more strictly political side of the viceregal functions is defined in a law put forth by Charles at Barcelona on November 20, 1542, in the following terms: "The viceroys of Peru and of New Spain are to be governors of the provinces under their charge, and in our name are to rule them: they are to make such gifts and grants as seem meet to them, and to fill such offices of government and justice as are customary, and not forbidden by our laws and ordinances; and the subsidiary audiencias, judges, and justices, and all our subjects and vassals are to recognize and obey them as rulers, and permit them freely to exercise their offices; and give them, and cause to be given them, all the aid they desire and need". This is certainly an inclusive statement; but when we come to examine the actual results, we find not only that the viceroy was considerably less omnipotent than the words of the law would imply, but also that the home government was determined to have a check on him. The story of the development of his relationship to the more anciently established authority of the audiencia, to which reference has been made in the preceding pages, furnishes an admirable instance of this. The viceroy was henceforth to issue and execute all royal mandates, and the *oidores* were forbidden to interfere with him in that capacity; the settlement of lines of policy was to rest exclusively in his hands, though he was directed to consult the audiencia in all important affairs. But when the audiencia sat as a court he was forbidden to vote; his sole function in such cases was to sign the decisions that the audiencia reached alone, and thereby lend to it the majesty of his support. The judicial supremacy which was the brightest jewel of the Spanish crown was thus specifically denied to its representative across the seas. So fearful was the home government of a revolt against its authority that it dared not permanently concentrate all the power in a single hand. The result was the erection of a system of checks and balances, subsequently to be fortified by an elaborate series of residencias and *visitas*, fatal to efficiency at critical moments, and ultimately productive of appalling slowness. The effects of this system were not to be fully evident until the succeeding reign; but the groundwork for it was laid during the period of Charles V.

We have no space to recount the events of the fifteen years of Mendoza's tenure of the viceroyalty of New Spain. Indian wars, the discovery of new lands, the opening up of economic resources, and the situation brought about by the promulgation of the 'New Laws' constituted the most difficult problems which he had to face. The instructions that he left to his successor are a proof he regarded his work in Mexico as incomplete; but the eminence of his services is demonstrated by the fact that the home government finally turned to him, in 1549, as the only man capable of finishing the labors of Gasca in Peru. His last official order in Mexico was given on October 4, 1550; his successor arrived on November 25; Mendoza reached Lima on September 23, 1551; and died there after ten months' more service on July 21, 1552. Both north and south of the Isthmus of Panama he had worthily initiated the traditions of a great office.

Those familiar with the relations of the Emperor with the contemporary popes will readily believe that Charles vigorously insisted on the maintenance of all those special powers and privileges over the church in the New World which had been conferred upon the Spanish crown in the preceding reign. These included the exclusive right to found bishoprics, churches, and other ecclesiastical establishments in the Indies; to appoint all the clergy and exercise full jurisdiction over them, and also to collect and appropriate the tithes; indeed practically all the points over which the temporal and spiritual powers of Western Christendom had fought throughout the Middle Ages were here decided at the outset in favor of the temporal. The Pope could really do nothing in the Spanish American colonies without the consent and cooperation of the Spanish crown; it was a situation without precedent “either in law or ecclesiastical usages or customs.”

Charles’s zeal for the purity of the faith in his new domains is revealed by his various measures for the exclusion of infidels and heretics, and his enthusiasm for its propagation, by the number and character of the clergy he sent out. The tale of the bishoprics in the Indies was increased during his lifetime to twenty-two, not counting Mexico and Lima, which were made archbishoprics in 1546; a number of smaller churches and monasteries were also established. The duties and powers of the clergy who ministered to them are prescribed in detail in the Laws of the Indies; the presence of such legislation in a primarily civil code is the best proof of the way in which the spiritual authority was subordinated to the temporal. One of the most important of the functions delegated to the colonial bishops was that of acting as inquisitors. The Holy Office was not formally extended to the New World until 1569; but all its machinery was in active operation under episcopal superintendence during the Emperor’s reign. Jeronimo de Loaysa, the first archbishop of Lima, held autos-da-fe at Lima, at Cuzco, and at Charcas. In the first of these, which was celebrated in 1548, a Flemish Protestant, Jan Millar, was burnt alive.

But the most distinctive of the duties of the colonial clergy was that of converting, instructing, and protecting the Indians. Charles inherited all his grandmother’s zeal for the good treatment of his new subjects, and charged all his officers, lay and clerical, in the New World, to see that his commands in this matter were faithfully carried out; but it was on the clergy that he chiefly relied. His failure to accomplish his full desires in this respect was due to the fact that his ideas were far in advance of the prevailing theories of his day and generation, to the inherent weaknesses of “government from across the sea”, and most of all to the ambitions of the large majority of the colonists, whose chief object was to derive revenue from the New World, and who regarded the natives as a means to help them in the attainment of that end. The story of the interaction of these conflicting elements is complicated and difficult, and the easiest way to trace its main outlines is to follow the vicissitudes of the career of that impassioned advocate of the rights of the Indians, the ‘Apostle’ Las Casas, whose acquaintance we made in the preceding reign, but the greater part of whose life’s work was done under Charles V.

Las Casas had but one audience with Ferdinand the Catholic on the subject of the wrongs to which the natives were subjected. It promised well, but his hopes were soon dashed; for exactly one month later the old king died. Ximenes, to whom he next applied, was horrified at the tales he had to tell. The ‘Apostle’ was charged to elaborate a plan for the relief of the Indians, of which the abolition of the *encomiendas* was the fundamental idea, and was sent back to America to help put it in operation; but the holders of the *encomiendas* succeeded in thwarting it, and in the summer of 1517 Las Casas returned to Spain. Charles and the Flemings had by this time arrived, and the ‘Apostle’ got the ear of the Chancellor Sauvage; but Fonseca and the Casa were active in opposition, and, despite the fact that the young monarch was favorable to him, the friend of the Indians could accomplish nothing. Indeed, the sole practical

result of this phase of Las Casas's career was to confirm and establish the practice, already begun, of importing negro slaves into the New World. Charles had asked him to draw up a plan for ameliorating the condition of the natives of the New World; as Las Casas knew nothing of the evils of the African slave trade, it was but natural that he should grasp at it as an obvious means of relief, particularly as it promised to satisfy his enemies in the Casa and in the colonies. The king's Flemish majordomo, Laurent de Gouvenot (or Gorrevod), was accordingly given a license to export to the islands 4000 negroes. The number was fixed by the officials of the Casa; it was really the first of the famous *asientos*, and de Gouvenot, and some Genoese speculators to whom he promptly sold it, realized a handsome profit thereon. From that time forward the practice rapidly grew. Las Casas tells us that some 100,000 blacks had been sent to the Indies before the end of the reign; and when he realized too late that the effects of his plan had been to increase rather than diminish the sufferings he was attempting to alleviate, he bitterly repented, and doubted if God would forgive him. He was certainly not the first to propose it, nor would he have countenanced it for one moment had he been better informed; but the legend that he originated the idea of bringing negro slaves into America as a means to relieve the native Indians became so firmly implanted in the years to come that modern scholarship has not completely eradicated it yet.

The next phase of the story is Las Casas's attempt to establish a 'model colony' on the northern coast of South America: a settlement from which he promised that the king should derive large revenues, but in which no native was to be maltreated or exploited. He got the necessary grant from Charles at Corunna, on May 19, 1520; he collected a company of hard-working emigrants, and trinkets with which to win the confidence of the Indians; on the eleventh of the following November he set sail from San Lucar. But unfortunately the pearl fishers of Cubagua had got ahead of him; they had raided the mainland in search of slaves; and when Las Casas arrived on the scene of his prospective labors, he found the natives so hostile that nothing could be done. He sailed over to the islands in the hope of getting help, and asserting his rights under his *capitulacion* with the crown; but the colonists, who regarded him much as a modern banker would a Bolshevik, opposed him even more violently than the Casa had done at home. One day, as he was taking his siesta under a tree, he was awakened by a party of travelers with the news that the Indians had looted all the stores that he had landed at Cumana, and murdered the few followers whom he had left behind to guard them. It was a stunning blow—a catastrophe which the Apostle was at first inclined to interpret as a proof that God had found him unworthy to be the instrument of His will; and, feeling the need of rest and reflection, he took the vows of a Dominican monk in 1523. For the next six years he remained in seclusion, studying theology, and composing his famous *Historia Apologetica*—one of the earliest and most valuable of the descriptions of the New World. But he never wavered in his faith in the justice of his cause; and he was soon to reenter the lists as its active champion.

The main interest of the period of Las Casas's retirement is that it shows that the favorable attitude of the Emperor with regard to the Indians was not solely dependent on the pleadings of the Apostle. Laws of 1523 and 1526 strictly forbade all maltreatment or enslaving of the natives, and exhorted all colonial officials to insist on their strict observance. Charles also seemed convinced that the system of *encomiendas* was not working satisfactorily; for he repeated to Cortes in 1523 an injunction which he had given to the governor of Cuba five years before, forbidding any further grants. Moreover, with the death of Fonseca in 1524, the bitterest of Las Casas's enemies in Spain was removed; as far as the intentions of the home government went, everything was now proceeding in accordance with the Apostle's desires. But when it came to translating these intentions into practice, there was another, and far less satisfactory, tale to tell. *Obedezcase pero no se cumpla*—let it be obeyed, but not enforced—

was the formula for the colonists' reception of unpopular decrees from Spain; and the intervening distance made it impossible for the Emperor to enforce the laws. The compulsory labor of the natives on the *encomiendas* had by this time become an established system, which could not be changed without revolutionizing the whole economic life of the Indies. Admit it, and the way was wide open to all the cruelties and abuses of which Las Casas complained, particularly in a community where "getting rich quick" was the primary objective. Yet the colonists were determined that the system should be maintained, and the edicts of the Emperor were tacitly ignored.

It was this state of affairs, the glaring contrast between the will of the king and the situation as it actually existed in the colonies, that aroused Las Casas to renewed activity in 1529. In 1530 he was once more pleading his cause in Spain; two years later we find him in Peru; and then after four more years of fruitless effort in the islands, he scored the sole victory of his long career in the colonies. The province of Tuzulutlan, in Northern Guatemala, had hitherto defied every attempt of the Spaniards to conquer it; the difficult nature of the country and the warlike character of its inhabitants had thus far combined to render it impregnable. The authorities of Guatemala challenged Las Casas to subdue it by the peaceful methods he had consistently advocated, and promised that for five years he should be absolutely undisturbed. The Apostle promptly accepted the opportunity, and was making rapid progress towards the accomplishment of his undertaking, when he was called away in 1538, by a summons from his Dominican superior at Guatemala; in the following year he was sent back to Spain to collect more clergy for the conversion of the Indians. But the work that he had started in Tuzulutlan survived him. He revisited the region in 1545, to be joyfully welcomed by happy throngs of Christian Indians, and two years later the name of the province, which had hitherto been known as the 'Tierra de Guerra' was officially changed to Vera Paz.

The five years from 1539 to 1544, which Las Casas spent in Spain, were chiefly notable for his successful efforts to secure the enactment of the so-called 'New Laws', to which reference has been made in the preceding chapter. Though the *encomiendas*, which Las Casas roundly declared to be the root of all the evil, were not abolished, all further granting of them was forbidden, and those already in existence were to lapse on the death of the holders; moreover, all enslavement or enforced personal service of the Indians was henceforth prohibited in the most specific terms. When, on November 20, 1542, the code received the imperial signature at Barcelona, Las Casas thought that his life's work had been successfully accomplished. He had yet to learn the futility of all laws made in Spain in defiance of the wishes of the colonists in America, when the means of enforcing them were so lamentably deficient. The furious opposition which the 'New Laws' aroused in Peru has already been described. In Mexico, as we have also seen, it would probably have been even greater, had not the official charged with their promulgation been prudent enough to give directions to suspend them. When, in 1545, the Apostle reappeared in New Spain, where he had accepted an appointment as bishop of Chiapa, he found not only that the 'New Laws' were a dead letter, but that he himself, as their originator, was the most unpopular man in the colonies. For two years more he fought manfully in his new see, against overwhelming odds, for the triumph of the cause to which he had dedicated his life; but in 1547 he returned, utterly disheartened, to Spain for the last time, and three years later he resigned his bishopric. He was by this time seventy-six years old, and unable to do any more active work on behalf of the oppressed natives, though he continued to defend them by written and spoken words until his death in 1566 at the age of ninety-two. Yet he recognized, at the end, the futility of all his efforts; for he admitted, in a letter of the year 1562 to the Dominicans of Guatemala, that the evils which he had labored so heroically to mitigate had gone on steadily increasing throughout the period of his active life.

His noble career left a curious and rather tragic legacy behind it. Like most ardent advocates, Las Casas generally overstated his case; but things so fell out, in the years after his death, when the power of Spain was the nightmare of Europe, that the various tracts, in which the Apostle had exaggerated the sufferings of the Indians for the purpose of securing their alleviation, were greedily seized upon by Spain's numerous enemies as affording a true picture of conditions in the Spanish colonies. They made excellent propaganda, and were utilized to the limit of their possibilities. Thus the most permanent result of the work of the Apostle was not the accomplishment of the end he had in view, but rather the perpetuation of the 'legend of Spanish cruelty'. The facts as they actually existed were doubtless bad enough, but no reasonable person would rely solely on the testimony of Las Casas in regard to them, any more than he would take John Foxe's description as his only authority for the sufferings of the Marian martyrs.

The rank and file of the colonial clergy, though none of them approached the record of Las Casas, were generally active on the Indians' behalf. The worst of them, indeed, took sides with the *encomenderos*, and tacitly countenanced their most outrageous oppressions, and the jealousies and rivalries of the Franciscans and the Dominicans often neutralized the good intentions of those who worked hardest for the Indian cause; but for the most part the weight of the church was thrown on the right side. It was in the matter of conversion and education that it was able to accomplish most, for the *encomenderos* rather welcomed what would serve to keep the Indians harmlessly occupied during off hours, provided the periods of their labor were undisturbed. Elementary principles were inculcated in the *doctrinas* on the *encomiendas*, and schools and colleges were provided in the different cities for those who showed themselves capable of advancing beyond the elementary stages. The first of these institutions was the Colegio de Santa Cruz, established, at the instance of the first bishop of Mexico, in the Indian quarter of that city in 1535. It gave instruction in Latin, philosophy, and music, and in Mexican languages and medicine. In its faculty appear the names of Bernardino de Sahagun, "the founder of American anthropology", and of Juan de Torquemada, the author of the *Monarquia Indiana*; and some of its graduates rose to fill municipal offices in the Indian towns.

Nor was the education of the conquistadores and their descendants forgotten. Charles was resolved that Spain's culture, as well as her religion and institutions, should be transplanted and established in his new dominions across the seas; and he looked principally to the colonial clergy for the execution of his will. The first steps towards the founding of schools in America for the education of the children of Spanish parents were taken as early as 1536; and the imperial decree for the establishment of the universities of Mexico and Lima bears the date of September 21, 1551. It declares them to be established "for the service of God, and the public welfare of our kingdom" and "because we desire to protect the inhabitants of our Indies from the darkness of ignorance." They were Harvard's "elder sisters on the American continent" by a period of no less than eighty-five years. The high level of their scholarship in the sixteenth and seventeenth centuries is attested by the names and achievements of their professors and graduates; indeed "it is not too much to say that in number, range of studies, and standard of attainments by their officers, they surpassed anything existing in English America until the nineteenth century."

The end of Charles's life is in many respects an unsatisfactory point at which to interrupt an account of the administration of the Indies. By far the greatest thing which was accomplished in the Western Hemisphere during his reign was the extension of the Spanish dominion from the islands to Tierra Firme, and the conquest of the Aztec and Inca Empires;

the emphasis, in other words, is on discoveries and on feats of arms. The institutional side of the story had scarcely begun—particularly in New Castile; and the state of affairs that we have attempted to portray in the foregoing pages was not really established until the time of Philip II. Yet the Emperor deserves the credit for laying the foundations of the system of administration under which the Spanish American colonies continued to be governed for the succeeding two centuries and a half. There were modifications and amplifications; there were readjustments and improvements, particularly in Bourbon times; but the underlying principles, and the chief institutions that were charged with the duty of carrying them out remained, with little change, down to the era of the Revolutions.

Of all these principles by far the most important, in fact the one on which everything else in a measure depended, was that of the maintenance in the new dominions of the absolute power and control of the Spanish crown. It is not a principle which appeals to us today, and it was undoubtedly the primary cause of those unfortunate characteristics for which the Spanish colonial system became notorious in later years: its meticulous paternalism, its unwieldiness, its appalling slowness. Yet it is hard to see how the Emperor could have adopted any other. In the first place he had inherited it from Ferdinand and Isabella; it was also the very essence of the political atmosphere of his own day and generation. Moreover, the special circumstances in which Charles was placed seemed to render its maintenance particularly imperative. In the eyes of the economist of that time it was the sole way to insure to the Spanish government its fair share of the revenues of the Indies; and it was to Spain that the Hapsburgs invariably looked to pay the expenses of their foreign wars. Political events also seemed to point to the same conclusion. Royal control was not only deemed essential to the repulse of hostile corsairs and the exclusion of foreign trespassers; it also appeared to be the sole practical method of keeping the Spaniards themselves under proper discipline. Had the greatest of the conquistadores, such as Cortes and the Pizarros, been men of a less daring type, it might have been judged safe to entrust them and their successors with a larger measure of independent authority, to the lasting benefit of the system as a whole. But Charles did not venture to take this risk, especially in view of the remoteness of the new domains. He could not permanently collaborate with such men, any more than a bridge-player, whose methods are conservative, can be happy with a partner who is perpetually taking risks. It was almost always the policy of the Spanish Hapsburgs to play safe; they had everything to lose and nothing to win. The conquistadores, on the other hand, took the most desperate chances; they had everything to win, and nothing to lose. The Emperor did not even permit them permanently to retain those powers with which they were originally invested. When they had done their great work, they were ruthlessly cast aside, to make way for a system of monarchical control from afar, under which men of their type were virtually relegated to impotence.

We can scarcely be surprised, then, at the principles by which Charles was guided in establishing the foundations of the Spanish colonial system; in this, as in so many other phases of his multifarious activities, he was, more than most men, the child of fate. Indeed the wonder is that in view of the position in which he found himself, he should have given so many proofs of liberality and enlightenment. Of the excellence of his intentions in regard to the natives, it is impossible to entertain a doubt; though most of the regulations he made for them proved impossible of fulfilment, they were inspired by the highest sense of duty. His attempted relaxation of the strict system of the Seville monopoly, and of the exclusion of non-Castilians which he had inherited from the Catholic Kings, shows an economic vision far in advance of his day and generation. Had his policy in this respect been continued by his successors, the later chapters of the story might well have made less dismal reading. His open-mindedness on the subject of local government in the Indies is revealed by his measures in regard to the colonial municipalities, and by the powers and privileges he conceded to the cabildos; in fact,

his friendly tolerance of democracy is quite as well illustrated in the Indies and in the Netherlands as in his dealings with the representatives of the cities of Castile. Altogether Charles's methods of administering his American dominions furnish one of the best arguments that is afforded in his entire reign for the case of those who seek to show that he aimed rather to raise his Spanish subjects to higher levels, than to utilize them, regardless of their own interests, for the benefit of the house of Hapsburg. Fate, on the whole, was against him throughout. His acquisitions in the Western Hemisphere so enormously increased the preponderance of his dynasty, that it became, more than ever, an object of suspicion and dread; and it was Spain, under Philip II, that had to pay the price. But it was impossible for Charles to see how the future would develop; and, as the careworn Emperor surveyed his life's work from the solitude of Yuste, the achievement that may well have afforded him the greatest satisfaction was the establishment of the supremacy of Spain in the New World.

**VOLUME IV**  
**PHILIP THE PRUDENT**



**BOOK VII**  
**THE SPANISH EMPIRE AT ITS GREATEST TERRITORIAL  
EXTENT**

CHAPTER XXXI

A SPANISH SOVEREIGN, CHAMPION OF THE CHURCH

THE transition in Spanish history from Charles V to Philip II forms the antithesis to that from the Catholic Kings to the Emperor. In 1516 the destinies of the Spanish Empire had passed from native to alien hands; Spanish interests had been suddenly subordinated to those of the house of Hapsburg. Forty years later the reaction is complete; a process whose beginnings we have already noted in the Emperor's closing years has now attained its final stage; the outlook of the Spanish monarchy is once more thoroughly Hispanicized. The second of these transitions was more gradual than the first, but when it was completed it was much more permanent and far-reaching. Philip the Prudent will go down in history, both within the Iberian Peninsula and without it, as the typical Spanish sovereign of all time.

There can be no doubt that the heart's desire of the new king, when on January 16, 1556, the huge burden of governing the Spanish Empire had at last fully devolved upon his shoulders, was to make peace with his enemies and get home to Spain. The first sixteen years of his life had been spent wholly in the Iberian Peninsula, and had satisfied him of its immeasurable superiority to every other part of the world. His many disagreeable experiences during the ensuing *Wanderjahre* had but served to emphasize this conviction, and to implant in him a deep aversion to everything north of the Pyrenees. His political education, the precepts and example of his imperial father, were but added arguments in support of the same conclusion. Charles had preached peace and defensiveness to him with increasing fervor in his later years. He had also publicly confessed at the last, by his abdication and the division of his inheritance, that his own life had stood for an unrealizable ideal, that the great task he had undertaken entailed an impossible amount of travelling, and that a single sovereign could not continue to rule both the northern and the southern halves of the Hapsburg inheritance. And if it had been impossible to combine them under the Emperor, it was certainly even more so now, because, to the vast number of preexistent incompatibilities, there had recently been superadded that of religion. On October 3, 1555, only twenty-two days before Charles's abdication of the rule of the Low Countries, there was signed the peace of Augsburg, giving Lutheranism, under certain restrictions, legal right to coexistence with Catholicism in the Empire. Heresy, in other words, had at last been formally recognized, in a fashion which Philip could neither tolerate nor comprehend. Unswerving zeal for unity of the faith was the lesson from his Spanish education that he had taken most deeply to heart; it must have seemed to him almost the result of divine intervention that he should have been relieved of the responsibility of ruling Germans at the very moment of their adoption of a *modus vivendi* so

abhorrent to him. From every point of view—personal, political, and religious—he deeply longed to return to his native land.

At first there seemed good prospect that he would soon be able to satisfy this desire. An honorable peace with his French foes was the indispensable preliminary to his departure, and that was furnished him, apparently at least, by the truce of Vaucelles, on February 5, 1556, just twenty days after he had become the official ruler of Spain and of the Spanish dominions. In the three other possible centers of disturbance for him, outside the Iberian peninsula, the situation, during the first half of this same year, 1556, while not entirely satisfactory, might well have been far worse. In England there was little open indication of disloyalty to the Spanish alliance. The conspiracy of Sir Henry Dudley was easily detected and foiled, and the government seemed principally occupied with the burning of the Protestant martyrs. In the Low Countries, destined to become a few years later the worst sore spot of all, the discontent for the time being was also latent. Philip had been amply warned against the dangers of any rapid Hispanicization of the administration there. For the present he seemed chiefly bent on preserving intact all the measures and policies of his beloved father, and his appointment to the governor generalship of Emanuel Philibert of Savoy, though really dictated by considerations of foreign policy and the military ability of the nominee, was taken by the Netherlanders as a pledge of respect for their liberties.<sup>1</sup> In Italy, indeed, Paul IV had virtually declared war; but he seemed—at least until July—to be quite incapable of waging it. The truce of Vaucelles had deprived him of French support; the cardinals, though they dared not openly oppose, silently disapproved; and meantime the Duke of Alva, now viceroy of Naples, was getting his tercios into an admirable state of readiness. In midsummer, 1556, it really looked as if Philip would soon get home to his beloved Spain; indeed, there seemed an even chance that he would get home without a fight.

But six months later the situation had entirely changed. So complicated were the cross currents, so self-contradictory the combinations of international politics, during these critical years when Charles was giving way to Philip, that it was impossible to foretell from day to day whether it was to be friendship or enmity, peace or war. The decision was likely to remain with the most strong-minded of the powers concerned, and in 1556 there can be no question that that distinction belonged to the octogenarian pontiff. For the moment, at least, his hatred of the house of Hapsburg took the precedence of his devotion to the church; blind to the disastrous effect on Catholicism, not only in the Hapsburg lands on the continent, but also in England, of a war between the see of Rome and the king of Spain, he offered such provocation to the representatives of his enemy in the summer months of 1556 that in September the Duke of Alva invaded the Patrimonium Petri from Naples with an army of 12,000 men. The papal levies were quite unable to resist him. Though he waged war with the utmost scrupulousness, as befitted a Spaniard who was attacking the States of the Church, his advance on Rome was methodical and rapid, and the 18th of November saw the Spaniards established in Ostia, where their leader offered an armistice to the Pope. But the lion-hearted Paul was not yet prepared to surrender; he still cherished the hope that he would be rescued at the last moment by France. Everything, in fact, depended on whether or not Henry II could be induced to break the truce of Vaucelles. Such a breach had seemed inevitable to the Argus-eyed Simon Renard in London as early as May, 1556, only three months after the truce had been signed, and the events of the following autumn proved the accuracy of his foresight. The urgency of Carlo Caraffa and of the cardinal of Lorraine prevailed over the more cautious policy of Anne de Montmorency. In the end of December the Duke of Guise was permitted to cross the Alps with an army of 13,000 men to go to the rescue of the Pope; in the first week of January the Admiral Gaspard de Coligny made an ineffectual attempt to surprise Douai. A state of war

between France and the Hapsburgs had existed in fact, both in the Low Countries and in Italy, for more than a month before Henry II actually declared it, on the last day of January, 1557.

Though deeply discouraged by his failure to preserve peace, Philip entered the ensuing struggle with the better courage because it had not been of his seeking; he could honestly say that he was waging a defensive war of the type which his father had approved. Moreover the fact that he had not been the aggressor did not prevent him from acting vigorously when the crisis came; himself proverbially incapable of taking the initiative, he at least on this occasion permitted his representatives to seize it for him. During the first nine months of the year 1557 the course of the conflict was wholly favorable to Spain.

There was throughout the closest interdependence between the events in both theatres of the war, widely separated though they were. The Spaniards planned to force the fighting on the northeastern frontier of France, so as to win a decisive victory there before the Duke of Guise could get back from Italy; the French strategy was to rest on the defensive in Artois and Picardy in order to enable Guise not only to rescue the Pope, but also to rehabilitate all possible anti-Spanish combinations among the lesser Italian states. The fact that the French leader was given so many different things to do was probably the chief reason for his initial ill success; it led to sharp differences of opinion among the leaders of the Franco-papal forces, and prevented them from concentrating in any one direction. When the Duke finally reached Rome (March 2, 1557), he found the pontiff most anxious to resume the offensive against the Spaniards. Paul had been greatly encouraged by the recent recapture of Ostia through the efforts of his generalissimo, Pietro Strozzi; he had visions of driving “this mixture of Jews, Marrani, and Lutherans”—such was his characterization of Alva’s army—not only out of Naples but out of the rest of Italy as well. But his French and Italian allies did not snare his aggressiveness. In May the French king commanded Guise to turn his attention to Lombardy and Tuscany; not till two months later was he persuaded to countermand his order, and allow the army to remain in the States of the Church. And then, just as everything was at last in readiness for a vigorous offensive against the Duke of Alva, there arrived the stunning news of the great defeat which the French had sustained at St. Quentin in the North, followed the next day by an urgent message from Henry II recalling Guise and his troops for the defenses of Paris. This, of course, meant the ruin of Paul’s hopes of chastising the Spaniards; the only question now was whether he could save Rome from a repetition of the great sack which had so horrified all Europe just thirty years before. But fortunately the Duke of Alva was not minded to follow the example of the leaders of the imperial armies in 1527. He was himself far too scrupulous a Catholic. He knew, moreover, that his master wanted peace, and the papal defenses were not entirely negligible. On August 25, he advanced to the outskirts of Rome as if to demonstrate the fact that the game was in his own hands, and just two weeks later the Pope admitted it, by sending out to him three cardinals to discuss terms of peace. On September 12, a treaty was arranged, whereby Alva was to make solemn submission to the Holy See in the name of his sovereign, to restore to the Patrimonium Petri all cities and territories which he had captured in the preceding months, and to receive in return the Pope’s forgiveness and his promise thenceforth to remain neutral in the war between Spain and France. The moderation of the Spanish leader had been well rewarded. He had restored the *status quo ante bellum* in Italy, which was all that Philip could have asked for. Henceforth Paul IV was to devote himself exclusively to his spiritual duties, and ceased to breed trouble for Spain and the house of Hapsburg.

Meantime in the North the struggle had begun more successfully still. In the spring a large army was assembled at Brussels under the leadership of the duke of Savoy. Its ultimate object was to strike directly at Paris, and on March 18 Philip crossed over from Calais to Dover, in order to enlist the support of his wife. From the standpoint of Englishmen his visit

was entirely disastrous. To all the old difficulties of 1554-55 was now added the fact that Philip's hostility to the Pope made it impossible for him whole-heartedly to support the great work of reconciliation on which Mary's heart was set; never before had it been so obvious that he proposed to utilize the resources of the island kingdom simply and solely in the interests of Spain. But the queen's loyalty to him never faltered. Her hopes of the heir whose advent would solve so many puzzling questions were renewed once more; with that happy prospect before her, there was no sacrifice she was not prepared to make. In June she sent a herald to Henry II to carry him the English declaration of war on France; before July 6, when Philip left her for the last time, she had sold crown property to the annual value of over 10,000 pounds for the support of his campaign, and had raised upwards of 5000 troops for the service of Emanuel Philibert. By the beginning of August everything was in readiness, and an army of over 50,000 men was launched at St. Quentin on the Somme. The fortifications of the place were almost in ruins. Coligny, who was in command, had a mere handful of soldiers; on learning of the approach of the Spanish army he sent word to his uncle Anne de Montmorency, who was at La Fère with about 18,000 troops, that unless he was speedily reenforced he could only hold out a few days. Montmorency came to his rescue on the morning of August 10, but his tactics were so faulty that he suffered a crushing disaster outside the walls of the town. Over 3000 of his men were killed; 7000 were captured, among them the constable himself; the rest only saved themselves by ignominious flight.

It was a notable defeat for France and a glorious victory for Spain: the most glorious, perhaps, ever won by the armies of the Prudent King over any of his Christian foes. But so incapable was Philip of utilizing his opportunity that the battle remained almost barren of advantage to the Spaniards. Indeed, it may justly be said of it, as of Malplaquet a century and a half later, that its chief importance was that it marked the turn of the tide against the would-be invaders of France. When the news of it reached the Emperor at Yuste, he eagerly demanded whether his son had clinched his victory by marching on Paris, and was deeply cast down when word came that he had failed to do so. Philip, indeed, had not even been present at the battle. He was writing letters at the time, a few miles distant, at Cambrai; and on reaching the scene of the victory that had been won for him, he gave orders to besiege and capture St. Quentin before entering any farther into the enemy's territory. But the town was heroically defended by Coligny with 700 men; every day that Philip could be made to waste before its walls would bring nearer the winter season and the return of the Duke of Guise. Not till August 27 was it finally taken and subjected to an unusually horrible sack; by the time that Philip's troops had recovered from their orgies, the autumn rains had already begun, and further advance was regretfully abandoned. The most that Philip could do was to take Le Catelet, Ham, and Chauny, and retire to Brussels to put his army into winter quarters. Shortly afterwards came the counterstroke, not indeed against Philip and the Spaniards, but against his English allies, the subjects of his sorrowful queen. When the Duke of Guise got back from Italy he found to his intense relief that Paris was safe. Discerning that what France needed above all things was a restoration of her morale, he determined to effect it by the capture of Calais. Concealing his real object with masterly cunning, he arrived before the town on January 2, 1558; eighteen days later he had taken it, together with its various outposts, before Philip had been able to send a man to its defense. The last remnant of the conquests of the Hundred Years' War had been torn from the grasp of England as the result of her sacrifice in the interests of a foreign power; the queen and the nation were cast into the depths of despair. It was a final and overwhelming demonstration of the error of the Spanish alliance.

The remaining operations of the war were unimportant. The strategy of both sides aimed at avoiding decisive battles. Philip's financial resources were completely exhausted; the majority of Frenchmen were obviously war-weary; peace in the near future was the inevitable

conclusion. Conferences were opened in October, 1558, at the abbey of Cercamp in Cambrésis, the principal Spanish representatives being the Duke of Alva, the prince of Orange, Ruy Gomez da Silva, and Granvelle. It is unnecessary to enter into the details of the ensuing negotiations, which were interrupted several times between the end of November, 1558, and the beginning of March, 1559, on account of the death of Mary Tudor and the proposal of Philip to marry her successor Elizabeth. So many and so weighty were the issues that hung on the acceptance or failure of that proposal that nothing else could be definitely determined until the question was permanently settled in the negative. Finally on April 2 and 3, 1559, the different articles of the treaty were signed in the little village of Cateau-Cambrésis. In the North, France kept Calais, and there were mutual restorations of conquests between the belligerents on France's eastern frontier. All the territories of which the duke of Savoy had been despoiled by Francis I in 1536 were duly returned to him, and the settlement of the outstanding questions in Italy was such as connoted the abandonment by France of further schemes of aggrandizement in the peninsula. As was suitable at the close of a long dynastic war, the treaty ended with a marriage. Since Philip had been unable to win the hand of Elizabeth of England, he was given, in her stead, Elizabeth of Valois, the eldest daughter of the king of France. The proxy marriage was celebrated in Paris on the 22d of the following June, the bridegroom being represented by the Duke of Alva. In January, 1560, the new queen arrived in Spain.

The treaty of Cateau-Cambrésis put an end to that long series of wars between the French and the Spaniards which began with the raid of Charles VIII on Naples in 1494. Though the prize for which the contestants were striving was originally Italy, the struggle later ramified in such fashion as to affect most of the rest of Western Europe, so that the peace which concluded it was of universal significance; it was, in fact, the international settlement to which men perpetually referred back, as establishing the normal state of the relations of the European powers, down to the treaties of Westphalia in 1648. In France it was generally regarded by contemporaries as disastrous, as "a great misfortune to the king and to his whole kingdom", and though some subsequent historians have judged it more leniently, the most recent authority confirms the earlier verdict. He censures Henry II for having granted such favorable terms to an adversary so exhausted that he could not have continued to fight, and finds the explanation of the French king's error in his zeal for the extirpation of heresy. If this estimate be just, the treaty must, ipso facto, be regarded as a notable victory for Philip II. In Italy, the original cause and theatre of the strife, there can be no question that Spain had come out on top. Her power was now securely established both in the north and the south of the peninsula, and the concessions of France at Cateau-Cambrésis enabled her to control the destinies of most of the intervening states. But in Northern Europe there is a different tale to tell. The settlement of France with England, her reconquest of Calais, and her military occupation of Metz, Toul, and Verdun could not fail to be ultimately prejudicial to the maintenance of the Spanish power in the Low Countries and everything which that implied. The Netherlands, during Philip's reign, were to be the focal point of international affairs. If the termination of the great dynastic struggle in 1559 was of baleful portent for France in Italy, and delivered her over at home to the miseries of religious war, it was also, as things ultimately worked out, of ominous significance for Spain. It weakened her hold in a region where her power should never have been established, and it was a factor in forcing her into a struggle to maintain it, which lost her not only the Netherlands but also the sovereignty of the seas.

All this, however, was an affair of the future; for the present there could be no doubt that Philip had emerged victorious. He had got the peace for which his whole soul longed, and the opportunity which it offered him to return to his beloved Spain; he had got it, moreover, on

ostensibly triumphant terms. “During the spring of 1559 his court at Brussels was the political capital of Catholic Europe”. Thither flocked the political representatives of the petty Italian states to pay grateful homage to their protector and master, “the greatest monarch”, so the Florentine ambassador described him, “that Italy has known since the day of the Romans.” Thither also came the princes of France to congratulate their conqueror on the victories that he had won; they begged him to come to Paris for his wedding, and were visibly cast down when he refused; “The custom of the kings of Spain”, he haughtily replied, “is not to go and fetch their brides, but to have them brought to them in their own country and their own house”. Philip, indeed, was wholly bent on getting home; and the sole reason why he delayed his departure was to give himself time to make arrangements in the North which would relieve him of the necessity of ever leaving home again. In view of the role that he was to play in the immediately ensuing years, it is worth remarking that for the moment he seemed more preoccupied with political than with religious cares. His quondam rival, Henry II of France, was apparently far more anxious to extirpate heresy than he. Philip refused to collaborate in an expedition against the Calvinists of Geneva, which the Most Christian King was desirous to undertake. He was also totally unwilling to take any measures for the subversion of English or Scottish Protestantism; indeed, for the time being, he appeared to regard the British heretics rather as a chief bulwark for the defence of the island kingdoms against the designs of the Valois and the Guises. When, on July 9, the lance-thrust of Montgomery put a sudden end to the life of the king of France, Philip’s fears in regard to these matters were naturally much relieved. If France and Scotland were to be brought closer than ever through the fact that their respective sovereigns were now husband and wife, the youth and weakness of the new Valois monarch gave adequate assurance that Spanish interests in Northern Europe were at least temporarily safe; and Philip prepared to depart. His date of sailing, fixed originally for August 18, was delayed till the twenty-third because of the advice of his astrologer, Nostradamus, who “with his threats of tempests and shipwrecks ... did put the sailors in great fear”; on September 8 he landed at Laredo.

If Philip before his departure from the Netherlands had devoted himself rather to the regulation of political than of religious affairs, his return to the peninsula gave the signal for the emphasis to be reversed. His journey to Spain is, in fact, the real dividing line, as far as he was concerned, between the era of the Hapsburg-Valois wars and that of the Counter-Reformation. During the next twenty years, at least, his principal interest was to be the advancement of the faith. Now that he had got home he could follow undisturbed the path that was indicated to him by the traditions of his native land. Arrangements had been made there, even before his arrival, for a public demonstration of his detestation of infidelity and heresy, and of his solemn determination to extirpate them, and this demonstration took the form of a most imposing *auto-da-fe*. It was held, directly in front of the great church of St. Francis, at Valladolid, on Sunday, October 8, 1559. At six o’clock in the morning the officials of the Inquisition took their places on a magnificent dais at one end of the square. Close by was the royal gallery, occupied by the king, the Prince of Asturias, a number of privileged grandees, and the ambassadors of foreign powers; directly opposite was the scaffold. Then the bells began to toll, and the sad procession of guards, clerics, familiars, and convicts—the latter all duly attired in *corozas* and *sanbenitos*—filed slowly out of the gates of the Inquisition prison and into the great square. Spectators to the number of 200,000 are said to have followed. The ceremonies began with the “sermon of the faith”, which was preached on this occasion by Doctor Juan Manuel. Then Hernando de Valdes, the inquisitor-general, rose and faced the king, who also rose and bared his sword as a sign that he would champion the cause of the Holy Office; whereupon Valdes addressed to him the following solemn demand: “Since the apostolic decrees and the sacred canons have ordained that sovereigns must swear to favor the Holy Catholic Faith and the Christian religion, does your Majesty swear by the Holy Cross to

give all necessary support to the Holy Office of the Inquisition and its ministers, against heretics and apostates and against those who help and favor them, and against all who directly or indirectly shall hinder the activities of the Holy Office; and to force all your Majesty's subjects and the inhabitants of your Majesty's realms to give obedience to the constitutions and decrees which are published for the defence of the Holy Catholic Faith against heretics and against all who believe, receive, or support them?" The king replied, "I swear it." Then followed the interminable reading of the sentences of the condemned, the separation of those to be 'reconciled' from those to be 'relaxed' to the secular arm, and the subsequent subdivision of those in the latter category into a larger group who elected by confession at the last moment to purchase the privilege of the milder form of death by the garrote, and a small minority—only two on this occasion— who preferred to undergo all the horrors of the *quemadero*. One of these was a Florentine noble, Carlo de Sesa, a godson of Charles V, who had once stood high in the imperial favor. As he passed by the king, he haughtily demanded of him how he could suffer such things to be. And Philip replied, "If my son were as evil as you are, I myself would fetch the wood wherewith to burn him."

So Spain at last got back her king. The wish, so often expressed by the Cortes of Charles V, that the Spanish monarch would stop his travelling, had now been fulfilled with the advent of his son. In all the thirty-nine years of life that remained to him Philip never once set foot outside the peninsula; and his constant presence there during so long a period, and in such a monarchical age, made its administration the faithful mirror of his own policy and ideals. Seldom in history has it been given to any sovereign to stamp the impress of his personality so deeply upon the lives of his subjects. If one would learn the destinies of the vast dominions over which he bore sway, one must begin with a careful study of his character and mentality; and these in turn cannot be adequately understood without remembering the Spanish origin and background out of which they were evolved. If Spain and the Spanish Empire were represented in Philip, so Philip was a typical product of Spain and the Spanish Empire.

His ancestry, of course, was predominantly Iberian. His father was half Spanish, his mother half Spanish and half Portuguese; and she doubtless impressed him in his boyhood years with the idea that it was Portugal's destiny to be ultimately reunited with Spain, the idea which her own marriage with the Emperor had represented, and which Philip was subsequently, if only temporarily, to carry out. The influence of the Empress was also a potent cause of Philip's proverbial respect for the clergy. She was very devout and spent many hours in prayer; from his infancy the prince was surrounded by clerics and subjected to ecclesiastical influences. The other two chief things that stand out, as one reads the story of his early years, are the joylessness of his life and the deficiencies of his linguistic equipment. He was never allowed to have a playmate worthy of the name; the Portuguese Ruy Gomez da Silva, who afterwards became his chief councilor, was perhaps the nearest to it, but Ruy Gomez was Philip's senior by no less than five years. The Empress insisted that the prince be treated with the respect due to "the son of the greatest emperor that the Christian world had ever seen"; his every movement was regulated by an etiquette so strict that it was scarcely possible for him to laugh. Everything combined to make him cold and reserved, to train him to conceal his real feelings, to enhance the characteristics of gravity and melancholy which he had inherited from his father. The latter, though absent from Spain during the greater part of his son's early life, had given much thought to the question of the prince's studies, and had provided him with the best tutors that could be had. Philip made good progress in science and in art; he read much history and gave promise at an early age of possessing unusually sound political judgment. But for languages he showed even less aptitude than the Emperor; indeed it was well said of him that from his childhood days he preferred to communicate by writing rather than by word

of mouth. Even in his native Castilian he always spoke slowly, though with great precision, and he seemed to have much difficulty in choosing his words. He could write and speak his Latin reasonably well. He could understand a little French and Italian, and speak a little French; but to converse readily in these or any other foreign tongues was utterly beyond him. Small wonder that he was so uncosmopolitan. He had no means of free communication with any one beyond the Pyrenees.

Numerous contemporaneous accounts of his physical traits have come down to us; those of the Venetian ambassadors are on the whole the most valuable, though we have Spanish, French, and English testimony besides. Philip was of less than medium stature, but finely proportioned, and of a carriage “so straight and upright as he loseth no inch in height”; the grace and dignity of his presence were further enhanced by the care, restraint, and elegance with which he dressed. His eyes were blue and his hair and beard light, so that he seemed at first sight to be rather a Fleming than a Spaniard. His large protruding under-jaw and lip, though considerably less prominent than those of his father, were yet sufficiently noticeable to betray the Hapsburg ancestry. In early manhood he wore his beard “short and pointed, after the Spanish fashion”; later in life he permitted it to increase in length and breadth until it approached the style customary in the Netherlands. The pallor of his complexion was also remarked on by all observers, and most of them drew the proper conclusion, namely, that it indicated a weak stomach and lack of exercise. Reddened eyes were a penalty of his excessive devotion to the written word both day and night. He ate slowly, sparingly, and usually alone, restricting himself to meats and the “most nutritious foods”; almost all the accounts of him emphasize his avoidance of fruits and of fish. He also suffered from asthma, stone, and gout; and though his doctors recommended him to go hunting and get out into the open air “as the best means of strengthening his body and distracting his mind from melancholy reflections”, he paid little or no attention to them; apparently, too, he was haunted by the fear lest he should die as the result of an accident, and kept constantly before his mind the experience of the king of France. He was totally deficient in that capacity for sudden and almost superhuman physical exertion which, in the case of some of Spain’s greatest empire builders, alternated so strikingly with long periods of doing nothing at all; “Ohne Hast, aber ohne Rast” is an accurate description of him, if one remembers that his activities were not those of the body, but of the mind. He was ever a great sleeper, and the tendency increased with advancing years. In the later part of his life he seldom rose before half past nine, and always took a long nap after his midday meal; sometimes, it is true, he would work till midnight, but more often he retired early in the evening to read for a couple of hours before he closed his eyes; indeed it was well said of him when he was an old man that his only recreation was repose. The effect of these habits on his policy and methods of government was foreseen with striking clarity by the Venetian ambassador in 1559. “From them it results”, so remarks the report, “that though he is at the age of youthful appetites and insatiable desire to rule, nevertheless all the actions of his Majesty are invariably directed, not to the aggrandizement of his kingdoms by war, but rather to their conservation through peace”. The counsels of the Emperor to avoid aggressive action and rest on the defensive had certainly been hoard by willing ears. What his father had acquired with the sword, Philip proposed to preserve with the pen. From first to last he was the ‘Prudent King’.

Reading and writing occupied the major portion of Philip’s day; indeed he not seldom continued to read and to write while taking a drive in his carriage. We have already alluded to his preference for written over oral communications; add to this his firm determination to keep in touch with everything that was going on, even in the remotest corner of his vast dominions, and his own persistent unwillingness to leave the center of Castile, and it furnishes the key to his methods of government. He had the highest possible sense of his royal prerogatives and

duties; he had taken deeply to heart his father's injunction to direct everything himself, and never to give his full confidence even to the most faithful of his ministers, and the natural result was that his time was completely occupied with receiving and answering reports and letters. Most of these were concerned with immediate affairs of state, and their number mounted so fast that in 1566 Philip took the first measures for their conservation in the ancient castle of Simancas, which soon became a national archive. Others—like the famous *Relaciones Topográficas*—were elaborate answers to royal requests for information in regard to existing conditions from all the cities of the realm. “They are sent to him,” writes the Venetian ambassador Morosini, “from all sorts and conditions of men and treat of every sort and kind of subject, both great and small, in such fashion that it may be said that the number of them is infinite; indeed, having so many subjects and trusting no one, and insisting that everything pass under his own hand and eye, he is so perpetually preoccupied with this business, with so great labor and toil, that I have heard many people say that they would not for the world be the ruler of so many states as is his Majesty, if it meant living the kind of life he lives”. Reports, reports, and ever more reports; Philip was literally submerged with them in his later years, and moreover he did not stop at reading them; he annotated them, as he went along, with comments on matters as absurdly trifling as the spelling and style of the men who had written them—all in that strange, sprawling hand of his, one of the most illegible hands of an age more than usually replete with chirographical difficulties. A story of somewhat uncertain origin which has come down to us, in regard to the results of a night's work of this sort, is perhaps worth quoting in this connection; it is primarily a proof of Philip's unlimited patience and self-control, but it also reveals his great kindness to his servants, an excellent test of a gentleman. Apparently the king had sat up unusually late, covering sheet after sheet with handwriting and annotations; when at last he had finished, he called his attendant to throw sand over the papers in order to dry the ink. The attendant, however, was so confused and appalled by the responsibility placed on his shoulders that instead of the sand box, he took up the ink pot and emptied its contents over his Majesty's labors; but Philip forbore to reproach him. “*This is the sand, that is the ink*”, was his only comment on the damage that had been done.

If we can visualize Philip niggling over these innumerable reports, we are furnished with the explanation of much else besides. He possessed a tenacious memory, and was resolved to superintend everything himself; “*bien es myrar a todo*” is a phrase frequently found in his writings. But he was curiously unable to separate the essentials from the details, or to persuade himself ever to ‘delegate’ the latter to subordinates; he was like the historian who has vastly more material than he can possibly hope to handle. And the obvious result was that under his rule the administration of the Spanish Empire became more notorious than ever for its slowness. It had been bad enough under the Emperor, but it was to be infinitely worse under his son. So poor were the means of communication in those days, so vast and so widely scattered were the dominions over which Philip ruled, that the only possible method of governing them successfully was to invest the king's local representatives with a large measure of independence. But this was just what Philip could never bring himself to do; and he was the more convinced that his own way was the only right one because it tallied so closely with the precepts of his imperial father. It was centralization carried to the breaking point, pushed so far that it paralyzed efficiency. While Philip was deciding how the sailors on the Armada could best be kept from swearing, Sir Francis Drake raided the Spanish coast. His viceroys and ambassadors, who were constantly kept waiting for orders, and for subsidies to enable them to carry them out, frequently expressed the hope that death would come to them by way of Spain, for thus they would be certain to live to a ripe old age. Prescott prints part of a letter addressed to Philip by Luis Manrique, the grand almoner, telling him in vigorous terms of the discontent of his subjects because of his manner of doing business, “sitting forever over

your papers, from your desire, as they intimate, to seclude yourself from the world, and from a want of confidence in your ministers. Hence such interminable delays as fill the soul of every suitor with despair ... God did not send your Majesty and all the other kings, his viceroys on earth, to waste their time in reading or writing, nor yet in meditation and prayer". The Cortes also pointedly advised him to relieve himself of the details of administration and entrust them to the councils and tribunals to which they belonged, so that business might be transacted more speedily and his time be free for weighty affairs of state and war. And the tragedy of it all was that these disastrous mistakes were really brought about chiefly as a result of one of Philip's, finest qualities, his exalted idea of the duties of kingship. Certainly his worst enemies cannot justly accuse him of being a *roi fainéant*.

Two other outstanding virtues of Philip call for special comment; they were both of them salient characteristics of his great-grandmother, Isabella the Catholic, and in both he showed notable improvement over the ways of his father. The first was his love of justice and determination to see it done throughout his dominions. "Justice is his favorite interest", writes the Venetian ambassador in 1563; "and in so far as its administration concerns him, he does his duty well". "He is by nature the justest of rulers," writes another in 1584, "and his justice extends so far that were it not regulated by the greatest prudence and experience in the affairs of the world, it would pass into severity." The good old custom of the Catholic Kings—that of hearing the pleas of their subjects on Fridays—had by this time fallen into desuetude, and Philip made no effort to revive it; in this matter at least—and it would seem to be the exception that proves the rule—the king consented to delegate to others; and it should be added that the judges whom he selected were famed for their probity and competence. Nevertheless, Philip was by no means entirely inaccessible, much as he loved solitude and detested the fatigue of audiences. We are assured that on the way to and from divine service, "he accepted all the supplications that were handed to him, and that if any one desired to speak with him, he stopped courteously to listen but Philip never showed, either by expression or gesture, how he proposed to deal with such requests; they were all distributed to the officials whom they respectively concerned, to be acted upon favorably or the reverse as the case might be; if favorably the signature of the king was necessary before final action could be taken.

The other matter by which Philip earned the gratitude and respect of his subjects was his gradual restoration of the pristine simplicity of the royal court and household. It will be remembered that in the days of Charles V there had been constant complaints of the luxurious 'Burgundian' fashions of the imperial establishment, and demands for a return to the ancient customs of Castile; and at the beginning of Philip's reign there was no evidence of improvement. When he got back from the Netherlands his establishment was fully as magnificent as ever it had been in the days of his father; it numbered no less than 1500 persons, of whom nine-tenths were Spaniards, and the rest Flemings, Burgundians, Englishmen, Italians, and Germans; there are, moreover, countless testimonies to the excessive liberality of his grants and pensions, especially to Spaniards. But Philip himself was by nature frugal; the lavish cost of his household at the beginning of his reign represents rather the system which he had inherited from his father than what he would have chosen for himself; and as the years went on; with his resources growing gradually smaller and smaller, with Spanish influence increasing and Burgundian growing correspondingly less, and with his own fondness for solitude becoming steadily more marked, his natural frugality began to assert itself. In the middle of his reign we have numerous evidences that the expenses of the royal household diminished year by year; at Madrid only barely enough pomp and circumstance were maintained to suffice for the preservation of the dignity of a king. And at the end, we find Philip living and dying in a little cell in the Escorial, only twelve feet square, unadorned and austere; it has been well said that the humblest monk of San Lorenzo "had a better room and

better furnished than did the king of Spain.” And the contrast, striking as it is with the ways of his father, is even more obvious with those of his son. The gorgeousness of the courts of Philip III and Philip IV was a prominent part of that great game of bluff behind which the internal rottenness of seventeenth-century Spain was concealed from the observation of foreigners; but the Prudent King’s mode of living at the end of his days was an accurate mirror of existing national conditions. There can be no doubt that his subjects respected and admired him for it. If he had impoverished them, he had not done it for his own advantage.

Yet it would be a grave error to think of Philip as being naturally dead to all the pleasanter sides of life, and an even graver one to conceive of him as deficient in affection or incapable of friendship. The joylessness of his early years, to which we have already alluded, was but the inevitable consequence of the way in which he had been brought up; that he so loved to be alone during his later life was chiefly the result of circumstances and of a long series of family bereavements. Though he detested physical exercise of every sort, he got recreation in other ways. To the end of his life he took much pleasure in the jokes of professional buffoons—a peculiarly Spanish trait; we also get a glimpse of him playing piquet after dinner. Still more notable were his knowledge and enthusiasm for art. He loved music and could play the guitar; he was a patron of artists and a real connoisseur of painting and tapestry, sculpture, and architecture; we shall return to this phase of his activities in more detail in connection with the building of the Escorial. And there can be no doubt that in his earlier years he had various mistresses. The Venetian ambassadors and others frequently advert to his incontinence, but save for his liaison with the Princess of Eboli, about which there are so many divergent opinions, his amours do not seem to have had the slightest influence on his policy or methods of government. But it was not, after all, in the company of his mistresses that Philip found his principal relaxation from the cares of state; indeed his ill health gives good reason for believing that he was rather less than more amorous than the average man. It was in the bosom of his own family that the king hoped to find the affection and companionship for which he hungered, and it was perhaps the deepest tragedy of his whole life that that hope, save for a few brief intervals, was destined to be disappointed.

His mother had died when he was but twelve years old; his first wife, Maria of Portugal, when he was eighteen, in bearing him the Infante Don Carlos. He wedded Mary Tudor at twenty-seven, but of the four years and four months during which he was married to her he passed less than one-third in England with the queen; the union had been dictated solely by political expediency; no child was born of it, and it was profoundly distasteful to Philip. Until the time of his return to Spain in 1559 he had scarcely tasted of the joys of a happy family life. Thereafter followed the brightest period of his whole existence. His third spouse, Elizabeth of Valois, reached Spain in the following January; her advent was the occasion of festivals and rejoicing, for it was regarded as the sign and seal of permanent reconciliation with France, and the new queen was popularly known as the *Reina de la paz*. Soon after her arrival she was stricken with small pox; it was a light case, and she soon recovered, but it is interesting to read of the worries of her mother Catharine de’ Medici, lest the disease should so disfigure her that she would lose her influence on her husband and imperil the safety of the Franco-Spanish understanding. Much depended indeed on whether or not the new queen should bear a child, and that question was not answered in the affirmative till six years after her arrival. On August 12, 1566, she was delivered of a daughter; the birth of the child was believed by the queen to have been due to the beneficent influence of the Spanish Saint Eugenius, whose remains the king, after interminable correspondence, had recently succeeded in having transferred to Toledo from their former resting place at St. Denis, and the Infanta was accordingly named Isabella Clara Eugenia. This daughter and her younger sister Catharine, born October 9, 1567, were the joy of their father’s heart; and his affection for them increased with the death, on October 3,

1569, of their mother, who was mourned by the Spaniards as “the best queen that they had ever had or could have”. That year, 1568, which also witnessed the death of Don Carlos, was unquestionably one of the saddest in Philip’s whole life. But the necessity for a male heir took the precedence over everything else; in November, 1570, Philip married his fourth and last wife, Anne of Austria, the daughter of his cousin, the Emperor Maximilian II. She bore him four sons and one daughter, and died October 25, 1580, but of her children only one, who was to succeed his father as Philip III, lived to be more than eight years old. The Escorial was scarcely finished before it was filled with coffins. Philip had laid no less than seventeen members of his own family to rest there before he had completed his sixtieth year.

This terrible series of family bereavements is an element too often forgotten by those who have attempted to portray the life and character of Philip II. One chief reason why it has been so much neglected is doubtless the king’s own extraordinary self-control. It was one of his fundamental principles that a sovereign should never, under any circumstances, exhibit his inmost feelings. “He is an adept at concealing his affections,” writes Morosini in 1581. “No display of sorrow,” was his order at the time of the death of the Infante Don Ferdinand, in October 1578; “nothing but processions and public prayer, returning thanks to God and humbly supplicating that he mitigate his wrath.” Certainly it would be unfair to judge Philip by externals. But there has fortunately been preserved to us one glimpse of the man, as he really was in the bosom of his own family, one rift in the clouds of his impenetrable reserve; and that is a series of letters exchanged between him and his daughters Isabella and Catharine when he was absent on the campaign for the annexation of Portugal. These letters were discovered by Gachard in the archives of Turin, and published by him at Paris in 1884; they prove, beyond the possibility of doubt, that whatever the crimes and barbarities of which Philip may have been guilty in his capacity of Spanish monarch, he was a most loving and tender father, who longed, in his self-imposed isolation, for the sympathy and love which only a family can afford. Their contents are well known and need not be repeated here: the evidences of his solicitude for his daughters’ spiritual and physical welfare, his interest in the most intimate details of their daily life, his desire to know if they had begun to make use of the new Gregorian calendar, which went into effect during the period of the correspondence, his descriptions of the storms, the birds, the flowers, and of the local customs of his new kingdom, of everything, in fact, that would interest and amuse them. These letters also afford additional evidence of the king’s friendly relations with his servants; his kindly tolerance of the cranky eccentricities of Madalena, the old nurse of the Infantas, forms the most amazing contrast to the cold, stern lord of the Spanish Empire, as portrayed by his official visitors. And yet it was the real man that these letters reveal—the man whom Philip was irrevocably determined that the outer world should never know.

It is not impossible that this contrast between the real and the official Philip, coupled with his firm resolve that the feelings of the former should never interfere with the duties of the latter, may point the way to the most reasonable solution of the mysteries that still surround the life and death of the son of his first marriage, the Infante Don Carlos, born July 8, 1545. For over three centuries and a half it has been a favorite theme of historians, novelists, and playwrights. All sorts of different theories have been offered in the explanation of it, but none of them has as yet been accorded any general acceptance. The legend which forms the basis of Schiller’s famous tragedy, namely, that the Infante was sacrificed because of his love for his step-mother, Elizabeth of Valois, is devoid of any solid historical foundation; the tales that the sources of the trouble were his fondness for the Protestants, intrigues in the Netherlands, or deep-laid conspiracies against the royal authority in Spain, seem also, on the whole, to be unworthy of credence. On the other hand it would appear reasonably certain, despite the arguments of a recent authority to the contrary, that Don Carlos, from his earliest years,

showed himself physically and mentally quite unfit for the vast responsibilities that would devolve upon him should he ever be permitted to succeed his father as the ruler of the Spanish Empire. His excesses in eating and in drinking, his passion for swallowing things and making others swallow them, his whimsical cruelties all tell the same tale; his picture by Sanchez Coello confirms it, as do the reports of the different ambassadors at the court of Madrid. These bad symptoms, sufficiently alarming during his boyhood, became rapidly worse when, at the age of sixteen, he “fell down a pair of stairs, broke his head and had two fits of an ague”. It seems probable that his life was only saved on this occasion through the efforts of the learned Vesalius, who performed the operation of trepanning; and the measure of Philip’s superstition and ignorance is revealed by the fact that he insisted on attributing his son’s survival to the miraculous healing powers of the corpse of the cook of a Franciscan convent, long since dead, which was placed in bed beside the fever-stricken body of the Infante. In any case the evidences that Don Carlos would make an absolutely unendurable sovereign multiplied apace after his recovery from this accident. When his father, in the hope that the possession of authority might rouse him to some sense of his duties and responsibilities, tried the experiment of placing him in the Council of State, the Infante upset everything. He insulted and even assaulted his fellow councilors as no sane man would have done, and seemed to take a malicious pleasure in revealing the most important state secrets with which he had been entrusted. Irritated by the strictness of the surveillance to which he was subjected, he laid plans to flee to Italy or Germany and make trouble for his father abroad. Whether he had thoughts of murdering Philip seems much more doubtful. The difficulty, in this case, is not the lack of evidence, for there is an abundance of it, but rather to know how much to believe.

How to deal with the situation was a truly terrible problem, one of the most agonizing with which a royal father has ever been confronted; but early in the year 1568, Philip came to a decision. He was convinced that a strong monarchy was essential to the welfare of Spain. The experience of his predecessors had inspired him with a deep dread of the grandees, who had always seized the government when the kingship was weak; only a century before, under Henry the Impotent, there had been a striking demonstration of it, and Philip knew his Spanish history. Don Carlos promised to be far worse than Henry the Impotent had ever been; was it not obvious, then, that Philip’s duty to the state, of which he had the very highest conception, demanded that he should spare his realms from the perils of the rule of a madman? To imagine that he could answer this question in the affirmative without a pang is to ignore the evidence we have already adduced to prove that Philip had an intense family feeling and a deep affection for his own offspring; moreover there were some risks in setting the Infante aside, for the king, in that moment, had no other son. It must have been a heart-rending decision to make, but finally, on the night of January 18, 1568, Philip summoned to his palace at Madrid Ruy Gomez, Luis de Quijada, and the Prior Antonio, and, “speaking as no man had ever spoken before”, apprised them of the resolution he had taken. Then, with his helmet on his head and his sword in his hand, he led the way silently along the dark corridors of the palace to the apartments of the Infante. Everything had been carefully arranged beforehand. The bolts had been removed, and the door was opened without the least difficulty; before Don Carlos had waked up, the loaded pistols which he always kept by his bedside were taken away. The brief agonized queries of the Infante as to the meaning of it all were answered coldly and noncommittally by the king. The doors and windows were swiftly nailed up, Philip superintending the whole process with the utmost care. When all was finished he committed his son to the guard of the Duke of Feria, commanded that no one should be permitted to speak to him or bring him letters, and went out without speaking a word to the Infante. He was never to see him again.

Six months later, on July 24, the world learned that Don Carlos was no longer living, and stories were circulated for more than a century afterwards to the effect that the king had given orders that in one way or another he should be put to death. Some maintained that he was beheaded, and two of the various post-mortem examinations of the body (the last in 1812) appear to support this conclusion; but the evidences to the contrary are stronger and more reliable. The reports that he was strangled by slaves or suffocated in bed are totally lacking in solid historical foundation; while the tale that he was poisoned rests principally on the testimony of Antonio Perez, who, at the time that he wrote his account, was bent on vilifying the name of Philip II. No one of the countless stories of the Infante's being made away with at the royal command can be definitely substantiated; that being the case, the king should be given the benefit of the doubt, if any continues to exist. Perhaps the best of all reasons for believing Philip to be innocent of the crime with which, before the days of critical historical scholarship, he was so often charged, is that it was unnecessary to the attainment of his ends; for he must have foreseen that Don Carlos's physical excesses in solitary confinement would be ultimately certain to cause his death. Philip's object was to remove his son from the possibility of any active participation in the life of the world, and he effected that end by imprisoning him. That the Infante's death, six months after his arrest, relieved the king from a most painful and embarrassing predicament is indubitable, but there is no adequate ground for believing that Philip was guilty of accelerating it.

The most recent authority on this tragic affair regards it simply as "a matter between father and son." He rejects the hypotheses of treasonable or heretical conspiracies on the part of the Infante, but fully admits his unfitness to rule; on the other hand he is very harsh in his verdict on the king. Philip's refusal to visit his son during his imprisonment seems to him utterly heartless. He cannot understand why the king failed to reward the improvement in Don Carlos's disposition toward him, which apparently took place after the Infante had been permitted to receive the communion at Eastertide, with any relaxation of the rigor of his confinement; he even blames Philip for his unwillingness to take part in the prince's funeral, which the king watched, with his accustomed calmness, from a window in the palace. But these strictures seem to reveal a very imperfect comprehension of the true character of Philip II. If the king was notoriously slow in making up his mind, he was equally firm in adhering to a course of action, once he had embarked upon it; to reverse the line of conduct which it had cost him such sorrow to adopt was unthinkable. Moreover, is not Philip's refusal to visit his son in his prison and to participate in his funeral quite as explainable on the hypothesis that he could not stand the strain upon his own affections as on that of heartless cruelty? We have seen that it was a cardinal principle of the Prudent King never to reveal his inmost feelings. How can we be sure that his heart was not filled with mortal anguish all the time—an anguish which he dreaded every moment to find himself unable to control?

The parallel between Philip II of Spain and his great-grandson, Louis XIV of France, has been often drawn by historians. It was first attempted over two centuries ago by the French Protestant, Jean Jacques Quesnot de la Chesnée, who held strong views on the dangers of universal monarchy. He shows how the liberties of Europe had been threatened, first by the house of Austria, "which attained its preponderance through the two most unstable things in the world, namely, women and the sea," and then by the Bourbons under the Roi Soleil; he points out that "whenever a Holofernes has threatened to seize the sovereignty of the world," a "Judith has been supplied by England," in the persons of Queen Elizabeth and Queen Anne, to curb his insatiable ambitions. He compares the political and economic results of the expulsion of the Moriscos with those of the revocation of the Edict of Nantes; he is convinced that both Philip and Louis were led astray by evil counsellors, and envies the kings of China, "who have

ministers of incorruptible probity.” He stoutly maintains that “all men are by nature equal,” that “Princes derive their authority from the consent of those they govern,” and that “those who do not regard their subjects as their sovereigns are no better than tyrants.” He violently assails the theories of absolutism and of monarchy by right divine, on which the governments of the Prudent King and of his great-grandson were both built up. But there are other points of resemblance than these. Quesnot was primarily a political theorist of Anglophile ideas, and he used the similarities between Philip and Louis in order to point a moral. Had he been an historian, desirous of describing what actually existed, he would have found that there was even more to his ‘Parallele’ than he had thought. Both monarchs had the same high conception of their kingly duties and prerogatives, both the same haughtiness and reserve, both the same willingness to work constantly and hard for what they conceived to be the welfare of their subjects and the glory of their kingdoms. Both were unwilling to give full confidence to any of their ministers; both were methodical rather than brilliant in their conduct of affairs; there is the same deadweight impression about both of their reigns. Both of them, finally, built themselves residences in the country, not far from their respective capitals. But the nature of these residences, and the motives that led to their erection, were widely divergent. Louis hated Paris, which had been full of disagreeable associations for him since the days of the Fronde in the period of his minority; he therefore constructed for himself, at enormous expense, a luxurious palace in the forest of Versailles, and permanently transferred thither both his court and his government on May 6, 1682; there they were to remain till the time of the French Revolution. Philip, on the contrary, was fond of Madrid; in fact he made it, for the first time, the capital of Spain, and formally established his court there in the spring of 1561; but his desire to find repose from his regular duties, his love for clerics and fondness for religious contemplation, combined with certain special episodes of his own early career to bring into existence on the spurs of the Guadarramas a dwelling which bears no resemblance whatever to the palace at Versailles, the famous monument known as the Escorial.

The word ‘monument’ has been purposely used to characterize it, because it emphasizes the fact, which is too often forgotten, that the Escorial was in a large measure designed as a mausoleum for the remains of Charles V, who had directed in his will that his bones should remain at Yuste until his son should have provided them with a permanent resting place. The story, often denied and as often reasserted, that the building owes its origin to a vow made by Philip at the battle of St. Quentin, does not seem likely to be true, at least in the form in which it is usually told, for the reason that Philip was not present at the fray; we may, however, well believe that the fact that that great victory had been won on St. Lawrence’s day, together with the tradition that the saint was born in Spain, was of decisive influence in determining the form which the structure permanently took. Finally, there can be little doubt that the retirement of the Emperor, after his abdication, to Yuste, strengthened Philip in his natural inclination to build himself a residence in combination with a monastery and church. Whichever of these various motives is to be regarded as dominant, we can be pretty certain that when Philip came back to Spain in 1559 the plan of the building had already taken shape in his mind. Soon after the royal capital had been established at Madrid, a site was selected some twenty miles to the northwest. The first stone of the great edifice, styled by the Spaniards the ‘eighth wonder of the world’ was laid on April 22, 1563, the last on September 13, 1584.

To describe the Escorial is wellnigh impossible: if it is to be understood or appreciated it must be seen. Yet it is essential to try to give some idea of it, for it sums up the Spanish Empire in the period of Philip II more perfectly than any book can possibly hope to do. Its plan is that of a gridiron, in commemoration of the instrument on which St. Lawrence was tortured to death; the church, the courtyard, and the cells of the monks form the lines of the bars; the royal apartments, the handle. The main rectangle is of gigantic proportions, 675 feet

long by 530 feet wide, and it took twenty-one years to complete it; its style, though unique, is distinctly reminiscent of that of the Italian school of the second half of the sixteenth century, as illustrated by the Gesù at Rome and the Granvelle palace at Besançon. Its material was the gray stone obtained from the quarries nearby; perhaps the most remarkable feature of it, as one views it from a distance, is the way in which it fits into the surrounding landscape, the somber colors of the lonely hills, the wild inhospitality of the frowning crags. Philip superintended the planning of it with that meticulous care for which his name has become a byword, and his ideas were faithfully carried out by its principal designer, Juan Bautista Castillo de Toledo, and also by his successor, Juan de Herrera, who completed the work. The king constantly visited it while it was in the process of construction, and urged on the workmen with an energy such as he seldom displayed in anything else. He shared with the ascetic Hieronymite monks whom he had determined to establish there the rude habitation in which they were temporarily installed; he watched the rising of the great walls for hours on end from the Silla del Rey, a rocky nook on one of the mountain spurs a mile and a half distant from the edifice itself. The whole scene is an epitome of the sterner and lonelier aspects of his character. Philip can be studied in that landscape perhaps even better than in the books and manuscripts of the great monastic library.

But if the prevailing impression of the Escorial as seen from without is one of somber simplicity and gloom, the gorgeousness of its interior furnishes a most notable contrast. There is no trace of this, indeed, in the royal apartments, which accurately reflect the asceticism of their inmate; but the church, the galleries, the library, and its collections remain as a permanent memorial to Philip as a patron of art. The king wished the Escorial to contain samples of the best products of all his dominions. Jasper was fetched from Burgo de Osma, and marble from the quarries of the Sierra Nevada. The iron work was principally contributed by Toledo, which had been renowned, since the days of the Goths, for its preeminence in that art. Milan furnished fine specimens of its workmanship in silver, gold, and precious stones, the Low Countries their most exquisite tapestries; and the colonies in the New World sent their curiously tinted woods. Philip's determination to get the best paintings is more notable still. Like his father before him, he was a great patron of Titian and Tintoretto, who are both splendidly represented in Madrid, though much less adequately at the Escorial; another of his early favorites was the Fleming Antonio Mor, who first came to Spain in 1552 and enjoyed Philip's special good will for a number of years, only to lose it in a trice by committing the unpardonable error of presuming too far on the royal good-nature. The Cretan Dominico Teotocopuli, better known as El Greco, crossed over to Spain in the middle seventies; though the altar piece which he was commanded to paint for the Escorial failed to please, he lived on at Toledo till his death in 1614, doing work whose true greatness has only been appreciated in very recent years. More satisfactory to the royal taste was the Italian Federigo Zuccaro, who was summoned to Spain about 1585 for the special purpose of decorating the Escorial, and who brought the two Carducci brothers with him when he came. But this long list of foreigners must not lead one to suppose that Philip had no faith in Spanish talent; quite the contrary, he was firmly convinced that in painting, as in everything else, it was the destiny of his native land to emerge supreme, and his faith was gloriously rewarded in the age of his grandson. In his own day he showed special favor, particularly when it came to the painting of portraits, to the Spanish-born Portuguese Alonso Sanchez Coello (1531-90) and to his pupil, Juan Pantoja de la Cruz (1551-1609). These two, and, in the early years, Antonio Mor, painted pictures of almost every member of the royal family, as well as of the prominent courtiers, secretaries, and generals of the day. It is worth noting that Sanchez Coello got only fifteen ducats apiece for his portraits executed from life, and twelve for copies; for determined though he was that nothing should be lacking for the decoration of the Escorial, Philip was constantly anxious to save expense. Raphael's famous 'Lo Spasimo di Sicilia' was obtained without cost

to the king by a really scandalous piece of corruption; and Arias Montano, his representative in the Netherlands, drove the hardest of bargains in the purchase of books and of manuscripts.

No part of the Escorial was more precious to the king than its library. His fondness for reading was one of his most prominent characteristics; he was also a real connoisseur of rare books and precious manuscripts. He gave constant employment to Fray Andres de Leon, one of the greatest masters of the art of illumination then living; he spared no pains to furnish the monks of the Escorial with the most magnificent copies of the liturgy and the Scriptures. Into the library itself there poured a steadily increasing stream of volumes and codices, both ancient and modern, in manuscript and type, some donated from the royal collections, but most of them acquired through the Argus-eyed agents whom Philip employed all over Europe.<sup>2</sup>The treasures in the Greek and Oriental languages were probably the most precious of all; indeed a complete history of the library of the Escorial would be practically that of the renaissance of classical literature in Spain. The richness of the decorations rivalled that of the content of the collections, and is described in glowing terms by the first librarian, Jose de Siguenza; and the books, which are placed on the shelves with the backs inward, and the titles stamped on the edges of the pages, furnish the walls of the rooms in which they are stored with a mellow background of old gold.

But it was not merely books and manuscripts that Philip was determined to gather in. He was also resolved that the Escorial should be a great repository of precious relics and bones of the saints. His zeal for amassing these was well-known all-over Europe; one of the surest ways to win his favor was to send a gift to his collections. In them were to be found the remains of St. Justus and of St. Pastor, an arm of St. Lawrence for whom the monastery was named, “a head of St. Undelina who was queen of Sicily and suffered martyrdom together with the 11,000 virgins, and another head of one of the 11,000 virgins, and another head of one of the companions of St. Maurice the martyr, and another head of one of the companions of St. Gereon the martyr, and a bone of the same St. Gereon, and another bone of one of the Holy Maccabees; and two bones together of the blessed apostles St. Philip and St. James, and another bone of the blessed apostle St. Bartholomew.” Such an assemblage of pious relics “out of those good old centuries when there was so much faith and so little money” not unnaturally produced the most gratifying results in the shape of miracles, and miraculous visions by the Hieronymite monks. ‘At four o’clock in the morning of the day after the delivery of the remains of St. Justus and St. Pastor, the prior was awakened by two young people who urged him to say mass. He made haste to obey and to run to the altar, for the holy friar fully understood that those two young people were indeed St. Justus and St. Pastor.’

This brief description will at least have served to make it clear that Versailles and the Escorial are quite as incomparable as are the motives of the two monarchs that led to their construction. Versailles symbolizes the splendor of the age of Louis XIV; it became the center of French society and the seat of the French government. The Escorial was a place of retirement for Philip II. He brought his court there, it is true, for a brief period during the summer, and is said to have been able to accomplish four times as much work there as in Madrid; but it never became the capital of Spain. The real reason why the Spanish monarch was so deeply attached to it was that it gave him a chance to live in the atmosphere of a monastery and contemplate the glory of God. In order to complete the picture of Philip which we have drawn, it remains to say something of the nature of his religious life.

Castilian tradition for eight centuries past furnished the background for it. Philip was a typical product of a country whose national existence and imperial expansion had been inseparably bound up with the advance of the Christian faith, whose greatest victories had

been won hand in hand with the church. No sooner had Spain driven the infidel from her dominions than she began to carry the Cross to the inhabitants of the New World, and to make herself the bulwark of Roman Catholicism against the forces of the Reformation. The natural consequence of this historical development was that she had come to regard her welfare as necessarily identical with that of the ancient faith and even with that of Almighty God; and this conviction was personified in Philip the Prudent. But that was by no means all. The conditions which obtained, both within the peninsula and without it, at the time of the king's return there in 1559, were such as must intensify all his inherited zeal for the Roman Catholic cause. As Charles V got his opportunity in the Hapsburg-Valois wars, so Philip was given his by the era of the Counter-Reformation.

The blows that had been struck during the previous forty years by the Protestants in their different branches against the authority and supremacy of the Roman church had been sufficient to convince its leaders that they constituted the most serious threat that it had ever been called upon to face. On all hands there went up a cry, spontaneous and enthusiastic, for the revival of the morals, discipline, and zeal of the Catholic clergy and laity. The movement it elicited gathered headway with miraculous speed; it was led by devoted priests and bishops, theologians and scholars, saints and mystics, who, though widely scattered over the face of Western Europe, were united by the inspiration of service in a common cause. Spain contributed more than her share to this galaxy of splendid figures. We have no space to enumerate them all, but must restrict ourselves instead to a few words about the greatest of them, the noble Santa Teresa of Avila.

She was forty-seven years of age when first it was revealed to her that her mission in life was to effect a permanent reform of the life of the Spanish clergy. It was indeed a stupendous task. The position of the clerical estate had become so unassailable, and its authority so unquestioned, that its members had come to neglect their spiritual functions; many of them were notoriously corrupt, and the multitude of the hangers-on who participated in their privileges and immunities was a public scandal. But Teresa was nothing daunted; in the year 1562 she founded the order of the barefoot Carmelites in the convent of San José, to serve as a model of her conception of what monastic life should be. The rigor of the discipline to which she subjected her followers almost passes belief; her own deepest grief, so she once confessed, was the necessity of eating, especially when it meant the interruption of her prayers; when she died in 1582, at the age of sixty-seven, she left a reputation so spotless that forty years afterwards she was canonized. She was not wholly successful in effecting the reforms to which she had dedicated her life; the evils against which she fought were too firmly entrenched, and her own ideals were so exalted as to be practically unattainable. But it is certain that the veneration which her own career evoked among the Spaniards tended to emphasize their already strong tendency towards the mystical and emotional phases of religious observance, possibly even somewhat to the detriment of their zeal for the rectification of abuses and other practical demonstrations of their loyalty to the faith.

Philip himself was profoundly influenced by all these things. There seems to be some reason for believing that he received Santa Teresa at the Escorial either in December, 1577, or in May, 1578; even if he did not, it is certain that the training that he had received in his early years rendered him particularly susceptible to the examples and precepts of such a person as she, and that his religious life, particularly at the close, was marked by the intensity of its devotional manifestations. This was evident not only in the frequency of his attendance at mass, in the strictness of his observance of ecclesiastical ceremonies, and in the fervor of his prayers; it was discernible also in his musical and artistic predilections, and he could give it fullest play when living with the monks in the gloomy solitudes of the Escorial. In it he found his only consolation for the defeats and bereavements of his later years; by it he persuaded

himself that however patent the ruin and decay which were going on around him, his cause was the cause of God and must, therefore, triumph in the end. But it was not only in his own dominions and by seconding the efforts of such enthusiasts as Teresa of Avila that he proposed to play his part; he was also convinced that it was his most sacred duty to champion and protect the cause of Catholicism all over the face of the globe. And by the time that he got back to Spain in 1559, the reform movement within the church had progressed so far and become organized to such an extent as to give him precisely the opportunity he desired.

Clearly, unless the Protestants should voluntarily come back within the fold, that reform movement was ultimately bound to mean religious war; its connotations were essentially militant. In the Emperor's day the political and dynastic issues had predominated—witness Charles's constant postponement of the war against the Lutherans, and the French king's alliance with the Turk; Europe had been divided on the basis of Hapsburg against Valois rather than on that of Catholicism against Protestantism. But now that was no longer the case. The Catholic church bade fair to be reestablished on a firmer foundation. Long steps had been taken toward the elimination of those abuses which had given point and justification to the complaints of the Protestants. Rome felt she had a right to reclaim their allegiance, and if it was refused, to compel it. More and more did she convince men that her cause was more worth fighting for than the worldly ambitions of rival sovereigns, that earthly triumphs were as nothing compared to salvation in the world to come. And what rendered the impending religious conflict even more bitter and more certain was the fact that almost at the very moment that the church of Rome had purged itself for the fray, the hegemony of the Protestants passed from the Lutherans to the Calvinists, and therewith from passive to aggressive hands. The Saxon reformer had always maintained that "the word of God would take care of itself"; the Genevan was equally convinced that it needed vigorous human effort to support it, and he preached the duty of fighting for its active advancement as ardently as the Catholic leaders sounded the call to arms on the other side. Under the circumstances religious war, on a scale hitherto unprecedented, was inevitable. Dynastic and national lines of cleavage were bound to fall into abeyance; and Europe for some time to come would be divided, irrespective of political allegiances, into Catholic and Protestant camps. It is also interesting to observe that at the same moment and in some measure for the same reasons that the issues which disrupted Europe changed from a predominantly political to a predominantly religious character, the scene of the conflict shifted too. In the days of Charles V and Martin Luther, the centers of interest were in Germany and Italy; henceforth, they were to move westward to the Low Countries, to France, and to England.

But we must not wander too far afield. Our interest lies solely in those phases of the European situation which vitally affected Philip II and the Spanish Empire; it is, therefore, on the Roman Catholic side of the drama that we must focus our attention. Obviously the success of the Counter-Reformation would depend, in large measure, on the effectiveness of its organization. It had got started, indeed, on a great wave of spiritual enthusiasm; it had been borne forward on the shoulders of devoted men and women who had dedicated their lives to the task; but their efforts might well have proved unavailing, particularly in the impending struggle against heresy, without a correlation of its energies and a marshalling of its hosts. Clerical leadership was furnished by what a Protestant historian has characterized as the 'rechristianized' papacy. Certainly popes like Pius IV, Pius V, Gregory XIII, and Sixtus V, whose joint pontificates cover the years 1559 to 1590, were men who would put their ecclesiastical duties first of all, and not sacrifice them, as had some of their predecessors in the Emperor's day, to the patronage of scholars and artists, and to the aggrandizement of their relatives and bastards. Even Paul IV deserves a place in the roll of these reforming Popes. Certainly his zeal for the church was unbounded, though the effectiveness of his efforts on its

behalf was impaired by his undying hatred of the house of Hapsburg; his pontificate forms a fit transition from the age of the Emperor to that of his son. And at the same time that reinvigorated Catholicism had once more got a leader worthy of the name, it was supplied with a militant platform by the decrees of the Council of Trent. That assembly, during whose earlier sessions there had been some faint hope of compromise with Protestantism, was summoned for its final and decisive meeting on January 18, 1562; and it promptly proceeded to render irreparable the breach between the two faiths. All the doctrines and principles to which no Protestant could possibly subscribe, transubstantiation, the necessity of good works for justification, clerical celibacy, and the rest, were unequivocally sanctioned and upheld; all the heretical ones were equally unequivocally condemned. No loyal Catholic could henceforth have any doubts about the tenets he was expected to maintain.

There still remained the important question of the instruments of which the 'rechristianized' papacy could avail itself in order to carry the Tridentine decrees into effect. A number of primarily ecclesiastical ones were already to hand. Some of the mediaeval religious orders, such as the Franciscans and the Carmelites, had already been thoroughly reformed and placed at the service of the church of Rome; other new ones, like the Theatines and the Jesuits, had recently sprung into being and were animated by the same purpose. The Roman Inquisition had been reorganized for the great conflict on lines reminiscent of the sister institution in Spain, and the first *Index Librorum Prohibitorum* was drawn up in 1557. But all these, efficient though they indubitably were, would avail but little by themselves, without the support of the lay powers. It still remained for the religious leaders of the Counter-Reformation to find some great sovereign who would marshal his armies in the interests of the church, extirpate heresy in Western Europe, repel the infidel in the Mediterranean and in the Danube valley, and carry the Cross to the heathen. Rome found such a champion in Philip the Prudent, to the lasting misfortune of both.

Of course it was evident from the outset that it must be he. In the first place there was the conclusive negative argument that there was no other possible candidate for the place. Ferdinand, in the Empire, was too weak and too lukewarm; the last scions of the house of Valois were unworthy; the king of Poland was not to be thought of, and Elizabeth of England had gone over to the foe. There were also positive reasons in abundance. The Spanish Empire had been the product of a great crusade; the peninsula was the only portion of Western Europe that was virtually untainted by heresy, and Charles V had taken stern measures against the Protestants in the Low Countries. The Spanish monarch was also master of the New World. If the Western Hemisphere was to be preserved for Rome, his cooperation was absolutely essential. Finally, there was the decisive factor of Philip's own personality. Of his zeal for the faith there could not be the least possible doubt; his character, his training, and the precepts of his father combined to make that certain. He ardently longed for the great task that awaited him. He instinctively felt that he was the man of the hour, specially fitted and summoned by Divine Providence to win the great battle for which Rome was girding her loins.

Yet the situation was not, after all, quite so simple as at first sight it would appear. Ardent though he was in his loyalty to the church, Philip was not the man to give without getting something in return. Abundantly conscious of the importance of his own support, he would be tempted to ask, as the reward of it, for favors of a nature that the papacy might be unwilling to grant. There could be little doubt as to what those favors would be, namely, such a share in the control of the policy of the Holy See as would ultimately make Madrid and the Escorial, rather than Rome, the real center of Catholic authority. In other words, if the battle was to be won, and won with Spain's support, the church of the future was to conform to Spanish interests and principles; that was Philip's idea of his share in the spoils. It was not difficult to foresee the vast implications of this demand. Religiously they foreshadowed a Pope

in Spanish leading-strings, but politically their import was wider still. We have already seen that Philip had openly renounced all schemes of further territorial aggrandizement at the expense of the Valois and his other Christian foes. Following his father's advice, he had proclaimed his intention of remaining henceforth politically on the-defensive; he had retired to Spain after the treaty of Cateau-Cambrésis, ostensibly to busy himself with internal problems. But now, as the lay leader of the militant forces of Catholicism, he was reentering the international arena by another door. If his conception of his relation to the church should prevail, the power of Spain, would inevitably be increased by every victory that he won for Rome; under cover of advancing the faith, he would be adding to the already intolerable preponderance of his native land. It is doubtful if this conception had taken definite shape in his mind at the time of his return to the peninsula in 1559; but as the century wore on, and the political lines of cleavage began gradually to reassert themselves, it became increasingly obvious whither he was tending. Perhaps the earliest of all the powers to appreciate the true state of affairs was the papacy itself, the head of the church whose lay champion he had become. On the closeness of the cooperation of the two allies in the great cause much depended, far more, in fact, than could have been evident to contemporaries during the early years of Philip's reign. It is high time, therefore, that we should give some attention to the story of the relations of the Prudent King to the successive occupants of the Holy See during the forty-two years in which he wore the crowns of the Iberian realms.

The age-long tradition of Spanish loyalty to the church must not blind us to the fact that the more recent history of the relations between Spain and the papacy had not been entirely happy. Europe had not forgotten the terrible sack of Rome in the days of Clement VII. Charles V had openly quarreled with Paul III. The war between Paul IV and Philip was still fresh in men's minds. With the advent of the Medici Pius IV in 1559, there was indeed much reason to expect a marked change for the better. Political complications, the chief cause of the Emperor's quarrels with the different pontiffs of his day, had been at least temporarily ended by the treaty of Cateau-Cambrésis. Philip was back in Spain, apparently using all his influence to secure the prompt election of a good Pope, and Pius was far gentler and more complaisant than his predecessor. But all these favorable circumstances were insufficient to prevent clashes between Philip's Caesaropapism and the determination of Pius to maintain the dignity of the Holy See. Trouble arose in the first place over the status of the Spanish Inquisition, whose autonomy and 'supereminence' Philip regarded as the brightest jewels of his crown. The story of the king's persecution of Bartolome Carranza, archbishop of Toledo, who was unjustly suspected of Protestant leanings, and the papacy's interference therein is the most obvious case in point; it will be narrated more fully in a subsequent chapter. For the present it will suffice to remark that there is no reason to think that the Prudent King cherished any personal antagonism to the archbishop; it was simply a case of asserting the authority of his own favorite tribunal as against that of Rome, and possibly also of getting a chance to seize for the crown the archiepiscopal revenues during the vacancy of the see.

Whether financial considerations had any bearing on the Carranza case, it is certain that they were a constant source of friction between Philip and his papal contemporaries. In 1556, the distinguished theologian Melchior Cano boldly advised the king to reform the administration of the Spanish church in such fashion that it should be able to enjoy its own revenues instead of having them drained away to Rome; as things stood, he maintained, Spain had to go on her knees to the papacy in order to get the clerical subsidy, which was paid her in funds that were really her own. As far as this Philip did not venture to go; on the other hand he made it plain at the outset that he did not propose to fight the infidel unless he was adequately reimbursed. Not only did he demand the continuance of the *cruzada*, but also an extraordinary subsidy to reimburse him for the special efforts that he was to be called upon to make. Pastor

calculates that he derived no less than 1,970,000 gold ducats a year from clerical subventions sanctioned by the Pope. But the 'special efforts' for which these revenues were demanded often failed to equal the papal expectations. At Rome Philip was regarded as almost criminally slack in sending aid to the Knights of Malta in 1565; and after the campaign of Lepanto and the loss of Tunis, when the Spanish monarch refused to make any further efforts to recover Algiers and even began negotiating with the Sultan for peace, Gregory XIII put a stop to the extraordinary subsidy for a period of two years. Philip's attitude toward the Council of Trent was another fertile source of trouble. At the outset he no doubt agreed with his ambassador at Rome, Francisco de Vargas, who could see nothing in it but a reunion of heretics and Gallicans 'in which the devil was working and plotting', and he kept the closest watch, through Vargas and his satellites, on the doings of all its members. Not until the Spanish bishops had fully gained the upper hand there were his suspicions allayed, and he deeply resented every effort of Pius to guide its deliberations. Small wonder if the gentle Medici took alarm at such tremendous pretensions. "You in Spain," he once burst out, "want to be popes and submit everything to the king;" but "if the king wants to be king in Spain, I want to be Pope at Rome."

It was evident that the difficulties which had begun under Pius IV would sensibly increase when, in January, 1566, that peace-loving pontiff was succeeded by the much abler and more vigorous Pius V. He had been elected with the full approval of the Spanish monarch, who, as usual, professed himself to be chiefly desirous of a 'good Pope for Christendom'; but the contest which had begun under his predecessor was to ramify and intensify under him. The new pontiff was not content to rest on the defensive; he proposed to take active measures for the rehabilitation of ecclesiastical authority. Most of these measures had a special bearing on the situation in the Spanish dominions in Italy. Especially noteworthy were his efforts to undermine the so-called *Monarchia Sicula*, or claim of the secular rulers of Sicily to exercise there all the rights of a legate of the Holy See; the resources of some of the most notable historical scholarship of the period were brought to bear to prove that the crucial words in the bull of Pope Urban II (July 5, 1098) to Count Roger I of Sicily, on which this claim was based, were in all probability forged, and thus to restrict the pretensions of the Spanish king in the island. Three years earlier, by republishing the ancient bull *In coena Domini* in a skillfully altered form, he took further steps to emancipate ecclesiastical authority all over the world, particularly in financial matters, from secular control. This naturally affected all the dominions of the Spanish Empire, but it was specially aimed at the kingdom of Naples, where the papal pretensions were greater than anywhere else. In Milan there were a whole series of clashes between the ecclesiastical authorities and the representatives of the king of Spain, the case of the former finding a strong champion in the archbishop, Carlo Borromeo, the nephew of Pius IV. Yet Philip succeeded in maintaining his position. Both in the north and in the south of the peninsula, his authority was more unquestioned and the rivalry of the papacy less menacing at the end of the reign than at the beginning; and an Italian proverb, current at the time, declares that while "in Sicily the Spaniards nibble, in Naples they eat and in Lombardy they devour". Most of the older causes of friction inherited from the previous pontificates also continued under Pius V. The affair of Carranza reached its acutest stage during this period; there were interminable difficulties about clerical subsidies; Castagna, the papal nuncio in Spain, wrote back to Rome that he found the authority of the Pope there diminishing on every hand.<sup>4</sup> Yet the period of Pius V ended without an open breach. The pontiff praised Philip's conduct in the matter of Don Carlos; above all, the king and the Pope were drawn together again in 1571 by their common interest in the campaign, of Lepanto. The outstanding fact still remained that, despite perpetual quarrels, they were mutually indispensable.

Under Gregory XIII (May, 1572-April, 1585), the situation went from bad to worse; and during the years 1579-81, matters came nearer to a formal break than they had been since the time of Paul IV. Political difficulties were in large measure responsible; as Gregory complained of Philip's slackness in fighting the infidel; so Philip insisted that Gregory's unwillingness to proceed vigorously against the heretics in the Netherlands was due to his desire to see the Low Countries lost to Spain. The Pope's attitude on the annexation of Portugal was also displeasing to the Spanish king. It was evident that Gregory dreaded the extension of Spanish power which would inevitably result from it, and for some time he strove to remain neutral between the different claimants; indeed his final recognition of Philip II's lawful Portuguese monarch was largely due to the fact that the latter, who, on this occasion, for once in his life was on time, was able to present him with the accomplished fact before he had had a chance to lay plans for anything else. These matters will be taken up more fully in another connection; for the present we must confine ourselves to topics ecclesiastical, and point out that under the new Pope another source of friction with the Spanish monarch came prominently to the fore in the widely divergent attitudes of the two powers in regard to the Society of Jesus. Some of the previous pontiffs had taken a rather negative attitude in regard to the Jesuits, but Gregory supported them heart and soul; he showered privilege after privilege upon them; indeed, the order was generally admitted to be his favorite. Under the generalship of Claudius Aquaviva (elected February 7, 1581), it had entered upon one of the really great periods of its career; it gave countless proofs of its growing influence and power; it won notable victories over many of its bitterest enemies. Among these enemies, not the least considerable was Philip the Prudent. It may seem strange that an order which had such a distinctly Spanish origin should have found itself opposed by the lord of the Spanish Empire; the explanation lies in the king's dread lest the Society, like his counsellors and generals, might become so powerful as to get beyond his control. So useful had the Jesuits proved themselves in advancing the cause of Catholicism all over the world, that it is doubtful if Philip would have ventured to proceed directly against them, had not the way been prepared for him by other foes of the Society. It so happened, however, that, some years before his accession, they had aroused the lasting jealousy of the Dominicans, who always regarded themselves as the preeminently Spanish order; in 1549 they had been bitterly attacked as precursors of Antichrist by Melchior Cano; the archbishops of Toledo and Saragossa were also openly hostile. In the early part of Philip's reign the quarrel slumbered, though the new king's ardent support of the Inquisition, in which Dominican influence had always been very strong, made it evident that an open breach could not be long delayed; not until the year 1580 did the Spanish monarch get the opportunity which he so ardently desired. At that time a few malcontents within the order started a movement for the purpose of diminishing the authority of the general at Rome, so as to render the different provinces of the Society more independent of his control. The plea for this change was that in no other way could the Spanish Jesuits remain free from the contamination of heresy; the effect of it, of course, would be to give Philip just the opportunity to control them for which he longed, and which he could not possibly acquire as long as they were ruled from outside the realm; he therefore supported the malcontents in every possible way, while the Pope, for the same reason, did his utmost to put them down. During Gregory's pontificate, Philip accomplished nothing. When in 1587 he applied to Sixtus V for a revision of the constitution of the order, he found that the new pontiff, though far less well disposed to the Jesuits than his predecessor, had no intention of changing its rules in accordance with the interests of Spain, but rather cherished schemes of modifying them to suit his own views. From that time on the quarrel continued, with fluctuations according to the attitude of the successive Popes toward the order, till the end of the reign and beyond; but Philip's enmity to it never ceased till the end of his days.

Under Sixtus V, and finally under Clement VIII, the ecclesiastical phases of the quarrel between the see of Rome and the Spanish monarch were again largely obscured by the political. Sixtus, who had been elected against the will of Philip, was a fiery, impetuous soul, not unlike Julius II—just the sort of a person that could not possibly understand or cooperate with the slow-moving Spanish king; and the haughty Spanish representatives at the Vatican, the Count of Olivares and the Duke of Sessa, grew more and more unpopular there as the years went by. The Pope's relations with the non-Spanish Italian states, particularly his intimacy with Venice, were also highly displeasing to Philip II, and the refusal of Sixtus in 1589 to contribute to the sending of a strong Armada against England was an even greater source of complaint. But it was with regard to the situation in France that the most serious divergence occurred. The death of Catharine de' Medici and the assassination of Henry III in 1589 eliminated the middle party in the civil wars there; Henry of Navarre and the League were left to fight it out for the mastery and the throne of the realm. Philip, who had many other grounds besides religious ones for detesting the Bourbon claimant, ardently supported the cause of the League, for its triumph would enable him to keep France in leading-strings and prevent her from becoming a rival of Spain. The papacy, on the other hand, desired a France loyally Catholic indeed, but independent and strong, to use as a counter-weight to the preponderance of Spain. The all-important question, then, was whether Henry of Navarre could be induced to abandon the religion of his fathers and accept the Roman faith. For four long years, covering the last twelve months of Sixtus, the three brief pontificates that followed, and the first year and a half of Clement VIII, that question remained undecided; when finally, in July, 1593, it was answered in the affirmative, not only did Philip lose all hope of controlling the destinies of France, but also a large measure of his influence with the see of Rome. He was no longer indispensable to it, for there was at last a possible alternative to his support. Yet it was thoroughly characteristic of him that he refused, to the very last, to abate one jot or one tittle of his earlier pretensions, but heroically brazened it out to the bitter end, and so successfully, moreover, that despite the altered situation, Clement VIII continued to the very end of his life to show marked reluctance to adopt any measures that might tend to bring about a breach with Spain. Filippo Sega, the papal nuncio at Madrid during the pontificate of Gregory XIII, summed up the story of the whole period admirably when he compared Philip and the Pope to two merchants, each of whom was firmly convinced that the other had wronged him in countless different ways, but who nevertheless could not afford to stop doing business with one another.

One final phase of the relations of Philip to the papacy remains to be mentioned: namely, the attitude and conduct of the Spanish king and his representatives at Rome with regard to the different conclaves. It has been made the subject of a most painstaking investigation by a competent German scholar; and his general conclusion furnishes a significant confirmation of the tendency, noticed in the immediately preceding pages, of political issues to regain, in the last two decades of the century, that predominance over religious ones which they had enjoyed in the time of Charles V, but had temporarily lost during the first part of the rule of his son. Down to, and including, the election of Sixtus V, Philip had really interfered comparatively little with the choice of the successive pontiffs for the purpose of advancing the interests of Spain. The most that he did was occasionally to insist on the exclusion from candidacy of persons whom he knew to be avowedly hostile to his own political ends; his chief interest seemed to be—as he was never tired of reiterating—the choice of a good Pope for Christendom. But during the pontificate of Sixtus there was a great change. The close bond between Spain and the papacy, which had made possible the Counter-Reformation, had done its work; the old political lines of cleavage were struggling to regain the mastery; indeed, the Pope's own attitude towards the various projects of the Spanish king was a powerful factor in bringing this to pass. When Philip realized the new state of affairs,

his policy towards the succeeding conclaves altered accordingly. More vigorous measures were clearly necessary in order to keep the papacy in line with the interests of Spain; and the obvious method of accomplishing this end was to take a more positive attitude with regard to the papal elections. In the four conclaves which succeeded one another with such extraordinary rapidity in the eighteen months following the death of Sixtus V, the Spanish monarch came boldly forward and indicated the names of the candidates whom he wished to have chosen; the policy of merely excluding undesirables was thus exchanged for the much more far-reaching one of putting through the election of favorites. "The least we can demand at this time", so wrote Philip to his representative at Rome in November, 1591, "is that the victorious candidate should cooperate with me."

For the time being the new policy seemed outwardly to attain its end. Despite the defeat of the Armada and the ensuing reverses in the Low Countries and in France, Philip was still both respected and dreaded at Rome; and the four successors of Sixtus V were all, at least at the time of their respective elections, among the candidates recognized as 'agreeable' to the representatives of the Spanish king. Yet one of Philip's keenest henchmen at Rome, Francisco de Peña, auditor of the Rota, was not without his apprehensions in regard to the ultimate effects of the new attitude that had been assumed. Very serious consequences, he insisted, might result from the naming of persons whom his Majesty wished to have made Pope; "certainly it is not good policy to do so openly; it would be far less dangerous to rest content with the exclusion of undesirables. The latter gains us merely the ill will of a certain number, who feel that they have been wronged; the former makes us hated by the entire Sacred College; for there is not a single cardinal who does not daily conceive of the possibility that through some particular merit, capacity, or means, he may himself be chosen Pope." But if Philip shared the auditor's fears, he could not be induced to alter his policy. While all the world was changing around him, he remained at heart the same; he continued to cherish his original ideal of a universally triumphant Roman Catholic church which should be guided and controlled from Spain. The more dominant attitude towards the see of Rome, which circumstances had compelled him to adopt after the death of Sixtus V, turned out ultimately to be a powerful factor in forcing the two powers apart; it had results, in other words, precisely opposite to those which he had intended. The era which made Philip, and which he in turn contributed so much to make, was the era of the Counter-Reformation at its height, the era in which he began his reign. When Europe began to regulate its life along other lines, he was never quite able to accommodate himself to the change, and Spain and the Spanish Empire paid the penalty for his failure.

CHAPTER XXXII  
THE LAST OF THE CRUSADES

BEFORE Philip could undertake the great task of marshalling the hosts of regenerate Catholicism against the infidel and the Protestant outside the limits of the Iberian peninsula, it was essential to make certain that Spain itself was as nearly as possible untainted by any suspicion of heresy or unbelief. One of the principal reasons why he had been so anxious to get home was that he might give personal supervision to such work as should prove necessary to the accomplishment of this end; and the history of the early years of his reign is largely the record of his efforts to attain it.

As far as Protestantism was concerned, the task was comparatively simple. So far removed was Spain from the centers of the Reformation, and so powerful and all-pervading was the machinery of the Inquisition, that the doctrines of Luther and Calvin never really got a chance to establish themselves there. It used, indeed, to be thought that the Spanish Protestants were so numerous and well organized that considerable efforts were necessary to suppress them; but thirty years ago, it was conclusively proved that this was a gross exaggeration, that the number of native Spanish Protestants tried by the Inquisition, exclusive of the congregations of Valladolid and Seville, was probably not over four hundred in all, and that of those who preferred death in the flames to recantation there were perhaps hardly more than a score, though a much larger number perished by the garrote. The greater part of the work of extirpating them, moreover, was finished at the very beginning of the reign. In 1557, while Philip was still in the Netherlands, two small Protestant conventicles were discovered, one at Valladolid and one at Seville; it would also appear that many of the heretics in the latter city were of Jewish origin, and thus had a tradition of nonconformity behind them. The Holy Office made haste to pounce on them. It had been in a somewhat decadent condition during the latter years of the reign of Charles V, for lack—the metaphor is particularly apt—of fuel to feed the flames, and it now eagerly seized upon the opportunity for renewed activity, exaggerating the peril and posing as the savior of society in averting it. Indeed, it is largely through the rumors and reports which it circulated that contemporary and modern historians were misled into thinking that the danger was much greater than was actually the case. Most of the suspects were disposed of in two autos-da-fe at Valladolid, on May 21 and October 8, 1559; the latter was considerably the more important, and Philip, as we have already seen, graced the occasion with his presence. After this, the process of extirpation continued in much less wholesale fashion, and the culprits were increasingly few. After the middle seventies they were mostly foreigners, who naturally claimed immunity from the jurisdiction of the Holy Office, and the problems which arose in connection with their cases played their part in the formation of the then nascent science of international law. One of the most bitterly contested points was whether or not the ambassador of the queen of England should be permitted to have the Anglican service performed in his own house. Dr. John Man, who was sent to Madrid in the spring of 1566, insisted on this privilege, and apparently was given reason to believe that it would be accorded to him; but the king was obdurate, and required him to ‘sequester himself’ from the capital at the little village of Barajas, where it was impossible for him to perform the duties of his office. Man forthwith demanded his recall, which was immediately granted him;

and it is significant of the intensity of Philip's dread of Protestant contamination that he would not even permit the envoy to return to Madrid to make provision for his journey.

But Protestantism in the full sense of the word was not the only form of heresy against which Philip waged relentless war. The slightest deviation from the laws of the church, as upheld by the lay and ecclesiastical authorities of Spain, was almost certain to be detected and mercilessly punished. It might be failure to observe a fast, or to conform to the established rules of the service of the church of Rome; it might be the possession of forbidden books, or any one of a multitude of other derelictions; the agents of the Inquisition were sure to be on the watch and to receive Philip's enthusiastic support in their demands for investigation and judgment. The Holy Office was also much concerned in this period with the suppression of another form of heresy, that of the *Alumbrados* or Illuminati, which, though its origin is usually associated with the Bavarian Adam Weishaupt in 1776, really arose for the first time nearly three centuries earlier, in the Spain of the Catholic Kings. As it appeared in the Iberian Peninsula it was essentially a form of mysticism, whose adherents recognized the supremacy of the internal light, and consequently regarded themselves as released from the obligation of obedience to the regular ecclesiastical authorities. It often vented itself in hallucinations and in sexual aberrations and was utterly abhorrent to the officials of the Suprema. There had been processes against the *Alumbrados* in the Emperor's reign; on the other hand, the edict formally declaring their faith to be heresy was not published till the time of Philip IV; but it was under Philip II that the problem of extirpating them was first seriously and systematically taken up, so that thenceforth the ultimate issue could not reasonably be in doubt.

It was indeed the heyday of the Holy Office. Never had its supereminence been so far-reaching and complete. For the king it was not only the emblem and instrument of his own conception of the purity of the faith, but also of the dominance of that conception throughout the Roman Catholic world. He kept full control of it in his own hands. He appointed and dismissed the inquisitors at will; he kept them all under the closest surveillance; he gave them definite orders for the discharge of their functions. In the dread and impenetrable secrecy which shrouded all its operations, it seemed to be the very mirror of himself. He used it not only to keep his own subjects, both lay and ecclesiastical, in strict conformity to the lines he laid down, but also to fortify his own position with regard to the see of Rome.<sup>3</sup> It made trouble for the Spanish poet, Fray Luis de Leon, the glory of the order of the Augustinian Friars, and even attempted to do the same for Santa Teresa; that her persecution did not proceed further than certain rather humiliating interrogations was less due to the attitude of the king, to whom she applied for protection, than to her own high character and saintly presence, and to the support of the Society of Jesus. The hostility of the Inquisition to the members of that order, though latent, was absolutely consistent throughout the reign, and had the widest ramifications. The Jesuits upheld the authority of the Holy See almost as vigorously as did the Inquisition the supremacy of the king; and the struggle between the two institutions, from the time of the accusation of Carranza to the very end of the reign, is a useful barometer of the mutual jealousies between Rome and Spain. The tale of Philip's repeated efforts and ultimate failure to introduce the Holy Office into the Spanish possessions in Naples and Milan forms another significant chapter of the same story. Its establishment was, for him, an inseparable adjunct and sine qua non of the solidification of his own authority in his Italian dominions; but the intensity of the local detestation of it, and the hostility of the Council of Trent and of the successive Popes, were finally successful in keeping it out. But it would be a great mistake to think of the power and influence of the Holy Office as restricted solely to Spain and her relations to the see of Rome. It was also of profound importance in Philip's political dealings with the other realms of Western Europe; for church and state were, in his eyes at least, so closely fused that it was impossible to interfere in the one without affecting the other as well.

We have already alluded to the trouble that arose with Elizabeth of England over the question of a Protestant service at the house of her ambassador. It is also worth noting that in 1578, when the Spanish customs officials showed themselves incapable of preventing the exportation of horses to France, the king, on the pretense that these animals were intended for the forces of the Huguenots, handed the whole matter over to the jurisdiction of the Holy Office, with the result that a Saragossan horse dealer, who had broken the regulations, was punished with two hundred lashes, a fine of a hundred ducats, and five years in the galleys. There were countless other instances of Philip's utilization of the Inquisition for his political ends; the most significant of them all will be discussed in a later chapter in connection with the 'troubles' of the kingdom of Aragon. But enough has already been said to indicate how all-pervasive was its power and how completely it fulfilled the ideas of the King.

Philip's firm resolve to make himself the unquestioned leader of the forces of militant Catholicism, his determination to extirpate Protestantism, and the measures which he adopted to attain these ends, were thus potent factors in shaping the course of Spain's relations to the other states of Western Europe. But there was another very serious matter—as essentially and traditionally a *cosa de España* as Philip's attitude towards heresy was international in its implications—which demanded his attention before he could embark on any crusade. This was the treatment by the Spanish government of the remnants of the Moorish population of the peninsula, which had been suffered to remain, under increasingly rigid restrictions, since the conquest of Granada by the Catholic Kings.

One result of the Germania of Valencia, as has already been indicated in the preceding volume, was to extend in 1525 to the realms of the crown of Aragon the edict in regard to the Moriscos which had been in operation in Castile since 1502; for thirty-one years before Philip succeeded his father all the Moorish inhabitants of Spain had, in theory at least, accepted the Christian faith. But there had naturally arisen grave doubts as to the genuineness of this enforced conversion. Under the Emperor, who was so much of the time absent from Spain, the policy adopted in regard to the Moriscos had been chiefly remarkable for its fluctuations. It must have been obvious that a certain amount of instruction in the tenets of the faith they were now compelled by law to adopt would be the indispensable preliminary to their loyal acceptance of it; but the efforts in this direction were spasmodic, untactful, and constantly beset with almost insurmountable linguistic difficulties; and the Inquisition, to put it mildly, was not helpful. Another method, to which the Holy Office was likewise uncordial, was to facilitate intercourse between the Moriscos and Old Christians in every possible way. The walls which marked off the *barrios*, or Moorish quarters, in the different cities were occasionally pulled down; there were a few edicts to the effect that Old and New Christians should occupy alternate houses; and marriage between the two races was sometimes encouraged by providing that the dowry which a Morisco bride should bring to her Christian husband should never be subject to confiscation. But the tradition of intolerance and persecution was a potent influence against the permanent adoption of any of these wise policies. The wealth of the Moriscos, which was great, and was probably supposed to be even greater than it was, made a constant temptation to subject them to penalties which would involve confiscation; nowhere is the avarice of the officials of the Holy Office revealed in a more odious light than in its grasping after the property of relapsed conversos. The ability of the Moriscos to pay, moreover, often resulted in flagrant disregard of the government's solemn promises that, upon their conversion, they should in all respects be treated like Christians, and regarded as entitled to the possession of Christian privileges. It suited the purpose of their quondam masters, especially on the great Valencian estates, to retain them in semi-serfdom and not to set them free; it has been well said of them that they virtually

remained *taillables et corvéables* a merci. And those who knew the character and ideals of Philip the Prudent must have realized that when he succeeded his father and returned to Spain, it was the less enlightened side of the government's Morisco policy that was ultimately bound to prevail. One thing, in any case, was absolutely certain; there would be no toleration of the slightest indication of a relapse to the religion or customs of Islam.

Yet it would be unfair to the new monarch to assume that he at once gave full adherence to a policy of persecution, without making any efforts to attain his ends by gentler means. While he was still in Flanders, he had been approached by envoys of the Moriscos, and though nothing definite came of it at the time, it is evident that he realized that there were two sides to the case. In 1564, we have a record that he strove to mitigate the severity of the Inquisition against the Moriscos of Valencia, where it had recently been particularly active, owing, apparently, to letters which it had received from Paul IV. In the same year he also made an effort to improve and extend the instruction vouchsafed to the conversos; he even ordered that those who were entrusted with it should possess, whenever possible, the obvious qualification of knowing Arabic. One of his archbishops sanctioned the profanation of having the catechism printed in that language; but unfortunately the vast majority of Philip's henchmen, and particularly the officials of the Holy Office, were far less anxious to make the most of their master's fleeting enthusiasm for a more liberal policy, and the experiment did not yield the fruits that were expected of it. In the year 1566, more sinister forces were brought into play. Diego de Espinosa, who, though Philip once declared him to have been the best minister he ever had, was in reality the king's evil genius, had been recently rising into prominence. He had just been made president of the Council of Castile, and was shortly to become inquisitor-general; and he and his agent, Pedro Deza, prevailed on Philip to permit the full reenactment for the Moriscos of Granada of a series of restrictions, generally known as the Edict of 1526, which a similar set of influences had extracted from the Emperor, but which, in the succeeding years, had been suffered to fall into desuetude. This edict was one of the most vexatious and unwise in the whole history of Spanish legislation. It forbade the use of Arabic, and required the education of all Moriscos in Castilian. Moorish names, dress, and ornaments were subjected to the ban; Moorish baths were strictly prohibited; and disarmament was to be strictly enforced. All births were to be attended by Christian midwives, so as to make sure that no Moorish ceremonies were secretly performed; and all the doors of the houses of Moriscos were to be kept wide open on feast days, fast days, and special occasions, so that anyone could look in and satisfy himself as to the conditions inside. And the reenactment of the edict, at this particular time and under these circumstances, was vastly more irritating to the Moriscos than the original had been to their ancestors. There was no excuse for it, as there possibly may have been before, in the state of Spanish foreign affairs. Its long period of suspension, though largely the result of a bribe which the Emperor, unlike his son, had been unscrupulous enough to accept, had naturally given its victims the notion that the Spanish government was not really in earnest in its policy of persecution; and it came as a great shock to them to learn that they were wrong. Finally, the reenactment, in itself, was far harsher than its original; though it aimed at the same end, its methods were much more severe. The Moriscos were now flatly ordered to learn Castilian, within three years, though no provision whatsoever was made for their instruction. All contracts in Arabic were pronounced invalid. Not only were the Moorish baths no longer to be used; they were to be formally destroyed—both the public and private ones. The government, in fact, seemed bent on blotting out every trace of the existence of the ancient Moorish civilization.

If the edict itself was inspired by principles which contradicted all the dictates of humanity and statesmanship, the way in which it was published showed an equally criminal lack of foresight. Iñigo Lopez de Mendoza, Count of Tendilla and third Marquis of Mondéjar,

was captain general at Granada at the time. He had the benefit of a long family tradition, besides thirty years' personal experience in that high office, and was generally respected and trusted by Morisco and Christian alike. It would, therefore, have seemed indispensable to consult him in regard to the violent change of policy that was proposed. This, however, was not done, although he was actually at the court at the time; indeed, the first intimation of it that he received was an order to go back to his post, and be ready when the edict should be published. He not unnaturally remonstrated at being treated in such fashion; he vigorously represented the probability of a Morisco rising, and the inadequacy of the garrison, munitions, and state of defenses at Granada, and he was heartily supported by the *Consejo de Guerra*. But the government chose to pay no attention to his advice. It was the priests, and not the soldiers, that for the moment held the upper hand, and Mondéjar was commanded to stop complaining and mind his own affairs. He had every right to be bitter about it. It had already become, as he plainly foresaw, and as Cabrera de Cordoba afterwards wrote, a case not for friars' caps but for steel helmets.

It was on January 1, 1567, the seventy-fifth anniversary of the capture of Granada, that the new edict was formally published with appropriate ceremonies; and as an earnest of the government's intention rigorously to enforce it, the destruction of the Morisco baths was immediately begun. That the answering insurrection was postponed for nearly two years was due, in the main, to the fact that it had been arranged that some of the more vexatious provisions of the edict should not go into immediate operation; and, to a lesser extent, to the representations which even Espinosa's minions at Granada were now induced to make to the government, in regard to the evident danger of the policy they had been commanded to carry out. But the postponement only served to make the outbreak the more serious when it occurred; for the Moriscos had had time to muster their own resources, as well as to become acquainted with the weaknesses of their oppressors. Their fighting blood grew hotter as the different provisions of the edict were successively enforced; and the failure of their various deputations to procure its relaxation served to drive them to despair. They began secretly to accumulate munitions and stores, and to prepare strong places in the sierras to which they could retire. They sent messages to ask for aid from their coreligionists in North Africa; and some of them dressed themselves after the Turkish fashion, in order to make the Spaniards believe that they were receiving aid from Constantinople. They encouraged each other by reciting ancient prophecies of the reconquest of Spain by Islam; and they found a leader in one Aben Humeya, who had already made a name for himself by shedding Christian blood, and who boasted descent from the Omayyads. Appreciating the obvious advantages of striking their first blow on the day of a Christian festival, when their oppressors would be off their guard, they planned their initial rising for Holy Thursday April 15, 1568, but the Spaniards on this occasion were better prepared than they had supposed, and the rebels were obliged to abandon the attempt. At Christmas time, however, they struck again, this time with better results. Excess of caution at the critical moment prevented them, indeed, from capturing the Christian garrison of the Albaycin, or Moorish quarter of the town, which at the time numbered only twenty-three men; but in all the country about Granada the Moriscos rose in arms, robbing, spoiling, and desecrating churches, and torturing and murdering their Christian foes.

Having brought on, by its intolerance and ineptitude, the very uprising which the wiser heads had foreseen, the government turned to Mondéjar to put it down. So violent were the jealousies between the different factions at Granada that he was given practically no support; indeed, it would appear that Deza actually put obstacles in his path. But Mondéjar was equal to the occasion. The Andalusian cities were called on to send in their contingents, and on January 3, 1569, he was able to set forth from Granada with a force of about 2000 men. The

critical point was the bridge over the deep gorge of Tablate, which commanded the approach to the sierras. Though the Moors had so dismantled the bridge that only a single soldier could cross it at a time, Mondéjar managed to drive away the force that guarded its further end, and so possess himself of the key to the country beyond. From that moment the first phase of the rebellion was over. Mondéjar was relentless in following up the advantage that he had gained. Town after town surrendered at discretion. By the beginning of February the revolt was practically put down. In case of useless resistance the victor was very stern, and there is at least one instance of his commanding a general massacre; but when submission, as was usually the case, was absolute and complete, he promised the vanquished that they should not be permitted to suffer harm. His sole object, so he repeatedly assured them, was to bring about a permanent pacification of the land. Such a plan, however, did not suit the views of the vast majority of the soldiers who composed his forces; their main object was to gorge themselves with booty. Nowhere in the annals of sixteenth-century warfare is there a blacker record in this respect; "There were even men who stole cats, caldrons, turnspits, kneading troughs, reels, cow bells, and other worthless things, all simply because they would not give up the right to plunder. I mention no names," adds the soldier who wrote the account, "for in this campaign we were all thieves together, and myself the first of them." With all his efforts, Mondéjar found it impossible to restrain these outrages; this, in turn, convinced the Moriscos that he had no intention of observing the fair promises he had made them, and consequently encouraged them to continue their revolt. A few weeks after he had reported to Philip that the rebellion was at an end, the evidences began to multiply that it was about to burst forth afresh. Aben Humeya, who just previously had been a fugitive in hiding, was now reported to have an army of at least 4000 men.

But if the weary work of suppression was to be done all over again, the government was resolved that it should not be done by Mondéjar. Deza's hatred of him had been increased by the success of his campaign, and by the high regard in which he was held by Christian and Moslem alike; and he assured Philip and Espinosa that things would never go the way they wished until the Marquis was removed. Deza's representations, fortified by the soldiers' complaints of the way in which it had been attempted to put a term to their pillagings, finally produced the desired effect; in March, Mondéjar was ordered to relinquish the supreme command to the king's half-brother, Don John of Austria, the bastard of Charles V and Barbara Blomberg. This prince, who was born at Ratisbon on February 24, 1547, had been kept in concealment during the Emperor's day, and even Philip did not learn of his existence until after his father's death. The news may very likely have been unwelcome, but the king determined to make the best of it. He gave his newly discovered kinsman the name by which he was afterwards to be known, and commanded that he be furnished with a large household and many servants. There was, perhaps, a certain measure of sound policy in placing this royal bastard in a position far above the grandees, so as to accentuate the vast difference that separated them from the throne. Don John was certainly, in all outward respects, a most agreeable contrast to Philip. Good-looking, affable, and courteous, without any of that air of suspicion and taciturnity which no one could help remarking about the king, he seemed to contemporaries as one entrusted with a divine mission, prepared, nay, almost predestined to play a hero's part. The task of putting down the insurrection at Granada was to be his first public appearance, so to speak, and Philip was determined that he should be equipped for it in such fashion as would secure complete success. He was given many times more troops than Mondéjar had been able to command (a whole tercio was brought over from Naples for the purpose); a detachment of Spanish galleys cruised up and down the coast so as to prevent all possibility of aid from without; and Don John had a war council of experienced captains. But there was also a whole series of restraints and inhibitions, such as was inevitable in any enterprise in which Philip was concerned. Don John's youthful pride was galled by being

commanded to remain at Granada to issue orders, and on no account to take a personal part in the campaign; his war council was rent with conflicting opinions; everything had to be referred to Madrid. Nine whole months went by with practically nothing done, save to encourage the Moors to continue their new resistance. Many of those places which, in the spring, had unconditionally submitted to Mondéjar now renounced their allegiance and made common cause with the rebels, whose forays reached right up to the gates of Granada. The town was virtually isolated in the midst of a hostile land.

Finally, on October 19, 1569, Philip issued an edict proclaiming a war of fire and blood—hitherto it had been only the suppression of a revolt—against the Moors of Granada, giving the soldiers leave to plunder at will and keep all they could get, and considerably increasing their pay. At the same time he yielded to the entreaties of Don John, and gave him leave to take the field in person. On January 19, the prince was able to sit down before the stronghold of Galera with an army of at least 12,000 men. Despite the fact that the garrison was scarcely one-fourth as large as the besieging force and pitifully lacking in arms and munitions, it was over three weeks before the place could be captured; one of the Spanish assaults was disastrously repulsed; Don John himself was wounded, and the Moors got the better of such hand-to-hand fighting as occurred. When Galera was taken, all the survivors were put to the sword without distinction of age or sex; and the ensuing orgies so demoralized the victors that, at the next stronghold which they attacked, they were speedily put to flight by the sorties of a handful of their foes; “I would never have believed,” wrote Don John to the king, “that such a panic as I have witnessed could possibly have taken place.” It is needless to follow the campaign in detail. There were a few bright spots in it, particularly the duels of certain doughty champions reminiscent of the heroic days of the wars of the Catholic Kings: but for the most part it was the sort of struggle that it is not pleasant to dwell upon. Despite all their burgling and barbarity the Spaniards were not to be denied. The overwhelming preponderance of their numbers and resources finally decided the day, and though the last embers of resistance were not stamped out till the first weeks of 1571, when the Moorish leader, Aben Aboo, successor to Aben Humeya, was treacherously murdered by an outlaw in Spanish pay, the end was plainly inevitable at least a year before. It had become evident to the more intelligent of the Moriscos that they must accept whatever terms the victors should please to impose.

There could be little question what the nature of those terms would be. In the eyes of the government the concentration of so large a portion of the Morisco population of the Peninsula in the neighborhood of Granada was the fundamental cause of all the difficulties of the past. The Moriscos were, therefore, to be deported inland and arbitrarily distributed throughout the country. Orders for the execution of this measure were issued to Don John as early as February, 1570, long before the successful termination of his campaign. The peaceable Moriscos of each place that he conquered were successively to be collected and sent inland, in batches, under guard. They were allowed to take with them their women and children, to carry with them such movables as they could, and to sell the rest; but it is difficult to believe that they ever got fair prices, and there is abundant evidence that they were often robbed. The Venetian envoy, Donato, writing in 1573, calculates that the king got an annual revenue of 125,000 crowns from the dues on their confiscated lands and from their goods which had fallen to the crown since their rebellion. On October 6, 1572, an elaborate *pragmática* was drawn up, regulating the conditions under which they were to be permitted to live. They were to be kept under the perpetual surveillance of a series of different functionaries, who were encouraged to spy upon them in every way. They were forbidden to change the residences that had been assigned to them without a royal license, or to return to within ten leagues of the kingdom of Granada under pain of death; all the restrictions of the detestable edict of 1566

were declared to be fully in force. That the Moriscos were unwelcome guests in the communities where they were quartered is evident from all the contemporary records; and the archives of the Inquisition furnish ample proof that their enforced conversion to Christianity was but nominal. But perhaps the most remarkable feature of the whole affair was the success with which the exiles, in spite of all limitations and persecutions, found means to make good livings. In 1582 an official report declared that their numbers were fast increasing, because they were not “wasted by war or religion”, and that they were so industrious that, though they came to Castile ten years before without a scrap of land, they were becoming well-to-do, and even rich; and that there was good prospect that in twenty years more the natives would become their servants.

It must have been pretty obvious by the death of Philip II what the end was to be, though the final act of the tragedy was to be reserved till the reign of his son. Dispersion and persecution were not enough; Spain would never be satisfied short of absolute expulsion. Dread of religious contamination was the fundamental cause; but it is also worth noting that the government welcomed every other kind of complaint, whether political, social, or economic, that was addressed to it, for all contributed to exculpate the final action. It was in 1609 that the blow at last fell. By a series of edicts all the Moriscos in the different Spanish kingdoms were forced to depart from the peninsula, by routes or from ports that were designated to them in advance. No adequate time or opportunity was given them in which to dispose of such property as they could not carry with them; sales at forced prices and robberies were the order of the day. Yet there was surprisingly little resistance. Despite the deep sorrow that it must have caused them to abandon their last hold on a land where their ancestors had reigned supreme, the majority of the Moriscos were by this time so thoroughly convinced of the horror of all things Christian that they seemed, for the most part, to be glad to go, and even competed for the first places on the transports that had been provided. Most of them sought the Barbary coasts; but there were also a considerable number who made their way into France, where they found themselves far less welcome than they had been given reason to expect, and whence most of them ultimately embarked for North Africa. A trifling number attempted to remain hidden in Spain, and had to be hunted down in the succeeding years by commissioners specially appointed for the purpose; and some of the exiles were so ill treated in Barbary, that they elected to return to Spain and be consigned to the galleys. A fair estimate of the total number of those deported would be probably not far from half a million —about one-sixteenth, that is, of the population of Spain. That such a small proportion of the inhabitants of the peninsula should have been regarded by the government as constituting a serious menace, furnishes a final and conclusive proof of the intensity of the passion that animated Spain’s rulers for sacrificing everything on the altar of unity of the faith.

The government’s treatment of the Moriscos, and the rebellion which it evoked, belong primarily, as we have already remarked, with the internal history of Philip’s reign; but it would be an error to assume that they were entirely without effect on the course of Spain’s foreign affairs. For the dream of a reconquest of the Iberian Peninsula by Islam had never wholly lost its place in the visions of the Moslem prophets, nor had it ceased to be contemplated as a possibility by the Christians; and the rising of 1568 certainly furnished a better opportunity for the realization of it than had ever been presented since the days of the Catholic Kings. That more advantage was not taken of it is one of the most curious facts in the history of the period. The coasts of Andalusia were virtually unguarded, and there was considerable correspondence between the Morisco leaders and the Moorish kings of North Africa; the rebels even circulated stories that these sovereigns had landed in Spain with overwhelming forces, in order to encourage one another to persist in their uprising. But beyond the sending of messages and the spreading of false reports, the North African

monarchs did little to avail themselves of a really golden opportunity, and the same was the case with the Turks farther eastward. Writing in 1573, the Venetian envoy, Donato, declares that if the Sultan, instead of breaking with the signory, had sent a few galleys and troops to the south coasts of Spain at the time of the insurrection, he could have kindled a flame which would not even then have died out; and that he had heard on the best authority that it had often been feared in the Council of Castile that the Granadan rising might be the means of encouraging the Huguenots to pour across the Pyrenees. The Franco-Turkish alliance, which had been such a thorn in the side of the Emperor Charles V, was no longer, indeed, in full working order; but there was considerable fear of its resuscitation, and that fear continued to persist after the suppression of the Granadan insurrection. A plot, real or pretended, for an attack on Mers-el-Kebir by the rulers of Tlemcen and Algiers, to be aided by a rising of the Valencian Moriscos, by a possible invasion of Spain from France, and even by promises of support from Constantinople, was unearthed in 1573 and again in 1577. Another similar plan was said to be afoot in 1583, and incriminating correspondence was reported to have been intercepted; and the danger apparently recurred again in later years. All these episodes, however, were little more than aftermaths. The great chance had passed in 1570, before Philip's foreign enemies could combine to utilize it, and it never was to present itself in such favorable form again. But the fact that the Spanish government took the peril so seriously shows that the Granadan revolt had the possibility of the widest ramifications, and it will thus serve as a connecting link between the events we have already described and the great naval campaign against the Turks on the Mediterranean, to which we devote the remainder of the present chapter.

However much the advice of the Emperor and Philip's own inclinations may have dictated a policy of peace with Christian sovereigns during the first part of the new reign, there were abundant reasons why the Prudent King should adopt a more aggressive attitude in his relations with the infidel. In the first place, over and above the age-long tradition of the Spanish monarchy, Philip's conception of himself as the true head of Christendom demanded some striking demonstration of his worthiness to champion the cause of the Cross. In the second, the opportunity was unusually favorable. The peace of Cateau-Cambrésis, in addition to ending the strife with France which had absorbed such a large proportion of Spain's energy during the preceding reign, had naturally served to loosen the bonds of the Franco-Turkish alliance. The infidel was unsupported in the Western Mediterranean, as he had not been since 1535; furthermore, his own attention was now constantly distracted by revolts at home and by the pressure of his wars with the Shah of Persia. And lastly, there were a long series of defeats and affronts which called aloud for revenge. We have already alluded to the tragic tale of reverses in North Africa which had saddened the last years of the Emperor's reign; but that was by no means all. Never had the ravages of the infidel corsairs, of whom by far the most terrible was the ubiquitous Dragut, attained such shocking proportions. Not only did they harry the Mediterranean shores of Spain, swooping down like vultures on commercial vessels and fishermen, seizing their cargoes, and sending their crews away to terms of dreadful servitude in the Orient; they now also ventured out into the broad Atlantic, and played havoc with the Indian galleons outside Cadiz and Seville. Even worse than the lot of Spain was that of the Italian states; and of these it was the Spanish realms of Sicily and Naples that suffered most of all. Defenseless towns and villages were sacked, and their inhabitants carried off to slavery, never to be seen again in Western Europe, save in the exceptional cases where a chance victory over an isolated Turkish galley served to deliver individuals from the rowers' benches. It has been well said that one of the chief reasons why the Turks were so dangerous to Europe was because they were so successful in employing Europeans against her. Every

one of their recent victories may be regarded, in other words, as possessing a double significance; not only did it strengthen them and weaken their foes; it almost invariably furnished them with the material for repeating it.

Clearly then, at the time of the return of Philip II to the peninsula, there were both ample justification and an exceptional opportunity for Spain to launch a vigorous attack against her traditional foe. If the Prudent King had come boldly forward and himself assumed the leadership of the different forces that demanded that a campaign be forthwith begun, it would probably have taken the form of another assault on Algiers, one of the recognized centers of the Turkish power in North Africa, or at least on some one of the infidel ports on the western part of the North African coast, where a victory would have chiefly redounded to the benefit of Spain. But Philip, as usual, failed to seize the initiative, with the result that the early stages of a game which really mattered more to the Spaniards than to anyone else were chiefly played by others, and in regions comparatively remote. It was Jean de La Valette, grandmaster at Malta, and Juan de la Cerda, Duke of Medina Celi and viceroy of Sicily, who planned the blow and decided where it should be planted; and it was consequently the Central and not the Western Mediterranean that became the scene of action. The grand-master longed to recover Tripoli, whence the Knights had been expelled in 1551. The viceroy had had an unusual number of pirate raids to avenge; he adopted the grand-master's proposals with an enthusiasm to which his criminal dilatoriness in action forms a shocking contrast and was duly appointed general-in-chief. He also got the approval of Philip—but not much more. Despite the urgency of the viceroy, the Prudent King gave him little active support; he did not wish to imperil his ships in an enterprise so remote; he proposed to participate in the expedition to just such an extent as would enable him to claim some credit and derive some advantage if it should succeed, but not enough to run grave risks in case of defeat. The composition of the expeditionary forces is highly significant. The fleet, of fifty-four ships of war and thirty-six transports, was exclusively composed of Italian vessels, and was commanded by Gian Andrea Doria, twenty-one years old, the grandnephew and successor of the Emperor's great admiral; the Spanish naval leader, Juan de Mendoza, who was at Naples with a number of Spanish galleys at the time the fleet was being collected, entirely refused to cooperate, and it is difficult to resist the conclusion that his refusal was dictated by the king. The army which the fleet carried, between 11,000 and 12,000 strong, was only a little more than half composed of Spanish troops, and all of these were taken from the *tercios* of Lombardy, Naples, and Sicily; their general-in-chief, Alvaro de Sande, was a Spaniard commanding at Naples; the expedition was in no sense truly Iberian, either in its origin, composition, or purpose. And it is reasonable to suppose that Philip's failure to take a more active interest in it was chiefly responsible for the long delays and lack of cooperation which characterized the enterprise from the very start. By no means all the contingents reached Messina, the original rendezvous, at the appointed time. There was a month's wait at Syracuse to permit the laggards to join. Not till February 10, 1560, did the expedition finally set sail from Malta.

These delays wore of evil augury for the success of the enterprise, and the events of the ensuing weeks made its failure inevitable. Lack of drinking water compelled the fleet to touch at Rocchetta, on the east coast of the island of Gerba, on the way; though it replenished its stock at the cost of a trifling encounter with the inhabitants, it gained no information in regard to the plans or resources of Dragut, who commanded at Tripoli. Failure to inquire about the enemy's position and plans was, throughout, one of the worst mistakes of the crusaders. Their next landing was effected on the North African coast at a point some seventy-five miles to the west of their ultimate objective; but the place was unhealthy, the water bad, and Dragut, who knew every inch of the country, within disagreeable proximity; and so, after interminable disputes as to the proper course to take, it was decided to return to Gerba, where they felt they

could be safe and prepare at leisure; on March 7, accordingly, the expedition finally disembarked at the northwest corner of the island. The inhabitants at first made no effort to prevent them. They belonged to a different tribe (Sof) from the men of Rocchetta, whose resistance three weeks before had been inspired by Dragut; they professed the deepest hatred of the pirate, and permitted the Christians to occupy the castle of Gerba without making any serious difficulty. But when they saw signs that the new comers intended to establish themselves permanently, their suspicions were aroused. Their original hope, that the Christians would rid them of Dragut and then depart, now seemed illusory. Before long they began to make overtures to their neighbors to the southeastward for a joint assault upon the crusaders, to be delivered at the first favorable opportunity.

While the evidences that the Spaniards proposed to remain at Gerba were converting its inhabitants from potential allies into formidable foes, the ubiquitous Dragut made active preparations to expel them. The excellence of his information in regard to the movements of his Christian enemies stands out in sharp contrast to their ignorance of his; and the hesitation of the crusaders had given him a chance not only to repair the defenses of Tripoli, but also to send for naval aid to Constantinople. On May 10, while the viceroy was in the midst of his leisurely arrangements, a galley arrived from Malta with the stunning news that a Turkish fleet of eighty-five sail, under the dreaded Piali Pasha, had been sighted off Gozzo and was making straight for Gerba. A scene of terrible confusion ensued. Doria wished to take flight at once and save his galleys. The viceroy, whose sense of honor was far higher than his military skill, insisted that it would be an outrage to abandon his men, who were too scattered and too distant to make it possible to embark them all. The point was finally settled in Doria's favor; there was a wild scramble for the ships, and a certain number finally managed to get on board. On the morning of May 11, the admiral gave the order to put to sea; but before even his best ships could gain the open water, the Turkish fleet appeared on the northern horizon, bearing down on them before a favorable breeze. A panic seized the Christian forces, who were so anxious to escape as to be totally unable to fight, and the result was a foregone conclusion. The Turkish admiral, almost without striking a blow, captured twenty-seven Christian galleys and one galliot, and killed or took prisoners some 5000 men. Doria and the viceroy succeeded in effecting their escape, but Alvaro de Sande and about 6000 soldiers were left, without adequate supplies, in the castle of Gerba, virtually sentenced to death or capture before succor from Europe could possibly arrive. The news of the disaster came as an awful shock to Spain, to the Italian states, and to the Knights of Malta, and perhaps most of all to old Andrea Doria, the admiral of Charles V; indeed, it is reasonable to suppose that it hastened his death, which occurred on Monday, the 25th of the following November, at the age of five days less than ninety-four years.

There were many brave resolves, both in Italy and in Spain, that help should be forthwith dispatched to Alvaro de Sande and his men who had been left behind; but there were, as usual, interminable delays when it came to the point of execution. Philip showed energy in forwarding the necessary preparations, but refused to let the expedition set sail. It seems evident that, for the time being, he favored a dispersal of Spain's naval resources rather than their concentration as a united fleet, and that his worries over the maintenance of the Spanish hold on Tunis prevented him from giving his undivided attention to the problem of the moment. Meantime, Piali Pasha summoned Dragut out of Tripoli to aid him in the capture of the fortress of Gerba, which he planned, not to assault, but to surround and starve out, rightly judging that its scanty supplies and lack of water would compel it to capitulate before reinforcements could arrive. On May 26, he sat down before it, with some 8000 men and about twenty pieces of artillery, and occupied the next five days in cutting off all possibility of access by the garrison to the various wells in the neighborhood. Don Alvaro, who had failed to

seize several excellent opportunities for a vigorous attack before the investment was completed, tried sorties, too late, on June 2 and on July 28. The first, which had some chance of success, was inadequately supported and finally driven back; while the second, which was attempted after the troops had lost their morale, had no other result than the capture of Don Alvaro. On July 31, the castle surrendered. All of its 6000 defenders who were not already dead were taken prisoners. The fortifications were razed to the ground. The victors returned in triumph to Tripoli, where Dragut was now established beyond possibility of overthrow; and Piali Pasha, after ravaging the eastern shores of Sicily, got back safely in the end of September to Constantinople, to receive the plaudits of the multitude and the thanks of Suleiman the Magnificent.

This reverse was by far the most serious that had been suffered in North Africa since the defeat of Charles V before Algiers. The object of the expedition had been to regain, through the reestablishment of the Knights of St. John at Tripoli, the control of the central part of the Mediterranean for the Christians; and its failure naturally encouraged their enemies to believe that it would be possible completely to expel them from it. In the following year Dragut asked the Sultan to grant him an army to recapture La Goletta from the Spaniards; the idea, in other words, which bore fruit in 1574, was first conceived just after the Gerba campaign. Moreover, the Turkish attack which was to be delivered against Malta in 1565 should be logically considered as the counterthrust to the Christian attempt on Tripoli in 1560; since the Knights had so signally failed to win back their African home, was it not reasonable to suppose that it would be possible to oust them from their stronghold on the island? But most important of all for the student of the Spanish Empire was the effect of the disaster at Gerba on the situation farther west. Philip's slackness in supporting the expedition against Tripoli is to be chiefly explained, as we have already remarked, by his conviction that the blow was to be planted in a region so remote as to be of comparatively little consequence to the maintenance of Spanish power in North Africa; now he was to learn that the encouragement which his enemies had derived from their victory was to carry the contest to his very doors. In the spring of 1561 the Turkish fleet suddenly appeared before Soller in Majorca and proceeded to attack it. The inhabitants, fortunately, were both brave and resourceful, and the assault was repulsed on May 11; but the expedition was of sufficient magnitude to show that the enemy meant to force the fighting. Vague rumors also poured in of an infidel attack to be launched against Oran, the most important Spanish stronghold still remaining on the Mauretanian coast; everything pointed to the necessity of more vigorous action on the part of the Prudent King. The next chapter of the story was to be staged in the western basin of the Mediterranean, on the Barbary coasts, with the infidels at the outset taking the role of aggressors, and Spanish soldiers and sailors resisting them; but the ultimate result of it was to restore the prestige of the Christian arms.

In the beginning, the weather took a hand in the game and played it, as was usually the case in these Mediterranean wars, in a manner adverse to the interests of Spain. In response to the petition of the Cortes of Toledo of 1559, Philip had taken measures to enlarge his navy; he ordered a number of new galleys to be built, and sent for others from Genoa to guard the coasts of Spain; in October, 1562, a large squadron was collected off Malaga, ready at need to defend either Valencia or Oran. Fearing the east winds which raged at that season, the Spanish admiral, Juan de Mendoza, who had been brought up on shipboard by the side of his famous father, Bernardino, sought refuge forty miles eastward in the anchorage of La Herradura, which was admirably adapted for protection against the Levanters; but no sooner had he arrived than the storm shifted to the south, whence it soon began to blow with the violence of a tempest. A good defense against the east winds, La Herradura was worse than useless against such a gale as this. Some of the galleys began to drag their anchors. Others cut their

cables and were dashed in pieces on the rocks, and most of those that were able to hold their moorings were sunk. No less than twenty-five of the twenty-eight vessels that composed the squadron were lost, together with at least 4000 lives, among them that of Juan de Mendoza, who assuredly deserved a better fate.

The news of this disaster, which was promptly reported at Constantinople, naturally caused the Sultan to hasten the plans, which he had been maturing since the disaster at Gerba, for an attack against Oran. The execution of this project was entrusted to Hassan, the son of Kheireddin Barbarossa, who was now Suleiman's representative at Algiers; he had a small fleet at his disposal to carry his cannon and supplies, and an army of over 25,000 men. In April, 1563, he arrived before Oran, and at once made preparations for a vigorous attack. The place was defended by Alonso de Cordova y Velasco, son and heir of the Count of Alcaudete who had been slain there in 1558, and the neighboring fortress of Mers-el-Kebir, which was the key to it, by his younger brother, Martin; the combined garrisons cannot have numbered much more than 1000 men.

To maintain themselves indefinitely was clearly impossible; everything really depended on whether or not they could hold out until the arrival of help from Spain. During late April and early May, they delayed the besiegers before two subsidiary outside forts; not until May 8 were they driven back into Mers-el-Kebir. There for a month more they continued to defend themselves; they repulsed one assault after another, and refused to haul down their flag. Reports occasionally reached them that the Spanish fleet was on its way, and finally, in the middle of June, their endurance was rewarded. By superhuman efforts Philip had collected thirty-four galleys, some of them from the Italian states, and the rest brand new, off the dockyards of Barcelona, and dispatched them, under Francisco de Mendoza, to the relief of his hard-pressed subjects. They reached Oran in the nick of time. Hassan had learned that their coming was imminent, and was preparing to launch the decisive blow which should forestall them; but Mendoza arrived just at the very moment that the attack was beginning, and threw the besiegers into confusion. Twenty of their ships succeeded in escaping to the eastward, but five others were captured, and also four large French vessels which formed a part of the infidel fleet. Hassan's land forces, it is needless to add, made haste to raise the siege and seek safety in flight; they left behind them a large quantity of munitions and supplies, and also sixteen pieces of artillery. Altogether, the defense of Mers-el-Kebir was the most successful operation which the Spaniards had conducted on the shores of North Africa since the capture of Tunis twenty-eight years before. Both the Alcaudetes were worthily rewarded: the elder by the viceroyalty of the kingdom of Navarre, the younger by a grant of 6000 ducats.

If full advantage was to be reaped from the victory before Oran, it was indispensable to follow it up with a vigorous counter-offensive. There could be little doubt where Philip would elect to strike the blow. The disaster at Gerba had but strengthened his aversion to operations in the Central Mediterranean; Algiers was still too strong; but there was another pirates' nest farther westward, two-thirds of the way from Oran to Tangiers, which had been in Spanish hands from 1508 to 1522, and which he was eager to recover from its infidel possessors. This was the town of Velez de la Gomera, commonly known at the time as the Peñón de Velez from the rocky islet which guarded the approach to it from the sea. Soon after the victorious fleet had got back from Oran, orders were received from the king to attack it. As Mendoza, who had captained the galleys on the previous expedition, was suffering from a fever at the time, the command devolved on Sancho de Leyva, who, captured at Gerba, but subsequently released, was now general of the galleys of Naples. On July 23, 1563, he put to sea with some fifty ships in the hope of surprising the Peñón by night, but the defenders were keenly on the watch, and at daybreak de Leyva retired. His next move was to disembark his troops, to the number of some four or five thousand, at a point six miles distant from the fortress, in the hope

that a land attack would make him master of the town, but this enterprise failed even more signally than the first. The infidels knew every inch of the ground and used their knowledge well; at one moment de Leyva was in terror lest he should be surrounded and cut off. Turkish galleys, moreover, soon appeared on the horizon and eluded all the efforts of the Christians to capture them. A council of war was finally held, and despite the vigorous protests of Alvaro de Bazán, who insisted that the Peñón should and must be taken, de Leyva abandoned the enterprise. On August 2 the expedition returned to Malaga, after having suffered some damage from a storm.

The effect of this miserable fiasco was in turn to encourage the infidels, who not only seized the opportunity to strengthen the Peñón and raid the Spanish coasts, but even carried their depredations to the Canaries. Rumors also came in of the preparation of a huge fleet at Constantinople for operations on an unprecedented scale in the following spring. Other maritime rivals of Spain likewise took heart. Eight English vessels which were lying off Gibraltar attacked a French ship which came up and anchored near them; they were finally captured by Alvaro de Bazán, and long correspondence ensued before the prisoners were released, but the boldness of the attempt was highly significant. It was obvious that strong measures must be taken for a fresh rehabilitation of Spain's prestige, and that the first of them would be to find a leader more capable than de Leyva; as Mendoza, in the meantime, had died as a result of his illness, the choice finally fell on Garcia de Toledo, a cousin of the Duke of Alva and the son of the viceroy of Naples, who had seen service on the sea for a full quarter of a century before. He was not in all respects an attractive personality, but he had proved, during his tenure of the viceroyalty of Catalonia, that he possessed great talent as an organizer. It was characteristic both of his own abilities and of those of his subordinates, that, just as the expedition which he headed was about to start, he discovered that all the gunpowder had been left behind. His mission, of course, was to renew the attack on the Peñón; Philip's persistence would not tolerate the abandonment of the enterprise, and every effort was made to ensure its success. The whole winter of 1563-64 was spent in preparation. Galleys were contributed by Portugal, by Savoy, by the Knights of Malta, and by the Italian states. When Don Garcia set sail from Malaga on August 29, 1564, he had a fleet of at least 150 ships, in addition to the transports, and land forces of over 16,000 men. The prompt success of this second expedition more than atoned for the failure of the first. The defenders of Velez were terrified and amazed when they saw Toledo's imposing armament appearing over the horizon. Most of them gathered up their belongings and fled to the interior, so that the Christians were able to land and possess themselves of the ancient castle of Alcala and then of Velez itself, almost without striking a blow. The Peñón gave promise of more serious resistance; a few companies of Turks shut themselves up within its walls with the obvious intention of holding out until the last. But Toledo set up his heaviest batteries in a favorable position on the shore, and speedily opened a breach in the walls; shortly afterwards the defenders began to make their escape, and when the Spanish leader entered the place on September 8, he found it practically deserted. He installed a strong garrison under Alvaro de Bazán, with instructions to repair and improve the defenses, and got back to Malaga by the middle of the month; he had captured, with the loss of only thirty men, a place which was certainly one of the most dangerous of pirate nests, and which many contemporaries had regarded as impregnable. In the following spring, the good work was continued by Alvaro de Bazán, who sank several transports, laden with stones, in the mouth of the River Tetuan (now the Oued-Martine), thus temporarily immobilizing a dozen infidel vessels which lay at anchor farther up the stream, and preventing its utilization as a corsairs' refuge for many months to come.

In the Western Mediterranean, then, the tide had apparently turned. Philip had shown vigor and persistence in his efforts to maintain control of the waters near his own shores; he had scored two considerable victories on the coasts of North Africa; the memory of the defeats of his father's closing years and of the disaster at Gerba had been at least partially wiped out. But the next trial of strength was to come farther eastward, in a region for whose safety the Spanish monarch was far less solicitous; he was afraid of dissipating his energies, and failed to envisage the Mediterranean as a single whole. The issue of the new conflict was to be favorable to the Christian arms; but only a very small part of the credit for it can be given to the too Prudent King. The only real hero of the ensuing campaign, at least as far as Spain's participation in it was concerned, was Garcia de Toledo, now promoted, as a reward for his success against the Peñón de Velez, to the difficult office of viceroy of Sicily.

Rumors of a great naval expedition that was being prepared at Constantinople became increasingly definite and alarming in the early months of 1565; and it was an open secret that its objective was to be the island of Malta. It was the sole remaining stronghold of the Knights of St. John of Jerusalem, whom Suleiman had already expelled from Rhodes and from Tripoli. Its capture would be the culmination of his achievements in the Mediterranean; it would open for him a road to Sicily and Naples and perhaps into the western basin of the inland sea. The Sultan collected a fleet of 200 sail, of which 130 were galleys fit for combat; they carried ample supplies of artillery and munitions and land forces to the number of 30,000 men. The latter were commanded by Mustapha, a veteran of the Danube wars, and the fleet by Piali Pasha; and most of the more famous of the Turkish corsairs, among them Dragut, and Hassan of Algiers, joined in with their special contingents. On May 18 a cannon-shot from the castle of St. Elmo announced the arrival of this formidable armament, a full month before the Christians had expected it, in the waters surrounding the island fortress of the Knights.

The grand-master, Jean de La Valette, had realized for many months the peril of his position and had been making superhuman efforts to get ready to avert it. He strengthened his defenses, he besought the aid of the European powers, he recalled his absent knights, he mustered his auxiliaries, and armed the inhabitants of the island. In all he disposed of some 8500 men, of whom possibly 700 were members of the Order; but unfortunately St. Elmo, the key to the entire position, against which it was obvious that the enemy would launch his first attack, was only able to contain 600. Failing help from outside, that fortress was doomed; and virtually the sole possible source of such outside help was Garcia de Toledo, the new viceroy of Sicily.

Toledo had shown feverish energy from the moment of his arrival in his new domain. He saw the coming danger quite as clearly as the grand-master; not only did he muster all the military and naval resources of his own realm, he also visited both Naples and La Goletta, in order personally to assure himself that they were in an adequate state of defense. La Valette began to correspond with him at once; a few weeks before the Turks arrived he sent for him to come to Malta to inspect the fortifications, which Toledo found in a satisfactory state, though inadequately provided with soldiers to man them. The viceroy himself did everything he possibly could; he loaned the grand-master several companies of his Spanish regiments; he shipped him grain and money, and did his utmost to persuade the king to do still more. But Philip, with the disaster of Gerba still fresh in his mind, could not be brought to see the importance of a peril so far to the eastward; the most that Toledo could induce him to do was to order the assembling of 4000 men in Corsica, and to command the viceroy of Naples to send troops into Sicily; food and money were all that Philip would permit to be dispatched direct to Malta from Spain. It will readily be believed that the Turks made the most of these delays. On May 19, they began to land their troops, without any opposition on the part of the grand-master; and after a dispute of four days' duration as to whether to start in with an attack

on Il Burgo, the principal fortress of the island, or on the outer castle of St. Elmo, they decided in favor of the latter; on the 24th they opened their trenches within 600 yards of their objective. Thereupon there ensued a furious bombardment both by land and sea. It lasted for no less than twenty-three days, and under cover of it the besiegers were able to advance their parallels of approach so close to St. Elmo that on June 16 they could launch their first assault. Every day La Valette reenforced the little garrison in the confident expectation that succor would soon arrive; he redoubled his demands for help in his extremity, but all without avail. The heroism of the besieged was beyond all praise. They slew some 6000 of their assailants, among them Dragut, and wounded Piali Pasha; but it was impossible permanently to resist the overwhelming superiority of the Turkish forces. On June 23, the walls were so badly breached that the enemy was able to enter the castle, where they found only nine of the defenders alive. And having taken St. Elmo, they at once made their preparations to attack Il Burgo and the other forts.

Garcia de Toledo in Sicily was at his wits' end. On May 31, while the cannonade was at its hottest, he wrote another despairing letter to Philip, beseeching him to act vigorously and without delay. "If Malta is not succored", he declared, "I hold it to be lost". He even suggested that if Philip feared the loss of his Spanish galleys, he might borrow those of France, not knowing that Catharine de' Medici at that very moment was sending a special emissary to Constantinople to assure the Porte of her friendship. But the king of Spain still hesitated. He continued to prepare, but would not give the word to start. Even the news of the fall of St. Elmo did not avail to persuade him to risk his precious navy in a sea fight; the most he would do was to sanction the landing of troops, "provided it could be accomplished without evident peril of the loss of the galleys". A month earlier Don Garcia had demonstrated that the thing could be done. Taking full advantage of every atom of autonomy that Philip had permitted him, he had managed, after two failures, to introduce, on June 29, a reinforcement of some 600 soldiers, mostly Spanish, into Il Burgo, where the grand-master was so overjoyed at their arrival that he rushed amongst them in tears and embraced them. But this, after all, was but a handful; moreover, the numbers of the garrison dwindled rapidly under the pressure of the Turkish assaults. Every day the blockade became stricter and stricter; even the best of the grandmaster's divers found it almost impossible to get through with his desperate appeals. Every letter that Toledo received from Malta seemed certain to be the last; a note from the governor, Pedro de Amezqueta, dated August 22, contained the significant message: "Four hundred men still alive ... don't lose an hour".

Had there been other causes of delay than the hesitations of the king, Malta must assuredly have fallen. But fortunately when, about August 20, Philip's consent to a landing operation had at last been received, all the men and material were ready for the enterprise. This was chiefly due to the energy of Garcia de Toledo; but a share of the credit also belongs to Alvaro de Bazán, who was at that moment in command of the galleys of Seville and charged with the defense of Gibraltar. In May he had been ordered to take reinforcements from Malaga to Oran; subsequently he got leave to pass on to Cartagena, Barcelona, and Genoa, where he added to his fleet and picked up the tercio of Lombardy, which Philip had at last allowed to be sent to Sicily. At Civitavecchia he was further reenforced by a detachment of papal galleys, and at Naples he took on more troops. Finally, in early August, after his forces had joined Toledo's, there was united at Messina an armament of some ninety galleys, forty transports, and over 11,000 men. All that was now lacking was the consent of the king, which finally arrived after a delay of three more weeks; it only sanctioned, as we have already seen, the landing of soldiers for a battle on the shore; to imperil the Spanish fleet against the obviously superior forces of the Turks was still to Philip's cautious nature unthinkable. This inhibition, however, had been foreseen. During the weeks of waiting for the royal missive, the

various leaders of the Christian armament had been discussing the best methods of carrying out the only operation to which Philip would consent, and they finally agreed on the adoption of a scheme suggested by Alvaro de Bazan. This was, in brief, to choose the best sixty of the Christian galleys, place 150 soldiers on each, and make a dash for the shore of Malta at a point some distance from the fortress, trusting that the main body of the Turkish fleet would be so occupied with the bombardment, and the rest of it so widely dispersed, that a naval action could either be avoided, or if it occurred, would be fought against so small a detachment of the enemy's vessels that there would be no doubt that the Christians would be able to account for them.

The weather was at its very worst when the time came to put this plan into effect. The ships left Messina on August 26 in a "tempest the like of which few sailors had ever seen before"; it continued to rage for the next two weeks, and the fleet was cruelly buffeted about; its first attempt to make a landing was unsuccessful, and it had to return to Sicily for fresh water and supplies. Finally, however, on September 7, Toledo was able to report to the king that 9600 men had been safely set ashore on the island of Malta without the loss of a single oar; on his return for reinforcements, moreover, the viceroy passed contemptuously within sight of the main body of the Turkish fleet, firing a salute as he sailed by as a tribute to the besieged, and a confirmation of the great news that the longed for succor had at last arrived. The infidels were dismayed when they realized what had happened. If the little band of the original defenders had been able to detain them for so long, what could they hope to accomplish against a large force of the dreaded Spanish infantry, whose superiority to all other soldiers of the period was quite as fully recognized in the East as in the West? For a moment Piali Pasha had a thought of attacking the Christian fleet, but he abandoned it when he reflected that the loss of the support of his galleys would expose the Turkish land forces to inevitable destruction; one final attack on the relieving forces was attempted and repulsed, and on the following day the infidels began to retire. On September 12, the last of their sails had disappeared over the horizon: Hassan toward Algiers, Piali Pasha and the rest to the eastward. The second lot of reinforcements which the viceroy was bringing over from Sicily reached Malta at the very moment of the departure of the Turks, and was not even landed, and Toledo spent the rest of the month in removing from Malta the now unnecessary original detachment, and in pursuing the enemy to the coasts of Greece.

It was a glorious deliverance, and was fittingly celebrated both in Spain and in Rome. Coupled with the death of Suleiman the Magnificent, which occurred in the following year, it marked the passing of the climax of the Turkish peril, both on sea and on land. But though the credit for it, as we have already remarked, belongs largely to Garcia de Toledo, and in a lesser degree to Alvaro de Bazan, Spain as a whole got little or nothing of the glory, because of the hesitations of her king. Indeed, it is scarcely too much to say that she lost rather than gained in reputation from the—whole affair. It had been the first occasion since his return to the peninsula in which Philip had been concerned in an enterprise which interested the whole of Europe. He was, for a moment, the cynosure of all eyes, and men satisfied—themselves for the first time of his slowness and vacillation. "The King has lost a great deal of reputation by not succoring Malta", reported the English special agent at Madrid on September 3, 1565, and there is every reason to think that other foreign envoys sent similar opinions to their governments. In some respects the verdicts were probably harsher than Philip really deserved; the fact of the matter was that he had never been quite whole-hearted in his desire to have Malta saved. The enterprise lay beyond the Spanish horizon, at least as he conceived of it, and his interests, at that period of his rule, were strictly limited to Spain. He was intent on the preservation of the safety of his own shores and of his control of the adjacent seas. And he could never quite forget that the large majority of the Knights were Frenchmen and

commanded by a French grand-master; why, then, should he bestir himself to give aid to subjects of a state, which, though friendly at the moment, had a long anti-Spanish and pro-Turkish tradition behind it, and might subsequently revert to its former hostile attitude- He had certainly shown himself considerably more energetic when it was a question of purely Spanish enterprise, such as, for instance, the defense of Oran. Yet, even allowing for all this, there can be little doubt that Philip's conduct in connection with the relief of Malta had an adverse effect on his position in Western Europe. It showed his fellow sovereigns that he was not to be the factor of ubiquitous and universal importance which his father had been before him; it confirmed many disagreeable suspicions in regard to his fitness to champion the interests of the faith. And if Philip's hesitations diminished his prestige, and increased the jealousy and distrust of his neighbors, they may also have begun to reveal, at least to the keener minds, the methods whereby it would ultimately be possible to defeat him.

The years immediately succeeding the attack on Malta saw a temporary lull in the activities of the Turks in the Mediterranean. It was expected that Suleiman would seek vengeance for his defeat in 1566, and Philip ordered the construction of eighty galleys to meet the threatened peril; but the Sultan's anger vented itself principally in a last campaign against Hungary, in which he met his death; and Piali Pasha, who had begun to ravage the coasts of Apulia, was prompt to retire when Garcia de Toledo's fleet drew near. But this same year 1566, though in itself comparatively quiet, witnessed the advent on the scene of a new personality, the incarnation of the crusading ardor of the Counter Reformation, who was to arouse and unite the Christians for a holy war against the infidel with an enthusiasm which they had rarely shown before, and to carry the combat into the waters of the foe. Eight months before Suleiman the Magnificent gave way to Selim the Sot, the papal tiara had passed from Pius IV to the far abler and more energetic Pius V.

From the moment of his accession the new pontiff was inspired with the idea of the creation of a Holy League: not the sort of Holy League which the sixteenth century had already occasionally produced, in which religious pretexes were put forward to cover the most selfish of political ambitions, but a genuine alliance for the purpose announced, the defeat and humiliation of the infidel Turk. The death of Suleiman, with the prospect which it opened of plots and revolts before the recognition of his successor, seemed to furnish an unusual opportunity for decisive action, and the depredations of Piali Pasha, to justify it. In the winter of 1566-67 the Pope sent messages to all the Catholic powers of Western Europe to urge them to take arms and unite for a crusade. From France, as was to be expected in view of her recent policy, he obtained nothing, and he was also quite unable to move the Emperor Maximilian II; before long it became obvious that the sole possible sources of help were Venice and Spain. But the tradition of Venice was to maintain peace with the Turk, particularly for commercial purposes; furthermore, she feared that a war would mean the loss of her colonial possessions in the Levant, and especially of the island of Cyprus, which she had held since 1489; she therefore refused, for the time being, to consider the papal proposals. Philip also, at first, held aloof, though for somewhat different reasons. He had great respect for the character of the new pontiff; moreover, it seems probable that the narrow escape of Malta had given him some inkling of the fact that the Mediterranean situation must be considered as a whole, and that the western basin could not be really safe as long as the infidel was in control of the eastern. The principal consideration which caused him to hold back was the threatening state of affairs in the Netherlands, where rebellion was plainly beginning to raise its head. Dissipation of his forces in a crusade against the Turks would be certain to increase his difficulties in that quarter; it might even conceivably encourage the Protestant states of the Empire to move

against him. Like his father before him, Philip was beginning to discover that the size and extent of his scattered dominions exposed him to peril from many different directions at once.

Pius, however, was not discouraged; despite his first rebuff, he refused to abandon his crusading projects, and in 1570 his persistence was rewarded. The primary cause of the change in his fortunes was the rashness and stupidity of the new Sultan, which drove the Venetians, who really desired to keep the peace, into the reluctant necessity of declaring war. The island of Cyprus was the chief bone of contention. It lay "in the vitals of the Turkish Empire"; in Venetian hands it imperiled the infidel control of the eastern basin of the Mediterranean; it menaced the coasts of Syria and Palestine, and was a bar to maritime communication between Constantinople and Egypt. The Sultan, moreover, was firmly convinced that he would never have a better opportunity to wrest it from Venice; a bad harvest in 1569 had deprived her of supplies, and the explosion of a powder magazine was reported to have destroyed a large number of her galleys. In March, 1570, accordingly, an ambassador from Selim appeared in Venice with a curt demand for the cession and evacuation of the island. There was still a strong faction in the councils of the republic, to be heard from again and again in the succeeding years, which held that peace with the infidel must at all costs be preserved; but the Turkish demand was so uncompromising and so insolent that the majority felt that compliance was impossible. Selim's envoy was accordingly sent back to his master without even being permitted to make formal statement of his errand, and war was therewith practically declared between Venice and the Porte. No one realized better than the republic that she was incapable of waging it alone. The only possible course was to apply to the Pope and through him to the other Catholic powers of Western Europe for the formation of the very Holy League in which three years before Venice had refused to participate. Her own crusading ardor was no whit stronger than it had been then, but she was only too glad to utilize that of others, provided it could avail for the preservation of her colonial empire.

The Pope had no illusions about the attitude and objects of the republic. He knew that her motives were exclusively selfish, and that the welfare of Christendom did not appeal to her at all; but the chance was too good to lose. He also realized that nothing of importance could really be accomplished unless he secured the cooperation of Spain. France and the Empire were clearly of no avail; his own military and naval resources were scanty; the independent Italian states would only follow a Spanish lead. To Philip, accordingly, Pius once more applied, and sent over to Spain in the spring of 1570 a Malagan cleric, Luis de Torres, who had resided for years at the papal court, to ask for the support of the Prudent King. The envoy had his first interview with the Spanish monarch at Cordova in April. Fortunately for the success of the Pope's proposals, the situation in Spain, as well as in Venice, had altered in such fashion as to make them much more acceptable on the present occasion than they had been three years before. The rebels in the Low Countries, now confronted by the terrible Alva, were far less of a menace than they had been in 1567. The infidel corsair, Aluch Ali, had recently captured the city of Tunis, and expelled the local Hafside ruler, who was still, in theory at least, a vassal of the Prudent King; a subsequent assault on La Goletta had been repulsed, but it had at least served as a reminder that Spain was still menaced by a Turkish peril. The high character and reputation of Pope Pius himself doubtless counted for something. But the consideration which unquestionably had the greatest weight of all was the fact that the Morisco rebellion in Granada, if not yet completely suppressed, was doomed to inevitable failure; its back had by this time been thoroughly broken. That revolt and the manner of its suppression had done much to undermine the prestige of the Prudent King. That it should have been permitted to occur at all was an evidence that he was not master in his own dominions; that such overwhelming force and such cruelty had been necessary to put it down, had but strengthened the bad impression at the different courts of Western Europe. The Spanish tercios

which had been called on to do the bloody work longed to win back their good name in a war that concerned all Christendom; their leader, Don John of Austria, was the most insistent of all. Finally, there had been just enough danger that the rebellion might be aided by infidel cooperation from without to make adherence to the League which the papacy was proposing the logical sequel to what had already been accomplished at home. And whatever remaining doubts the king may have entertained, on the ground of the perennial emptiness of his exchequer, were cleared up by the papal offers, conveyed through Luis de Torres, of wellnigh unlimited concessions in the matter, of clerical subsidies.

By the middle of May, then, in the year 1570, Philip had determined to join the League; but it is scarcely too much to say that the Venetians deplored rather than welcomed the news that he had decided to become their ally. Much as they feared the Turk, they dreaded yet more lest they should become the cat's-paw of Spain; and they were determined that the League should be constituted in such fashion as to prevent its being directed in Spanish interests. From the outset the republic desired a merely temporary agreement, from which she could withdraw when it suited her own convenience; she would have nothing to do with the more permanent and binding treaties which were advocated by Philip and the Pope. She also proposed to keep the control of the naval campaign as far as possible out of Spanish hands, by the creation of a pontifical fleet to which all the allies should contribute their contingents, and which was to be commanded by the papal general, Marcantonio Colonna; this appointment had the additional advantage in Venetian eyes that it was certain to be resented by Gian Andrea Doria, who had been placed in command of the galleys of Spain. Philip could not be blind to the meaning of these arrangements, and was naturally slow to acquiesce in them. The different contingents took a long time to mobilize and longer still to combine; not till the last day of August did they unite off the shores of Crete. The Venetians wished to rescue Cyprus, which was already besieged by the Turks, but Doria and Colonna did not believe that this would be feasible; and in the midst of the ensuing discussions there arrived, on September 21, the stunning news that the Turks, twelve days before, had captured Nicosia, and therewith become masters of all of Cyprus save Famagosta. This disaster and the advent of the autumn winds put an end to all projects for a vigorous offensive during the remainder of the year. Plans were proposed for various minor operations, all of which were abandoned after mutual recriminations between the allied leaders; and the upshot of the matter was the ignominious retirement of Doria to Sicily and of Colonna to Rome. Had the Christians acted vigorously and unitedly in the early summer, before the Turks had got a foothold in Cyprus, they could almost certainly have prevented its loss. As things fell out, their sole achievement was the tardy reinforcement by the Venetian leader, Zanne, of the garrison of Famagosta with a force of 1500 men; and on his return from it he lost thirteen vessels in a storm.

The unhappy issue of the campaign of 1570 proved that larger forces and better organization were imperative if anything was to be really accomplished in the ensuing year. The terms of the League had not yet been settled, though plenipotentiaries for the arrangement of them had met at Rome in June. By this time it also became evident that Philip was determined to enter upon the undertaking with all his might. Whether it was that he had caught the spark of the Pope's crusading ardor, or because of the unusually favorable state of Spain's foreign and domestic affairs, it is hard to say; in any case he seemed more anxious to fight than he had ever been before. But if Philip was resolved to give whole-hearted support to the League, and was prepared to shoulder the principal burden of the work that it was to be called upon to do, he was equally determined to have the principal voice in the control of it, and to have its constitution so drawn up that Spain should derive due benefit from its activities. The representatives whom he sent from Madrid were given ample instructions to this effect, and at Rome they were powerfully aided by the efforts of Cardinal Granvelle, who was accused of

being more Spanish than the Spaniards themselves. As the principal means of attaining their end, they insisted that the chief command of the forces of the allies should be conferred on Philip's half-brother, Don John of Austria, who had been fighting the infidel in Granada for nearly two years past. Don John himself had applied for the position on November 19, 1570, and though the appointment elicited some objections from the other allies, it was thenceforth recognized as inevitable. He was at that time nearly twenty-four years old, in the fresh vigor of his early manhood, graceful, gallant, courteous, and well fitted by his inheritance and by his personal attractions to arouse men's enthusiasm. There is no reason to believe that he possessed any great knowledge of naval affairs, despite the fact that since the spring of 1568 he had been commander-in-chief of the fleets of Spain; in matters of strategy he had to be guided by the counsels of older seamen like Gian Andrea Doria and Alvaro de Bazán. But such an arrangement was not uncommon at the time; and it seemed particularly desirable on the present occasion, when charm and personality were so obviously indispensable for the holding together of mutually distrustful allies. And it was not solely in the matter of the command that Spain manifested her resolve that the operations of the League should redound to her own advantage. She insisted that its objects should be both offensive and defensive, and, as a guarantee against desertion by her colleagues, that it should last for at least twelve years. She also demanded that Algiers, Tunis, and Tripoli should be included in the sphere of its activities as well as the territories of the Eastern Mediterranean, and succeeded, with some reservations, in carrying her point. The question of relative costs made less trouble than usual in such cases. Spain was quite ready to pay for the preponderant position which she had elected to assume, and promised not only to meet half the total expense on her own account, but also to be responsible for such portion of the share allotted to the see of Rome as the papal exchequer might be unable to contribute. It was, after all, but the retort courteous for the financial concessions, of Pius, of which Philip had taken special pains to make doubly sure beforehand.

The Holy League was solemnly concluded on May 26, and on the following day it was published to the world in the basilica of St. Peter. In view of the history of previous Holy Leagues it did not seem superfluous to proclaim at the outset that it was formed "for the destruction and ruin of the Turk", including his subject states of "Algiers, Tunis, and Tripoli of Barbary"; and that there was to be no limit to the period of its duration. Spain was to furnish one-half of the funds, troops, ships, and munitions of war, Venice one-third, and the Pope one-sixth. Don John was invested with all the powers of commander-in-chief, and in his absence Marcantonio Colonna was to fill his place, but the authority of the generalissimo was limited by the provision that he was not to be permitted to take any decisive action without the consent of the general of each of the forces of the allies; this stipulation originated with the Venetians, who thus hoped to prevent the Spaniards from directing the League to suit themselves. It was recognized that the chief interests of Venice lay in the Adriatic and in the Levant, and those of Philip in North Africa; and it was provided that in years when no common enterprise should be undertaken each of the two powers should have the right to engage in ventures of its own, and to call upon its ally for support and assistance, save when the territories of that ally were themselves actually in danger from the Turk. The Emperor and the kings of France and Portugal were invited to join the League, under conditions to be agreed upon; but no one can have been surprised at their determination to hold aloof. In France the belief was openly expressed that the differences between Spain and Venice were too deep-rooted to make it possible for them to accomplish anything in alliance; nay more, such was France's jealousy of the power of the Spanish king that her government actually tried to thwart the purposes of the League by offering every facility to the Venetians for a separate peace with the Porte. Still less welcome was the news in England, in the Netherlands, and in the Protestant states of the Empire, all of which felt themselves menaced directly or

indirectly by the power of the king of Spain. In their eyes the League could not fail to be a fresh means of enhancing the already dangerous preponderance of the 'Demon of the South.'

News of the conclusion of the League reached Madrid on June 6; that very day Don John left for Barcelona on the way to Messina, the appointed rendezvous of the allied fleets. He was himself in a fever of impatience to reach the scene of action. If there was to be a real campaign before the coming of the autumn winds, there was not a moment to be lost. It was indispensable, moreover, to reassure the Venetians, who were clamoring for the rescue of Cyprus before Famagosta should fall, and who suspected the Spaniards of trying to delay matters in order to divert the expedition to North Africa. But despite the fact that all the leaders professed to be in such haste, it was not until September 2 that the entire armament could be united at the appointed place. The Spanish galleys had been scattered up and down the coasts and in the harbors of the Balearics, and it took a long time to collect and repair them. Though Venice had been so anxious for the Spaniards to make haste, her own preparations were the most tardy of all; and it was typical of her jealous and distrustful attitude that the last of all the allied squadrons to reach Messina was a detachment of sixty ships of the republic which had wintered at Crete. At the council of war, which was held immediately afterwards to determine the course of the ensuing campaign, the Venetians soon learned that Don John was fully as anxious as they were themselves that a vigorous blow be immediately struck; and as the news of the fall of Famagosta, on August 1, and the dastardly massacre of its defenders in the ensuing weeks, made it evident that an operation against Cyprus was no longer to be thought of, it was resolved to seek out the infidel fleet and give it battle wheresoever it should be found. It was a daring decision: one which the Emperor at the height of his power had never been able to bring himself to make, and one which the sages of the time universally condemned as utterly contrary to the dictates of common sense. The enemy was known to be more numerous, both in ships and in men, and his janizaries were still the terror of much of Western Europe. A Christian defeat in eastern waters, where there would be practically no chance of escape for surviving galleys, would give the Turks unquestioned mastery of the Mediterranean. Spain in many ways was taking a much greater risk than the Venetians; she had far less to gain and infinitely more to lose. For the republic, immediate and drastic action was imperative; without it, she would be deprived of all her colonies in the Levant. For Spain, on the other hand, the status quo was at least tolerable. With her navy at its existing strength, the Turks might venture to raid the Western Mediterranean, but could scarcely hope to control it. If, on the other hand, all her galleys should be lost, her hold on North Africa would infallibly be broken, and the enemy permanently established within striking distance of her own coasts. When we join with these considerations the caution and hesitancy which usually characterized Philip II, and the difficulties born of the distrust between the allies, we shall be the better able to appreciate the meaning of the leadership of Don John. He seemed to personify the crusading ardor of the Pope. His inspiring presence swept men off their feet, and made them temporarily forget their own selfish aims in an overwhelming enthusiasm for the common cause. He seemed the incarnation of the spirit of 1095. Never had the Counter-Reformation given evidence so dramatic of the power of its creed.

When finally assembled in the port of Messina, the entire Christian fleet numbered six heavy galleasses, floating forts carrying 44 cannon apiece, which were contributed by the Venetians; 208 galleys, of which the republic furnished 106, Spain 90, and the papacy 12; and about 100 smaller vessels and transports, of which three-quarters were either Spanish or in Spanish pay; and there were in all some 50,000 sailors and rowers on board. The fleet carried about 31,000 soldiers, of whom 19,000 were either Spaniards, or Italians and Germans enlisted in the Spanish tercios, 2000 volunteers, fitted and equipped at their own cost but

credited to Spain, 8000 Venetians, and 2000 troops furnished by the Pope. The Venetians galleys were by no means the equal of the Spanish ones, and the surplus number of them in excess of the proportion that had been allotted to the republic was further compensated by a corresponding surplus of the troops and equipment that were furnished by Spain. This arrangement, which had been tentatively agreed upon at the time of the formation of the League, was clearly to the advantage of all concerned, and was a tribute to the recognized superiority of the Spanish veterans. The forthcoming action, as had been plainly foreseen, was to be a contest at close range, of ramming and boarding, between vessels which relied chiefly for their propulsion on oars: a transference, one might almost say, to the decks of ships, of a land battle of the type in which the Spaniards excelled. Sailing ability and skill at long-range cannonading would consequently count for comparatively little, and the troops which the galleys transported would be quite as important as the galleys themselves.

On September 16 the great fleet streamed out of the port of Messina and headed across the Ionian Sea for Corfu, each galley being separately blessed by the papal nuncio as it passed. Once at sea, it assumed the formation which it was to retain, with a few trifling changes, when it encountered the foe. There was a vanguard of eight swift galleys under Juan de Cardona; behind it, in the center, a squadron of sixty-six galleys under Don John; on the left, the main body of the Venetians, to the number of fifty-four, under Agostino Barbarigo on the right, another detachment of equal size under Gian Andrea Doria; in the rear, a reserve of thirty-one, under Alvaro de Bazán. On September 27, the Christians arrived at Corfu, where they received the first news of their foe. The Turks had been plundering Corfu shortly before; from prisoners exchanged at their departure it was learned that the enemy had a fleet fully equal to that of the Christians, that its chiefs were in two minds whether or not to offer battle, and that when last seen, it was steering for the Gulf of Lepanto. Whether the forces of the infidel had remained united, or had subsequently split into two detachments, it was impossible definitely to determine; but in any case Don John was resolved to pursue them, and he soon succeeded in persuading the war council to agree.

The Turkish fleet was, in fact, all collected at the time in the inner harbor of the Gulf of Lepanto, doubly secure in the protection of the fortresses that guarded its narrow mouth. The contemporary estimates vary widely in regard to its size. It seems probable that the total number of its ships was approximately equal to that of the Christians, but that a larger proportion—perhaps as many as 230—were galleys. There is also reason to believe that it carried a somewhat larger number of troops. It was now commanded by Ali Pasha, substituted for Piali at the order of Selim, who had been displeased at the manner in which the latter had permitted aid to be brought to the garrison of Famagosta in the preceding year. Ali Pasha was young, vigorous, anxious to distinguish himself, and probably somewhat over-confident as a result of his recent promotion; he also believed the Christian forces to be considerably smaller than they actually were and wished to sally forth and give battle in the open. But many voices in the Turkish war council were raised against this plan as too audacious: among them those of Pertau Pasha, the general of the Turkish infantry, and of Aluch Ali, the viceroy of Algiers, a renegade Calabrian fisherman who had become a Moslem, and had crowded a multitude of adventures into the fifty-two years of his existence; he was to be a thorn in the side of the Christians for many years to come. These men, who could scarcely be accused of timidity, had their own information in regard to the Christian fleet, and stoutly maintained that Ali Pasha underestimated both its quality and its size. They knew that their own crews were largely composed of Christian captives, who would naturally desert them at the first favorable opportunity. They therefore favored a policy of avoiding decisive action, and of awaiting their enemies under the protection of the fortress of Lepanto, where they would have every advantage, and a safe place of retreat; they were confident, moreover, that the coming of the

autumn winds would soon oblige the Christians to disperse. But Ali Pasha rejected these opinions as derogatory to the honor of the Turkish Empire. He pointed out that there were many other fortresses to the south of Lepanto to which his fleet could retire in case of need; and his views were confirmed at the last moment by a peremptory order from the Sultan, commanding him to go forth at once and seek the foe. On October 6, accordingly, he weighed anchor and moved slowly west out of the inner harbor of Lepanto, in the direction of Cephalonia; one hour after daybreak, on the morning of the 7th, he sighted the Christian armament in battle array, moving slowly down out of the northwest. The moment which both commanders so ardently desired had at last arrived. A decisive battle was inevitable.

That battle, however, did not begin till eleven o'clock in the morning; no less than four hours were spent by both combatants in arranging their respective fleets for the oncoming encounter. The Turks exchanged the crescent formation in which they had issued forth from the inner harbor for a linear one, with a center and two wings, closely resembling that of their foes. Since it would clearly be the object of the Christians to keep them penned up in the narrow waters of the gulf, where the superior number of their galleys would be of no avail, it would be necessary for them, in order to defeat this aim, to get around their enemy's flanks, and the sole way to do this was to advance the two ends of their line. The Christians, on their side, brought forward their six heavy galleasses and placed them, two in front of each of the three divisions of their fleet, to serve as a sort of vanguard; with the great cannon of these floating castles they could hope to disable the enemy's best ships before they came within range of the main body of the Christian armament, and thus break the force of the infidel attack. Don John also gave orders for the removal of all the *espolones*, or high wooden structures which were built over the prows of the Christian galleys to facilitate the operations of ramming and boarding; he thereby rendered his ships more difficult for the enemy to hit, and at the same time made possible the more effective working of his own guns. The wisdom of this proceeding was made evident in the approaching battle; while a large proportion of the enemy's missiles passed harmlessly over the heads of the Christians, their own discharges usually found the Turkish vessels at the waterline.

The spectacle presented by the two fleets as they approached one another is described in glowing terms in all the contemporary accounts. The day was a Sunday; a gentle westerly breeze was blowing, and the Christians advanced before it with their sails unfurled; and "it was a sight of marvelous beauty to see the sea covered with so many galleys with their banners and standards of different colors, in all their magnificence." When everything was ready, Don John, clad in shining armor, transferred himself to a fast galley and ran along in front of the Christian line, exhorting and encouraging each of the different contingents in the words best suited to arouse its fighting ardor; and such was the enthusiasm which his eloquence inspired that even the Venetians forgot their distrusts and grievances. As the Turks drew near, they rent the air with taunting shouts and screams; they blew their trumpets, clashed their cymbals, and shot off their musketry to frighten the foe; the Christians, on the contrary, preserved complete silence. At the last moment, however, a signal gun was fired, and a crucifix was raised aloft on every vessel in the line. Don John, standing in a prominent place on the prow of his flagship, knelt to adore the sacred symbol, and his example was followed by every soldier and sailor in the fleet. The decks were bright with the gleaming arms of kneeling men, while friars, erect and conspicuous in their robes of black and brown, promised absolution to all who should loyally fight the battle of the Cross. Never before, in the whole course of the sixteenth century, had there been so striking a demonstration, of the power of religious enthusiasm as a motive force in a fight.

On the Christian left, where the Venetians were stationed, the combat began with the utmost fury. The two galleasses in advance of the main line did yeoman service with their

artillery in breaking the force of the initial Turkish attack; but most of the infidel ships finally got past them, and sought safety from their fire by laying themselves alongside the Christian galleys. Some few of the Turkish vessels contrived to get around the Christian flank, despite all the efforts of Barbarigo to prevent it, so that for a time the Venetians found themselves between two fires. There were murderous discharges of cannon, musketry, and arrows, and Barbarigo received a wound in the eye which three days later proved mortal; no quarter was asked or given, and the slaughter was very great. But fortune finally declared itself for the Venetians, who were as much encouraged as their adversaries were disheartened by the course that the conflict was taking in the center. By the middle of the afternoon large numbers of the enemy's galleys had been either captured or sunk. A few ran ashore, and their crews sought safety by jumping overboard and swimming for land, where they were pursued and mostly slain by their relentless foes.

It was principally on the result of the combat in the center that the fate of the entire engagement depended; and as soon as the hostile fleets had closed for the encounter, it became evident that each of the rival commanders was making directly for the flagship of his foe. Within half an hour of the opening of the fight the two galleys had grappled one another, and the soldiers that they carried were engaged in a desperate hand-to-hand conflict—the pick of Selim's janizaries against the flower of the *tercio* of Don Lope de Figueroa. Both of the rival flagships were supported by their best galleys, from which reinforcements swarmed forward on ladders as fast as they were needed; and for two long hours the issue remained in doubt. Owing largely to the removal of the *espolones*, the cannon fire of the Christians was superior from the first; but this advantage was neutralized by the Turkish arrows, which did deadly execution on the troops who were attempting to board; Don John himself was slightly wounded. Twice the Spaniards got a footing on the decks of Ali's flagship, only to be driven back again with terrible loss. A third attempt carried them forward beyond the mast, and then, as he was leading on his janizaries to repel them, the Turkish commander fell dead with an arquebus shot in the forehead. A Malagan soldier pounced upon the body and brought it to Don John. The head was cut off and swiftly raised on the point of a lance, where it was plain to be seen by friend and foe. This incident determined the issue of the day. One final rush was sufficient to take the Turkish flagship. Its standard was hauled down and transferred to the galley of Don John. The banner of the Cross was hoisted in its place, and the air was rent with Christian cheers. One last desperate effort of the infidels to retrieve their loss was frustrated by the ready aid of Bazán and his reserves. By three o'clock in the afternoon the battle in the center had been decisively won.

Not so conclusive, however, was the victory of the Christians on the right, where Doria was opposed by the crafty Aluch Ali and the swiftest vessels of the Turkish fleet. The contest there began somewhat later than it had in the center and on the left, owing chiefly to the masterly maneuvering of the Turkish leader. Perceiving the damage that had been done by the fire of the heavy galleasses to the other parts of the infidel line, he skillfully eluded the pair in the vanguard of Doria, and after baffling the chief galleys behind them by wheeling first to one side and then to the other, he finally bore away to the southwest as if to outflank his adversary's right. In intercepting this maneuver, Doria suffered the main body of his contingent to be drawn away from the rest of the Christian fleet; a large hole was thus opened between his left and Don John's right; and Aluch Ali, seizing his chance, suddenly changed his course and made with all possible speed for the gap in the Christian line. So rapid were his movements that he succeeded in getting through it, with the most of his ships, to the rear of Doria and Don John; once there, he found himself close to a small group of galleys of the Knights of St. John of Malta, for whom he cherished rancor and profound contempt. In a trice he succeeded in overpowering them. He captured their banner and took their prior's ship in

tow. Then, seeing that the fight on the other wing and in the center was irretrievably lost, he started to escape to the open sea. But at the same moment the Christian reserve, under Alvaro de Bazan, bore down on him at full speed. To engage so formidable an antagonist was under the circumstances impossible, and the Turk had the wisdom to realize it. He cut his prize adrift, and plied his oars with might and main in one last desperate effort to get away. By the merest hair's breadth he succeeded, with upwards of fifteen of his best galleys, despite all efforts to prevent him on the part of Bazán, who realized that the victory could never be complete as long as this dangerous enemy was at large. The escape of the pirate was indeed a serious matter, far more serious in fact, as the sequel was to show, than any of the Christian leaders could have foretold. For the moment, however, it seemed but a trivial misfortune; the victors were all convinced that they had gained, as a contemporary put it, "the greatest triumph that had been won in a thousand years." Cervantes, who was present and severely wounded in the fray, has rightly characterized it as "the disillusionment of the world and of all the nations who believed that the Turks were invincible upon the sea." Of the 300 or more infidel vessels which had entered the fight, a bare 50 had got away; 117 had been captured by the Christians, together with about 450 pieces of artillery. Some 30,000 Turks had been slain, and at least one-fourth of that number had been taken prisoners; and 15,000 Christian slaves had been liberated from captivity. On the Christian side there had been between 7000 and 8000 killed, and about 15,000 wounded; the loss of ships was insignificant—15 or 20 at the most—and most of them were Venetians.

Great were the rejoicings in Italy and in Spain when the glorious news of the victory arrived; in both peninsulas the ensuing month was virtually given over to processions, Te Deums, and ovations to the returning heroes. Titian, then in his ninety-fifth year, did an allegorical painting of it for the king of Spain, which is now in the gallery at Madrid; two pictures of it by Tintoretto have perished, while two by Veronese are still to be seen in Venice. The glad tidings reached Philip at San Lorenzo on the afternoon of November 8, while he was at vespers; and it was characteristic of him that he suffered no outward evidence of his joy to escape him, but ordered that the service be continued without interruption to the very end, when he commanded that a solemn Te Deum be sung. Very different was the ecstatic welcome which the news received at the Vatican from the Pope, the real creator of the Holy League. Ever since the fleet had left Messina, Pius had been inspired with the belief that it was destined to return victorious. He longed to give the lie to the taunts of the sceptics, who had reminded him of the fate of the Holy Leagues of the Emperor's day. When his sublime faith was at last rewarded, he is said to have burst out, in his gratitude to the victor, with the words of the Evangelist, "There was a man sent from God, whose name was John". The phrase, if it was ever really used, was doubtless but a momentary effusion of ardent thankfulness and joy; but it has a deeper and more permanent significance as well. Whether the disposition of the different units of the Christian fleet, the excellence of its cannon, or the superiority of the tercios to the janizaries, offers the best technical explanation of the victory, is for the naval and military experts to determine; the outstanding fact remains, that had it not been for the inspiration of Don John's leadership, and the help of the Venetians, there would not have been any campaign at all. The latter of these two essential elements was temporary, uncertain, and, one might almost say, accidental; it had been the product of the rage of the republic over the loss of Cyprus, and could not be expected to last, for the interests of the Venetians and the Spaniards were too radically divergent to make it possible for them to be loyal allies for long. But Spain's crusading enthusiasm, personified by Don John, was a factor of much deeper and more permanent significance. That enthusiasm had, indeed, been somewhat dissipated and obscured in recent years by the multiplicity of Spain's other interests, by her differences with the papacy, and by numerous other minor considerations; but it had behind it an age-long tradition, and it flamed out like a beacon at the hour of need. It was in just recognition of

Spain's major part in the splendid triumph that had been so gloriously won, that the Sandjak, or grand standard of the Turks, was handed over for safe-keeping to the monks of the Escorial. Not even in the Vatican could it have found so fit a resting place.

Seldom, if ever, in the history of modern times have the fruits of a fine victory been more shamefully wasted. "Now we must take Jerusalem," was the cry of Garcia de Toledo, when the first news of Lepanto had come in. A combined attack on Constantinople was also mooted; but before long it was decided that the season was already too far advanced for further offensive operations, and the first golden opportunity had been suffered to slip by. During the ensuing winter, the old differences and jealousies between the allies broke forth afresh. Spain and Venice could not agree as to where the next blow should be planted; while Pope Pius was attempting to bring them together, he was overtaken, on May 1, 1572, by death; and though his successor, Gregory XIII, seemed at first almost to surpass him in his enthusiasm for crusading, he was unable to command the same confidence on the part of the members of the League. Philip II, especially, seized every opportunity for delay; he was much worried by the evidences of the hostility of France and of a possible recrudescence of the ancient Franco-Turkish alliance. He was also beginning to be suspicious of Don John, who now threatened to become too prominent and popular to suit his taste. It is also significant that we find him, at this very juncture, reverting to a project which had been initiated two years before, of bribing Aluch Ali to desert the Sultan; had not Selim given the corsair the supreme command of his fleet, which he succeeded, by the spring of 1572, in raising to the number of some 135 galleys, it is not impossible that Philip's plots might have succeeded. All this naturally reacted most unfavorably on the attitude of the Pope and the Venetians, who continued to urge active operations in the Levant. Gregory was outspoken in his condemnation of the Spanish monarch, while the republic began seriously to consider the advisability of making a separate peace with the infidel behind the backs of her allies, and of utilizing the offers of France to facilitate this end. But the energy and enthusiasm of Don John would not suffer the forces of the League to disperse without one more effort. He finally secured the reluctant permission of Philip for the mobilization of the Spanish squadrons. There was a tardy reunion of the allies in August at Corfu, and a series of inconclusive minor operations against Aluch Ali and the Turkish fleet up and down the western shores of Greece. The nearest to a decisive battle that occurred during the campaign took place on the anniversary of Lepanto off the harbor of Navarino; but the Turk refused to risk his ships in a prolonged engagement against superior forces, and prudently retired, when the enemy bore down upon him, under the protection of the guns of the fortress of Modon. A whole year had been lost, and nothing accomplished; the magnificent outburst of crusading ardor which had made possible the great victory of 1571 had been succeeded by the revival of the old suspicions and distrusts; and in the meantime the infidel had once more become formidable.

If the wasting of the year 1572 was primarily due to the procrastinations of Philip, the dissolution of the League, which was to follow in 1573, must be charged first of all to the treachery of the Venetians. The issue of the campaign of 1572 had convinced the republic that the Spanish monarch would bear no share in any active offensive operations in the Levant, where all her interests lay. She had also observed that Don John had his eye fixed on Tunis, which did not concern her in the least. Throughout the winter months there were rumors and suspicions of her meditated treachery, both at Rome and at Madrid. They were strengthened by the refusal of the republic to arm as many galleys as heretofore for the coming campaign. The Pope was so much alarmed that he urged Philip to come in person to Italy as the sole possible way of saving the situation; and though the Prudent King could not bring himself to do this, he certainly showed far more energy and interest in the affairs of the League than he

had exhibited in the preceding year. But it was all in vain. The conviction of the republic that she could not herself derive any further advantage from continued adherence to her allies had made her abandonment of them practically certain in November, 1572; and French influence and help facilitated her defection. On March 7, 1573, she signed peace with the Porte, on such terms as might have been expected to be made had the verdict of Lepanto been reversed; she tamely gave up Cyprus, whose retention had been the chief inducement that had caused her to join the League, and paid in addition an annual indemnity of 100,000 ducats for three years to come. Gregory was furious when the news reached him a month later, and the different Spanish representatives in Italy scarcely less so; for a moment there was even talk of chastising the republic for her treachery. But the wiser heads soon realized that vengeance was, for the present, quite outside the sphere of practical politics, especially in view of the state of affairs in France and the Low Countries; and Philip, when he learned the facts, did not utter a word of reproach against the deserters. He could not afford to quarrel with them, and he knew it; and even Gregory, before many months had passed, came reluctantly to the same conclusion. It remained to be seen what the Pope and the Spaniards could accomplish against the infidel without the aid of the republic.

If the defection of the Venetians made it manifestly impossible to attempt any extensive operations in the Levant, it also removed the principal objection to Don John's directing his efforts to some purpose more immediately advantageous to Spain. Late in the summer it was decided that the victor of Lepanto should be permitted to attack the city of Tunis, now occupied by the Turkish garrison installed there in 1569 by Aluch Ali, though the Spaniards still held on at La Goletta. Don John left Sicily on October 1 for this purpose, with an armament of over 100 galleys, and some 20,000 troops; a week later he arrived off La Goletta, where he was welcomed like a conqueror. The Turks at Tunis, reading the signs, made haste to evacuate. Three days later the Spaniards entered the city almost without striking a blow, and set up the old sham Hafside dynasty there again in the person of Muley Mohammed, son of Muley Hassan, the protégé of Charles V. But the fruits of this easy triumph were speedily lost through the failure of the victors to consolidate their gains. The usual story is that Philip had only consented to the expedition on condition that, after the expulsion of the Turks, the walls both of Tunis and of La Goletta should be completely destroyed, and the place evacuated; that he was unwilling to spend money on an outpost so remote; and that he was in mortal terror lest Don John, of whom he was becoming more jealous every day, should establish himself there as a semi-independent prince. Others reject these allegations as groundless, and insist that Philip wished Tunis to be preserved. Whatever the rights and wrongs of the point at issue, it is certain that Don John received no real support in his efforts to maintain what he had won. After the capture of Tunis, he gave orders for the preservation of the existing fortresses, and for the erection of a new one; on his departure for Sicily, two weeks later, he left an experienced officer in charge of the work, together with a garrison of 8000 men; and on his return to Sicily he besought Philip to send over yet more troops and supplies. But he was everywhere met with the most exasperating excuses and refusals, not only from the king himself, but also from the royal representatives in Italy. Despite all his efforts, the place remained unfit to stand a siege; and the Argus-eyed Aluch Ali, who, ever since his flight from Lepanto, had burned for revenge, was ready to seize his chance.

Fresh rumors began to reach Western Europe in the early spring of 1574 of the preparation of another large fleet in Constantinople, of which Aluch Ali was to have command; and there could be no reasonable doubt what its destination was to be. Don John saw the approaching peril and did his utmost to avert it. He elicited orders from Philip—needless to add, too late—for the preparation of 100 sail at Messina, and sent off some twenty galleys with men and munitions to reenforce the garrison of La Goletta. But the enemy was

too prompt and too powerful to permit him to do more. On July 13, Aluch Ali appeared before Tunis with a fleet of 230 galleys and a host of smaller ships. There were apparently as many as 70,000 troops on board under command of Sinan Pasha, the son-in-law of the Sultan. The pasha of Tripoli and the inland tribes also furnished their contingents, so as to blockade the fortress on the land side; in all, the infidel armies numbered nearly 100,000 men. Against such overwhelming forces, the Christian garrisons in La Goletta and the unfinished new fortress—still a ‘cowpen’ to use the picturesque language of the soldiers, rather than a fort—were naturally insufficient, and the Turks began operations too swiftly to permit the larger reinforcements which Don John was painfully preparing in Sicily to arrive in time. On August 23, La Goletta was taken, and the garrison massacred, save for two or three hundred men, among them the commander, Portocarrero, who were enslaved; and the new fortress, after a heroic resistance, succumbed on September 15. The new fortifications were promptly blown up; a Turkish pasha was installed, with an adequate garrison, to organize the conquest after the infidel fashion; and in October Aluch Ali was back in Constantinople, bringing with him, in token of his triumph, some 300 Christian cannon, a number of distinguished captives, and finally Muley Mohammed, the last of the Hafsidis. The greatest of the Emperor’s North African victories had been reversed, and the defeat of Lepanto at least partially avenged.

From 1574 onward, Philip lost all interest in fighting the hereditary foe. The papacy made several efforts to revive his crusading ardor, but in vain. The loss of Tunis convinced the Spanish monarch that it was bad policy to spend money on the maintenance of remote outposts; the verdict of Lepanto had been at least sufficiently decisive to deter the infidel from annoying him by raids into the western basin of the Mediterranean on any such scale as had been customary in the days of his father; above all, the pressure of his multifarious projects and responsibilities in Western Europe was sufficient, during the remaining years of his rule, to occupy all his time. One of these projects, the annexation of Portugal, was indeed, as we shall later see, to bring him temporarily into relations with the rulers of Morocco; but that was a minor affair, quite apart from the main problem of his attitude toward the Turks, and his handling of it was such as to demonstrate beyond any doubt that he had lost all enthusiasm for North African campaigning. Oran and Mers-el-Kebir he continued to hold, despite the opinion of many of his counsellors that their maintenance cost more than it was worth; and their successive commanders continued to wage the same series of petty and fruitless wars with the neighboring tribes which had meant so much labor and pains to their predecessors. It was entirely characteristic of the Spanish king that here, as in so many other of his affairs, he should have insisted on the policy of a rigid maintenance of the status quo. And it is interesting to observe that Philip’s resolve to retain these two places prevented him, for several years, from obtaining the definite peace with the Porte which, despite all the threats and censures of the papacy, he had determined to seek since at least as early as 1575, when secret Spanish agents began to appear in Constantinople. By 1577, his efforts in this direction became more vigorous and definite; a certain Milanese called John of Marigiliano and an Albanian named Bruti were charged with the difficult task, only to be told that a treaty was impossible as long as the Spaniards kept Oran. For three years more the matter hung fire. A report which reached Rome, in advance of the fact, in February, 1579, to the effect that peace had been actually concluded, elicited a final protest from Gregory XIII; but Philip went steadily on his course, and on March 21, 1580, he at last attained his end. A revolt of the local Berber tribes against the Turkish representative in Algiers apparently convinced Sultan Murad III that he could ill afford to remain at enmity with Spain, whether she continued to occupy Oran or not, and he finally granted the Spanish representative a truce to last till January, 1581; after that it was twice renewed till 1585, when further serious fighting had ceased to be prac-

tically possible. The whole negotiation was conducted in most extraordinary fashion. The Spanish representatives at Constantinople were treated rather as prisoners than as ambassadors, and they were constantly impeded by the counter-plots of the French and English; but the enhancement of the power and territories of their master, through the annexation of Portugal and her colonies in the East and West, furnished an argument in their favor which the Turks found increasingly potent. Save for motives of religion, far less powerful than heretofore, Spain and the infidel had really little left to fight about. Each entertained a somewhat excessive respect for the power of the other. Both were exceedingly busy about other things. And the natural result was that though they were far from becoming friends, they ceased to be active foes.

Such was the rather sorry termination of a conflict which had gone on intermittently for over eight centuries, and in which the most ancient and permanent traditions of the Spanish Empire were intimately bound up. The fact is worth emphasizing that the end came simultaneously with, and in some measure because of, the expansion of the Spanish Empire in other directions, an expansion which was to carry it to its greatest territorial extent. From the eighth to the thirteenth century Spain had made practically all her conquests at the expense of the infidel; from the thirteenth to the latter part of the sixteenth, she had advanced at the cost of infidel and Christian alike, and also of the aborigines of the Western Hemisphere; after 1580, the infidel really drops out of the picture; he is virtually forgotten in the pressure of other things. But what perhaps in the long run is even more significant than the coincidence of the cessation of war against the Turk with the annexation of Portugal and her dominions overseas, is the fact that the ensuing era of peace with the infidel is also that of the beginning of Spain's decline; when bereft of the ancient inspiration of crusading, she ceased to go forward and began to go back. She had more than done her part during the preceding century in maintaining the great struggle of the Cross against the Crescent. She had surpassed all other European nations in the carrying of the Gospel to the natives of the New World. But when it came to the assertion of her supremacy over her Christian neighbors to the north of her—the task which was to occupy her in the succeeding decades—she was destined to experience a series of bitter defeats; for her adversaries had attuned their lives to modernity, while she, in large measure, was still living in the past. It has often been pointed out that Spain's reverses in Western Europe were at least one reason why she ceased to carry on the struggle against Islam; and the observation is entirely justified by the facts. But it is also quite possible to turn the statement around the other way, and to maintain that the fact that Spain had ceased to fight the infidel was in itself a contributing cause of the disintegration of her mighty empire, in that it deprived her of the most powerful of all the incentives which had made possible her greatest triumphs in the ages that had gone before.

CHAPTER XXXIII  
AMERICA AND THE PHILIPPINES

THE story of Spain in the New World is far less interesting during the reign of Philip II than under Charles V. The age of the greatest explorers and conquistadores is past; there are no adventures comparable to those of de Soto or Orellana, no feats of arms like those of Cortes and the Pizarros. The novelty of it all had begun to wear off, and the mother country was no longer fascinated by the Indies in the way that it had been in the Emperor's day. Yet if measured by a less exalted standard, the progress of the Spanish rule in America under the Prudent King is by no means unimportant. There were, at least, several 'Phoenixes of the conquistadores'; such was Francisco de Ibarra, who first brought effectively under Spanish control the regions comprised in the province of Nueva Vizcaya, roughly corresponding to the four northwestern states of the present republic of Mexico; such were Fray Agustin Rodriguez, Antonio de Espejo, and Juan de Onate, who penetrated and began to colonize the southwestern portion of what is now the United States; such was also Sebastian Viscaino, who explored the Gulf of California, and later (after Philip's death) followed up the Pacific coast as far as Cape Blanco in Oregon; by these, and others like them, the area of the Spanish dominions in America was greatly enlarged. But it would be foolhardy to attempt to chronicle all these achievements in a work of the present size; we will therefore select three principal lines of development, each one of them typical of the Spanish method of dealing with a different problem of imperial domain and try to portray them in some detail. These are, first, the settlement of Florida and conflicts with the French (1559-68), which indicate the attitude of the Spaniard toward the foreign infringer of his colonial monopoly; secondly, the Araucanian war, which shows how he fought the native Indian who refused to acknowledge the authority of the king of Spain; and thirdly, the refounding of Buenos Aires and the opening up of La Plata, an early stage of the long process of the transference of the economic center of gravity of South America from the Pacific to the Atlantic coast.

The Spaniards, on the whole, had been grievously disappointed by the results of the expeditions that had hitherto been sent out to explore and subject the region which they called Florida. The legend that it contained the fountain of eternal youth was not yet, indeed, wholly extinct, and Cabeza de Vaca, despite all the terrible privations that he had undergone there between 1528 and 1536, described it in his narrative as the "richest country in the world"; but as no tangible proof of these wonders had been forthcoming, men had generally gravitated to the verdict of Castaneda in 1543 that it was actually "full of bogs and poisonous fruits, barren, and the very worst country that is warmed by the sun." Save for shipwrecks and certain sporadic and generally unsuccessful missionary endeavors, the Spaniards left the whole region virtually untouched during the ensuing seventeen years.

At the time that the Emperor was giving place to his son Philip, there were signs of a great recrudescence of interest. The missionary appeal was always sure of a favorable audience at the court of the Prudent King; the second viceroy of Mexico, Luis de Velasco, who had succeeded Mendoza in 1550, sent home pressing demands for the occupation of Florida; and Philip was the more inclined to listen to them because of his fear that if its

settlement was not speedily effected there was grave danger of his being forestalled by the French. Ever since the expedition of Jacques Cartier to the 'codfish waters' in 1534-35, the dread of French competition in the New World had been felt very seriously at the Spanish court. The Emperor had laid stress upon it in his instructions to his son, and it had been reemphasized in dramatic fashion, not only by the establishment in 1558 in the bay of Rio de Janeiro of the short-lived settlement of Nicolas Durand de Villegagnon, known as 'La France Antarctique', but also, much closer at hand, by a series of daring French raids on the Spanish settlements on the shores of the Gulf of Mexico and the islands of the Caribbean Sea. Porto Bello, Cartagena, and Havana were perhaps the favorite points of attack, and much booty was taken. It was noted, moreover, that these raids occurred not only at times when France and Spain were at war in Europe, but also in years when they were officially at peace; in other words, a brood of French corsairs and adventurers was apparently growing up which the home government was unable to control, and it was impossible to tell when or where they would next strike. Let them change their aims from piracy to colonization, and the scene of their activities from the region of the Gulf of Mexico to the hitherto unoccupied mainland farther north, and they might easily found a settlement which would imperil the Spanish monopoly of the New World. It may possibly be a slight exaggeration to speak of Philip as developing "the policy ... of carrying expansion into the heart of the continent as a means of repelling the aggressions of his European rivals"; but there can be no doubt that the desire to anticipate the French was one of the principal motives that caused him, in December, 1557, to listen to the urgent pleas of Velasco, and order him, despite previous prohibitions, to "send out ... to make new discoveries and settlements in Florida." Velasco gave the post of governor of the prospective colony (October 30, 1558) to Tristan de Luna y Arellano, who had been resident in New Spain for some thirty years and was highly esteemed for his uprightness and efficiency. Preparations to fit out an adequate expedition were pushed rapidly forward in the winter of 1558-59; in June, 1559, a fleet of thirteen vessels carrying some 1500 persons—men, women, and children, Spaniards, renegade Florida Indians, negro slaves, and Dominican friars—, 240 horses, and an abundance of ammunition and supplies, set sail with high hopes from Vera Cruz for the northeast.

The expedition, however, was unlucky from the very first, and the result was to add one more to the already long list of disastrous experiences of the Spaniards in Florida. The adventurers made their first landing at what is now Mobile Bay; but, in the belief that a better place could be found farther eastward, they moved on some twenty leagues to Pensacola, where they finally established their base. This was most unfortunate. Had they remained in Mobile Bay they could have ascended the Alabama River in boats and made settlements in the rich lands along its banks within easy reach of the sea; as it was, the exploring expeditions which they sent out from Pensacola struck the comparatively poor pine lands farther south and eastward. Some of the native Indians proved friendly and others hostile, and some of the adventurers became involved in the warfare of the local tribes. Provisions ran short; discontent became rife, and de Luna found it almost impossible to maintain discipline; after a year in Florida almost everyone in the expedition was clamoring to return to Mexico. It was in the early months of 1561 that the miseries of the colonists reached their climax; on Palm Sunday a mutiny was narrowly averted through the devotion of the Dominican friars; and then two days later the long-awaited succor arrived from Mexico with supplies sufficient to relieve present needs. The relief expedition brought also, however, a new governor, Angel de Villafañe, commissioned by the viceroy to supersede do Luna, of whose leadership unfavorable reports had been received. As Villafañe had orders to occupy Cape Santa Elena and trace the Atlantic coast, and as no one was anxious to remain at Pensacola, the settlement there was virtually abandoned. De Luna departed for Havana and then for Spain, in a vain attempt to reimburse himself for the expenses which he had personally incurred; and most of the rest of his

company that remained accompanied Villafañe on his mission to Cape Santa Elena. On May 27, 1561, he reached it by sea, after touching at Havana on the way; he ascended a neighboring river some fourteen miles, and formally took possession in the king's name; and in the next two weeks he reconnoitered the Atlantic coast northward as far as Cape Hatteras. But he found no country suitable for permanent occupation; his small fleet was in grave peril from storms; and finally, on July 9, he got back to Hispaniola. He had totally failed to establish a settlement, but he had also convinced himself that there was no danger that any other power would make the attempt. Moreover, he appears to have succeeded in persuading Philip that the region was safe from foreign intrusion, for on September 23 the king ordered the abandonment of all attempts to colonize the Atlantic coast. The very next year was to prove that these calculations were entirely wrong.

The story of the first expedition of the French Protestant, Jean Ribaut, to the shores of Florida has been so often told that it is not necessary here to do more than repeat it in outline. The adventurers were sent out through the efforts of the Admiral Gaspard de Coligny; they had two large ships and three small ones, carrying in all some 150 men, most of them ardent Calvinists; they sailed from Havre on February 16, 1562, and landed on May 1 at the mouth of the St. John's River. There Ribaut erected a stone column with the arms of France engraved on it; then he coasted northward, finally landing again at a point which most historians have now agreed in identifying as Port Royal Sound, South Carolina. There he built a small blockhouse which he named Charlesfort, in honor of his king, and then, leaving twenty-eight of his most devoted followers to form the nucleus of a colony, he hurried back to France to get reinforcements. But what is of greater interest for our present purpose is the accuracy of the information furnished to Philip in regard to this enterprise. His ambassador at the French court, Perrenot de Chantonnay, had got wind of the project long before Ribaut sailed, and sent home full details, laying horrified emphasis on the fact that those engaged in it were heretics and pirates, and had no official sanction from the government of France; Philip could only regard them as *corsarios Luteranos*. This impression, moreover, was materially strengthened by the course of events after Ribaut's return. Civil war was raging in France at the time; and as Ribaut was consequently unable to get the help he desired, he crossed the Channel in hopes of better fortune in England. He had no success there; in fact, his activities landed him temporarily in prison; but his doings were reported to Madrid by Quadra quite as fully as they had previously been by Chantonnay, and Philip's jealousy and resentment were fanned to a white heat. The whole matter was frankly unintelligible to his meticulous and legalistic mind. That his exclusive right to the New World should be challenged by any one was hard enough to understand; but that his neighbors, with whom he was honestly striving to maintain amicable relations at the time, should permit rebels and heretics to trespass there, was utterly incomprehensible. Efforts to get satisfaction from Catharine and Elizabeth proved unavailing, and so finally, in May, 1564, a small expedition was sent out at Philip's order by the governor of Cuba, under Hernando Manrique de Rojas, to find and obliterate all traces of the French occupation of the Florida coast. It proved an unexpectedly easy task; for the remnants of the little colony which Ribaut had left at Charlesfort, rent by internal dissension and discouraged by loneliness and privations, had by this time deserted their post and gone home. On June 15 Manrique left the shores of the continent for Cuba, convinced that his enemies had departed for good. It was just seven days after he had gone that a second and far more formidable French expedition arrived.

The newcomers, some 300 strong, had been sent out, like their predecessors, through the instrumentality of Coligny. Since Ribaut was still imprisoned in England, they were commanded by René de Laudonnière, one of Ribaut's companions on his first voyage. They established themselves at the mouth of the St. John's River at the point where Ribaut had

planted the column with the arms of France; there they built a redoubt which they called Fort Caroline. But they were singularly unsuccessful both as colonists and as explorers. Within two months of their arrival insubordination became rife. On September 20 thirteen of their number took one of the ships and started off on a piratical cruise against the Spaniards in the West Indies; after seizing a shipload of gold and silver, they were themselves captured and taken to Havana, where they were forced to give information about the colony from which they had come. Other similar incidents, on a somewhat larger scale, followed in the succeeding months. To the French they made it evident that Laudonnière was not strong enough to control his followers, and that if the colony was to be saved he must be superseded by a better man; to the Spaniards they furnished convincing proof that the insolence of the *corsarios Luteranos* had reached greater heights than ever and that they must consequently be vigorously chastised. The events of the year 1565, both in Europe and in America, were to bring the opposing theories of right and title to the New World into dramatic conflict with one another.

On March 20, at Madrid, Philip put his signature to an *asiento* creating Pedro Menendez de Aviles *adelantado* of Florida, and appointing him captain-general of a fleet which was to carry 500 colonists to settle it. Menendez, who was at that time forty-six years old, was an Asturian of distinguished ancestry, who had already given high proof of exceptional valor, ability, and loyalty by the services he had rendered his master both in the Old World and in the New. It was characteristic of him that he staked all his private fortune on the success of the venture, and that he succeeded, before it set sail, in trebling its size. News of counter-preparations in France emphasized the wisdom of this course, and the expedition, when it finally departed from Cadiz on June 29, consisted of ten ships carrying 1500 souls. Seven weeks previously Jean Ribaut, released from prison, had embarked at Dieppe with a fleet of seven ships and a large number of followers, to supersede Laudonnière and reinforce his colony. Coligny, as before, was the moving spirit in the enterprise, but the queen-mother was also cognizant of it, and though she was informed of the preparations of Menendez, and knew that his destination was identical with that of Ribaut, she was fully prepared to justify the latter's expedition on the ground that it was directed to the Terre des Bretons, which had been claimed by France since the time of Verrazzano, and whose southern boundary was of course just as indeterminate as was the northern limit of New Spain. Ribaut's fleet was long delayed by adverse winds. Not until August 28 did the adventurers reach the mouth of the St. John's, where they found the last remnant of Laudonnière's colony, utterly disheartened and actually on the point of setting sail to return to France; had Ribaut arrived a day later he would infallibly have found it gone. As it was, he had little difficulty in inducing his countrymen to remain. Laudonnière accepted his demotion with dignity, and preparations were at once begun to refound and extend the settlement, when suddenly, on September 4, just one week after Ribaut's arrival, another large fleet was descried on the southern horizon. It was the advance guard of the expedition of Menendez, which had also experienced bad weather in crossing the Atlantic, and had been obliged to put in at the West Indies for repairs. He had landed at last on the Florida coast, some twelve leagues to the southward of the Frenchmen, on the very day (August 28) that Ribaut had reached the St. John's, and had established a base which he called St. Augustine, in honor of the saint whose festival it was. He was now coasting northward in search of his foes.

The story of the next six weeks is a sad chronicle of bloodshed. Menendez immediately attacked four of Ribaut's ships which were lying outside the harbor and dispersed them. Two days later he was back at St. Augustine, where he landed his troops and munitions in preparation for a land attack on Fort Caroline. That attack was delivered in a pouring rain, in the small hours of the morning of September 20, and was completely successful. Ribaut, with the flower of the garrison, had gone off in chase of the Spanish fleet, so that the defenders,

most of whom were in their beds, were in no condition to resist. One hundred and thirty-two were killed outright; fifty women and children and half a dozen drummers and trumpeters were taken prisoners; the rest escaped into the forests or swam out to the ships that remained in the harbor, and Menendez rechristened the place San Mateo. Eight days later, after he had returned to St. Augustine, he got word from some Indians that about 140 of Ribaut's men, the remnants of the crews of two of his ships which had been wrecked in the storm, were stranded on a marshy island to the south of him. Taking some sixty men with him, he advanced to a point on the mainland where he could be seen; whereupon one of the Frenchmen swam over and asked for a safe conduct to Fort Caroline. Menendez in his reply stated plainly that he was there as Philip's *adelantado*, commissioned to purge his Majesty's dominions of all heretics. He bluntly refused to give the Frenchmen the safe conduct they asked for and furnished convincing proof that Fort Caroline was already in his hands. When they came back with the request for a ship and sailors to take them back to France, he refused again, demanding that they all of them give up their arms and place themselves at his mercy, "in order that he might do with them as the Lord commanded him"; finally, he indignantly rejected a ransom of 5000 ducats that was proffered if he would spare their lives. The Frenchmen, then, had no valid reason for expecting anything but death at his hands; but their situation was so desperate that they had no alternative save to place themselves at his mercy. All their arms were sent across to the mainland in a boat; then they themselves were ferried over in groups of ten; once on the shore, their hands were tied behind their backs, on the plea that otherwise they might overpower their less numerous captors. Ten of them, who were found to be Catholics, were sent by boat to St. Augustine; the rest, who confessed themselves Protestants, were given food and drink and were then started out on their march north to the Spanish camp four leagues away. After proceeding a few hundred yards they came to a line in the sand which Menendez had drawn with his spear; at that point they were set upon by the Spaniards and butchered to a man. Two weeks later, on October 12, the selfsame tragedy was reenacted, in the selfsame manner, and on the selfsame spot, the victims this time being Jean Ribaut himself and some seventy of his men, who found themselves in exactly the same predicament as their predecessors, and elected rather to throw themselves on the mercy of Menendez than to face the certainty of death by starvation. In early November, when the Spanish commander learned that the remnant of his enemies had gathered near Cape Canaveral, where they had built a fort and were constructing a ship to return to France, he advanced against them, and this time promised them their lives if they would surrender. All but five accepted the proffered terms, and Menendez loyally kept his word.

Before attempting to pass judgment on these events, it will be interesting to examine the story of the reception of the news in Europe. The Spanish king had learned about the preparations for Ribaut's second expedition in late March or early April, only a short time, if at all, before he had signed the *asiento* with Menendez, and Coligny, and also, in all probability, the queen-mother, knew all about the proposed voyage of Menendez before Ribaut set sail. But neither Philip nor Catharine gave the other any official notification of what had happened for many weeks to come. It was natural that the queen-mother should not do so. Since Ribaut had departed without her official sanction, she would do nothing to make it difficult for her to disavow him. Philip's motives for delay were somewhat different. The conference at Bayonne was to take place in early June, and nothing must be suffered to mar the harmony of that reunion. Moreover, by the time that the conference had actually opened, Ribaut had already left, and Philip saw that the question would have to be solved rather by fighting in the New World than by diplomacy in the Old. He commanded Alava, Chantonny's successor, not to say a word to Catharine about Menendez until the latter had been gone so long that it would be impossible to recall or overtake him; as a matter of fact the Spanish ambassador did not notify the French court until November 23, probably at least a

month after Philip had learned of the arrival of Menendez in Florida, and six full weeks after Ribaut and his companions had been massacred. On that occasion Alava bluntly demanded that the French withdraw; but Catharine skillfully avoided the issue by protesting that she had no intention of trespassing on Spanish soil, and was only seeking to colonize the Terre des Bretons. With the turn of the year, however, the news of the massacres and of the Spanish triumph had reached both Paris and Madrid, and there was no longer any point in further evasion or pretense; Philip's sole object now was to utilize the matter to discredit the cause of the French Huguenots. In mid-February at Madrid the whole story was retailed with brutal frankness to the French ambassador by the Duke of Alva, who justified the conduct of Menéndez at every point, and laid the whole blame for the tragedy on Coligny; on March 16, at Moulins, Alava staged a similar performance for the benefit of Catharine de' Medici. The queen-mother had learned all the facts long before the Spanish ambassador related them to her, and was therefore the better prepared to hold her own in the interview; but though she fought "like an enraged lioness" in debate, and protested that "neither Turks nor Moors would have been guilty of so great a cruelty as the Spaniards had practiced on the subjects of her son", she knew that for the present she was powerless to get redress. She could not afford to quarrel with Philip, and she realized it. Revenge, as the sequel was to prove, was to be obtained by a private adventurer.

More significant still was the reception of the news in Spain. Menendez wrote a full account of his doings to the king, omitting nothing and palliating nothing, and Philip conveyed to him his full approval of the massacre; "as for the judgment you have executed upon the Lutheran corsairs," so his letter runs, "we believe that you have acted with entire justification and prudence, and we hold that we have been well served". The whole Spanish court was delighted at the news, "more gladdened", said Fourquevaux, "than if it had been a victory over the Turk". Clearly in the eyes of his compatriots Menendez had every reason to be proud, and none whatever to be ashamed, of what he had done; and if the line of reasoning on which his actions were based fails to appeal to us today, we must remember that it would scarcely have been possible for him to have followed any other. His master's claim to the whole of the Western Hemisphere except Brazil, by the bull of Alexander VI and the Tordesillas Line, as well as by right of priority in discovery and colonization, must have been in his eyes unimpeachable. The French were but common trespassers and pirates; that they were not officially supported by their government proclaimed as much, and the fact that most of them were Protestants made the matter even worse. He had treated them, indeed, with relentless severity; but the fact that his prisoners were more numerous than their captors gave his conduct the sanction of prudence, if not of humanity, and there is not the slightest reasonable evidence that he ever broke his word. The massacres he ordered have stained his reputation with a blot that it will never be possible to efface; but if he had been given a happier task, and a more favorable opportunity in which to display his talents, he might well have been numbered among the foremost of the great *conquistadores* who planted the banners of Spain in the New World.

The rest of the story of the Spanish settlements in Florida during the period of Philip II is not lacking in dramatic interest; but its practical importance for our purposes is comparatively slight, and we cannot do more than summarize it briefly here. After disposing of the French, Menendez planned to devote his energies to exploring the interior, and also the Atlantic coast to the northward as far as Chesapeake Bay, where he believed he would find the longed-for strait leading to the Pacific; he also made persistent efforts to convert the Indians. Ill fortune, however, attended his efforts. The natives were generally treacherous and hostile; the garrisons at St. Augustine and San Mateo were discontented and mutinous, and in May, 1567, Menendez went back to Spain, where he successfully vindicated himself against the

reports of his detractors, received high honors and rewards at the hands of his king, but failed to get the prompt and effective assistance for his colony which he desired most of all. And in the interim between his departure from Florida and his return thither in 1568-69, the French took their revenge for the massacres of 1565. Rumors had reached France, through Fourquevaux, of the wretched state of the Florida colony. Such a favorable opportunity to attack it might never come again, and since the Valois government was in no condition to give official sanction to such an enterprise, it was undertaken, instead, on his own initiative, by a restless patriot called Dominique de Gourgues, who, though in all probability a Catholic, was determined to right the wrongs of his fellow-countrymen, and whose enthusiasm for fighting Spaniards had been fired by the maltreatment to which he had been subjected when a prisoner aboard their galleys. On August 2, 1567, he set sail from Bordeaux with three ships and 180 men. He concealed the real object of his expedition even from his own followers, under the pretense that it was intended for a slave raid in North Africa; but on reaching the West Indies, he revealed to them its true purpose, and in April, 1568, he accomplished it. He was greatly aided by the Florida Indians, who detested the Spaniards. A surprise attack, delivered while the defenders were "still picking their teeth" after their midday meal, was completely successful. San Mateo and its two subsidiary forts were captured, most of the garrison being killed in the assaults; all of the rest, save a few who escaped, were hanged on the adjacent trees, under an inscription which read: "I do this not as to Spaniards, nor as to Marranos, but as to traitors, robbers, and murderers." With this signal act of vengeance de Gourgues elected to rest content. St. Augustine, now keenly on the watch, he decided to leave alone, and on Monday, May 3, he set sail for France. When he learned of the event, Philip was prompt to demand satisfaction from Catharine, which he naturally failed to get; but as the French showed no intention of following up their vengeance with renewed attempts to colonize, the matter was allowed to drop.

The history of the Florida colony during the rest of Philip's reign is a dreary chronicle of discouragement and destitution. Menendez, the only person who had its interest really at heart, visited it for the last time in 1571, but death claimed him in 1574 before he could accomplish anything in its behalf. Six years later St. Augustine was raided by Sir Francis Drake. The natives, despite persistent attempts to civilize and convert them, continued to be both treacherous and hostile; no gold was found, and provisions were perpetually running short. At the time of Philip's death, it is probable that the total number of Spaniards in the colony did not exceed 300; and there is every reason to believe that they would have deserted their post, had it not been for the home government's unwillingness to leave it unoccupied, and for the zeal of the missionaries for the conversion of the Indians.

The contrast between the conditions which obtained in Southern Chile during the reign of Philip II and those that we have outlined on the coasts of Florida furnishes a significant illustration of the variety of the different problems with which the Spaniards were confronted in their efforts to establish their title to the New World. In Chile the *corsarios Luteranos*, who had been their chief rivals in Florida, counted for almost nothing. Sir Francis Drake, it is true, passed through the Strait of Magellan in August, 1578, ravaged the Chilean shores, got a rich booty at Valparaiso, was repulsed at La Serena, and continued on up the coast. His fellow countryman, Thomas Cavendish, followed after him in 1587, neglected to relieve the starving remnants of a small Spanish colony which had been planted a few years previously in the Strait, landed at Quinteros, was defeated in a skirmish with the inhabitants there, and sailed north to Peru. Finally, seven years later, Sir Richard Hawkins, who had inherited all his father's enthusiasm for plundering the Spaniards, put Philip's subjects up in arms all the way from Valparaiso northward to Atacames in Ecuador, where he was finally assailed by an

overwhelming force and captured. But these were merely incidents, pirate raids of the sort from which all of Philip's colonies suffered with increasing frequency in the latter years of the reign, and they only occasionally disturbed the Chilean Spaniards in their efforts to accomplish what may be regarded as their principal task. That task was the conquest and subjection of the Araucanian Indians.

We have seen that the war against the Araucanians had begun in the Emperor's day, and that the Spaniards had already had sufficient experience of it to learn that their foes were vastly more warlike than most of the American Indians, and that they had no desire to be converted or civilized, but were bent on maintaining their independence. The home government, however, had failed to learn that continuity of administration and personnel was essential to the successful prosecution of such an arduous struggle. Philip's perpetual jealousy of his subordinates, his haunting dread that they might somehow get out of hand and attempt to embark on enterprises of their own, manifested itself most clearly of all in the case of his remoter possessions; he dared not leave them undisturbed for any length of time, and his constant replacings of the governors of Chile caused disastrous interruptions of the campaign against the Araucanians. We have already seen that in 1557 Villagran and Aguirre, the two rival veterans of the early days of the conquest, had been displaced, at the order of Andres Hurtado de Mendoza, viceroy of Peru, by Mendoza's son, Garcia Hurtado, and that the young man had won splendid victories over the Araucanians in the course of the next two years. But Philip could not bear to see so much power concentrated in the hands of a single family; so in 1563 he recalled both the viceroy and his son, and quite characteristically permitted the latter to be superseded by his ancient rival, Villagran. The veteran, however, was much less successful against the Indians than the man he replaced; he suffered a series of disastrous defeats, and when he died in office, in June, 1563, his cousin and successor, Pedro de Villagran, was equally luckless. The latter's place was taken by Rodrigo de Quiroga, an adherent of the Mendozas, who signalized his advent to power by a brilliant victory over the Araucanians. Yet Philip, despite the good reports of Quiroga which he received, continued to be distrustful. His next step was to confide the government of Chile to an *audiencia* of four *oidores*, which arrived and took office in 1567; but it was even more unfortunate than the Villagrans in its conduct of the war, and it was consequently suppressed in January, 1575, when Quiroga came back to power at the mandate of the crown, and did his best to retrieve the disasters of the preceding years. So it went on to the end of the chapter; the royal dread of the too successful conquistador being the only permanent element in the situation. No real continuity in office was allowed, and precious experience was thus suffered to go to waste. News of a victory over the Indians was gratefully received at home, but it also constituted a strong reason for suspecting the victor; local jealousies were kept alive, nay even fostered, in order to prevent any faction from becoming dangerously predominant. Small wonder, under all these circumstances, if the Araucanian war continued throughout the reign, without any decisive result.

It is not worthwhile to follow the ups and downs of the struggle. At the time of Philip's accession, the theatre of war was limited to a small tract between the Biobio and the Tirna, and that portion of it was usually known as the *guerra vieja* because it dated from the Emperor's time; under Quiroga, the natives near Valdivia, Villarrica, and Osorno rose in arms, and the campaigns against them came to be called the *guerra nueva*. But the struggle was no nearer termination at the end of the reign than at the beginning. Indeed, the decade covering the last five years of Philip's life and the first five of that of his successor saw the Araucanians seize the offensive under one of their greatest chieftains, Paillamachu, and to such good effect that in 1603 the Spaniards had been practically ousted from all of the country south of the Biobio. The Spaniards had been obliged greatly to increase their forces during Philip's reign. Whereas

Valdivia had made war with companies of a hundred men, his successors, forty years later, were commanding five times that number. But they could never get it into their heads that the Araucanians, unlike the majority of the South American natives, were not to be frightened into submission by a single defeat, and that after it had been inflicted on them, a series of desultory raids would be quite insufficient to keep them down; they consequently were often overwhelmed by the unexpected offensives of their foes. And if the Araucanians were slightly less numerous at the end of the reign than at the beginning, they had enormously increased the efficiency of their fighting machine. They learned from the Spaniards the value of defensive armor, of intrenchments, and of feigned retreats; they possessed horses, which they used to the best possible effect; moreover, they showed signs of appreciating the desirability of diminishing the horrors of warfare. Though they had not abandoned cannibalism at the end of the sixteenth century, they had begun to see that it was better to exchange their prisoners than to execute them. Altogether it is small wonder that in presence of foes so relentless, who were rapidly perfecting themselves in the methods of European warfare, the Spaniards returned battered and disheartened from the terrible privations and sufferings of the successive campaigns.

The halting progress of the Araucanian war had its inevitable reaction on the life of the Chilean colony. Besides being in large measure responsible for the frequent changes of administration, it made the whole settlement a debit rather than a credit item in the royal accounts. Instead of receiving gold and silver from it, Philip had to be constantly sending money thither to pay his troops. That his Spanish soldiers could not terminate the struggle victoriously and at once was something that passed his comprehension, and deeply galled his pride; it also served to arouse fresh suspicions, and confirmed his conviction that his representatives in Chile were somehow playing him false. In the colony itself the results were scarcely less lamentable. At the outset there had been much enthusiasm for the war, which was expected to end in a triumphal procession down to the Strait. Men served in it without pay, in the hope of being rewarded with encomiendas; in 1546 there had even been fears lest Santiago be depopulated. Fifty years later all this was changed. It was almost impossible to get soldiers to fight. Desertion was frequent; nothing but high pay in cash would induce men to enlist, for there was little or no chance of obtaining booty in the war, and nobody cared any longer to possess landed estates which were certain to be raided by the Indians. Mining activities, also, came almost to a standstill; agriculture and pasturage, though the climate was highly favorable to them, were neglected; and the Spanish population, at the end of the reign, did not reach 3000 souls.

Altogether, the condition of the colony gave cause for much anxiety and dissatisfaction, both in Spain and in America, at the time of Philip's death. Perhaps the most notable thing that was achieved during his reign on what is now Chilean territory was the exploration of the Strait of Magellan; and that was undertaken, not through the efforts of the local Spanish authorities, but at the behest of the viceroy of New Castile. The voyage of Drake in 1578 furnished the impetus for it, and the task was entrusted to Pedro Sarmiento de Gamboa, a Galician sailor of considerable repute. He returned to Spain, visited Philip during the progress of the Portuguese campaign, and was commanded by him to erect fortifications in the narrows; but vile weather and the rivalries of his captains prevented him from accomplishing all that had been hoped, and the two small settlements which he established in the strait perished wretchedly a few years later from starvation.

While the Chileans were in the throes of the Araucanian war, their more fortunate neighbors to the north of them were able to make at least two fresh contributions to the westward march of the Spanish Empire in the Pacific. The first was the expedition which left Callao on November 19, 1567, under command of Alvaro de Mendaña, discovered the

Solomon Islands in the following February, and, after several encounters with the cannibal natives, got back to New Spain in March, 1569. Mendaña's failure to bring home gold made it difficult for him to get the government interested in the project of colonizing the archipelago, on which he had set his heart; but his indomitable resolution triumphed over every obstacle, and in April, 1595, more than a quarter of a century after his return from his first expedition, he set sail again, with four ships and 368 emigrants. This time, however, he was diverted from his original course by discovering the Marquesas group on the way, with the final result that he established his colony not on the Solomon Islands but in the New Hebrides. But the settlement was not destined to endure. Mendaña died within two months of his arrival; his followers were decimated by dissension and disease, and the survivors were only too thankful to find a refuge at Manila in 1596.

In November, 1574, the Spanish pilot, Juan Fernandez, in search of a means of shortening the voyage from Callao to Valparaiso by avoiding the adverse winds and currents that hugged the coast, discovered the three small islands, some 350 miles to the westward, which ever since that day have borne his name. There seems no good reason to give credence to the legend that on a subsequent voyage he reached out much farther into the west and possibly struck the shores of Australia or New Zealand, or even to believe the story that he established himself in the islands that he had found; for we know that he continued to direct navigation between Chile and Peru until at least as late as 1593, and that there was no trace of any European occupation of the archipelago of Juan Fernandez when it became, between 1704 and 1709, the home of Alexander Selkirk, the prototype of Robinson Crusoe.

It will be remembered that with the dispersal in 1537-39 of the little settlement which Pedro de Mendoza had established at the mouth of the Rio de la Plata, the town of Asuncion, far up the river in Paraguay, had become the chief nucleus of Spanish activity in the southeastern portion of South America. Buenos Aires, however, was to be refounded, this time permanently, during the reign of Philip II, and the vast economic possibilities of the country of which it is now the capital began for the first, time to be dimly foreseen.

The death, on October 3, 1556, of the patriarch Martinez de Irala, was a harbinger of stormy days for the little colony at Asuncion. He had provided in his will that he should be succeeded by his son-in-law, Gonzalo de Mendoza, who was accordingly proclaimed adelantado without any opposition; but Mendoza died in the early summer of 1558, before he had had a fair chance to get his administration started or to nominate his successor, with the result that the inhabitants of Asuncion, taking advantage of a privilege which had been granted by the crown to the settlers of the Rio de la Plata in 1537, elected as their governor another son-in-law of Irala named Francisco Ortiz de Vergara. The latter was a kindly soul, but totally deficient in talent for ruling men. The first years of his administration were marked by Indian risings which he was quite unable to suppress, and by discontent and insubordination on the part of his own followers, who resolved to prevent his obtaining the confirmation from the king or his viceroy which would be necessary to give him permanent possession of the office of governor. But it was chiefly as a result of the expeditions that were sent out from Asuncion during the period of his governorship, for purposes of exploration and settlement, that a crisis in his fortunes and in those of his colony was finally brought to pass.

We recall that the first hope of the early discoverers and explorers of La Plata had been that it would prove the entrance to a strait leading through to the Pacific; and that when that illusion had been dissipated, they continued to lay plans for the utilization of the great river as a means of shortening the route to Peru. During the period of Irala, this idea rather fell into the background. He did little or nothing to establish connections with the regions to the westward,

and Mendoza and Ortiz de Vergara were similarly neglectful. Many of their followers, however, had larger vision. They longed to gain access to the mineral wealth of the Andes. They wished to follow up the Pilcomayo and ultimately get over the mountains to Cuzco. The most dominant and ambitious figure among them was a certain Nuño de Chaves, a *conquistador* after the pattern of Cortes and Pizarro, who, in 1561, signalized himself by pushing through and founding the town of Santa Cruz de la Sierra some sixty leagues to the west of the modern Bolivian city which bears that name today. The forces with whose aid he accomplished this object had been intended by the governor at Asuncion for another purpose, so that his action was tantamount to a declaration of revolt against Ortiz de Vergara. There were, moreover, rival claimants from Peru to the region where he proposed to establish himself; in fact he had been obliged, in 1560, to make a trip to Lima in order to get confirmation of his title from the viceroy. By 1564, however, he felt strong enough to return to Asuncion to pick up his family and belongings and face the wrath of the governor; for he was fully aware of the unpopularity of Ortiz de Vergara, and was convinced that if he offered the inhabitants a chance to return with him to the Andes, the majority would gladly accept it. And thus indeed it proved; in October, 1564, occurred the so-called 'exodus to Peru'. Almost all the vecinos of Asuncion cast in their lot with Chaves. Ortiz de Vergara himself came along, probably because he felt that it was the only way to preserve such measure of authority as was left to him; he had hopes, moreover, of getting confirmation in his office from the viceroy. In the course of their progress into the northwest, the inevitable occurred. Ortiz de Vergara was gradually elbowed aside and Chaves assumed his place; finally, when the expedition reached Santa Cruz de la Sierra, Vergara was informed that he could be permitted to go no farther. Chaves and the new ideas which he represented were fully in control.

In the meantime certain constitutional changes had also been carried through, which served to focus attention on the territories to the east of the Andes, and lessen the measure of their previous isolation. On the ground that the lands under the jurisdiction of the audiencia of Lima were too extensive to permit of adequate administration of justice, the Council of the Indies, on April 20, 1551, had recommended to the crown the establishment of a new audiencia at the "villa de la plata que es en las charcas cerca de las minas de Potossi"—the city which today is called Sucre and is the capital of the Bolivian republic. The suggestion had to be several times repeated before any attention was paid to it; but finally, on September 4, 1559, at Valladolid, directly after his return to Spain, Philip gave orders that the new tribunal be set up, and on September 7, 1561, it was formally installed. It at once became popularly known as the audiencia of Charcas, and it was composed at the outset of a *regente* and four *oidores*, who were to be presided over, in theory, by the viceroy of New Castile, and were restricted, in his absence, to functions exclusively judicial; but when it was found, after two years' experience, that the viceroy was never able to attend, Philip provided, on August 16, 1563, for the creation of the office of a president, to be held by a resident member of the audiencia. The territories that fell within its jurisdiction extended originally only for a distance of 100 leagues around the city of La Plata in which the audiencia sat; but they were progressively enlarged in the immediately succeeding years, until they finally stretched from the Atlantic to the Pacific, and comprised what is today Bolivia and Paraguay, a good slice of the northern part of Argentina, a portion of Uruguay, and the Peruvian department of Puno. The fact that the region committed to its charge had been carved out of territory hitherto under the jurisdiction of the audiencia of Lima must not be taken as an evidence that the viceroys had lost interest in the lands east of the Andes. Quite the contrary, it was the sole means by which they could hope to exercise any real control there and prevent the inhabitants of the lower reaches of La Plata from becoming wholly autonomous; it was inspired by the principle of *divide et impera*. While apparently separating the destinies of the lands on the east from those on the west of the Cordilleras, it was really intended to hold them together; it was aimed, from

a totally different angle, at the attainment of an end very similar to that which had inspired Nuño de Chaves. And certainly the new audiencia Chaves an important service by ridding him of his enemy Vergara, who was summoned to appear before it in the year 1566. After a long trial he was declared guiltless of the charges which had been brought against him, and was even temporarily restored to the headship of the settlement at Asuncion, pending the arrival of a new governor who had meantime been appointed, subject to the royal approval, by the viceroy. He professed himself, however, to be dissatisfied with this verdict, and went back to Spain to plead his cause before the Council of the Indies—needless to add, without success. From that time onward he subsides into insignificance; and when he came back to Asuncion in 1573 it was in a subordinate office in the government of his successor. Five years earlier his successful rival Chaves had met his death, the victim of a blow from an Indian *porra*, or war club, delivered from behind, by a member of a hostile tribe. He was a remarkable man, with qualities better suited to the age of the Emperor than to that of his son. Though cut off in the midst of his labors with his own objects only partially attained, his restless activity had at least been instrumental in reopening the whole problem of Peru and the La Plata basin.

The man whom the viceroy had meantime selected to take over the government of Paraguay was a certain Ortiz de Zarate, who had come out with Blasco Nuñez Vela in 1544, and remained there ever since. He had not been in any way involved in the various quarrels by which the settlement at Asuncion had been rent and could consequently enter upon his new duties without favor or prejudice. He promised, moreover, to spend 20,000 ducats of his own in fitting out the expedition which he was to lead into his new domain, and to bring with him 400 or 500 soldiers, 4000 cows, and as many sheep and goats; apparently, both he and the viceroy had at least some faint conception of the pastoral possibilities of the basin of La Plata. As the royal confirmation was necessary for his new office, he departed for Spain via Panama to obtain it. It therefore became necessary to send someone direct from Peru to Asuncion to represent him there during his absence. The choice for this difficult office fell on one Felipe de Caceres, who had been active in Paraguayan affairs ever since the days of Cabeza de Vaca; in the end of 1568 he arrived at Asuncion. The four years of his rule there were chiefly remarkable for a violent struggle for supremacy between himself and the bishop of the diocese of La Plata, who finally got him shipped off to Spain to answer before the Inquisition to a charge of atheism and blasphemy. But Caceres also succeeded during the period of his governorship in leading two reconnaissances down the Parana to the estuary of La Plata; he was firmly convinced that a new settlement should be made on the lower reaches of the river. As Caceres himself was sent off to Spain directly after his return from the second of these expeditions, he was unable to carry his project into effect, but the work that he had initiated was to be continued by one of his subordinates. This was a certain Juan de Garay, a nephew of Ortiz de Zarate, then in his early forties, who had come out to Peru with his uncle in 1541, and had profited by “a long and active acclimatization” in South America. He had gone down to Asuncion in 1568 as a sort of lieutenant to Caceres, and accompanied him on both his trips to the lower regions of the Parana. He surpassed his chief in his enthusiasm for starting a settlement in these regions; and his ardor was still further quickened by the fear that if he did not act at once he ran grave risk of being forestalled by the Spaniards of Tucuman, who had founded the town of Cordoba on July 6, 1563, and were now straining every nerve to gain an outlet to the Atlantic. The result was that he immediately sought and obtained permission from the authorities at Asuncion to equip at his own expense a new expedition down the river, with the aid of which he founded the town of Santa Fe, near the junction of the Parana and the Rio Salado, on November 15, 1573. It was there that in the following February he received word, through an Indian scout whom he had dispatched for the purpose, of the arrival of his uncle, Ortiz de Zarate, at the estuary of La Plata.

Zarate had done well for himself during his sojourn in Spain. By a *capitulation* agreed upon between himself and the king on July 10, 1569, the terms of his tentative appointment by the viceroy had not only been confirmed but enlarged. He was to be permitted to import African slaves into his new domain, and it is highly significant that it was stipulated that of the 500 Spaniards whom he brought with him, at least 100 should be workmen, artisans, and agricultural laborers. Moreover, in addition to the post of governor and captain-general, with an annual salary of 4000 ducats, he was given the title of *adelantado* for himself and for his descendant; he was vested, in other words, with a measure of hereditary authority in the territory which he proposed to colonize. It took him over three years to collect his followers and his armament. Not until September, 1572, was he able to set sail, and the delays and mishaps of the voyage were so numerous that he did not reach the mouth of La Plata till November 26, 1573. There, on the little island of San Gabriel, off the Uruguayan coast, and directly across from the modern city of Buenos Aires, he found a cross with a gourd hanging on it; in the gourd were letters telling of what had already been accomplished by Cáceres and by Garay. Communication was speedily established with the latter, and there was fierce fighting with the natives on the north bank of the river. Zarate, who had promised the king to establish a settlement at its mouth, attempted to fulfil his obligation by founding a small outpost called San Salvador on the Uruguayan coast, which was destined to endure but a short three years. Finally he passed on up the river, and, leaving his nephew at Santa Fe, reached Asuncion on February 5, 1575. For nearly a year he devoted himself to the difficult task of restoring order after the internal quarrels and revolts by which the settlement had been rent, but death overtook him in the midst of his labors on January 26, 1576.

Unutterable confusion ensued during the next three years. The heiress of Zarate was his daughter Juana, who was then residing at Charcas. Her representative at Asuncion was her cousin, Diego de Mendieta, a fatuous youth of some twenty years of age, who soon earned the contempt of all the inhabitants, and in 1577 was turned loose to perish in the wilderness. In the meantime, at the Ciudad de la Plata there ensued an unseemly rivalry between three ambitious men to secure the hand of Juana and the inheritance which went with it; but the viceroy and the audiencia prevented the finally successful candidate from assuming the government, and soon after landed him in prison at Lima. The sole permanently important development of these miserable years was the steady growth of the power and prestige of Juan de Garay. In April, 1578, he was appointed legal representative of the government at Asuncion, and during the following year occupied himself chiefly with the difficult work of restoring order and fighting the neighboring Indians. When tranquility was restored, in December, 1579, he prepared to carry through the unfulfilled task which was nearest his heart, the reestablishment of a permanent settlement at the mouth of La Plata. This, indeed, was obligatory on him, as Zarate's successor, under the terms of the latter's capitulation with the king. In March, 1580, Garay left Asuncion at the head of an expedition of some sixty enthusiastic followers. Dropping down the river past Santa Fe, he finally selected a site on the south side of the estuary, sufficiently far from the shore to be safe from the danger of inundation, and three or four miles distant from the spot where, forty-four years before, Pedro de Mendoza had planted his temporary outpost; the center of it is today the Plaza 25 de Mayo in the city of Buenos Aires. The formal ceremony of establishing the municipality took place on Saturday, June 11, 1580, and in view of the fact that the next day was Trinity Sunday, it was called La Trinidad; but the older name of Buenos Aires continued to be used to designate the port, and ultimately was to prevail as that of the city itself. The details of the story make interesting reading, particularly the fertility and extent of the surrounding estancias with which Garay rewarded his faithful followers. They gave happy promise of a future prosperity of which few Europeans could then have conceived. At any rate the settlement was now permanently established, never again to be abandoned, and Garay thus became the real founder of the future capital of the

Argentine. The last three years of his life were chiefly spent in the less congenial tasks of maintaining authority at Santa Fe and Asuncion; and in the course of his journeyings to and fro between these places, he met his death in March, 1583, at the hands of hostile natives, while resting in the forest. He was the noblest figure in the early history of the Argentine, vigorous, far-sighted, upright, and fearless, explorer, conqueror, and master of men.

The death of Garay ushered in a new period of disorder in the government of the settlements on the Rio de la Plata. Juan Torres de Vera y Aragon, the husband of Juana Ortiz de Zarate, and lawful heir, through her, of her uncle's office of *adelantado*, had by this time got out of prison, but was still prevented, by the hostility of the viceroy and the audiencia of Charcas, from entering his domains till the summer of 1587, and was only permitted to remain there till 1590. The sole event of importance which took place during his brief tenure of power was the founding (April 5, 1588) of the city of Corrientes. Discouraged by the opposition which he everywhere encountered, he retired to Spain and resigned his position in 1593; and from that moment the hereditary office of *adelantado* of the Rio de la Plata, which had been established in 1569 for Ortiz de Zarate and his successors, though it continued to exist in theory down to the year 1658, became for practical purposes extinct, and the rule of that territory passed into the hands of a series of *gobernadores* appointed by the viceroy at Lima and confirmed by the crown. Of these the most eminent was Hernando Arias de Saavedra, born of Spanish parents at Asuncion in 1561, and married to a younger daughter of Garay; he was the first creole to hold public office in the Argentine, and has even been called the first Argentine patriot. He was really the foremost figure in the colony from the early part of 1592, when he was chosen by popular vote as the representative of the absent governor. Five years later he was raised to that office in his own right, and held it with intermissions down to the year 1617, when, largely as a result of his representations to the home government, the territories of the province of the Rio de la Plata were divided, and Paraguay was definitely separated from Buenos Aires. His rule was not only marked by firmness and wisdom in matters political and administrative; still more was it notable for educational progress, and above all for the development of commerce. The enormous natural economic advantages of Buenos Aires began for the first time to be properly utilized, so that it speedily came to overshadow Asuncion; moreover, by the end of his life the old route across the isthmus of Panama had ceased to be the sole means of access to Peru, and traffic had been started from La Plata up the Parana and over the Andes.

Other evidences of nascent realization of the possibilities of the Argentine had begun to multiply before the death of Philip II. *Corsarios Ingleses* thought the settlements there well worth the harrying, and in the winter of 1582 Edward Fenton, after establishing himself on the island of Santa Catalina off the Brazilian coast, made an effort to raid Buenos Aires. The attempt, however, proved a miserable fiasco, and the captain and crew of one of the ships that had been entrusted with it were obliged, as the only alternative to being killed by the Indians, to seek safety at the gates of the very town that they had expected to sack. The period is also noteworthy for the activities of the missionaries. A few *frailes* had accompanied the earlier expeditions to the basin of La Plata from the time of Mendoza onward, but their efforts had at first been almost completely restricted to the settlements, and no serious attempts had been made to convert the natives. After the refounding of Buenos Aires in 1580, and partly as a result of it, the work of converting the Indians was vigorously taken up. A body of eighteen Franciscans who reached the estuary of La Plata in January, 1583, deserve, perhaps, to be regarded as the pioneers; but their activities were soon destined to be overshadowed by those of the Jesuits, who, arriving in 1588, so successfully extended their system of missions in Paraguay in the course of the next thirty or forty years that they converted the vast majority of the Guaranis, and obtained virtually exclusive control of the whole region about Asuncion

during the following century and a half. Though they never got actual possession of the government there, their influence remained dominant in the colony until their expulsion in 1769. Perhaps most significant of all was the persistency of the efforts of the new settlements on the eastern slopes of the Andes, which had been founded by expeditions sent out from Chile and Peru, to break the ties that bound them to the audiencia of Lima, and unite their political and economic destinies with those of Buenos Aires. Tucuman, first exploited by Francisco de Aguirre in the Emperor's day, succeeded in getting itself declared independent of Chile by a royal cedula of August 29, 1563, and placed under the jurisdiction of the audiencia of Charcas; between 1593 and 1595 it was even temporarily united with the government of the Rio de la Plata. Economically speaking, its whole history during this period resolved itself into a series of efforts to obtain a direct outlet for its rich products via Buenos Aires to the Atlantic and to Spain. The story of the town of Mendoza farther south is much the same. Like Tucuman, it was founded in the early sixties by an expedition sent out from Chile; like Tucuman, it at once made every effort to get an outlet on the Atlantic and link its destinies with those of Buenos Aires. In matters commercial it attained its object, and before the reign of Philip II had closed, it succeeded in establishing connection with the future capital of the Argentine. Politically, however, it failed to gain its end, despite all the efforts to help it on the part of Tucuman, for it continued officially to belong to Chile down to the year 1776, when the natural frontier on the ridge of the Cordillera was finally and definitely established. The old idea, that the political and economic center of gravity of the Spanish domination in South America must necessarily reside in the mining regions on the Pacific slope, died hard; but even before the end of the reign of the Prudent King there were signs, if only those in authority had been able to read them, that the original emphasis was destined ultimately to be reversed.

The principles on which Philip guided the administration of the Indies throughout his reign are a faithful reflection of his own character and ideals, and of the precepts which his father had passed on to him. From first to last he played safe. His object was rather to make sure of what he had already inherited, and of the maintenance therein of the Spanish monopoly and of the absolute power of the crown, than to increase the extent of his transatlantic possessions. The *conquistador* is therefore relegated to second place; he is largely supplanted by the hardworking, reliable crown official, whose pole star was the establishment of the royal authority. There are, consequently, few innovations. Such changes as were made were really only the logical consequences of the ramifications and development of the system which had been founded by the Emperor; they solidified, but did not alter it. The absence of new ideas was ominous for the future; it was another proof of the completeness with which Spain was wedded to the old ways, while her neighbors to the north of her were launching out into modern ones. It showed an inability to go forward which was prophetic of going back.

The supereminence, under the crown, of the Council of the Indies, was fortified by a series of *Ordenanzas Reales para el Consejo de las Indias* which Philip issued on September 24, 1571, and which formed the basis for practically everything that followed down to the advent of the Bourbons in the eighteenth century. By these ordinances the Council was given unlimited authority, under the king, in the territories committed to its charge; legislatively, executively, and judicially, it was made the counterpart, for the Indies, of the *Consejo de Castilla* for Castile. As in the Emperor's day, it resided continually at the court, and met every day save on regular holidays, three hours in the morning, and two more in the afternoon. No business was to be transacted until at least three members were present, and not till then were the three hours of the morning session to be regarded as having begun to elapse. The membership, which had been fixed by the Emperor in 1542 at eight, over and above the

president, the attorney-general, two secretaries, and other minor functionaries, was now confirmed, twenty-nine years later, at the same figure by his son, but it does not seem always to have been actually filled up; if we may trust the statement of Cabrera de Cordoba, there were but six regular councilors at the time of the king's death in 1598. On the other hand, it would appear that the duties and responsibilities of the Consejo multiplied so rapidly in the latter part of Philip's reign that it became necessary, shortly after his death, to create two offshoots of it with special functions of their own. These were the *Consejo de Cámara de las Indias*, a committee of the Council itself, first created in the year 1600 to advise the king about all appointments to secular and ecclesiastical office, the distribution of pensions, and the exercise of the pardoning power in the New World; and the so-called *Junta de Guerra y Armadas de Indias*, also set up in 1600, and composed of members of the Council of the Indies and of the *Consejo de Guerra*; its special function was to oversee the arming and dispatch of the American fleets and to confirm nominations sent up to it by the *Casa de Contratación* for the various posts and offices involved. It thus served incidentally as an additional means of keeping the doings of the Casa at Seville under the supervision of the crown.

A few significant facts may be noted in regard to the presidents of the *Consejo de las Indias* during the reign of the Prudent King. There were eight of them in all, beginning with Francisco Tello de Sandoval, who replaced Luis Hurtado de Mendoza on December 2, 1559, when the latter was called to the presidency of the Council of Castile; the average term, in other words, was a little less than five years, a considerably longer period than the law prescribed. Of the eight, no less than seven were *licenciados*, men of technical training in theology or law, and all of them had had previous experience in one or more of the great departments of state. Only the first two of them, however, had been members of the Council of the Indies before they were elevated to the presidency of it, and of this pair only one had ever been in America, namely, Francisco Tello de Sandoval, who had gone out to Mexico as *visitador*, and published the New Laws there in 1544. The only other one of the eight presidents who had visited the New World was Pedro de Moya y Contreras, who had been sent out to set up the Inquisition in New Spain in 1570, became archbishop of Mexico in 1573, and served temporarily as viceroy there in 1584-85. There seemed, in other words, to be little disposition on the part of the king to profit by the experience of those who had actually been on the ground. Philip was apparently more anxious to have the Council of the Indies act in consonance with his own preconceived notions of what was for the best interest of his subjects in the New World than to permit it to be too well informed as to the actual facts. It is also clear that he proposed that the administration of his transatlantic domains should be kept closely in touch with the Inquisition. In addition to Pedro de Moya, three others of the eight presidents of the Council of the Indies during Philip's reign, the fourth, sixth, and last, had previously been members of the *Consejo de la Suprema*. The elimination of heresy was to be the government's first duty not only in the Old World but in the New.

In the year 1596 the ban which had hitherto officially excluded non-Castilian Spaniards from the Indies was formally lifted by a *pragmática* removing the inhabitants of the realms of the crown of Aragon and Navarre from the category of *extranjeros*, and therefore conferring on them, by implication, if not directly, the privileges of emigration to the New World. It seems natural to attribute this gratifying change to the remodeling of the constitution of the eastern kingdoms in 1592, which brought them much more closely than ever before under the control of the crown; Philip had no longer any reason to fear lest his transatlantic possessions might be contaminated by Aragonese 'liberties'. But it is doubtful if the *pragmática* of 1596 actually brought about any great change in the situation as it was. The royal right of granting special exemptions from the operation of existing laws had been so frequently utilized in the

past for the benefit of desirable inhabitants of the eastern realms, that large numbers of them had already found their way across the Atlantic; and there was also the imperial decree of 1526, of which mention has been made in the preceding volume. It is worth noting that the law of 1596 failed to take the Portuguese out of the category of *extranjeros*; indeed, a clause which was added thereto in 1614 specifically declared them to be in it; they continued, in other words, to be debarred from Spanish America, though naturally not from Brazil. Even after the annexation of Portugal and its colonies in 1581, Philip did not regard their inhabitants as quite in the same category with Spaniards.

While the personnel of the Council of the Indies remained practically unchanged during the period of Philip II, that of the *Casa de Contratación* at Seville was considerably altered and enlarged. The first and obvious reason for this expansion was the enormous increase of the business that the Casa had to do; a second and subsidiary one, which really began to make itself seriously felt only in the latter half of the reign, was Philip's characteristic determination to extend the royal supervision down to the most insignificant details of the commerce of the New World, as well as of its government. Finally, the creation of new offices was not without its value as a financial expedient, for it is impossible to deny that, in Philip's later years, the minor positions went more often to the highest bidders than to those who best deserved them.

There is every reason to suppose that the old inherited organization, under three officials, the treasurer, factor, and *contador*, with coordinate powers, had demonstrated its inadequacy before the death of Charles V. It must have been overwhelmed by the sudden increase of the work that it had to perform during the last fifteen years of the Emperor's reign; in fact, it seems probable that Charles's absence from Spain and his preoccupation about other matters were the chief reasons why some remedy for the situation had not been found. In any case we know that on October 7, 1557, some twenty-one months after Philip had taken the reins of government, a president was appointed for the Casa de Contratación. The first incumbent, Juan Suarez de Carvajal, only served a little more than a year, and the office thereafter remained vacant till 1579, when it was conferred on the licentiate Diego de Salazar. After that, the succession remained unbroken down to the suppression of the Casa in 1790, and it is worth noting that all four of the remaining presidents under the Prudent King were, like their predecessors, *licenciados*, while the first three of the next reign were *caballeros de capa y espada*; the Prudent King could not get over his innate preference for the scholar over the man of action. On the other hand, it is fair to add that during his reign, though not invariably under his successors, the rule requiring all presidents of the Casa to have had previous experience in the Council of the Indies was faithfully observed.

The first duty of the president was to keep the *Casa* as a whole, and every department of it, in the closest possible touch with the Council of the Indies; for since it was resident at Seville, there was always the danger that it might strive to attain some measure of independence. In order to accomplish this end, he was expected to supervise and coordinate the activities of the three offices into which the Casa from its inception had been divided; to preside over the tribunal of the Consulado; and to attend, and, if he was a *letrado*, to cast a vote in, the so-called *Sala de Justicia de la Casa de Contratación*, created in 1583 to deal with the steadily increasing amount of litigation which the activities of the Casa rendered inevitable. This body, when it was first set up, was composed of only two *oidores*, but thirteen years later it became necessary to add a third. Its form and procedure were closely assimilated to those of the higher courts of the realm; and the president, as *ex officio* member thereof, became, *ipso facto*, the indispensable connecting link between it and the older established administrative offices of the Casa. It was characteristic of Philip's fondness for courts and his determination to have justice done down to the minutest detail that this *Sala* should have been created and given such prominence by him; and he doubtless felt that the right to participate in

its proceedings was the greatest privilege that the president possessed. Nevertheless the administrative side of the work of the Casa increased, during his lifetime, even faster than the judicial; we must therefore turn our attention to the expansion of the responsibilities of the offices of the treasurer and factor, and its results.

The work of the treasurer's department was more than doubled during the reign of the Prudent King, and its staff was in consequence greatly increased. Not only did it have to handle much larger amounts of money from the New World than in the Emperor's day; it was made receiver of the proceeds of the rich silver mine of Guadalcanal, which had been discovered in 1555 on the border of Estremadura, and also, in 1579, of all the *almojarifazgos* and *alcabalas* of Andalusia. What inference is to be drawn from the assignment of the collection of these purely Spanish revenues to a body whose functions were theoretically restricted to amounts received from the Indies, it were difficult to determine. Probably the arrangement was made because, whatever the defects of the Casa, it was decidedly more efficient than the regular authorities of the Hacienda. Possibly it may also be taken to indicate a dawning realization of the necessity of reducing the excessive number of government officials, though this theory is sharply contradicted by the facts as they existed in other departments. In any event, the revenues received by the Casa from sources purely Spanish were but an exceedingly small fraction of the total sum it had to handle; it was chiefly through the increase of the amounts which arrived from the Indies that its responsibilities were enlarged.

The revenues which Philip derived from the New World mounted steadily during the course of the reign. All the expenses of the king's government in the Indies were paid out of these revenues before they left America; the sums received by the *Casa de Contratación* were thus only the surplus available for the use of his Majesty at home. In 1554 they reached 223'5 *cuientos*, in 1566, 252, in 1577, 445, in 1585, 700, and in 1598, 945. The principal sources of them were the royal *quinto* (often more and sometimes less than one-fifth) of the yield of the American mines; sundry special *tributos de Indios y de Negros*; the proceeds of the *alcabala*, which was extended by law to the Indies in 1558, and established there in fact at the rate of two per cent in 1574-76; certain crown monopolies such as that of playing cards; the *cruzado*; and the *almojarifazgos de los puertos* on both sides of the Atlantic; and the amounts derived from every one of these items increased between 1555 and 1598. The rate of the various imposts and taxes was steadily raised, and the gold, silver, and quicksilver mines of the New World yielded the crown between three and four times as much at the end of the reign as at the beginning. In addition to the royal revenue from the New World, the Casa had also to handle the sums derived from the Indies by individual Spaniards. The latter averaged about two and one-half times as much as the former in the period under review.

These totals are certainly impressive, and the rate of their increase during the reign is even more so. But what is far more noteworthy still is their relative smallness compared with the sums which Philip drew from European sources. According to the budget of 1554 the income derivable from the Indies was reckoned at less than 11% of the total amount due to the royal treasury; in 1566 at a little over 7%; in 1577 at 10%. In 1585 it rises to a little more than 25%, and in 1598 it only falls back to 22%, but even at the very end of the reign it is obvious that the American revenues did not constitute anywhere nearly so large a portion of Philip's income as has been popularly supposed. They did not even equal some of the more important single items in the list of the Spanish king's European resources. During the first half of the reign they averaged less than three-fourths of the sums derived from the ecclesiastical revenues in Europe (the *tercias*, *cruzada*, *subsidio*, *excusado*, and *maestrazgos*); only after 1580 did they begin to exceed them. In 1554 they were only about two-thirds of the proceeds of the *encabezamiento* in Spain, in 1566 only a little better than one-half, in 1577 a little more

than one-third. At the end of the reign, indeed, the current begins to flow the other way; the Indies yield 945 *cuentos* and the *encabezamiento* only 1035. But it is evident that the legend that America constituted an inexhaustible treasure house for Philip cannot possibly be substantiated by the facts.

It was in the years 1564-66 that the organization of the sailings to and from America in two annual fleets was definitely and permanently set up. There had been foreshadowings of it, as we have seen, in the Emperor's day; henceforth it was formally established, to last to the advent of the Bourbons. On October 18, 1564, a set of ordinances was put forth providing for the annual dispatch of two convoyed fleets, one for New Spain, the other for the Isthmus of Panama and New Castile; they subsequently became known as the *Flota* and the *Galleons*, and they averaged, in the latter years of Philip's reign, some seventy ships each. The first was to sail in April for the Greater Antilles and the ports on the Gulf of Mexico; the second was to leave in August for the northern coast of South America and Nombre de Dios. Both were to winter in the Indies, and to repair to Havana in March; they were to leave for Europe not earlier than the tenth of that month, and were generally expected to sail home separately. The details of these regulations were not invariably observed. The prescribed times of sailing were not rigidly adhered to; occasionally a year was skipped, and it was only rarely that either of the fleets arrived anywhere at the appointed times. But the routes and schedules were at least sufficiently regular to enable the pirates to swoop down on the fleets at the most awkward possible moments, that is, to facilitate the very thing that the *Flota* and the *Galleons* had been established to prevent, with the result that increasing attention and expense had to be devoted to convoy and armament. At first it had been the custom to rely on a few cannon and a handful of soldiers, borne by each of the larger merchantmen of the fleet, but the ships were so crowded with passengers and goods as to be virtually useless when any fighting was to be done; so in 1565 it was provided that the flagship of each fleet was to be a galleon of at least 300 tons, with thirty-six cannon and 200 men, and it was never to encumber itself with merchandise of any kind, save when it rescued the cargoes of wrecks. The number of warships assigned for this purpose gradually increased as the reign wore on, and their efforts were supplemented by those of the so-called *Armada de la Carrera de las Indias*, which patrolled the waters off the Spanish coasts, and occasionally escorted the fleets across the sea. To us the system seems cumbersome and ineffective, a faithful reflection of Philip's excessive confidence in the power of combination and weight, and of his inability to see the value of maneuvering and speed. But it seems probable that it actually worked out, under the conditions prevalent at the time, rather better than the modern student would have reason to suppose. Despite all their foreknowledge of the dates and routes of the Spanish sailings, none of Philip's maritime enemies was ever able to capture an entire treasure-fleet. They had to content themselves with the cutting off of isolated vessels and minor squadrons. The *Flota* and the *Galleons* continued to maintain their sailings.

It will readily be seen from the foregoing paragraph that the provisioning, arming, and outfitting of the American fleets had become, under Philip II, a task far too heavy to be performed by the factor alone, who had had charge of it in the Emperor's day. The natural result was a large increase in his staff and the gradual distribution of his duties among a number of officials. Of these by far the most important was the purveyor-general of the armadas and fleets of the Indies, who was first appointed in 1588. It was his duty to make sure that the ships themselves were in fit condition for the sea, and that they were fully supplied with provisions for the voyage; and he was expected to buy such commodities and to employ such labor as were necessary for these purposes. The matter of armament, however, still remained under the jurisdiction of the factor, who was aided, during the last twenty-five years

of the reign, by an *artillero mayor*. *The Junta de Guerra y Armadas de Indias*, as we have already remarked, was not created until 1600.

On the other side of the Atlantic the consolidation and development of the system of viceregal administration inaugurated by the Emperor is by far the most significant fact of the period. Long lines of successors to Antonio de Mendoza were established under Philip II, both at Mexico and at Lima, and continued, with occasional interruptions, down to the era of the Revolutions.

Strictly speaking, there were but eight viceroys of New Spain between the departure of Antonio de Mendoza for New Castile in 1550 and the death of Philip II in 1598, and the same number in New Castile between Mendoza's death at Lima (July 21, 1552) and the end of the reign. In other words, the law of 1555, prescribing a three-year term, was practically in abeyance. There were, moreover, three interregna in New Spain, during which the government was carried on by the *audiencia*, and three of a similar nature in New Castile; the second of this latter trio, which lasted from 1564 to 1569, amounted in fact to a suspension of the viceregal regime, for the home government was so disturbed by the succession of calamities by which so many of the early viceroys of Peru had been taken off, that it determined to try the experiment of having the viceroyalty governed by the *audiencia*, under the able presidency of the licentiate Lope Garcia de Castro, who had been a member of the Council of the Indies. The longest term served by any viceroy in Philip's time was that of Velasco, 'the Emancipator', the successor of Antonio de Mendoza in Mexico, from 1550 to 1564; the next, that of Martin Enriquez de Almansa, who ruled New Spain from 1568 to 1580, and then was transferred to New Castile from 1581 to 1583; and there are at least four cases of terms shorter than two years. Save for Enriquez de Almansa, the second Velasco, who governed New Spain from 1590 to 1595, was the only Mexican viceroy to be promoted during this period to the more dignified viceroyalty of Lima.

In general it is fair to say that under Philip the viceroys were carefully selected from men who in various ways had given proof of efficiency in the service of the crown. There is no evidence of any tendency, such as appeared in the seventeenth century, to lay special emphasis on military qualifications; if anything, Philip preferred the trained administrator to the soldier, and on one occasion he appointed a cleric. Most of his happiest choices, in both viceroyalties, were made from the great families of the Mendozas and the Velascos. Of the former there were three, one in New Spain and two in New Castile, the last pair father and son, and all of them of kin to the great Antonio de Mendoza of the Emperor's day; of the latter there were two, father and son, in New Spain, and the son, as we have already seen, was promoted to New Castile. But of all the viceroys appointed by the Prudent King, the greatest by far was Francisco Alvarez de Toledo, who was sent out to New Castile in 1569, when it was in utter confusion and disorder, and brought it back to peace and prosperity in the ensuing twelve years. A brief summary of his career there will serve to give some slight idea of the conditions prevalent in Philip's American possessions in the middle years of his reign, and of the policies that were pursued in dealing with them.

Toledo had proved his value both as a diplomat and as a soldier before he was sent out to the New World, but Philip had no idea of leaving him a free hand in his new office. His duties and responsibilities were specifically defined in an elaborate set of instructions given him on his departure. Perhaps the most important of all these instructions was that ordering him to make a tour of inspection of his viceroyalty, in order that he might become personally informed of its conditions and needs, and report them to the crown. He began to make that tour of inspection in October, 1570, taking with him as counsellors a Jesuit priest, a judge, and

a licentiate. It covered in all over 5000 miles, and occupied him for more than five years, and its results were far-reaching and beneficent. The two problems to which he gave his chief attention were the traditional ones: first, how to increase the output of the mines, and, secondly, how to better the conditions of the Indians. Past experience had tended to show that neither could be solved save at the expense of the other; but Toledo was convinced that it would be possible to deal with them separately and satisfactorily, and succeeded in proving that he was right. New methods were established for the extraction of the silver from the ore by the use of mercury, which had been discovered at Huancavelica. A wise code of mining laws and regulations was drawn up and enforced; and a mint to stabilize the currency was established at Potosi. These measures, and others, which Toledo adopted, were no small element in bringing about the gratifying increase, beginning about 1580, in the revenue which Spain was able to derive from the New World. At the same time the viceroy labored manfully to put an end to the maltreatment and exploitation of the loyal Indians. The chief means which he took to effect this end was to establish in the larger towns of his viceroyalty a system of *corregidores* and *corregimientos* modelled on that of Castile, and in the smaller ones, which were almost exclusively populated by natives, lesser officers called *corregidores de Indios*. Authority was thus gradually withdrawn from the *encomendero*, who had generally misused it, and placed in the hands of responsible officials, on whom the duty of caring for the welfare of the natives had been specifically laid, and who knew that they were likely to lose their positions if they neglected it. The Indians were no longer victimized by the greedy lawyers and judges who had hitherto reaped rich harvests out of their ignorance; and special codes with special officers to administer them were provided to regulate their affairs. A scale of wages was established for them, and a census of their population was taken, so that the tribute due from them could be justly apportioned.

But though Toledo was a sturdy champion of the rights of the loyal native, he would not tolerate any refusal to recognize the sovereignty of the Spanish crown. Tupac Amaru, the youngest son of Manco Inca of the days of the Pizarros, maintained an independent court in the mountains to the east of Ayacucho; it was a place of refuge for all sorts of undesirables, a potential center of disturbance and rebellion. On his arrival at Lima, Toledo strove by peaceful means to induce the Inca to abandon this last vestige of the independence of his race; but Tupac refused to listen to him, and the viceroy reluctantly determined that it would be necessary to use force. An army was sent against the youthful Inca; he was captured, brought back to Cuzco, tried, found guilty on testimony the greater part of which was false, and, despite the passionate protests of almost all the inhabitants, both lay and clerical, of the city, suffered death at the hands of the public executioner in December, 1571. The act has left a dark stain on the record of the viceroy. It has been characterized as a 'judicial murder' and it gave Philip an excuse for cruelly turning against Toledo, when ten years later he became convinced that he was in danger of becoming too powerful. But it was essential for the king's representative to make it evident, at the outset of his regime, that he had the power to enforce his will, and the rulers of the sixteenth century were not wont to be merciful to rebels.

Toledo was also much concerned with ecclesiastical affairs. The state of the clergy at the time of his arrival in New Castile cried aloud for reform. Churchmen, like laymen, had flocked across the Atlantic, primarily with the idea of enriching themselves. They neglected the principal duty which had been assigned to them, that of educating the Indians in the principles of the Christian faith; only a few of them, in fact, had even taken the trouble to learn the native languages, and most of them were both immoral and corrupt. There was every prospect, moreover, that these evils would be perpetuated, for the higher clergy in the New World had begun to usurp the royal right of patronage, and doled out the most lucrative posts to the highest bidders. One of the principal duties that had been given Toledo was that of

reestablishing the powers of the crown in this regard, and he lost no time in doing so. The king, in 1574, put forth an edict consolidating all the gains his representative had already made, and definitely providing for the future; and thereafter Toledo was able to bring about numerous salutary reforms. Laws governing the conduct of clerics were promulgated and enforced. They were obliged to learn the Indian languages within a specific time, and if they did not succeed in so doing, they were deprived of their salaries. Moreover in January, 1569, two months before Toledo left Spain for New Castile, Philip determined to establish tribunals of the Inquisition at Mexico and at Lima. The motive alleged by the king for this decision was the danger lest his transatlantic dominions should be contaminated by the taint of Protestantism, and French and English 'Lutherans' suffered death in the first two autos-da-fe: at Lima on November 15, 1573, and at Mexico on the 28th of the following February. It is hard to believe that the perils of heresy were as great as the Inquisitors, in order to justify their own vocation, almost invariably sought to make out; but the institution had proved its value as an instrument for the detection and punishment of clerical irregularities and as a means of fortifying the authority of the crown; and as such it was inevitable that Toledo should bid it welcome. He lent it his heartiest support during the entire period of his term of office, and established its power and supereminence in his viceroyalty after the fashion of the parent institution in Castile. The Indians, however, both in Mexico and in Peru, were exempted from inquisitorial jurisdiction, and, despite numerous protests, remained subject to the authority of the bishops.

The viceroy also rendered priceless service to New Castile and the Spanish Empire in a multitude of other ways; he seemed literally indefatigable and omniscient, and richly deserves the title of the 'Peruvian Solon'. He built roads, and aqueducts and canals to convey drinking water to the principal cities of the viceroyalty. He established inns and hospitals, and set up regular military outposts at strategic points, so that it was safe, for the first time, for merchants and travelers to journey by the principal highways of his domains. He fostered the lucrative culture of the cocoa leaf in the low hot valleys of the interior; yet he took great pains, at the same time, to put an end to the shocking maltreatment of the Indians who worked on the plantations. The welfare of the native was never absent from his mind; moreover, he realized that there were many features of the ancient institutions of the days of the Incas which could profitably be utilized and preserved; some of his most happy regulations were inspired by a fusion of Spanish and native ideas. The "Memorial" which he left for the instruction of his successors at his departure was regarded by them as an "authoritative textbook," and one of them declared that "all future rulers of Peru were but his disciples." And yet this greatest of all Philip's colonial administrators was deprived of his office without warning or reward. Though he had frequently asked to be relieved of his post, his requests had been invariably refused; but the very fact that he had been so successful had at last aroused the jealousy of his distant master, and in 1581 the viceroy of Mexico was suddenly sent down to Lima to supersede him. When Toledo got back to Spain, the king would not consent to receive him. He was accused and found guilty of having derived unlawful profit from his term of office, and in 1584 he died in his native town of Oropesa, broken hearted and worn out. Similar tragedies were to be frequently reenacted, with Philip's most notable European representatives as their principal victims, in the closing years of the reign of the Prudent King.

The sad story of Toledo's dismissal will serve as a salutary reminder of the all-important fact that Philip was quite as determined to keep all independent authority out of the hands of his subordinates in America as in Europe. In theory, the viceregal powers were fully as extensive during the reign of the Prudent King as ever they had been in the days of his father; nay more, the viceroys were given by Philip, either temporarily or permanently, certain rights and duties which had not been accorded them in the New Laws. Such were the

extraordinary powers with which they were invested in cases of rebellion, the authority to make treaties of peace with the native tribes, and the duty of maintaining the royal supremacy over the tribunals of the Inquisition. Yet it would probably be a safe generalization to say that the viceroys of the latter part of the reign were not actually so strong in their independent authority as the earlier ones. Jealous though the Emperor had been of the aspirations for autonomy of his representatives across the sea, his son was vastly more so. Moreover, by the end of the century the Spanish colonial system was working sufficiently well to enable the Spanish monarch to give his jealousy effect. Long as was the journey from the mother country to Mexico or to Peru in the latter years of the Prudent King, and likely as it was to be intercepted by the attacks of hostile corsairs, it was shorter, more regular, and more frequently made than it had been in the Emperor's day. There was, in fact, what might be charitably described as a 'service,' and the king could consequently keep in touch with his representatives in the New World as his father had never been able to do. More and more meticulous were the instructions he sent out; less and less were the viceroys permitted to settle matters on their own authority; more and more were they commanded to send home information and wait for the royal orders as to how to deal with the existing facts. The six interruptions, already noted, of the viceregal succession by the government of an *audiencia*, both in New Spain and New Castile, during the reign of the Prudent King, though each one of them had its immediate origin in specific events and conditions, may, perhaps, taken together, be interpreted as an evidence that Philip was not sorry to seize every opportunity to demonstrate that he could, if need be, govern his transatlantic dominions without the aid of viceroys.

By far the most characteristic of all the methods employed by the Prudent King to keep watch on the doings of his representatives across the sea was the development during his reign of the *residencia* and *visita*. For the present purposes it will suffice to describe the former, that is, the obligation incident on every official, from the viceroy down to the municipal *corregidor*, to continue to reside, for a specified period after the expiration of his term of office, at the place where he had exercised jurisdiction, in order that all those who considered themselves to have been aggrieved by any of his acts or decisions might prefer their complaints before the person or persons appointed to receive them. The *visita* was essentially only a *residencia* taken without notice at any time during the incumbency of the *residenciado*, and generally implying that things were not believed to be in good case. Philip, one of whose best qualities was a firm determination to see justice done to each and every one of his subjects, attached great weight to the *residencia*, "since experience had shown it to be most necessary as a means of repressing the arrogance of ministers"; more than one-third of the laws on the subject in the *Recopilacion de Leyes de las Indias* are from his reign. They bear eloquent testimony to the excellence of his intentions, particularly in regard to the treatment of the Indians, who were to be given every chance to get their grievances heard. They reveal his willingness to work hard and long, and to take infinite pains in order to secure his ends, and his desire and expectation that his subordinates do likewise. But as the system actually worked in the New World, it probably produced more harm than good. Dread of the oncoming test caused the magistrates to become timid, to act negatively rather than positively, to seek at every turn rather to avoid giving offence than to take vigorous measures for the public good. Whether or no the dictum of Solorzano that good judges ran more risk than bad ones can actually be substantiated, it is certain that the system tended to paralyze initiative, a defect which Philip would have been the last to appreciate. Even worse was the waste of energy, money, and above all of time involved. The number of officials employed in the taking of *residencias* was enormous, and their written reports filled scores of bulky tomes. The period occupied by the taking of the test evoked universal complaint, but nevertheless constantly tended to increase; even Philip was obliged to admit the evil, and in 1582 he put forth a law that the duration of the *residencias* of all lesser officials should not exceed sixty days. In the

case of residencias of viceroys, however, he remained obdurate; nothing would induce him to set any time limit for them at all, with the result that a viceregal residencia became a synonym for eternity. "In the year 1589" writes Solorzano, "the *visita* of the Marquis of Villa Manrique, viceroy of Mexico, was committed to the bishop of Tlascala, and never ended at all; and in the margin of the *cédula* or commission of the same, there was written a note to the effect that this had happened because no time limit had been set, and that therefore it would be well to set one". But it was not until seventy-eight years later that this advice was taken; the law limiting the period of a viceregal residencia to six months was not put forth till the reign of Charles II in 1667.

The *residencia* was indeed the quintessence of Philip's conception of good government. He saw only its virtues, and its virtues under ideal conditions; he refused to admit its faults as things actually worked out. There is evidence that his successors were far less enthusiastic for it than he. Philip IV was fully aware of its defects, and wished to substitute some other method of attaining the desired end; and Solorzano, whose great book was issued in 1629, dilates on the evil effect of the appalling slowness of the residencias. "It is better," he declares, "to omit to ascertain and punish some things than to retard everything. A sovereign will never cure his republic with such medicine, if it brings with it greater ills and evils than those which it was intended to remedy." And even earlier than this, in the reign of Philip III, the Marquis of Montesclaros, who was viceroy of Peru from 1607 to 1615, drew his famous parallel between "these *visitas* and the little whirlwinds which commonly blow up in the squares and the streets, with no other result than to raise the dirt, filth, and other refuse there, and let it fall down again on the heads of the people."

It would be quite futile, in a book which attempts to cover as vast a field as does this, to describe all the other institutions in Spanish America at the close of the reign of the Prudent King. It must be remembered, moreover, that even the most important of them were but ephemeral; they were all to be swept away during the era of the Revolutions. If one bears this fact in mind, one is likely to conclude that the most notable achievement of the Spaniards in the New World was the conversion and civilization of the American Indians; and it was really in the reign of Philip II that this work was effectively begun. The foundations for it had indeed been laid by the Catholic Kings and the Emperor; but the Spaniards of those days had been so much occupied with exploration and fighting that they had little time to spare for the gentler sides of their task. Under Philip, on the other hand, the Spanish colonial regime was definitely established, and the apostles of Spanish culture got their first real opportunity for effective work in the New World. One is tempted, at first sight, to characterize their methods of operation as negative rather than as positive. One is staggered by the number of restrictions on the sale of books and the setting-up of printing presses, by the extent to which clerics were suffered to monopolize the conduct of instruction, by the barriers placed in the way of the study of the sciences, by the rigid control, through the monarchy and the church, of the intellectual development of the inhabitants of the New World. These inhibitions, however, apply almost exclusively to what we should today call the higher education, and especially to the teaching of the children of Spanish parents in the universities; moreover, they were but the counterpart of the regulations in force at the same time and for the same purposes in Spain, and therefore, in a sense, inherent in the transportation of Spanish cultural ideals across the sea. What is really more significant for our present purpose is the story of the progress that was made at the other end of the scale, in the elementary instruction of the Indians. From the nature of the case, it was bound to be inconspicuous, and we only get occasional glimpses of it here and there; but the efforts that were made to teach the natives Castilian prove the eagerness of the king and of his representatives in the New World to raise his transatlantic subjects to the standards of European civilization. How much was accomplished in this

direction before Philip's death it is obviously impossible accurately to determine, though the history of the seventeenth century leaves no doubt that much progress was made.<sup>2</sup> In this as in many other respects, some of the principal results of the reign of the Prudent King belong in the category of the imponderables.

It will be remembered that the group of islands in the Pacific in which the explorer Magellan had met his death, though situated well to the westward of the line of demarcation established by the treaty of Saragossa in 1529, had been virtually neglected by the Portuguese within whose waters they admittedly lay; but that in 1542 the Emperor had sent out an expedition from Mexico, which had further explored them, and had christened them the Philippines, in honor of the heir to the throne. This expedition, however, had made no attempt to conquer or to colonize; it had merely asserted a legally invalid Spanish title by labelling the islands with a Spanish name. The first serious effort to bring the archipelago under the dominion of the Spanish crown was not made until twenty-two years later, in 1564.

The change of rulers and the pressure of affairs in Europe are perhaps adequate to explain this long delay; but when Philip got back to Spain after the conclusion of the treaty of Cateau-Cambresis in 1559, he at once took up the problem of Spain's possessions in the Pacific. It seems natural to assume that the chief consideration which impelled him to do so was the prospect that he might some day be able to realize the dream of his ancestors by reannexing the kingdom of Portugal, and thus acquire its dominions across the seas. Obviously the time was not yet ripe; for the title of King Sebastian could not be impugned, and Philip was not the man to act without legal justification. On the other hand, the Portuguese monarch had already given evidence of an instability which augured ill for a long duration of his reign; there was no prospect of a lineal heir, and if the opportunity to act should suddenly arise, it would be of inestimable advantage to the Prudent King to have an established base in close proximity to the Portuguese dominions in the Orient. At any rate, on September 24, 1559, Philip sent orders to Luis de Velasco, the viceroy of New Spain, to organize and send out an expedition for the discovery of the islands of the West, "hacia las Molucas," but enjoined him to be particularly careful not to trespass on Portuguese territory. On the same day the king also wrote to a certain Augustinian then resident in Mexico, named Andres de Urdaneta, desiring him to accompany the expedition in the capacity of chief navigator. The reason for this choice was the fact that Urdaneta had made a reputation for himself as a mathematician and cosmographer before he turned monk, and had accompanied Loaysa's expedition to the Moluccas in 1525 and remained in the Orient till 1536. Urdaneta accepted in an interesting letter of May 28, 1560; moreover, it seems to have been largely in order to please him that the supreme command of the expedition was given by Velasco to Miguel Lopez de Legazpi, the scion of an ancient family of Guipuzcoa, who had lived in Mexico since 1532 : a wise and generous man, who apparently sold most of his own property in order to help defray the costs of the enterprise. His armada consisted of two galleons and two pataches, "the best that had been launched on the Southern Sea, and the stoutest and best equipped"; they carried upwards of 350 persons, comprising soldiers, sailors, four Augustinians who accompanied Urdaneta in order to convert the heathen, and also a few servants. The expedition was practically ready in the end of 1563, and lay at Navidad awaiting orders to sail; but the illness of the viceroy, and a number of other delays of the typical Spanish brand, postponed its departure till November 21, 1564.

Good fortune accompanied the adventurers on the voyage out. They touched at the Marshall and Ladrones groups, and solemnly took possession of them in the name of Spain. When they reached the Philippines they were generally received by the natives in friendly

fashion, as soon as they made it clear that they were not Portuguese. On April 27- 30, 1565, they landed at Cebu, where Magellan had been given an amicable reception; there, in the center of the archipelago, Legazpi determined to establish his headquarters for the time being, and the discovery by one of his followers of an image of the Saviour, doubtless left there by the expedition of Magellan, was taken by all hands to be a highly favorable omen. In fact, the only serious misfortune which the adventurers had thus far encountered had been the desertion during the voyage out, on November 30, 1564, of Captain Alonso de Arellano with the patache San Lucas. He had hurried on ahead of the rest to the Philippines, where he took aboard a cargo of cinnamon. He had then hastened back to Mexico, in the hope of gaining for himself all the credit for the undertaking; and it was doubtless partly with the idea of checkmating his designs, as well as in compliance with the orders which had been given him at his departure, that Legazpi, on June 1, sent back his flagship, with Fray Urdaneta on board, to report what had already been accomplished. Urdaneta and Arellano finally encountered one another at court in Spain, where the former succeeded in establishing his case, while the latter was remanded to Mexico to be put on trial for his desertion. Soon afterwards Urdaneta also returned to Mexico, and died there, at the age of seventy, on June 3, 1568. He was one of the noblest and most efficient clerics in the annals of the Spanish Empire beyond the seas, and deserves a large share of the credit for the enterprise which led to the conquest of the Philippines.

Meantime in the archipelago Legazpi and his followers were wrestling with the usual problems incident to the occupation and settlement of newly acquired lands. He had some difficulty in maintaining discipline among his own men; the example of Arellano had made many of them insubordinate; and severe punishments were necessary to hold them to their allegiance. There was also, curiously enough, great scarcity of provisions, and expeditions to the neighboring islands had to be organized to obtain them; but for the most part the natives showed themselves friendly and willing to supply the newcomers' needs. Ships passed back and forth several times in the course of the next three years between the archipelago and New Spain, and Legazpi was particularly rejoiced when, on August 30, 1568, two galleons appeared from Acapulco with troops, munitions, and supplies. They arrived, indeed, in the nick of time; for in the very next month a Portuguese squadron came across from the Moluccas with the purpose of forcing the Spaniards to withdraw. After trying unsuccessfully to effect their ends by persuasion, they had recourse to arms, attempting to blockade the port of Cebu and destroying the villages of those natives who had befriended the Spaniards. Nothing, however, was accomplished by these means, and after three months the intruders retired. The Spaniards were also much occupied with the repulse of piratical attacks from the native corsairs of Borneo and Jolo. At the same time they made steady progress with the exploration and conquest of the larger islands of the archipelago. Two expeditions were sent south to Mindanao, rather for the purpose of obtaining cinnamon than of making a settlement; and Panay, where the natives were generally friendly and willing to be instructed in the Christian faith, was brought under Spanish domination in 1569.

Much more serious, however, proved the problem of gaining possession of the northern island of Luzon. Until it should be definitely in Spanish hands, the conquest of the archipelago could not be regarded as complete; and in the spring of 1570 a party of 120 Spaniards and a few native auxiliaries commanded by Martin de Goiti, Legazpi's camp-master, was sent out to reconnoiter. Legazpi's grandson, Juan de Salcedo, who had come out from Mexico in 1567, at the age of eighteen, and whose gallantry was afterwards to give him the name of 'Cortés of the Philippines', also accompanied the expedition. Guided by a Moslem pilot, they reached Manila Bay, and at once perceived its advantages for the founding of a city; they were also received at first with great friendliness by the two local rulers, Soliman and Lacandola. The

first named, however, proved treacherous. As soon as the newcomers were lulled into a sense of security he attacked them unawares, but fortunately the Spaniards were able to beat him off, and afterwards, assuming the offensive, to capture a fort which he had erected nearby. In the course of these operations evidence was found that the natives had been aided in their resistance by the Portuguese. Since it was obvious that the task of conquering Luzon would demand large reinforcements, the Spaniards after some further reconnoitering returned to Cebu. During their absence a letter had been received from Spain, bringing the royal confirmation of Legazpi's title of governor and captain-general, and establishing his authority in his new domain. He was occupied at the moment with the founding of a city at Cebu, and with the conversion of the natives there; but the conquest of Luzon was of still greater importance, and so an expedition, under Legazpi's command, consisting of twenty-seven vessels, large and small, carrying 230 arquebusiers, was speedily organized, and left for the north on Easter Monday, April 16, 1571. After touching at Masbate and Mindoro on the way, Legazpi reached Manila on May 16. When the natives knew that the governor had come with his entire force to settle the land, they burnt their villages and took flight; but Legazpi soon succeeded in getting in touch with them, and on discovering that he proposed to treat them well if they would recognize his authority, the majority soon decided to give him their allegiance. The rajah Soliman, indeed, continued to stir up trouble, but Goiti and Salcedo put down every insurrection. Meantime, on June 3, Legazpi "gave the title of city to this colony of Manila", whose name he left unchanged; and on the twenty-fourth he formally erected it into a municipality after the traditional Spanish pattern with *cabildo*, *alcaldes*, *alguaciles*, and *regidores*; moreover he announced that in accordance with his Majesty's desire, he would give lands and repartimientos to those who wished to settle there. In the course of the next year, Goiti and Salcedo brought most of the rest of the island under subjection. The Augustinians labored hard at the task of converting the natives, while Legazpi was chiefly occupied with the important matter of establishing and regulating commercial relations with the Chinese, whom he had found in large numbers on his arrival, and whose trade he was most anxious to get away from the Portuguese. He died on August 20, 1572, universally respected both by the natives and by his own people, one of the most attractive of Spanish empire builders, whose preference for peaceful rather than warlike methods stands out in agreeable contrast to the policies of most of the conquistadores in the New World.

On the death of Legazpi the government of the Philippines devolved upon his faithful lieutenant and camp-master, Guido de Lavezares, who had first visited the archipelago with Villalobos twenty-nine years before, and who had been acting as governor of Cebu while Legazpi was conquering Luzon. Further progress was made in the conquest of the small islands during the three years of his rule, but the most notable events of that period occurred in connection with his dealings with the Chinese. The Spaniards were convinced that China possessed fabulous wealth; they therefore strove their hardest to facilitate their own trade with it, to the prejudice of the Portuguese, who naturally did their utmost to prevent them. In an account of the Philippines which Lavezares sent back to Philip from Manila on June 29, 1573, he writes that "last year Chinese vessels came to this city to trade, and told us how the Portuguese had asked them not to trade with us, because we were robbers and came to steal and commit other depredations, so that these people wonder not a little if this be true. As the treatment accorded to the Chinese neutralizes these reports, more vessels came this year than last, and each year more will come." Even more important than the governor's direct efforts to encourage commercial relations between the Philippines and the Celestial Empire were the services which he rendered to both by his defeat of the famous pirate Li-Ma-Hong. This savage corsair, who had made so much trouble for the Chinese Emperor that he no longer felt safe on the shores of Asia, had determined to found an empire of his own in the Philippines, and in November, 1574, he appeared off Manila with a huge fleet and an army of soldiers and

prospective colonists. Salcedo, by far the best soldier that the Spaniards had, was absent at the time, with a large force, on an expedition farther north against Vigan, and the pirate's first assaults on Manila were only repulsed with great difficulty. But before Li-Ma-Hong could return to the attack with larger forces, Salcedo got back, and with his aid Lavezares defeated the invader, this time decisively, so that Li-Ma-Hong, abandoning his efforts against Manila, sailed away to the northward, in the hope of establishing a base for himself in Pangasinan. Salcedo, however, pursued him with a fleet, besieged him in the fortification that he had erected on the shore, and finally (August 3, 1575) completely routed his forces, so that the pirate, after murdering most of his men that remained alive, took flight, half famished, in a small canoe, and never ventured to molest the Spaniards again. It was a notable triumph, which greatly enhanced the reputation of Spain in the Orient, and a fitting culmination of the career of Juan de Salcedo, to whom the victory was chiefly due. He died March 11, 1576, of a fever, on his encomienda in Luzon, at the early age of twenty-seven, a striking exception to that decline of the fighting prowess of the individual Spaniard which is generally observable in the period of Philip II, and was of such ominous portent for the future of the Spanish Empire.

In August, 1575, Lavezares resigned his functions to Francisco de Sande, *alcalde* of the audiencia of Mexico, who had been sent out by the crown as governor of the Philippines, and six other royal representatives succeeded him in turn in that office before the death of Philip II. We have no space to go into the individual achievements of each of these men, but a few general remarks may not be out of place. Their tenure of office was usually quite short, on the average less than four years, considerably less than the actual, if not the legal term of the viceroys of New Spain and of New Castile; and its shortness is but one more of the innumerable evidences of Philip's perpetual fear lest his representatives in dominions so remote would tend, if allowed to remain long at their posts, to get out of hand, and strike out along lines of their own. There is also a significant alternation of the man of action and the constitutional administrator, of the soldier and the *letrado*, which may be taken as an evidence that Philip now regarded the conquest as virtually complete, and that consequently, in the Philippines as in the New World, he was tending to replace the conquistadores with men of less daring and greater dependence on himself. The fact that he became sovereign of Portugal and her dominions in 1530 relieved him from the fear of attack from his original rivals in the Orient. Save for the peril from English and Dutch adventurers, which did not become serious until after his death, he and his representatives were henceforth free to devote themselves wholly to the problem of dealing with the natives, and with their neighbors in the adjacent islands and in China and Japan. Another noteworthy evidence, likewise paralleled in the New World, that the era of conquest was regarded as past, and that of assimilation to the Spanish Empire begun, was the establishment, in May, 1583, of the first royal audiencia of Manila. It was set up as a result of advice to Philip from Gonzalo Ronquillo, who had been sent out as governor to replace Sande in 1580, and died in the Philippines in March, 1583; it was composed of a president, three *oidores*, and an attorney-general, but it led a very checkered existence in its early years.<sup>2</sup> In May, 1590, Gomez Perez Dasmariñas, one of the most notable governors the Philippines ever had, brought out with him a decree for its suppression, but after his murder in 1593 it was set up again, and continued, without interruption, till 1898. A letter from the archbishop of Manila, written in 1624, assures us that the reason for its reestablishment was the king's fear "lest in regions so remote the governors might become too absolute", and there can be little doubt that he told the truth. The ups and downs of the early history of the audiencia at Manila were also closely connected with the development of the relationship between the Philippines and the viceroyalty of New Spain. The authorities at Mexico never ceased to look upon the archipelago as forming a part of their own domain, and resented any measure which tended to give it independence. The audiencia at Manila,

considered itself the symbol of such independence and coequal in all respects with that of New Spain, so that the two bodies regarded one another with hostility from the very first.

On the side of exploration and relations with the adjacent Oriental powers, the last twenty-five years of the reign of the Prudent King witnessed numerous proofs of the mounting prestige of Spain in the Far East. In the Philippines themselves the most significant event of these years was the attempt to conquer and subdue the Moros of Mindanao, who had not yet acknowledged the authority of the Spanish crown. This task was undertaken in 1596 by a captain named Esteban Rodriguez de Figueroa, as a private enterprise at his own expense; the king in return gave him the title of governor, and full possession of the island for two lifetimes, provided he could accomplish his purpose. He left Iloilo in April with a force of 214 men, and landed at Iliana Bay, where he was generally well received by the natives; but on attempting to penetrate inland, he fell into an ambush and was slain. As his camp-master, Juan de Jara, was unable to carry through the conquest without reinforcements, the government at Manila sent out Juan de Ronquillo with a force of 400 men to help him. Meantime the Moros made every preparation to resist and sought aid from the small island of Ternate farther south, whose inhabitants had been hostile to the Spaniards since the days of Magellan. But Ronquillo defeated the ships that were bringing over the enemy contingent, and was well on his way to the subjugation of Mindanao, when he unaccountably lost heart, and, availing himself of an authorization received from Manila before the government there had been fully apprised of the victories he had won, abandoned his post and withdrew with all his troops. Though he was officially acquitted by a court martial on his return, the consequences of his conduct were lamentable in the extreme. It encouraged the Moros and also the natives of the adjacent island of Jolo, all of whom were on the point of submitting to Spain, to persist in their struggle to maintain independence, and necessitated a number of subsequent expeditions and the loss of many lives, to complete the conquest of Mindanao during the ensuing years.

More impressive were the demonstrations of Spanish power in the lands and islands beyond the limits of the archipelago. Many of these were made possible by embassies from native rulers to beg support against hated rivals, the same sort of situation as the Spaniards often utilized to their own advantage in North Africa and in the New World. Thus an appeal from the king of Borneo for aid against a brother who had dethroned him led, in 1578, to a Spanish expedition to that island, and the recognition of Spanish authority by the ruler whom it restored to power. Requests for support from the king of Cambodia against his enemy, the king of Siam, brought Spanish troops to the mainland in 1596, and again in 1598; but though they greatly impressed the natives by their valor, they gained no permanent advantage. Constant efforts were also made to subjugate the Moluccas and gain full control of the spice trade. These began with a large expedition sent out at Philip's command in 1582; but the climate, the consistent opposition of the inhabitants of Ternate, and the tradition of Portuguese hostility, which was kept alive till long after the annexation and evinced itself in native conspiracies and plots stirred up by Portuguese agency, prevented the full realization of these projects in Philip's day, and caused the deaths of many gallant men; moreover the reign was hardly over before the Dutch appeared to challenge the Spanish claims.

With Japan there was an inconclusive and somewhat ridiculous exchange of communications. The Japanese emperor demanded that the Spaniards in the Philippines unreservedly acknowledge themselves to be his vassals and was with difficulty persuaded that they had no intention of so doing. The Spaniards at Manila were equally determined to bring Christianity to Japan, and despatched a number of Franciscan friars for that purpose; but as both sides were resolved to maintain trade relations with one another, their political and religious divergencies did not lead to any fighting. All these items go to prove that the Philippines had now become a solid outpost of the power of Spain in the Pacific. Her ability to

hold them was no longer in any doubt. They were henceforth to be a base whence further expeditions could be sent forth, and whither all Spaniards in the Orient could repair for aid.

During all the period of conquest and colonization the work of converting the natives went steadily forward. Indeed, it would probably be safe to say that the missionary activities of the early Spaniards in the Philippines were even more vigorous and extensive than those of their compatriots in the New World. The Augustinians, as we have seen, were the first in the field, and down to 1577 had it all to themselves. In that year the first Franciscans arrived; in 1581 the first Jesuits; and six years later, the first party of Dominicans.<sup>3</sup> There was naturally keen rivalry among the different orders for the glory of making the largest number of converts, not only in the Philippines and in the adjacent islands, but also in China, which was visited by the Augustinians in 1575 and by the Dominicans in 1590; but the Jesuits resented the arrival of these newcomers as an invasion of their own domain, and in 1595 succeeded in putting a stop to it. They also managed to limit the monastic rivalries in the Philippines by having each of the different regions in the archipelago allotted to a separate order; moreover, they persuaded their general, Aquaviva, to erect the Philippines into a 'vice-province' and to place one of the ablest of their leaders, Antonio Sedeño, at the head of it. In the Philippines the society might be seen at its very best. Its members showed none of the unscrupulousness that gained them an evil name in Europe; they devoted themselves effectively and whole-heartedly to the accomplishment of a noble task. They were not satisfied with merely eliciting from the natives formal acceptance of a faith which they did not comprehend. They sought to instruct them not only to understand the tenets of the Christian religion, but also to live cleaner and better lives, and to practice the arts of a higher civilization.

The progress of the archipelago during the last two decades of the sixteenth century was also steady and rapid from the economic point of view. The era of restrictions such as had fettered Spanish-American commerce since the time of the Catholic Kings did not become fully operative in the Philippines until the seventeenth century, though the direct trade of the archipelago with South America was apparently forbidden before 1590, while three years later that with Mexico was limited to two ships a year, neither to exceed 300 tons burden, a foretaste of what was to come. *Corsarios Luteranos*, the ubiquitous pest of the Spaniards in America, were mostly out of the picture here. A richly laden Manila galleon was captured, indeed, off the western coast of Mexico, in November, 1587, by Thomas Cavendish, who, after disposing of his booty and burning his prize, continued calmly on across the Pacific, through the Philippines, and eluded all attempts to intercept him; but with this notable exception, the archipelago in this period was practically undisturbed by European sea-rovers. The colonists, moreover, were determined to prevent their happy lot from being ruined by royal exactions. In 1589 they persuaded the king to consent that a tenth, instead of the accustomed fifth, of the gold collected in the Philippines be appropriated by the crown, and that the natives be exempt from all payment. They were also desirous of uprooting the Portuguese tradition of enslaving the Filipinos, and their representations procured a royal order that no one be permitted to make new slaves, that the children of all existing slaves be born free, and that any slave should be allowed to purchase his freedom at a price to be fixed by the governor and the bishop.

Altogether one derives the impression that at the end of the reign of the Prudent King the Philippines were both prosperous and happy. There had been none of the revolting slaughter at the time of the conquest which stained the institution of Spanish rule in Mexico and Peru. The advance of commerce and civilization had been easier and more rapid. Natives and colonists were generally content, and as yet virtually undisturbed by the advent of European rivals. The archipelago had been acquired with far less effort than the American lands, and it appeared that correspondingly little work would be necessary to hold it and to

raise it to the standards of European civilization. It exemplifies the contrast between the slacker, easier existence of the Orient, and the more strenuous life of the America of today and makes a pleasing exception to the generally far less fortunate conditions which obtained in the rest of the Spanish Empire at the time of Philip's death.

CHAPTER XXXIV

SPAIN IN WESTERN EUROPE, 1559-78

THE story of Spain's foreign policy during the first two decades of the reign of Philip II revolves chiefly around the development of her relations with England and with France, while the course of her dealings with these two nations is at all times powerfully affected by the fluctuations of the struggle for the maintenance of Spanish authority in the Netherlands. The Low Countries, as has already been observed, were the focal point of Western Europe during the second half of the sixteenth century; and though no part of them attained recognized independence till long after the period covered by the present chapter, it will be clearer to treat of them in connection with Spain's foreign affairs than to consider them, where they perhaps more logically belong, as a part of the internal problems of the Spanish Empire.

Taking the period in question as a whole—that is, the twenty years that elapsed between the return of Philip to Spain in 1559, and the assumption by Cardinal Granvelle of the post of chief minister in 1579—it is fair to say that if the dominant principle of the Spanish monarch's foreign policy was to avoid aggressive action, and to preserve the status quo; politically speaking, he was content to maintain that attitude of 'sturdy defensiveness' which had been recommended to him by his father. In matters of religion, there was indeed a somewhat different tale to tell. So deep was the king's detestation of heresy that whenever he was offered an opportunity to suppress it, he found it next to impossible to hold his hand; and so close was the fusion of religion and politics in the period under review, that a blow for the faith was often difficult to distinguish from a move for the aggrandizement of Spain. But on the whole, during the first half of his reign, Philip did not play the aggressor. He asked nothing better than to leave his French and English neighbors alone, provided they would forbear to breed trouble for him and to imperil Catholicism by concessions to heretics. Certainly he contemplated no further conquests at their expense.

There were of course many ebbs and flows. It is interesting to observe, as the panorama gradually unfolds itself, how closely those ebbs and flows corresponded with the character of the successive administrations of the Low Countries. When Philip's representatives there were conciliatory, Spain's relations with England and France, if not cordial, were at least tolerable; whenever the Netherlanders were up in arms, there were echoes of the conflict both in London and in Paris. We shall therefore do well to begin our investigation of this complicated period with an examination of the state in which Philip left his Burgundian dominions when he departed for Spain in 1559.

Two preliminary observations are indispensable in order to enable the modern reader to envisage the problem of these Burgundian territories as it presented itself to the Spanish king; and the first is concerned with their geographical extent. So largely is the interest of the period concentrated in the Low Countries, that one is likely to forget that the lands which Philip had inherited from his Burgundian forbears included not only the seventeen provinces of the Netherlands, corresponding roughly to modern Belgium and Holland, but also the Free County of Burgundy to the southward. The two blocks of territory were separated by many miles, and a journey between their respective capitals, in the sixteenth century, occupied an average of

fourteen or fifteen days. The administrations of the two holdings had drifted steadily apart under the Emperor, and were now, for all practical purposes, separate. It is true that the Spanish monarch's representative in the Low Countries was also in theory chief ruler under the crown of Franche Comté; but as that representative continued to reside in Brussels and never visited Besançon or Dole, his practical importance in the county was almost nil, and his functions passed to the royal agents on the ground. On the other hand, one cannot afford to lose all sight of the southern holding while one studies the more dramatic events in the Netherlands. If administratively speaking they had now, for all practical purposes, fallen apart, they had their roots in a common past. Many of the great houses whose scions were to play prominent roles in the approaching struggle in the Low Countries traced their origins back to Franche Comté. The Granvelles came from Besançon, and William the Silent inherited the name of Orange from that of the foremost family in the county, whose last direct descendant was his elder cousin, René, slain before St. Dizier in 1544. Moreover, Franche Comté was an indispensable link, a military route for the passage of Spanish troops to the seat of trouble in the Netherlands; and it was, finally, a reservoir from which Philip was able to draw both funds and supplies wherewith to put down the rebellion in the North. Though we shall hear of it infrequently in the succeeding pages, we cannot afford to forget its existence.

In the second place it is essential that the reader be reminded that the only picture of a Spanish dependency in Europe which was available as a model and guide for Philip II at the time that he took over the reins of government from his father was the picture of the Spanish dependencies in the Mediterranean and in Italy. The only overseas possessions which Spain had thus far held in Europe were the Balearics, Sicily, Sardinia, Naples, and Milan, the first three of which had been acquired by the realms of the crown of Aragon before the days of their union with Castile, the fourth at least partially so, while the fifth had fallen in during the period of Charles V. The methods by which they had been administered varied somewhat, of course, in detail, according to local conditions and traditions; but, *mutatis mutandis*, one may safely say that the guiding principle had been increasingly, throughout the list, that of the establishment and increase of monarchical authority, at the expense of every local custom or institution which ran counter to it. The application of that principle, moreover, had been considerably intensified since the union of the crowns. It was an integral part of the process of Castilianizing Italy to which reference has already been made. It was the essence of the political atmosphere in which Philip's life was lived; and it is difficult to see how, in dealing with his Burgundian dominions, he could possibly have been expected to follow any other. The experience of the next century was conclusively to prove that the Netherlander would not submit to what the Italians had been willing to put up with, that the geographical position of the Low Countries made them far harder to handle than any of the Mediterranean dependencies, and, above all, that the power of Protestantism in the North destroyed every remaining ground for regarding them as parallel cases. But when we consider the task which the Emperor had bequeathed to Philip and the nature of the instructions which he had left behind, we can scarcely be surprised that the Spanish monarch at the moment of his accession was practically blind to the first two of these considerations; as for the third, that is, the increasing power of heresy in the Low Countries, it but constituted in his eyes another and most cogent reason for following the traditional policy of absolutism and suppression. There can be no reasonable doubt that the image of Spain's Mediterranean and Italian dependencies, particularly Naples and Milan, in the state in which they had been handed over to him by his father, exercised a powerful influence in the determination of Philip's policy and methods in regard to the Netherlands; and that consideration should serve at least to mitigate the severity of the judgments we pass upon him, when we look back upon the tragic history of Spain in the Low Countries from the vantage ground of the knowledge and experience of the twentieth century.

On his departure for Spain, Philip confided the regency of his Burgundian dominions, which Mary of Hungary had laid down in 1555, to his half-sister, Margaret of Parma, then in her thirty-eighth year. She was the illegitimate child of the Emperor Charles V by the daughter of a clothweaver of Flanders, and the first eleven years of her life had been spent in the Low Countries; but in 1533 she had been sent off to Italy to be married, first (February 29, 1536) to Alessandro de' Medici, and then, after his assassination a few months later, to Ottavio Farnese, the grandson of Pope Paul III. By these two unions she had become deeply involved in Italian politics, and particularly in the interminable questions arising out of the conflicting claims to Parma and Piacenza. Her husband had allied himself with France in the recent war in order to enforce what he regarded as his rights there, and though officially reconciled with the Hapsburgs in 1556, was still by no means satisfied indeed one of the reasons why Philip had selected Margaret as his regent in the Low Countries was his belief that her desire to obtain full realization of her husband's territorial ambitions in Italy would induce her to place herself unreservedly at the disposal of the government at Madrid. At the time that she took office there her point of view was no longer native but foreign. She had even forgotten how to write French. She was, in fact, a living and present reminder of many of the characteristics of which the inhabitants complained in the king. Her intellectual and administrative gifts were by no means remarkable. Hard-working and methodical, but neither attractive nor brilliant, she was not comparable for one moment with either of her two great predecessors.

But the power was by no means exclusively in the regent's hands. Officially, indeed, she was invested with all the authority inherent in the king himself; but secret instructions obliged her, in all matters of importance, to take the advice of a committee of three persons, of whom by far the most important was Granvelle; the Spanish name, the *Consulta*, by which this special committee soon came to be known, is at once indicative of the work which it was expected to perform and a significant comment on Philip's way of doing things. The trio that composed it were all members of the old Council of State, which still remained, in theory at least, the official advisory body of the regent; outwardly nothing had been changed. But practically the *Consulta* usurped all the functions of the Council, whose other three members, Egmont, Orange, and Glajon, were simply elbowed aside, until they became, by the force of events, the leaders of the national opposition. Philip's plan, in other words, was to Hispanicize the government of the Low Countries by stealth, in order, if possible, to avoid revolution. He was determined to subvert their most cherished liberties, both political and religious, to reduce them to a state of subjection to the home government comparable to that of the Spanish dependencies in Italy; but he desired, if possible, to achieve his end without a fight. He hoped to conceal the true meaning of his policy from the watchful eyes of the Netherlanders themselves, by leaving the outward fabric of their ancient form of government untouched and accomplish his own ends by working under ground.

It was an impossible program, as the sequel was to show. The differences between Philip and his subjects were far too deep seated, at least in those portions of his Burgundian dominions where religious grievances were superadded to political, to be settled otherwise than by the arbitrament of war; but revolution did not really break out during the regency of Margaret of Parma. The period of her rule was that of indispensable preparation for the scenes of bloodshed that were to follow; but the outstanding fact of it, when viewed by itself, is that the government succeeded in preserving peace. A large measure of this success was attributable to the fact that Philip, at Margaret's advice, made certain reluctant concessions to the popular demands. He had no intention of permanently abiding by them; but as it immediately became evident that the Netherlanders could not be hoodwinked into passive acquiescence in his policy of Hispanicization, there was no other alternative if he was not prepared to fight.

The first of these concessions was the sanctioning of the departure of the last detachment of the detested Spanish tercios (January 10, 1561); another was his decision, three years later, to recall Granvelle, whom the opposition regarded as the source of all their woes; without his support, the Netherlanders were convinced that the much more pliant Margaret could be induced to grant them their desires. That Philip was willing to grant as much as this is an evidence of the predominance at the time of Ruy Gomez and the peace party in the Royal Council at Madrid; but there were other points on which the king showed himself less complaisant, and it is significant that it was in matters religious that his hostility to compromise was most manifest. Not only did the 'placards', or edicts, against all forms of heresy, a legacy from the days of his father, increase in severity and scope; there were also ominous innovations. In May, 1559, before his departure for Spain, he had obtained from Pope Paul IV a bull erecting fourteen new bishoprics in the Netherlands over and above the six existing ones. The right of appointment to these bishoprics was vested in the king, who would obviously nominate with a view to his own ends, and regardless of the claims of the Burgundian nobles; moreover the measure had an important bearing upon the political situation, for the new prelates would all have seats in the States-General, and constitute the nucleus of a monarchical party there. Mission after mission was dispatched to Madrid to protest against this new departure, and, above all, to demand the removal of Granvelle, who was popularly supposed to be responsible for it; but though Philip in 1564 permitted the Cardinal to retire the new bishoprics continued without change.

The bitterness caused by Philip's religious policy was much increased by the fact that at the very time that he succeeded his father the guidance of the heretical party in the Netherlands passed from Lutheran to Calvinist hands. The doctrines of the Genevan reformer had made their first appearance in the Low Countries during the closing decades of the Emperor's reign. They slipped in at first almost unperceived; but once they had got a foothold, they gained ground with astonishing rapidity. They were eagerly seized upon by the urban capitalistic centers, to which the implications of Calvin's economic teachings were particularly welcome; the progress of the French Huguenots was another element in their favor; before long the more passive Lutherans were simply elbowed aside, and the program of the heretics in the Netherlands became aggressively militant. In October, 1561, there was an heretical outbreak in the town of Valenciennes, which required the use of regular troops to put it down; and from that time onward the discontent, though sometimes latent, grew steadily stronger until the day (April 5, 1566) of the famous interview with the regent when the petitioners were given the name of 'Beggars,' which was to be their rallying cry for years to come. Margaret, on this occasion, showed that she appreciated the seriousness of the crisis and the need of compromise. She promised to present to the king the requests of his subjects, and to moderate, pending his reply, the severity of the 'placards'. For a moment it seemed possible that Philip would also yield; but the prospect of royal concessions, instead of allaying the excitement in the Netherlands, served only to augment it. Preachers harangued excited congregations and lashed them into paroxysms of rage against the existing regime. There were outbursts of iconoclasm and desecrations of churches. Before long it became evident that some of the revolutionists would not be content with liberty to exercise their own faith, but were even intent on the destruction of Catholicism. Such a program as this was, of course, totally inadmissible, even to the somewhat temporizing nature of the regent; at the first news of the revolt of the iconoclasts she nominated the Count of Mansfeld as governor of Brussels, placed herself under his protection, and soon succeeded, with his help, in collecting several regiments of soldiers in the Empire. There was fighting, in the first three months of 1567, outside Antwerp and Valenciennes, and the government was everywhere victorious. Margaret was alive to the danger of pushing her triumph too far. She fully realized that the rebels were too much in earnest to be permanently discouraged by a few trifling reverses; and she wrote to

Philip urging him to seize the moment of his victory as the psychological opportunity to be generous to the defeated foe. But Philip had no intention of taking her advice. His previous concessions had been but temporary and reluctant; he was resolved that the liberties of the Netherlands should be crushed under the heel of Spain, and above all that every vestige of heresy should be obliterated. The events of the first seven years of Margaret's regency had convinced him that these ends could not be attained by subterfuge and deceit, as he had originally hoped, and that, much as he deplored it, strong measures were indispensable. He had, in fact, taken the first of these measures in the autumn of 1566, when he ordered the Duke of Alva to repair to Lombardy and conduct to the Low Countries the Spanish troops which were concentrating there. On the 9th of the following August the vanguard of his tercios arrived in Brussels, and four months later the regent departed for Italy, leaving the terrible Duke as her successor in title as well as in fact.

When contrasted with the regencies of the Emperor's day, the rule of Margaret of Parma seems a troublous period, and it certainly saw the sowing of the seeds of future miseries; but compared with that of the Duke of Alva which followed, it appears as a time of tranquility and peace. Such at least was the light in which contemporaries regarded it. Save for the few who knew the whole story, the dominant fact of the situation was that, though Philip had been offered grievous provocation, he had not yet really shown his teeth. It served to strengthen the impression, already prevalent among his fellow sovereigns, that, if possible, the Spanish monarch was determined to avoid war.

The course of the relations between Spain and France during these same years (1559-67) is difficult to characterize in brief space. So complicated were the issues involved, so bewildering the interaction of religious and political motives, so numerous the *dramatis personae*, and so quick the shifts of scene, that consistency in the following out of any logical policy was practically out of the question for either of the two courts. The situation, in other words, was such as offered Philip an admirable opportunity for the exercise of his talents for concealment and intrigue. Renewal of the Hapsburg-Valois conflict as it had been in the Emperor's day was now out of the question; the disrupted state of France rendered it impossible for her to wage it, and unnecessary for Spain to undertake it. The real question in the eyes of the Spanish government was how much profit could be made, by diplomacy and craft, out of the civil and religious struggle on the soil of its ancient foe.

As befitted long-standing enemies who had but recently made peace, the rulers of France and Spain were deeply distrustful of one another. Each was determined to be precisely informed about the ongoings at the other's court, and anxious, if possible, to exert influence in the other's councils. The method used by Philip to attain these ends in France was a far-reaching system of political espionage, first installed by the Duke of Alva when he repaired to Paris, after the treaty of Cateau-Cambrésis, to represent his master at his marriage to Elizabeth of Valois, and later developed and perfected by Thomas Perrenot de Chantonnay, the younger brother of Cardinal Granvelle, who was the ambassador of the Prudent King at Paris from August, 1559, to February, 1564. On the other side, the queen-mother, Catharine de' Medici, who, after the death of Henry II in July, 1559, gave the French government such measure of continuity as it was to possess for thirty years to come, expected that her daughter Elizabeth, who was finally sent south to her Spanish husband in the winter of 1559-60, would soon have his full confidence, and send home all she learned; it was also hoped that she would be able to influence him in a way favorable to France. The princess, on her departure, was furnished with a magnificent wardrobe and all manner of appliances for increasing her charms and rendering her attractive to Philip; but all these carefully laid plans of the queen-mother were brought to

naught by the attack of smallpox which Elizabeth suffered shortly after her arrival, and which rendered it impossible for her husband to have anything to do with her for several months to come. When at last Elizabeth got well, and was able to take up her duties as queen of Spain, it was made clear to her that she was expected to forget the land of her birth and become solely devoted to that of her adoption. Such a shift of allegiance cost her many a pang, and rendered her mother furious; but under the circumstances in which she found herself, there was no possible escape. At the outset, then, everything seemed to promise that the power which Philip would be able to exercise in France would far outweigh any influence which the French government might be able to exert in Spain. Only in the Low Countries was the Spanish power really vulnerable, and the possibilities of that region were as yet unrevealed.

During the brief reign of Francis II (July, 1559-December, 1560) the queen-mother was temporarily in the background, and the government was controlled by the two uncles of the king's wife, Mary queen of Scots—Francis, Duke of Guise, and the cardinal of Lorraine. In matters religious their policy was wholly in accord with the views of Philip II; but he cherished a grudge against them because of their achievements in the recent war, and he was in mortal terror lest they should manage to effect a permanent union of France and Scotland. He did not venture to express his views openly, for opposition to the Guises would mean dalliance with heretics; but there can be no doubt that during the year which succeeded the treaty of Cateau-Cambrésis there were several occasions on which he secretly hoped for the success of John Knox, the Lords of the Congregation, and of those who supported them in England, and it is certain that he was greatly relieved by the news of the conclusion of the treaty of Edinburgh (July 5, 1560), whose object was to prevent the annexation of Scotland to France. Six months later, however, the whole situation was suddenly changed by the death (December 5, 1560) of Francis II, the consequent elimination of the Guises from the government, and the return thither of Catharine de' Medici as regent for Charles IX. Philip's fears for Scotland were now entirely allayed; but the peril of Protestantism, to which the queen-mother showed herself alarmingly tolerant, loomed larger than ever before. And it was not merely by the danger that heresy might become definitely established and recognized in France, intolerable though that would be, that Philip's fears were roused. There was also every prospect that the contagion would infect his own dominions in the Netherlands and threaten the subversion of his own authority there. As early as August 9, 1560, while the Guises and their policy of peace were still in the saddle, Granvelle had written Gonzalo Perez that with things in the state in which they were in France, it was a miracle that matters were no worse in the Low Countries; what then was to be expected with Catharine de' Medici in power? Obviously at all costs the Reformation must be put down, and from the beginning of the year of 1561 Philip bent all his efforts to that end.

These efforts, however, took the form of a vast campaign of bribery and intrigue; on no account did Philip propose to be dragged into open war. At the outset he attempted to turn against Catharine de' Medici a project which she had once favored for the purpose of embarrassing him: namely, a plan to prevail upon him to give up to Anthony of Bourbon the Spanish portion of Navarre. There had been, as we have already seen, some doubts as to the legitimacy of the methods by which Spain had acquired that territory in the days of Ferdinand the Catholic; the emperor's 'instructions' and 'political testament' contained passages which might be interpreted to indicate that he had conscientious scruples about retaining it; there was a possibility that Philip might take the same view. Bourbon's case was, at least, sufficiently strong to put the Spanish king in a very embarrassing position if he refused to give it consideration; and it was in the hope of causing him such embarrassment that Catharine supported it. But Philip utilized the situation to his own advantage. He knew that Bourbon was both vacillating and imprudent, and that his Protestantism was no proof against his desire for

personal aggrandizement; and for the next two years he negotiated with him, tantalizing him with offers, not indeed of Navarre, but of Sardinia, of Tunis, and even of the Balearics in lieu of it, in the hope of inducing him to take vigorous measures for the defense of Catholicism in France. These projects were all suddenly terminated by Bourbon's death, October 26, 1563, as a result of wounds received at the siege of Rouen; but the fact that before March, 1562, he had consented to 'receive instruction' in the Roman Catholic faith, from a teacher recommended to him by Jesuits, and that in the following May he had published a proclamation expelling all Huguenots from Paris, gives reason for believing that if the unworthy sovereign of French Navarre had continued to live, he might well have become a pensioner of the king of Spain.

Another affair, which began in 1562, and continued for several years to come, though it ultimately effected little save to augment the suspicion and distrust of Catharine de' Medici toward the Spanish government, is too characteristic of the methods of Philip II to be entirely omitted. This was the attempt of the Prudent King to take advantage, for his own purposes and for the advancement of Catholicism, of the vanity and sensitiveness of Blaise de Monluc. That gallant but unmanageable old soldier, "the real creator of the French infantry," had been deeply aggrieved by the inadequate fashion in which his services to the French crown had been rewarded. He was also convinced—or at least pretended to be—that the position of the church was gravely imperiled by the policy of toleration of heresy on which the queen-mother had embarked. In October, 1562, the rumor was current that he was planning to deliver the whole of Guienne into the hands of the king of Spain. The person who was doubtless responsible for turning his thoughts in this direction was a certain Captain Felipe de Bardaxi, who had been condemned as a heretic by the Spanish Inquisition in 1558, but had escaped to France and got employment in the army of Monluc. His valor and skill won him the confidence of his new chief, with whose character and cupidity he soon became acquainted, and it occurred to him to make use of the situation in which he found himself for the advantage of Spain, and as a means to the rehabilitation of his own fortunes. Philip was prompt to profit by the opportunity, for this was just the kind of undertaking that appealed to him. Its conduct was placed in the hands of Bardaxi's cousin, Juan, one of the army of secret spies which the Prudent King maintained in France; and it is characteristic of Philip's methods of procedure that his regular ambassador at Paris, Chantonnay, was kept totally in the dark in regard to the whole affair. Though no document has been found to prove it, there can be little doubt that Monluc became a pensionary of the Spanish monarch from the very moment that his attitude was known. He had a secret interview with Juan de Bardaxi at Toulouse in February, 1564. He sent Philip a long memorial dilating on the many advantages that would ensue from Spanish intervention in Southwestern France, and Philip replied with an elaborate letter in which he begged Monluc to continue to inform him, and to see if Damville, the new governor of Languedoc, could not possibly be induced to participate in a Catholic uprising. At this juncture proceedings were suddenly interrupted by a summons to Monluc to return to Paris to face accusations of treasonable correspondence, put forward by the secret agents of Catharine de' Medici. Quite characteristically, he flatly denied all the charges that had been made, nay, even demanded that his traducers be punished, and Catharine, who had many reasons for wishing to avoid a public scandal, thought it wiser, under all the circumstances, to accept his word. She even consented to go through the motions of a trial of the chief of his accusers. Meantime Monluc shamelessly continued his correspondence with Philip and Bardaxi, and in a letter to the last named, written October 27, 1564, put forward the plan of a meeting between the Spanish monarch and Catharine for the discussion of a joint program for the suppression of heresy in France. Such was the first suggestion of the famous interview which was to take place, June 14-July 4, 1565, at Bayonne.

The idea of such an interview had been cherished by the queen-mother ever since her daughter Elizabeth had been sent south to marry Philip II. If she could induce the Spanish monarch to pay her a formal visit on French soil, it would proclaim to the world that her authority was firmly established, and that she was regarded as a real bulwark of the Roman Catholic church. It was doubtless these very same considerations that determined Philip not to go, and his resolve was confirmed at the last moment by a report that reached him of a threatened renewal of the ancient alliance between the government of France and the infidel Turk. On the other hand, the queen-mother's invitation offered him an opportunity, which he could ill afford to neglect, to extend his own influence in French affairs; he therefore sent his wife Elizabeth and the Duke of Alva to represent him at the interview which Catharine had proposed. It was a strong combination. The queen-mother's passionate devotion to her children would induce her to do everything possible to please her daughter Elizabeth, who had now become so completely Hispanicized that there was no danger that she would be too complaisant. Alva had already proved himself equally competent at bullying and intrigue, and knew well which method to select in order to attain his ends. The instructions they received dealt almost exclusively with the affairs of religion. They were to propose a 'holy alliance' of the two governments against their heretical subjects and the elimination of all ministers and counsellors who would not do their utmost to advance the faith.

Catharine, on her side, came to Bayonne with objects primarily political and dynastic. Her only real interest was the preservation of her own authority, and that of her children who should succeed her; and one of the most obvious methods of attaining this end was to strengthen the family ties between the Valois and the Spanish Hapsburgs. She wished to marry her daughter Margaret to Don Carlos, and her son, the future Henry III, to Philip's younger sister Dona Juana, the widow of Prince John of Portugal. But neither of these schemes appealed to Philip II. The state of Don Carlos's health was a sufficient reason for refusing, at that juncture, to consider any marriage for him; in case he should get well there were other princesses who would make far better matches for him than Margaret de Valois. There were also numerous objections, particularly in the matter of the dowry, to the union which the queen-mother proposed between Henry of Anjou and Dona Juana; and, finally, Philip was convinced that his own position was so strong, and that of Catharine, comparatively speaking, so weak, that he could well afford to insist on the 'Holy League' which he had so closely at heart, without making any concessions whatever in return. The Prudent King, moreover, had by this time completed all his arrangements for keeping secret watch on Catharine and checking the results. Monluc was at Bayonne, and in close touch with Alva; so also was the new Spanish ambassador, Francisco de Alava, who had replaced Chantonny in February, 1564, and had by this time perfected the system of spies and underground information which had been initiated by his predecessor. But Catharine was both wily and obstinate. She pretended to be amazed that Philip should be so disturbed by the state of religion in France; she was profuse in her promises to take vigorous measures against heresy as soon as it could be demonstrated that such measures were needed; but it was impossible to pin her down to any definite engagement to act at a specified time. Whenever Alva sought to bring the conversation to this point, she skillfully shifted it over to her own dynastic projects. Two weeks of diplomatic thrust and parry had no other result than to convince each party that the other was not to be trusted. There were, indeed, a number of affecting interviews, and suitable exchanges of courtesies and honors; and these outward evidences of cordiality, coupled with a series of violent edicts, in the ensuing weeks, against the printers of Protestant books, made such an impression upon the uninformed mass of the Huguenots, that a legend arose, which has not been wholly eradicated today, that the project which bore fruit seven years later on St. Bartholomew's day originated at Bayonne. But the principals at the conference were not

deceived. Philip and Catharine were really further apart at the end of the interview from which so much had been hoped, than they had been when it began.

Two events which took place in the succeeding months, neither of them, apparently, in any way connected with the conference at Bayonne, are significant indications of the way in which the wind was blowing. The first, which has been already described in detail, need only be mentioned here, namely, the massacre, by Pedro Menendez de Aviles, in September, 1565, of the French Protestant colony on the shores of Florida. The patent or asiento authorizing Menendez to undertake the expedition which produced this tragic result was dated March 20, 1565, and the first rumor of it which reached France is to be found in a letter written just two weeks later to Charles IX by Saint-Sulpice, his ambassador at Madrid, to tell him that it had been decided to send Menendez to the shores of Florida with a good fleet and 600 men to fight the French and put them to death. It is true that the fleet did not actually set sail until June 29, when the conference at Bayonne was practically over; but the plan of sending it had been devised before the meeting had even been arranged; and though Spanish slowness delayed Menendez's departure until the meeting had been in session for a fortnight, the two events are to be regarded as entirely separate. There were justifications for the massacre, in Spanish eyes at least, other than the mere fact that Ribaut and his companions were heretics; for the Frenchmen were also trespassers on land that was indubitably Spanish under the bull of Alexander VI and the treaty of Tordesillas; but naturally this line of reasoning found no adherents among the Huguenots, who clamored loudly for revenge when the news was known. Catharine protested and demanded reparation; but Philip's position was too strong to make it possible for her, officially at least, to translate her words into deeds. If vengeance was to be obtained it would have to be through private means.

Vengeance for the slaughter of Ribaut's colony naturally suggests the name of Dominique de Gourgues, who sailed on his own responsibility from Bordeaux on August 2, 1567, accomplished his end, and was back in France on the sixth of the following June; the story of that enterprise has already been fully told. For the present we are chiefly concerned with another expedition, which departed from the same port almost exactly one year earlier, and is generally understood to have been a retort to the Florida massacre; this was the famous seizure of the Portuguese island of Madeira by Captain Pevrot de Monluc. This restless fighter, the second son of Blaise de Monluc, found life empty and tiresome in France after the termination of the First Civil War by the Pacification of Amboise (March, 1563). He longed to distinguish himself by some notable feat of arms, and cherished plans for a descent on the Portuguese in Africa; there was even talk of his going as far as Madagascar. Coligny, in his capacity of admiral of France, gave him hearty support, in spite of Peyrot's sturdy Catholicism; but the king and the queen-mother were far more cautious, and it was not until the news of the Florida massacre had been received that Peyrot stood any real chance of being allowed to put to sea. It seems doubtful, in fact, if he had any definite idea of where he was going before April, 1566; but his plans took shape rapidly in the succeeding weeks, possibly as a result of the representations of two members of a family named Menine, well known in Guienne, one of whom had suffered on the sea at the hands of the Portuguese, while the other had been taken prisoner by the Spaniards in Florida, and brought back by them to Madrid, where he told his tale to the French ambassador, Fourquevaux. It would seem natural to trace the connection which indubitably existed between the Florida massacre and the expedition of Peyrot to the activities of these Menine. At any rate, Peyrot's plans were sufficiently well known, in the weeks before he set sail, to elicit vigorous protests from the Portuguese and Spanish ambassadors. It would appear that he had made private arrangements to be joined, directly after he left Bordeaux, by a squadron of sixteen English vessels, which, together with the seven that he furnished himself, brought his armament up to twenty-three. Cruising

southward, the expedition encountered violent storms; when it came in sight of Madeira it was short of water and supplies; solely with the idea of replenishing its stock it put into the port. But the inhabitants, doubtless because of the rumors of the expedition which had been circulated for months before, were convinced that it was Peyrot's intention to conquer the island. Without the slightest warning they launched a furious attack, and though Peyrot had, apparently, promised the French government before his departure that he would never play the aggressor, he felt amply justified in defending himself. On the water the combat was speedily terminated in favor of the invaders; the land fighting which ensued took somewhat longer, but ended with the same result. There was much bloodshed and wantonness, and Peyrot himself was numbered among the slain, but the close of the day found his comrades who survived him in undisputed possession of the island of Madeira. They did not, however, remain there long. As Peyrot had kept his ultimate objective secret, there were naturally all sorts of different opinions as to what should be done next. Many desired to attempt conquest and piracy on a large scale, but feared the displeasure of the home government. Those who preferred to remain at Madeira were in terror of the vengeance of the king of Portugal; and the final result was that after a few weeks the invaders evacuated the island and returned to Europe.

The whole affair had been utterly haphazard, and typical of the maritime enterprises of that day and generation. There was a terrible explosion of wrath at Lisbon when the first news of Peyrot's conquest came in. The government prepared to take summary vengeance, and the lives of the French and English residents in the Portuguese capital were in grave danger. But Catharine was prompt to disavow Peyrot; the news that his expedition had voluntarily departed from Madeira helped to mollify the Portuguese; before the end of the year all the excitement had blown over. What is of most interest for our purpose is the attitude of Philip towards the whole affair. Officially it was none of his business. The harm had been actually done to Portugal and not to Spain; moreover, there was every reason to believe that Peyrot's ultimate objective had been in Portuguese and not in Spanish waters, and yet Philip took the matter up with the French government just as vigorously as if he himself had been the injured one. In so far as Peyrot's expedition could be regarded as an act of vengeance for the Florida massacre—and it is fair to add that the numbers and cruelty of Menendez's Portuguese followers on that occasion lent color to such a view—the king of Spain was perhaps warranted in resenting it. It may be further added that a Madeira in French and English hands would have constituted a menace to his treasure fleets which Philip could not have been expected to ignore. Yet is it not also reasonable to regard the vigor with which the Prudent King espoused the quarrel of his western neighbor as an earnest of the project, already half formed in his mind, to extend his influence over the destinies of the Portuguese empire, as a foreshadowing, in fact, of the events of 1578-81.

So the year 1566 passed off without an open rupture between France and Spain. In view of the number and bitterness of the issues between them, it is really remarkable that it should have been avoided, and a tribute to the firmness of the determination of both Philip and Catharine to keep the peace. And now new causes of irritation appeared to complicate a situation already difficult enough. The new Spanish ambassador Alava was most offensive to the queen mother; so perfect was the network of spies at his command that she felt herself caught like a bird in the fowler's snares. His insolence in demanding apology and reparation for piracies which she had not sanctioned became more intolerable from day to day; worst of all, he was obviously drawing closer to the Guises, and laying the foundations of the League which a decade later was to disrupt France. When it became known that the Duke of Alva was to be dispatched to the Netherlands, the Huguenots demanded that war be declared. The Calvinist alliance which Philip feared so much seemed about to be established; 6000 Swiss troops were raised for the defense of France. But despite all these provocations and

inducements, Catharine could not bring herself to the point of fighting. The fate of the Protestant rebels in the Low Countries was a matter of profound indifference to her, provided her own authority could be firmly maintained in France, and for the moment she was persuaded that this end could be best secured by keeping peace with Spain; indeed she actually furnished provisions for Alva's tercios as they passed north, just beyond her eastern frontiers, in the summer of 1567. It was a bad mistake, as the sequel was to show. Catharine's difficulties and complications, already great, were to become vastly greater as soon as the new regime was definitely established in the Low Countries.

The relations of Philip II and Queen Elizabeth of England, during the period we have just been considering, present striking points, both of similarity and difference, to his contemporaneous dealings with Catharine de' Medici. In both cases there is a long and varied list of mutual gravamina, both political and religious, and at the same time a firm determination on the part of both sovereigns—though for very different reasons—that peace must at all costs be preserved. In both cases the course of events is intimately bound up with the development of the revolt in the Low Countries. In both cases Philip had to do with rulers who were far more ready than he to sacrifice religion to politics. On the other hand, the fact that England had now officially gone over to Protestantism, and that she was for the most part internally at peace and united, constituted difficulties for the Spanish monarch in his dealings with Queen Elizabeth which did not obtain in his relations with Catharine de' Medici. There was no longer any marriage tie to unite the Tudors and the Hapsburgs. Philip's spy system could not work so effectively across the Channel as it did in France. And finally, though Philip was slow to discover it, the lapse of time was conclusively to prove that the queen of England, though capable of the most tortuous diplomacy to secure her immediate ends, had a policy and a program far more patriotic and consistent than the dynastic strivings of the queen-mother of France, that she was, in fact, by far the abler woman, who was destined in the end to be Spain's most dangerous foe.

At the outset the prospects for friendly relations between England and Spain could scarcely have been worse. Not only had the new queen insulted Philip by refusing his proffer of marriage; she had scandalized him by daring to abandon Rome and

“mold new mode of old Christianity”

from the bottom of his heart he longed to have her punished. Yet on the other hand, it was obvious that he could not undertake her chastisement himself. His mind, for the time being, was set, as we have already seen, on keeping the peace, and getting back to Spain; clearly under all the circumstances it was a case for temporizing. So in the spring of 1559, before he left the Low Countries for the last time, Philip installed in London a new ambassador, Alvaro della Quadra, bishop of Aquila, bold, skillful, and unscrupulous, with instructions to watch events and report frequently. His first letter, May 10, 1559, to the Duke of Alva, closes with the following significant sentence: “Religion here now is simply a question of policy, and in a hundred thousand ways they let us see that they neither love nor fear us.”

Philip's determination to proceed cautiously in England, and to make sure of his ground before taking any definite steps to punish the enormities of the queen, received ample justification in the following July. The sudden death of King Henry II brought his son Francis II to the throne of France, and Francis was married to Mary queen of Scots. France and Scotland were thus united more closely than ever before; but that was by no means all. In addition to being queen of Scotland and of France, Mary was also, in case Elizabeth should die without issue, the lawful heiress of the throne of England; nay more, in the eyes of all true Catholics, she was actually the rightful queen of England at that very moment, for it was a

motto of the Counter-Reformation that no heretic should be allowed to reign. All these circumstances powerfully affected the position of Philip II. If, as the lay champion of the Counter-Reformation, he supported Mary and Francis in an attempt to dethrone Elizabeth, he would be contributing enormously to the political aggrandizement of the Valois monarchy, of which, though he had just made peace with it, he continued to be deeply jealous and distrustful. Much as he was offended by the conduct of the English queen, he could not afford to give vent to his indignation. Rather it might even be a case of his being obliged to lend covert support to that detestable heretic, in order to ward off the political peril of the absorption of the whole of Great Britain by France.

This complexity of circumstances furnishes the key to the story of the relations of Philip to Elizabeth down to the month of December, 1560, when the death of Francis II broke up the Franco-Scottish alliance and liberated England from a truly deadly peril. Throughout those crucial eighteen months the policy of Elizabeth was that of masterly inaction. She played the Hapsburgs off against the Valois, and vice versa; she coquetted with the Scottish Protestants; she committed herself to no one, and grew steadily stronger through the rivalries of her various foes. Philip, during the same period, was also inactive, but to far less good purpose than was the queen of England; he never got the lead out of her hand. He sought to bind her to the house of Hapsburg by proposing that she should marry one of his cousins, the Archdukes Ferdinand and Charles of Austria. He flirted with a scheme of sending a Spanish force into Scotland on the plea of the necessity of suppressing heresy there, but really, of course, to keep watch on the French, and then, after the French were disposed of, to aid and abet a rising of the English Catholics. But nothing would induce him to listen to the pleas of Quadra that he interfere boldly and vigorously in England, or to his assurances that so good a chance would never come again. Doubtless the Spanish ambassador overstated his case. Until the Valois peril was removed by the death of Francis II, Philip was, of necessity, practically immobilized. The really extraordinary thing is that when that danger was passed in midsummer, 1561, with the return of the queen of Scots, widowed but independent, to her native land, the habit of inaction had become so fixed with the Spanish king that he could not avail himself of the fact that his hands were no longer tied. Once more Quadra urged him to strike, to grant vigorous support to Marv Stuart, since she was no longer bound to France, and to stir up a Catholic rising in England. He even ventured to tell Philip that the English Romanists had "lost all hope, and complain bitterly that through their placing all their confidence in your Majesty and trusting to you entirely, they have failed to avail themselves of the friendship of the French." But it was all in vain. Nothing would induce the Prudent King to risk a fight; the most he would do was to play with a project for definitely binding the queen of Scots to the Hapsburgs by arranging a marriage between her and Don Carlos. Whether, in view of the state of the prince's health, Philip had any real intention of carrying through this project may well be doubted; but Quadra flung himself into the negotiations for it with such feverish energy that the strain they entailed proved too much for his constitution, and in August, 1563, he died.

There is no point in following the course of Philip's policy with respect to the internal affairs of England during the next few years; it is a tale of promises and projects unfulfilled, of endless procrastination, and complete ineffectiveness. More than ever he was convinced that, no matter what provocation was offered him, he could not under any circumstances afford to appeal to arms; and the character and instructions of his next ambassador, Diego Guzman de Silva, who was sent to replace Quadra at London after an interval of six months, are the best possible evidence of it. The new Spanish representative was a far gentler person than his two predecessors; it has been well said of him, moreover, that he came not to impose a policy, but to ask for a redress of grievances. Some of these were standard topics of complaint which had to do with the internal situation, such as, for instance, the matter of the very mild disabilities of

the English Catholics, and are of little interest for us here; but there were two others of much wider import which powerfully affected the development of the Spanish Empire, and therefore demand at least passing consideration. The first of these was the embargo which England had laid on the products of the Low Countries; the second was the menace of the Elizabethan pirates.

The distrust between England and Philip's government in the Netherlands, and the sympathy of the English Protestants for the cause of the rebels there, had already begun to manifest itself in a series of restrictive enactments and counter-enactments which had practically brought to a standstill the anciently established and mutually indispensable commercial relations of the two countries. The Netherlanders were unquestionably the side that suffered most, for without English wool their manufactures were ruined, whereas England had various alternative outlets for her raw material and goods; moreover, many of the Flemings had already taken refuge across the Channel, and had been liberally aided by Elizabeth in setting up their industries in England. Hitherto Philip had answered every one of Elizabeth's prohibitions with some kind of retaliatory act; but now he was convinced that he was getting the worst of the argument, and he ordered Guzman to arrange "for the conference to settle the whole question ... in the friendly spirit anciently existing between the two countries and their rulers." But the queen was in no hurry to accept the suggestion. Difficult though the situation was, she was far less worried by it than was Philip; and she knew enough of the character of the Spanish king to realize that a conference was bound to be useless unless she was prepared to grant more than she gained. To ruin the commerce of the Low Countries was, after all, one of the most effective ways of holding the Spanish power in check there; and she had already plainly perceived that unless it was held in check the safety of England would be endangered. And so Guzman was put off with excuses, and the situation went from bad to worse.

If Philip was powerless to remedy the conditions that had arisen with regard to the commercial relations of the Netherlands and England, he was somewhat better prepared to oppose the activities of the English sea-rovers. The naval enthusiasm of Tudor England, carefully nurtured by Henry VII and Henry VIII, was now beginning to reach its full fruition; and in view of the strained relations, political, commercial, and religious, between the English and Spanish governments, it naturally manifested itself at the expense of the subjects of the Prudent King. Piratical seizures in the Channel were no longer the exception but the rule. Single galleons plying between Antwerp and Cadiz were an easy prey. Elizabeth gave no official sanction to these outrages, but on the other hand she carefully avoided putting a stop to them. The rovers, moreover, were now venturing out into more distant waters. In June, 1563, Hugh Tipton, an Englishman resident at Seville, reported to Sir Thomas Chaloner, Queen Elizabeth's ambassador at Madrid, a characteristic occurrence. A Spanish vessel, homeward bound from Porto Rico, met with two small ships, whose crews "killed two or three of her men, and hurt divers, and robbed them of 3000 pieces of money, ten chests of sugar, 200 great hides, and all their ordnance, cables, and anchors... They carried away the pilot of the Spanish ship". Tipton was confident that "if they do more hurt, all the English goods here will be embargoed", and assured his Spanish friends "that they were Scots and Frenchmen, and some Englishmen among them, a sort of thieves gathered together to go a robbing". Scores of other similar instances are recorded in the correspondence of the time. And that was by no means all. It was in October, 1562, that Sir John Hawkins made his first voyage to the West African coast in search of negro slaves, of whom, after plundering a number of Portuguese vessels, he obtained a goodly number, together with rich merchandise. With this booty he crossed over to the West Indies, where he exchanged it for hides, ginger, sugar, and pearls. With the greater part of this treasure he himself returned to England, but two of his vessels he dispatched to Seville to dispose of their cargoes there. Naturally Philip did not relish this daring

infringement of his monopoly of the trade of the New World; the ships which Hawkins had sent to Seville were seized and their cargoes confiscated, and their crews only escaped imprisonment by flight. Hawkins's efforts to recover his property were unavailing; and the episode led directly on to his much more famous expedition in the year 1565, in West Africa and the Caribbean, but also the French colony on the shores of Florida, and the coast of Newfoundland on his way home. His relations to the Spaniards, uncordial, to put it mildly, during his first venture, became openly and avowedly hostile during his second.

Such escapades as these were quite undreamed of in the philosophy of Philip II. Their irregularity, their utter lawlessness, the evident delight which they afforded their perpetrators, were utterly abhorrent to his formal, slow-moving mind. But he was not without his means of retaliation. Boycotts and embargoes, indeed, would obviously avail him nothing, but in the Inquisition he possessed a weapon of defense as characteristically Spanish as the piracies of the sea-rovers were English. In the latter part of the Emperor's reign, the Holy Office had been instructed to use special vigilance at the seaports, doubtless in order to prevent heretics and heretical books from seeping into the realm. Its minions were fully informed in regard to the arrival and departure of every foreign ship, and they utilized the 'supereminence' of the institution which they served to invoke the aid of all the local authorities in the accomplishment of their allotted task. The result was that, from the very beginning of the reign, a goodly number of Englishmen found their way into Spanish prisons, where they were not seldom so cruelly treated that they died. The fate of the crews of certain English ships captured in the harbor of Gibraltar in November, 1563, may be cited as an example. Two hundred and forty men had been incarcerated on that occasion, and when the studiously deliberate investigation of the whole affair had been concluded, there were but eighty left alive to be sent home. The situation, of course, was made vastly worse in the exaggerated reports that found their way back to London, but it certainly was such as befitted less a state of formal peace than one of war. When, in January, 1564, the climax was reached with a definite order from Philip for the arrest of every English ship in Spanish harbors, together with their crews and owners, it was really remarkable that hostilities were not openly declared. But, as usual, both sovereigns preferred to play safe. Both of them had their hands full of other things, and could ill afford to risk a fight; and so the settlement of outstanding disputes was placed in the hands of a commission of plenipotentiaries which met at Bruges in the early part of 1566, but totally failed to accomplish its purpose. One year later, in the summer of 1567, just as the period under review was drawing to a close, an event occurred in Plymouth Harbor, far more indicative of the way the wind was really blowing than any effort to settle existing Anglo-Spanish difficulties at a council table—a foreshadowing, in fact, of what was to occur in that self-same harbor in the summer of 1588. Sir John Hawkins was there, getting ready for a new expedition to the Indies, in reckless defiance of the protests of Philip's ambassador, when seven Spanish ships, flying the flag of Castile, entered the port. There was no reason to think that they had come with any hostile intent, though their failure to salute the queen's ships was certainly discourteous; but Hawkins promptly fired into them, forced them to lower their colors, and refused to listen to their commander's subsequent expostulations. However much Philip and Elizabeth were determined to avoid war, it was impossible to quench the spirit that was ultimately to force their hands.

The stage is now set and the chief characters placed for the great drama that was to be enacted in Western Europe in the ensuing thirty years. Peace had been kept, chiefly because Philip was resolved that it should be kept; but there was more than enough incendiary material in the Netherlands, in France, and in England, to feed a tremendous conflagration. In the next act of the play the Spanish monarch becomes somewhat more aggressive; the flames of war

burst forth in the Low Countries; and the relations of Spain with France and with England proceed from bad to worse.

This next act lasts from 1567 to 1573, and is epitomized in the name of Philip's new regent in the Netherlands during those years: Fernando Alvarez de Toledo, better known as the Duke of Alva. His appointment itself was adequate evidence, for those who knew the facts, that Philip was done with making concessions there. The king, as was his habit, tried his best to conceal his hand, and to make men think that the policy of the days of Margaret of Parma was to continue unchanged; but no one familiar with Alva's character and past record could have had any real doubts that he was being sent to the Netherlands for the purpose of hispanicizing them, both politically and religiously, to an extent that had never been contemplated before: of erecting them, in fact, into a regular presidio, whence the Spanish monarchy, undisturbed by further revolt, could assert its predominance over England, France, and the Empire. Moreover, there could be no real question that the methods by which this result was to be attained were to be primarily those of repression and violence. That Alva had made his reputation as a soldier proclaimed as much, as did also the fact that he was the first of the Hapsburg representatives in the Low Countries who was not related by blood to the royal family. And, finally, his own character and antecedents were directly the opposite to those of the people over whom he was sent to rule. He was the typical Castilian grandee, proud, intolerant, and disdainful; they were the scions of traders and artisans, hard-working, democratic, and boisterous. He had not forgotten how at the age of twelve he had seen his own country insulted and impoverished by the Flemings in the train of Chièvres; he had waited for years for an opportunity for revenge. Waiting and remembering were in fact two of his strongest points. They made him a fit instrument of the policy of Philip II.

For a full month after his arrival in the Low Countries the new regent held his hand. It may have been in compliance with the royal instructions; more likely it was in order to make absolutely sure of ultimate success; certain it is that during the interval the whole population was oppressed with the conviction that some terrible and mysterious catastrophe was impending. Then suddenly, beginning on September 9, 1567, the blows began to fall. On that day the two chief rebel leaders, Egmont and Hoorn, were arrested at Brussels. Twelve days later, when the news had reached Spain, their representative there, the Baron de Montigny, who had been lulled into security by the false promises of Philip, was likewise seized and confined in the alcazar of Segovia. At the same time there was set up in the Netherlands the famous Council of Troubles—better known as the Council of Blood—a body of seven, dominated by three Spaniards, whose function it was to prepare the sentences of those whom the government had decided to have punished. It superseded all other courts and jurisdictions; it overrode all privileges and liberties, both personal and national; like the Revolutionary Tribunal of 1793, it sacrificed everything on the altar of *raison d'état*. On January 4, 1568, 84 persons were executed, on February 20-21, 108, on March 20, 55. All the property of the victims was forfeited to the crown; it was intended that the new policy of blood should more than justify itself as a financial measure. On June 5, 1568, the climax was reached with the execution of Egmont and Hoorn in the public square in Brussels. Though their countrymen were too terrified, too dumfounded to protest at the time, the event had the merit of making the issue clear. After that there could be no doubt that the day of compromises and palliations was passed, that two irreconcilable systems were confronting one another, and that one or the other must ultimately succumb.

Meantime William of Orange, more cautious than Egmont and Hoorn, had escaped the clutches of Alva, and was organizing an army of resistance in the Northeast. The Lutherans of

the Empire gave him considerable support. French and English Calvinists rallied to his standard. It was evident that there was plenty of sympathy for his cause in the neighboring lands, even though the policies of their governments might not permit formal expression of it. But Orange on the battlefield was far inferior to Orange at the council table. His forces, led by his heroic brother, Louis, count of Nassau, were successively defeated by Alva's veterans at Dahlen (April, 1568) and Jemgum (July 21); by November the rebel army had been virtually dispersed, and Orange himself had taken refuge in Picardy. Then Alva was convinced that he had triumphantly and permanently finished the work he had been sent to do. The people, so he assured the king, were the easiest to govern in the world, if one only knew how to treat them. He had statues of himself erected at Antwerp and at Brussels. He even ventured to send 1500 horsemen into France to aid Catharine de' Medici against the Huguenots, and to reply to the piracies of the English in the Channel by arresting all the subjects of Elizabeth in the Low Countries. It looked as if the dream of Philip was about to come true; instead of constituting a danger point to Spain and a vantage ground to her foes, the Netherlands promised soon to become a mighty fortress from which he could overawe the entire North of Europe. The king indeed was less fully convinced than was his representative of the advantage of indefinite prolongation of the policy of severity. Since February, 1569, he had been in favor of granting a general pardon, but it was not until seventeen months later that Alva could be brought to consent to it; moreover, when the pardon was finally issued, it was so full of exceptions, both general and specific, that it virtually amounted to a fresh proscription. One example of its operation will suffice: it was precisely three months after the day on which it was put forth that Montigny, who had languished in his Spanish prison since September, 1567, was secretly strangled in the castle of Simancas.

But Alva's conception of his task in the Netherlands was not solely one of torture and massacres. He proposed to prevent the recurrence of troubles in the future by a radical alteration of the constitution of the Low Countries, which should convert them into a docile dependency of the Spanish crown after the pattern of Naples and Milan. Absolute extirpation of heresy was, of course, the indispensable preliminary to this. The new bishoprics, provided in 1559, were now fully organized and set up, and their occupants charged with the duty of hunting out Lutherans and Calvinists. Alva was delighted to have them employed in this fashion, for it tallied with his conception of the duty of the church to lend its full support to the state. At the same time every effort was made to Hispanicize the government, and to abrogate or nullify local privileges and liberties. Alva proposed to bring everything to the feet of his master. It was observed that when a vacancy occurred in any of the councils he did not hurry to fill it. His plan, as he explained in a letter to the king, was to let the unoccupied places accumulate until, by providing for a large number of them at once, he could Hispanicize the personnel of the administration *en bloc*; "under the system of successive nominations," so he wrote to Philip, "those who remain, corrupt those who come in, just as happens when one throws a flask of good wine into a cask of vinegar." Most fundamental of all, however, was his financial policy. To have the Spanish monarchy in any sense dependent for its revenues, as it still was in the Low Countries, on the vote of the national assembly, was to Alva's mind intolerable. With Philip's full approval, he now proposed to remedy this defect in characteristic Spanish fashion by forcing the Estates to sanction the permanent establishment in the Netherlands of an *alcabala* or tax on sales, at the rate of five per cent on real and ten per cent on personal property. There was also to be a single impost, at the rate of one per cent, levied once and for all on all property in the land. The Estates were summoned to Brussels on March 21, 1569, to consent to these exactions, and all the resources of treachery and intimidation were brought into play in order to bend them to the royal will. The levy of the hundredth penny, after many protests, was finally sanctioned and at once put into operation; by February, 1571, it had yielded 3,300,000 florins. To the *alcabala*, on the other hand, the deputies were resolved

not to submit. Not only would its establishment mean the subversion of their most cherished liberties; they also clearly perceived, what Philip and Alva were unable to comprehend, that it ultimately spelt economic ruin, that the measure which the king and his adviser had adopted as the shortest way to replenish the royal exchequer was really only killing the goose that laid the golden eggs. They finally succeeded in inducing Alva to accept for a period of two years (August 13, 1569-August 13, 1571) a lump sum of 2,000,000 florins per annum in lieu of the alcabala, which they dreaded most of all. When that period had expired, the Duke refused to consider the prolongation of it, and within a few weeks the alcabala was in full swing. Thereupon ensued a scene of wild confusion. The business world was paralyzed. Merchants left the country in swarms. Contracts were cancelled right and left. Rents fell to one-sixth of their former value. The textile industry was so hard hit that Alva could not find enough blue cloth in all Brussels and Antwerp to enable him to renew the furnishings of his own palace. But he was absolutely deaf to any kind of remonstrance. He would not listen to the advice of his own clergy, or of Francisco de Alava, the Spanish ambassador at Paris, when they warned him of the dangers of the course he was pursuing. As for the rage of the masses, he felt sure that it could be safely ignored. "This people," so he once wrote to Philip, "is always such as Julius Caesar depicted it."

Before we take up the course of the revolution which Alva's brutality and ineptitude unchained in the Netherlands in the spring of 1572, it is essential to consider the effect of his presence in the Low Countries on the relations of Spain to France and to England.

It is easy enough to see, after the lapse of three centuries and a half, that one of Philip's gravest errors was his failure to realize that the England Queen Elizabeth constituted a far more serious menace to the integrity of the Spanish Empire than did the France of the last three Valois kings. We think of the former as passing through one of the most notable periods of its history, united at home under one of the greatest sovereigns of all time, reaching out to lay the foundations of a far-flung colonial and commercial empire, inevitably destined, for reasons political, religious, and economic, to come into violent collision with Spain, both in the Old World and in the New. We think of the latter as internally disrupted by civil and religious strife, a prey to factions, without any consistent policy either at home or abroad. But when we come to consider the situation as it presented itself to the Spanish monarch, we shall not be at a loss for reasons to explain why he continued so long to underestimate England and to exaggerate the danger from France. The latter, in the first place, was the hereditary foe, the constant enemy, for over sixty years past, not only in the Old World but also in the New. Secondly, it intervened between Spain and the Low Countries, and constituted an annoying barrier to communication between them. Thirdly, its recent tendency towards Protestantism, though less marked than that of England, was probably even more alarming to Philip; for it was perilously close to his own borders, and he could not endure the idea of having a heretic on his flank. England, on the other hand, seemed much more remote, and principally occupied with its own affairs. It had, moreover, been recently Spain's ally against France; Philip had even cherished hopes of bringing it permanently under Hapsburg control. So rapid had been the oscillations of its religious policy during the previous half-century that its complete re-Catholicization did not seem by any means out of the question. Spain had not "yet learned to think of Elizabeth's government as strong, nor of the Elizabethan settlement in England as stable." The exploits of the English sea-rovers were regarded by the Spaniards more in the light of the irritating pranks of an extremely naughty boy than as the first evidences of the upcoming of the greatest naval power of modern times. Before 1568, when the attention of Queen Elizabeth's government was primarily focused on the affairs of Scotland, there had been, perhaps, some real basis for this point of view; but with the defeat and flight to England

of Mary queen of Scots, the situation had entirely changed. Elizabeth began to consider the problem presented by the Netherlands far, more seriously than ever before. New voices, like that of Sir Francis Walsingham, were beginning to make themselves heard in her councils, and causing her to consider the probable consequences of Alva's obvious intention to erect them into a Spanish presidio. We discern the first faint glimmerings of the dawn of a new era in English foreign policy, which was ultimately to bear fruit in the defeat of the Spanish Armada.

But Philip was still determined to adhere, if possible, to the policy of 'sturdy defensiveness' in his foreign relations which had been commended to him by his father. If the Tudor and Valois monarchs would only leave Alva to carry out undisturbed in the Netherlands the new program which he had been sent there to initiate, he had as yet no intention of making trouble for them. But the Duke soon became aware that his doings in the Low Countries were arousing deep hostility on both sides of the Channel. Moreover, like his master in Madrid, he was at first inclined to believe that he was in considerably more danger from France than from England. William of Orange and his brother Louis were in close touch with the leaders of the French Huguenots. There were rumors that Conde had made the peace of Longjumeau (March 23, 1568), which had terminated so unexpectedly the so-called Second Civil War, in order to be free to interfere in the Low Countries; and it was only five months later that William signed a secret treaty with Condé and Coligny in which they promised each other mutual assistance, offensive and defensive, until they should have gained their ends. The Third Civil War in France broke out, it is true, directly afterwards, and the Catholics won impressive victories at Jarnac (March 13, 1569) and at Moncontour (October 3, 1569); but their Protestant foes refused to be discouraged, and at the peace of St. Germain-en-Laye (August 8, 1570) obtained important concessions for their faith. Still more alarming to the Duke of Alva was the palpably anti-Spanish attitude of Charles IX, who, after having remained for ten years a puppet in his mother's hands, was now beginning to demand a share in the direction of affairs. Louis of Nassau had two conferences with him in July, 1571, in which he besought him to intervene to deliver the Low Countries from their Spanish oppressors, and the king gave him most encouraging replies. Even Catharine for the moment seemed to have succumbed to the anti-Spanish trend. She had been much irritated by Philip's refusal, after the death (October 3, 1568) of Elizabeth of Valois, to wed as his fourth wife the latter's younger sister, Margaret. She was at present planning to marry Margaret to Henry of Navarre, and her son, Henry of Anjou, was seeking the hand of the queen of England. Finally, there were mysterious activities in the harbors of Nantes and Bordeaux. Ships were being prepared. No one seemed to know exactly what was to be done with them, but Alva was persuaded that they were destined for some enterprise which boded ill for his master. Even the news of Don John's great victory at Lepanto (October 7, 1571) did not serve to frighten the French into an abandonment of their anti-Spanish attitude. The Duke was convinced that a blow would soon be struck, and struck in all probability at Spain in the Netherlands.

The prospect of English hostility, on the other hand, he affected, at first, to regard as far less serious. He realized that Elizabeth, like his own master, loved to temporize. He recognized her natural reluctance to countenance any revolt against monarchical authority, even though the monarch in question might be her bitterest foe. He knew that she was well aware that the maintenance of the wool trade between England and the Low Countries was wellnigh indispensable to both. He was glad to learn that she had assured the Spanish ambassador, in August, 1568, that she was delighted at the news of his victory over the rebels. There were, of course, a host of other bits of evidence which pointed in the opposite direction. In October, 1567, only two months after Alva had reached Brussels, Hawkins had set sail on another freebooting expedition into American waters, which was destined to eclipse all his previous impertinences. We have already described the treatment accorded to Dr. John Man,

the English ambassador at Madrid, because of his demand that he be permitted to have the Anglican service performed at his house without fear of interference by the Holy Inquisition; never again was Elizabeth to have an official resident representative at the court of the Prudent King. Then, in December, 1568, had ensued the seizure by the English government of a fleet of Spanish treasure ships bound for the Low Countries, which had sought refuge in Plymouth and Southampton from the pirates of the Channel. Alva was prompt to retort with the counter-seizure of all English property in the Netherlands, and the arrest of the English residents there; and Elizabeth in turn retaliated by imprisoning in his house the new and very aggressive Spanish ambassador to London, Guerau de Spes, whom Philip had dispatched in the previous August to replace the more complaisant Guzman de Silva. By midsummer, 1569, however, the tension had slackened again; and, as an evidence of her hope and belief that peace could be preserved, Elizabeth restored the Spanish ambassador to liberty. There appeared, in other words, to be no sequence or system to her aggressions; most of them might even have been charitably construed rather as the acts of lawless individuals than of the English government. To Alva's formal mind they were, for that very reason, doubly abhorrent, but as yet they did not seem to him to indicate the existence of any settled policy of war. Without question they merited condign punishment; but it seemed likely that such punishment could be administered quite as effectively and much more cheaply by conspiracy and intrigue than by hostilities open and avowed.

The consequence was that the next two years of English history (November, 1569, to December, 1571) witness a series of Catholic plots against the Queen and the government, of all of which Philip and Alva were cognizant and to some of which they lent active encouragement. The situation in England was highly favorable to such attempts. The Romanists were discontented owing to the enforcement of the penal laws. The captive Scottish queen furnished an excellent rallying cry. Guerau de Spes was in London to pull the wires. But even with all these advantages, and also the conviction that they were fully justified in any means that they might use to effect the deposition of an heretical queen, Philip and Alva acted neither effectively nor in unison; and their slackness was a grievous disappointment both to Guerau de Spes and to the English Catholics. The king throughout was apparently more anxious for vigorous action than was the Duke. The latter was primarily interested in the recovery of his treasure ships by negotiation, and did not wish to risk it by getting involved with aristocratic conspiracies in which he had little faith. Not until the Northern Earls should prove their mettle by liberating Mary queen of Scots from captivity would he consent to send them support; and as they were unable to accomplish this, an opportunity which, if vigorously seized at the outset, might have yielded good results, was suffered to slip by unimproved. On February 25, 1570, Pope Pius V launched a bull of excommunication against Elizabeth, and strove to induce Philip and Alva to undertake the execution of it. De Spes and the English Catholics were fully convinced that, with aid from across the Channel, their success was assured; but both the king and his representative in the Low Countries continued to hang back. They complained, with some justice, that they had not been consulted before-hand in regard to the bull, or even notified that it was to be put forth; nothing would induce them to follow it up with a vigorous attack. In the winter of 1570-71 a third opportunity—in some respects the most favorable that had yet occurred—was presented by the machinations of the Duke of Norfolk and of the papal agent Ridolfi; de Spes and the English Catholics were more urgent than ever; the captive Mary “committed her cause to Spain.” This time Philip was persuaded that the moment had come to strike. On Saturday, July 7, 1571, there was held in Madrid a famous meeting of the Consejo, in which it was decided that Elizabeth must be assassinated, and ways and means were discussed. Orders also were dispatched to Alva to have a fleet and an army in readiness, that he might be able to invade at the critical juncture. But the Duke was more cautious than ever with regard to England at the very moment that his master had grown

more bold. He was worried by rumors that reached him of the intimacy of certain malcontents of the maritime provinces of the Netherlands with the English privateers; he was impressed with the ease with which the government of Elizabeth had weathered the previous storms. If the assassination of the queen could be actually accomplished, he agreed that an invasion should be attempted forthwith; until that event, he insisted, it would be perilous to move, and his hesitation made a profound impression on the mind of the king. Neither the resolutions of the Spanish war council nor the pleadings of Spes could induce Philip to give the word for an invasion; he placed the conduct of his policy with regard to England entirely, for the moment, in Alva's hands. And so the year 1571 ended with nothing accomplished. Elizabeth was not assassinated; and England remained uninvaded.

From their comfortable conviction that the Netherlanders would never venture to revolt, and from their hesitant machinations against the governments of England and of France, Philip and Alva were suddenly aroused by the astonishing news that on April 1, 1572, the town of Brill, at the mouth of the Meuse, had been seized and occupied by the famous 'Beggars of the Sea'.

Broadly speaking, this disaster was in large measure due to the same error of judgment that had given the keynote to the foreign policy of Philip during the five preceding years: namely, his tendency to overestimate the danger from France and to underestimate that from England. So preoccupied had been the Duke with the perils of invasion from the southwest that he failed to keep track of what had been happening in the ports of Holland and Zealand. The inhabitants of these seacoast towns were among the bitterest of his enemies. His character and his program, political, economic, and religious, were utterly detestable to them. Their own native independence and disregard for authority were stimulated by the example of the English privateers, with whom, ever since 1568, they had been increasingly closely in touch; even Queen Elizabeth had been induced to grant them covert encouragement and support, and they possessed what amounted to a base at Dover. At the outset the Duke had practically ignored them. Later on, in 1571, as we have already seen, he began to take the matter somewhat more seriously; he entered into negotiations with Elizabeth on the subject, at the very moment that he was plotting for her assassination, with the result that in the end of February, 1572, the chief of the Dutch pirates, William de La Marck, sometimes known as the Sire de Lumey, was ordered to leave the realm. The news of his expulsion relieved Alva's worst fears for the moment; he felt confident that such danger as there had been from England was now at least temporarily past; he reverted once more to the problem presented by the situation in France. But the future was to prove that his calculations were entirely wrong. Precisely how far the government of Elizabeth was implicated in the events that followed the expulsion of La Marck will probably never be known; but the available documents make possible the hypothesis that she so timed her official compliance with Alva's request as to convert it into the first effective blow against his government in the Netherlands. At any rate, La Marck had no sooner quitted Dover than he swooped down on a convoy of Spanish traders which was approaching the narrows of the Channel, seized two of the largest vessels, and flung their crews overboard. A few days later he appeared off Brill, which he captured, as we have seen, with the utmost ease. And the capture of Brill was only a beginning merely the signal for the unchaining of the forces of revolution. During the next ten days four other seaport towns rose in sympathetic revolt against the Spanish authorities; particularly important was the accession of Flushing to the ranks of the insurgents, for it controlled the mouth of the Scheldt, and was therefore the key to Antwerp. So horrible were the excesses committed by the rebels that at first William of Orange hesitated to recognize them; but his hand was ultimately forced by the march of events, and by the urgency of his councilors, one of whom even ventured to issue, without

showing it to him, a manifesto in his name, in which William assumed the title of stadtholder or representative of his Majesty in Holland, Zealand, Friesland, and Utrecht, and called on all men to bear aid in the revolution. Louis of Nassau and his Huguenot allies were prompt to utilize the situation for their own advantage; in the latter part of May they seized Mons and Valenciennes. Alva at Brussels was at his wit's end which way to turn; but, still believing that the most serious danger was that from France, he turned his face to the southwest, and in the latter part of July sat down before Mons, while his son, Don Fadrique, defeated and cut to pieces a force of 4000 men which had been dispatched to its relief. Needless to add, the rapidity of the progress of the Northern revolt, already spectacular, was now still further increased; one town after another declared for the insurgents. In Zealand only Middleburg held out for the Spaniards; in Holland, only Amsterdam and Schoonhoven. The revolution even penetrated into Friesland and Gelderland.

Then suddenly, in the twinkling of an eye, the entire situation changed. On September 5, while he was still in front of Mons, Alva received sure news of the massacre of St. Bartholomew twelve days before. Catharine had reversed her policy once more. She had made away with Coligny and most of the rest of the Protestant chiefs, together with several thousands of their adherents. All the long-laid plans of Orange and his brother for securing the support of the Huguenots for their cause were knocked on the head, at least for the time being. Alva had been saved in his extremity by what had happened in the very country whence he and his master had apprehended their gravest peril.

The report of the massacre naturally spread consternation among the Protestant states of Europe. Philip is reported to have laughed when he heard the news, which was celebrated at Rome with processions and rejoicings. The defensive league which had been created between the governments of Elizabeth and Charles IX by the treaty of Blois in the previous April was now succeeded in England by preparations for war; never had the Counter-Reformation seemed so menacing before. But the panic was excessive, as the sequel was to show. The massacre was not an indication of any settled policy on the part of Catharine de' Medici; it was but a crowning demonstration, if such were needed, that she could not be trusted to follow one. Disembarrassed of Coligny, whose ascendancy she could not abide, she made peace offerings to his coreligionists, both at home and abroad. As was natural under the circumstances, these peace offerings were either flatly rejected or else treated with justifiable distrust, with the consequence that for some months to come France was practically eliminated as an international force. None of her neighbors was in a condition to attack her, and none of them would venture to accept her alliance.

For Alva, of course, the massacre meant that his southwestern frontier was temporarily safe. Mons capitulated on September 21, and the Duke was free once more to return into the North, and deal with the revolt that was gathering headway there. But before letting loose the full flood of his vengeance on the rebels, he determined, with characteristic caution, to deprive them of their last hope of the continuance of the English aid which had proved so indispensable to them in the past; here again he gave tardy proof of his dawning realization of the latent perils of the hostility of Britain. Outwardly he seemed to have ample justification and also an excellent opportunity for casting down the gauntlet before the government of Queen Elizabeth. Ten months before she had mortally offended Philip by ordering his ambassador, Guerau de Spes, to take his departure within four days. She had secretly supported the rebels in the Low Countries. And now her loss of the French alliance made her presumably less able to resist a Spanish attack. If the massacre of St. Bartholomew could only be completed by the subversion of English Protestantism, it seemed that the triumph of the

Counter-Reformation would be achieved. Yet, just at the very moment that men expected him to declare war, the Duke of Alva moved heaven and earth to obtain a treaty of peace. His first duty and desire was to crush the Sea Beggars; and the surest way to do that was to cut off the help they got from England. Certainly it was no time to undertake a crusade; better, far better, take advantage of Englishmen's horror of the massacre of St. Bartholomew to impress them with the superior moderation of Spain. And so, with the reluctant approval of his master, Alva went to work to secure a settlement of all outstanding difficulties with the English government, and obtained it at last on March 15, 1573, by the so-called Convention of Nimwegen, providing for the reestablishment of commercial intercourse between England and the Low Countries for a period of two years, for the abandonment by each of the rebels against the government of the other, and for the repression of the pirates in the Channel. At last the Duke was free to wreak his vengeance on the Beggars without fear of interference from without.

There is no need to recount the story of that vengeance here: the sack of Mechlin, the massacre at Zutphen, the heroic resistance of Haarlem, and the comparatively lenient terms, whose good effect was forthwith destroyed by the first of those mutinies of Philip's unpaid soldiery which were destined to play havoc with the Spaniards in the Low Countries. On this occasion the Netherlanders were goaded into a last desperate resistance by the outrages committed by their conquerors, and they were encouraged to persist in it by the fact that the discipline of Alva's tercios had utterly broken down. The town of Alkmaar defied all the efforts of Don Fadrique to take it. The Spanish fleet suffered a significant defeat in the Zuyder Zee, and the Spanish garrison barely succeeded in maintaining itself in Middleburg, the last outpost in Zeeland that remained in Alva's hands. As long as the Duke had continued to be victorious, Philip was determined to maintain him in office, despite Alva's repeated requests for recall. Now, however, that it seemed to have been demonstrated that no amount of bloodshed or expense could avail to quell the revolt, he began to consider the advisability of a change. On October 15, 1573, he notified the Duke of his intention to supersede him; a month later, Don Luis de Requesens, who had been appointed his successor, arrived in the Netherlands; before the year was over Alva had departed for Spain. He carried with him, needless to add, the execrations of the entire population which he had so outrageously misruled. The chief result of his term of office had been to identify the government of Spain which he represented with the most intolerable of tyrannies in the Netherlandish mind; indeed, he had made ultimately inevitable the ruin of the Spanish Empire in Northern Europe. Yet as even the Devil should be given his due, so it is but fair that two points should be noted in Alva's favor. The first is the clearness of his perception, in the latter months of his rule, of the dangers to Spain in the Netherlands from England; had his views in this matter been heeded by the king, it was not impossible that the defeat of the Spanish Armada might have been avoided. The second is that the worst and most disastrous of his mistakes were virtually forced upon him by the emptiness of the Spanish treasury. In Philip's inability to give his *tercios* their regular pay lay the basic reason for that long series of atrocious mutinies, which initiated in Alva's time, reached its horrible culmination in the 'Spanish Fury' at Antwerp in 1576. The fact that the Spanish government was determined to make the Low Countries pay the costs of their own Hispanicization was what finally unchained the forces of revolution. The primary cause of it, in other words, was economic, though it was to need the additional impetus of Calvinism to give it victory in the Northeast. The blighting alcabala, with its various developments and ramifications, proved a cancer that was destined to eat away the very vitals of the Spanish Empire.

Luis de Requesens, whom the king, after long hesitation, had selected to succeed Alva in the Low Countries, was of ancient Castilian lineage, and the son of a favorite tutor of

Philip's boyhood days. He had already been ambassador to Rome and governor of Milan, when, at the age of forty- six, he was dispatched to the Netherlands. He was in failing health at the time of his appointment, and did his utmost to induce the king to confer it upon someone else; but Philip was obdurate, for he discerned in Requesens a man who would continue unabated the policy of Hispanicizing the Netherlands to which he had now committed himself—"a reliable man, who would tolerate no diminution of the authority of the crown." The methods by which Requesens proposed to attain his ends were certainly much gentler than those of his predecessor, though things so fell out that he never got a fair chance to apply them; but the fundamental principles on which the Spanish administration was based remained, in all essentials, exactly what they had been before.

As far as relations with France and with England are concerned, the period of the rule of Requesens is singularly empty; no event of decisive importance in international affairs occurred during his tenure of office. Europe was taking a breathing spell after Alva, and before the still more arduous years that were to follow. The effects of the massacre of St. Bartholomew continued to dislocate the foreign policy and nullify the international influence of France. At home she was disturbed by "factions, edicts, and Estates"; abroad she was much preoccupied with the unusual problem of getting an errant king back from Poland. With England Spain had inherited a temporary understanding, owing to the efforts of the Duke of Alva in 1573; and though neither party observed it with perfect loyalty, and there were consequently complaints and recriminations on both sides, there was never any real prospect of serious trouble. Both Philip and Elizabeth were content, for the time being, with the maintenance of the status quo. We turn, therefore, to the progress of events in the Netherlands themselves.

Requesens was convinced that the sole possible way to win back the Low Countries to their allegiance was to proclaim a general pardon for past offences, and to rescind the most unpopular measures —such as the imposition of the tenth penny—which had recently been enacted by the government of Philip II. But Alva, who stayed on in Brussels for a month after Requesens's arrival, had no use whatever for such a policy as this. On his return to Spain he laid his views before the king, who had previously accepted the ideas of his successor, and the natural result was a long period of hesitation. Not till March 10, 1574, did Philip send his royal permission to proclaim the general pardon. Meantime Requesens was obliged, much against his will, to continue the war against the rebels which had been bequeathed to him by his predecessor, and thereby convinced men, quite erroneously, that he was fully as blood-thirsty as Alva had been before him. The course of the struggle was at first inconclusive. The surrender of Middleburg to the Prince of Orange (February 18, 1574) deprived the Spaniards of their last stronghold in Zealand; but this reverse was fully compensated for by the crushing defeat (April 14) inflicted by Sancho de Avila and some 8000 Spaniards at Mook, near Grave, in the valley of the Meuse, on an army of German mercenaries which Louis of Nassau was bringing to his brother's aid; Louis himself met death on the field of battle. The effect of this victory, however, was neutralized in turn by the disgraceful conduct of the Spanish soldiery who had won it. Furious at their failure to get their regular pay, they determined to recoup themselves by the plundering of Antwerp, and as it was utterly impossible to reestablish discipline by force, Requesens was obliged to negotiate with the mutineers in order to save Antwerp from being sacked. All this, of course, reacted most unfavorably on his own policy of conciliation. When he proclaimed the general pardon at Brussels on June 5, and followed this two days later by an offer to abolish the alcabala in return for a generous subsidy, his concessions fell painfully flat. No one seemed to care to take advantage of them; men interpreted them, rather, as a confession of weakness on the part of the government, and derived from them encouragement to persist in their rebellion. One of Requesens's chief counsellors

went so far as to tell him bluntly that “one can not do in the Netherlands what one does in Naples and Milan”. The falsity of the parallel on which Philip had based his policy in the Low Countries was now mercilessly and publicly exposed.

Meantime, on October 3, 1574, there had occurred the relief of Leyden by the cutting of the dykes. It was in some respects the most brilliant achievement of the entire war, and a crowning demonstration of the fact that although the Spaniards might still be invincible in a land battle, they had not learned all the possibilities of utilizing the sea. This event, together with the parlous state of Requesens’s finances, led to a fresh effort to secure peace by negotiation at the so-called conferences of Breda in February, 1575; but the commissioners would not trust one another without the giving of guarantees which neither side was disposed to concede, and the question of toleration for Calvinism proved an insurmountable stumbling block to agreement. In the following summer and autumn the hopes of the Spaniards were raised by a temporary return of fortune on the field of battle. They possessed themselves of all the islands between the northern outlet of the Scheldt and the Meuse and thus separated Zeeland from Holland. But the rebels were by no means discouraged by this reverse; quite the contrary, at the very moment that it was taking place, the Estates of Holland and Zeeland took the decisive step of ceasing to put forth their edicts in the name of Philip II. Hitherto they had preserved the fiction of loyalty to the Spanish monarch, and maintained that their rebellion had been directed solely against the representatives he sent out; now they resolved to have done with shams and offer their sovereignty to some other prince. But for the time being it was impossible to induce any one to accept it. Neither Elizabeth of England nor Henry III of France was willing to take the risk of openly defying the government of Spain.

Such was the perilous state of affairs in the Low Countries when on March 5, 1576, Requesens died of a fever. He had never had an opportunity to carry out his own ideas; even if he had, it would probably have been too late. So destitute was he at the time of his death, that his funeral had to be postponed for several days because of the impossibility of finding money to pay the expenses of it.

It was the first time that a royal governor of the Low Countries had died in harness, the first time that the continuity of the Spanish regime had been broken; eight months elapsed before Requesens’s successor could be appointed and reach his post. For Philip that interim was one long agony of suspense. The only representative of his authority in the Netherlands that was left standing was the Council of State—composed, it is true, exclusively of Catholics officially loyal to the Spanish crown, but also convinced, save for its secretary, Roda, that Philip’s policy had been utterly wrong, and must be radically changed if the Low Countries were to be preserved. With such half-hearted officials on the spot, it was clear that the king was for the time being powerless; it was also equally obvious that a golden opportunity was thereby offered to the rebels to strengthen their position; and under the able leadership of William of Orange they were prompt to take advantage of it. In any history of the Low Countries, the events which occurred between the death of Requesens and the arrival of his successor are of capital importance, and must needs be recounted in detail; here it must suffice to summarize them in brief. Another furious mutiny of the Spanish tercios broke out in the summer of 1576; it centered in Brussels, and was caused, like its predecessors, by the determination of Philip’s soldiers to obtain, at the expense of the inhabitants on whom they were quartered, the pay which the royal treasury was unable to afford. This mutiny put all the Catholic southwestern districts up in arms, and gave William of Orange the chance to bring forward a plan for the union of all seventeen provinces in a common effort to expel the Spaniards. Hitherto he had tried to effect his ends through Holland and Zeeland, backed by the support of England and of France; now for the moment, at least, it was evident that foreign aid was not forthcoming, and without it Holland and Zeeland were powerless by themselves. Only

by a united movement of all the Netherlands could he hope to accomplish his purpose, and, with the instinct of the statesman, he seized the psychological moment to act. After vainly attempting to persuade the Council of State to see the justice of his cause and to lend him its support, he succeeded, on September 4, in arresting the most refractory of its members, thereby removing it from Spanish control and hurling defiance at the government of Madrid. Before the month was over, a meeting of the States-General of the Low Countries was assembled at Brussels, on the invitation of the Estates of Brabant, and the departure of the Spanish soldiers was unanimously demanded. Realizing that there was no chance of Philip's voluntary compliance with this request, the Estates promptly took steps towards the raising of a national army. In carrying out these measures there were collisions with the royal troops, which culminated, on November 4, in another 'Spanish Fury' at Antwerp, more terrible by far than any of its predecessors; over 7000 were killed, and there was an orgy of pillage and rapine. The news of it naturally made the rebels more desperate than ever; it caused the religious differences between the Catholic Southwest and the Protestant Northeast to dwindle into temporary insignificance, in comparison to the unanimous determination to shake off the yoke of Spain. On November 8, there was solemnly proclaimed in the city that bears its name the instrument known to history as the Pacification of Ghent. It provided for an of Catholics and Protestants to expel the for the subsequent convocation of the States-General to settle the question of religion, for the suspension of the 'placards' and other edicts against heresy, for the liberation of prisoners condemned by the Council of Blood, and for the restoration of confiscated property to its lawful owners. Never had the Spanish authority in the Low Countries been challenged in such fashion before.

Meantime Philip had been anxiously considering the question of Requesens's successor. He was still convinced that he must continue to conciliate, and the exigencies of the situation and the advice of his Council all pointed to his half-brother Don John of Austria as the obvious man for the vacant place. The fame that he had won at Lepanto was still fresh in men's minds; his charming personality could be counted upon to effect much; he was not, like Alva or Requesens, an unmitigated Castilian; unlike them, also, he would satisfy the oft-expressed desire of the Netherlander that the royal representative in the Low Countries should have royal blood in his veins. As far back as 1574 Requesens had advised Philip to send him there, and the Council at Madrid had been of the same mind. But Philip, as usual, had been doubtful and hesitant. He was profoundly jealous of his half-brother, whose brilliant achievements and far-reaching schemes for the future aroused his deepest suspicions. He could not rid himself of the idea that Don John was possessed with the desire to carve out for himself an independent realm, and aspired to renounce all allegiance to Spain. Now, however, in view of the crisis with which he was confronted in 1576, Philip was forced to admit that Don John was the sole possible solution. On April 8 he wrote to his half-brother, who was in Naples, that it was his desire that he "should take wings and fly to the Low Countries", and that in order to save the delay of a journey to Spain for a preliminary interview, full instructions would be sent forward to meet him as he passed north through Lombardy.

But Don John was by no means eager to comply with the royal command. The task of bringing order out of chaos in the Netherlands did not appeal to him in the least. His mind at that moment was filled with a daring scheme for invading England, dethroning Elizabeth, and replacing her with Mary Stuart, whom he hoped to make his wife; and he had no intention of proceeding to the Low Countries until he had obtained Philip's formal consent to the prosecution of that magnificent plan. For this purpose it was necessary to return to Castile, and he did so, arriving at Madrid in early September, in defiance of the king's order to go direct from Naples to the Netherlands. Philip, of course, gave him the permission he desired, though it was hedged about with numerous conditions and reservations; he then proceeded to

emphasize the instructions that had been drawn up for the guidance of Don John in the Low Countries. Conciliation was everywhere to be the keynote. In order to maintain true religion and the authority of the Spanish crown, Philip was now prepared to surrender on every other point at issue: to remove the Spanish troops, to rescind all innovations that had been set up since the arrival of the Duke of Alva, and to leave the administration of the government as far as possible in the hands of the Netherlanders themselves. Not only in essentials but in details was Don John adjured to take the utmost care not to give offence; he was to speak French, not Spanish, and to avoid selecting his mistresses from among the principal families of the land. In order to dissipate any possible idea that he intended to follow a policy of compulsory Castilianization, it was decided that he should enter his new dominions by traversing France on horseback, disguised as the servant of his only attendant, Ottavio Gonzaga, the son of the old viceroy of Milan. It was on the evening of November 3, 1576, that the pair finally crossed the frontier into Luxemburg—one short day before the ‘Spanish Fury’ at Antwerp and only five before the signature of the Pacification of Ghent.

It would scarcely have been possible to arrive at a more inopportune moment, and it was but a few days before Don John discovered the full measure of his impotence. The revelation of his identity failed to procure him respect. The spectacle of the unrestrained licentiousness of his mother, Barbara Blomberg, who had been living in the Netherlands for the previous twenty-five years, served to remind men that he was a bastard, even if also the son of a king. The local authorities, whose existence he recognized and with whom he attempted to negotiate, showed that their sympathies were rather with the States-General than with him. On every hand he met the same reply; until the Spanish troops were sent away no talk of conciliation was possible; and within two months of his arrival he wrote to Philip to say that a rupture was inevitable, and that he must have more men and funds. On the other hand, he could not bear to relinquish his schemes for the invasion of England, in which he was assured of papal support; and he continued to labor to win peace in the Low Countries, in order that he might use the troops that would thereby be released for the prosecution of the great plan on which his heart was set. Meantime in the camp of the rebels the inevitable rifts began to appear. The statesmanship of Orange, with united action as its constant watchword, had produced great things in the heat of the universal resentment at the ‘Spanish Fury’ at Antwerp. Now, however, in the calmer days that followed, the effects of the particularistic aims of the different provinces, and, above all, of the great gulf between the Catholic Southwest and the Protestant Northeast, inevitably made themselves felt. By the beginning of January, 1577, the rebels were gradually dividing into two groups, alike, indeed, in the unanimity of their demand for the withdrawal of the Spanish soldiery, but differing in that one of them, which had its chief strength in the Southwest, regarded that removal as a preliminary to the reestablishment of Catholicism and reconciliation with Spain, while the other, inspired by Orange, and chiefly recruited from Holland and Zeeland, cherished plans for the establishment of Protestantism and the overthrow of the authority of Philip II. Don John was enough of a statesman to discern that the widening of this breach was essential to the success of his own plans, and that the surest way to widen it was through concessions. On February 12, therefore, by an instrument which has always borne the singularly inappropriate title of the Perpetual Edict, he formally agreed to the majority of the Netherlanders’ demands, and especially to the departure within twenty days of the Spanish troops. But in the arranging of the details of that departure all his hopes were dashed. It had been his purpose to remove them by sea, in order, no doubt, to use them against England; but this could scarcely be done without their passing through Holland and Zeeland, where all the seaports were, and Holland and Zeeland obstinately refused to permit them to traverse their territory. The upshot of the matter was that in the month of April the Spanish troops departed to the southward by land for

Italy; and Don John, his grand project all scattered to the four winds of heaven, wrote Philip to beg on bended knees to be recalled.

The king, however, paid no heed to his desires; eighteen months more of “weariness and death” at the age of thirty-three were all indeed, as Don John had foreseen, that were destined to be left to him. The history of this brief phase is complicated and not particularly important, save in so far as the attitude of the outside powers is concerned; we must therefore hurry as rapidly as possible over the internal history of the revolt and the attempts that were made to suppress it. The keynote of the period is increasing distrustfulness between Don John and the rebels. All the effect of the concessions he had made in the Perpetual Edict seemed to have been forgotten in the unseemly quarrel over the method of the departure of the Spanish troops. He was formally welcomed at Brussels, indeed, according to the ancient ceremonial, on May 12, 1577; but the States-General only recognized his official title by a majority of one vote; each side seemed convinced that the other was meditating treachery. So alarmed for his personal safety did Don John become that he soon retired from Brussels, and finally, on July 24, possessed himself, by a coup-de-main, of Namur; thence he wrote desperately to Philip to assure him that a peaceful solution to his difficulties was impossible, and that the Spanish troops must forthwith be sent back, in order to enable him to seize the offensive. It took a long time, as usual, to persuade the Prudent King to reverse his policy, but finally it was done; in early December the vanguard of the tercios began to file back into Luxemburg, where Don John welcomed them with open arms. The only way in which he could now retrieve his fallen fortunes was by a military victory, and he knew it; and on January 31, 1578, at Gembloux, near Namur, the army of the rebels delivered itself into his hands in a fashion which plainly demonstrated that, however antiquated the Spanish methods of governing, the Spanish army still remained master on the battlefield. It was a rout rather than a fight. There were few slain but a multitude of runaways, and an enormous amount of munitions and supplies was destroyed. But Don John had not enough troops to enable him to follow up his advantage and strike direct at Brussels. He had to content himself with gathering in some minor cities to the south. Meantime the skill of William of Orange reconstituted the rebel party, and provided for it, as we shall soon see, the immediate prospect of effective foreign aid. He utterly refused to be discouraged, and under his inspiration the rebel army soon began to gather itself again. The lesson of these events was not lost on Don John. Even his victories on the field of battle seemed but to serve to reanimate his foes. His dreams of glory had vanished. He had become an object of suspicion to the master whom he had but reluctantly consented to serve; his health was ruined, and he was in despair. Death came to his rescue at last, on October 1, 1578, in his camp at Bouges near Namur.

We revert to the story of the relations of Spain to France and England, and more especially to their effects on the situation in the Netherlands. Under Requesens, as we have already seen, these matters subside into insignificance, but under Don John they return to the center of the stage; indeed, it would probably be safe to say that the chief importance of the brief rule of Philip’s half-brother in the Low Countries was that it brought once more into view the ultimate possibility of foreign intervention. The widespread fame and ambitious projects of Don John really rendered this inevitable.

It was natural, in view of the commercial treaty of 1573, and of Don John’s own designs against the government of Queen Elizabeth, that the question of Spain’s relations with England should come prominently to the fore. It was a sordid tale of plot and counterplot. Elizabeth was still far from converted to the view of the more radical of her counsellors that she should take a vigorous stand in favor of the rebels. On the other hand she was fully alive to

the necessity of keeping close watch on the situation in the Low Countries, and also of forestalling any independent intervention on the part of France. Messengers more or less secret had passed to and fro between her and Orange, to be treated, each in turn, as the exigencies of the moment should dictate; but, save for promises of a loan, and one downright cash payment of £20,000, the queen of England had insisted on keeping her hands free. With Don John also she remained outwardly friendly, though she had surprisingly full information in regard to his designs against her throne; when she complained of the presence of certain suspicious persons about Don John's court, he wrote her (March 7, 1577) a letter of explanation, which, despite the raised eyebrows of her counsellors, she professed to accept with good grace. A little later Don John received a friendly visit from Sir Philip Sidney, homeward bound from his formal embassy of 'condolence and congratulation' on the recent change of rulers in the Empire. The undercurrent of spying and reporting continued indeed unabated on both ends, but after the departure of the Spanish troops, and the consequent probability that her own throne would remain secure, it became increasingly plain that Elizabeth was not yet prepared to take sides. Of Orange and his adherents, as of all other rebels, she entirely disapproved. She was ready to make use of them, in case it should be absolutely necessary to do so, in order to enable her to defend her own shores, and she certainly did not propose to have them become the cat's paw of France; but as neither of these two contingencies seemed imminent, Elizabeth relapsed into the old congenial game of marking time.

The story of the relations of France to Spain in the Low Countries during this period is also inconclusive for the time being, though ominous for the future; it centers from first to last around the person of the last of the Valois, the younger brother of Henry III, the Duke of Alençon and Anjou. In the early spring of 1576 this wretched scion of royalty had burst into prominence by successfully leading a party of 'Malcontents' in France, and extorting from the crown terms highly favorable to the Huguenots by the celebrated peace of Monsieur (April 27); it was on this occasion that he received the duchy of Anjou as a part of his reward, and thereafter he was generally known as the Duke of Anjou, without the title of Alençon which he had borne before. Since he had struck such a shrewd blow for the new faith in France, it was but natural that he should attract the favorable attention of Orange. On May 6, 1576, two months after the death of Requesens, a document was drawn up, under Orange's direction, stating the terms under which the Estates of Holland and Zealand were willing to accept Anjou as their count and hereditary sovereign. For some time the Duke hesitated. He was fully alive to the perils of any enterprise against the government of Philip II; he had no real love for Protestantism; he dreaded the disapproval of Queen Elizabeth, whom he had aspired, since 1572, to make his wife. But the consequences of the 'Spanish Fury' at Antwerp were a telling argument for immediate action; from that moment, as has been well said, the Duke "regarded the Low Countries as his certain prey". His secret agents began to flood the Netherlands, and, on October 19, a correspondence began between him and the rebels which was practically uninterrupted until the day of his death. It is unnecessary to follow all the ebbs and flows during the governorship of Don John. The main things they proved were the inadequacy of Anjou's abilities and the shallowness of his character; at one moment, indeed, he came near to being utilized as an opponent of Orange to the advantage of Spain. Elizabeth did her best to checkmate him at every turn. Not yet did he make war against Spain in the Netherlands, as was later the case, with her approval, in order to save her the trouble of doing so herself, and in some faint hope of winning her hand; for the present she seemed bent on keeping him out of them altogether. It looked, in fact, as if the ancient jealousies of France and England might come to the rescue of the Spanish Empire once more, and at a time when its leaders were far less competent than in earlier days. But it was not destined to be so. The period of Don John was not to close without witnessing the definite achievement of one more stage, slight, perhaps, in itself, but significant in its implications for the future, along the road to foreign

military intervention against Spain in the Netherlands. Fear that, unless they came to terms with him themselves, he might be betrayed into doing harm to their cause, led the States-General, under Orange's guidance, on August 13, 1578, to put their signature to a definite treaty with Anjou. The Duke was to maintain in the Netherlands, at his own expense, an army of 10,000 foot and 2000 horse for three months; he was to take the title of 'Defender of the Liberties of the Low Countries' against the tyranny of the Spaniards and their adherents; but he was, for the time being, to bear no part in their government. If Philip should be deposed, the Estates promised to place him first in the line of succession. If they should make peace with the king of Spain, they would recognize their great indebtedness to him, and reward him accordingly.

After such a long and complicated tale as this chapter has had to tell, it may be worthwhile to devote a couple of paragraphs to a summary of results, more especially as the attention of the reader in the ensuing pages is to be invited to the state of affairs in a very different corner of Europe.

The outstanding fact had been the progress of dissatisfaction and revolt in the Netherlands. Philip had accepted them, much against his will, from a sense of filial duty, and in flat defiance of the most obvious dictates of racial, geographical, and political expediency. He had alternately tried terrorism and conciliation, and had miserably failed in both. Revolution had broken out and was progressing, and was attracting the favorable attention of France and England, not so much because of any sympathetic comprehension on their parts of the aims of the revolution itself, as because of the opportunity it offered them to put a spoke in the wheel of Spain. France was much less menacing than she had been twenty years before, when she had been fighting Philip under a strong and powerful king and supported by the see of Rome; on the other hand, though she might now be internally weak and disrupted, she possessed an opportunity to strike at Spain in the Low Countries which had not been available for her in the days of Henry II, and the tradition of hostility between the Hapsburgs and the Valois was by no means yet extinct. With England the situation was infinitely worse. A score of years earlier Philip had been king consort there, the husband of a queen who gloried in the fact that it had been vouchsafed to her to restore her errant subjects to the see of Rome. Now he had been thrust forth, rebuffed, and insulted by the heretic government established after the death of Mary Tudor, and was regarded as an open enemy by the mercantile and seafaring portion of the population, however much the cautious lady who occupied the English throne might choose to preserve the appearances of friendship. But here too the ancient traditions came in—though in this case their operation was the reverse of that in the case of France—to cloud the issue as it presented itself to Philip's mind. The Anglo-Spanish tradition, for nearly a century past, had on the whole been one of amity and alliance, and Philip, who was far more alive to the significance of historical precedent than to inherent probabilities for the future, could not bring himself to believe that the old ties would be easily snapped. He underestimated the latent peril from the Tudors even more than he overestimated the more open menace from the Valois.

A brief glance over the rest of Europe will serve to make still clearer the picture as Philip saw it in the last months of the year 1578. For the 'plague spot' in the Netherlands and its attendant vexations, his outlook was exceedingly bright. The Counter-Reformation had run its first triumphant course; indeed, the elements were all at hand which were soon to produce a fresh recrudescence of it. Spain and the 'rechristianized' papacy were once more moving hand in hand; there might be minor squabbles and conflicts of jurisdiction, but there could be no doubt that Rome now looked to Madrid for support and guidance, and to Philip as her lay

champion, in a manner and to an extent that she had never done before. The imperial throne, after two occupants who had tended to be disagreeably lenient to heretics, was now held once more by a fanatical Catholic, and Spain's Italian possessions were generally in good order. The navy of the Turks had been laid low at Lepanto, and Spanish maritime supremacy erected in its place. The Philippines had been conquered and annexed, and the Spanish-American colonial regime was operating satisfactorily, according to the standards of that day and generation. And finally, in midsummer, 1578, two short months before the death of Don John of Austria, it so happened that an opportunity had suddenly presented itself to gain for the Spanish monarchy an extension of territory which would cause its predecessors to seem but puny in comparison—an extension, which, if obtained, would make the Spanish Empire by far the largest that the world had ever seen. The winning of this great prize occupied most of the next few years of the life of Philip II. Its successful accomplishment carried him to the climax of his power, and will demand our attention during the ensuing chapter.

CHAPTER XXXV  
THE ANNEXATION OF PORTUGAL

WE have several times remarked that Philip, in obedience to the wishes of his father, had made it the object of his policy during the first twenty years of his reign rather to guard his inheritance than to attempt to enlarge it. Whatever his methods, it is impossible to deny that the struggle which he was carrying on in the Netherlands and its attendant quarrels with England and with France were all of them, in his eyes, of a distinctly defensive character; he was but putting down rebels and those who aided and abetted them. Even Lepanto, if envisaged historically, could scarcely be regarded as an aggression; moreover, the benefits of the victory were shared by the whole of Western Europe. The sole real extensions of territory that Spain had achieved in the first half of Philip's rule were the enlargements of his American possessions and the acquisition of the Philippines, and these had not been won at the expense of any European power; they were simply the logical fulfilment of Spain's high destiny to carry the Cross to the heathen. Down to the year 1578 Philip could honestly maintain that he had played a strictly defensive game.

This predominantly defensive character of the king's political program was accurately reflected in the choice of his most intimate advisers. The Emperor, it will be remembered, had left him a full account of the merits and defects of his various counsellors, and had warned him against the danger of giving any one of them full sway; but, down to his death in July, 1573, it was Ruy Gomez da Silva, Prince of Eboli, who enjoyed Philip's confidence more than anyone else, and Eboli's policy was in general that of the maintenance of the status quo. Ruy Gomez was of an ancient Portuguese house, and had come to Spain, while yet a child, in the train of the Empress Isabella. At her desire he had been detailed to the household of the baby Prince Philip; he had helped to dress and to undress the heir to the throne; he had accompanied him wherever he went, and usually slept in his room; there naturally grew up the closest intimacy between them. In 1553, as a further mark of the royal favor, he was permitted to contract marriage with Anna, the daughter of the Prince of Melito, of the great house of Mendoza; as the bride, however, was less than thirteen years old at the time of the wedding, she was separated from her husband, in accordance with the common custom of the time; not till the end of 1559, when Silva came back with Philip from the Netherlands, did the pair live together as man and wife. They had ten children in the next twelve years.

It was but natural, then, that on Philip's return to Spain the Prince of Eboli should become his principal adviser. Of his absolute devotion to the king there could be no doubt. Their views on the conduct of the government coincided. "Ruy Gomez and [his] faction ... rule all alone", wrote an English observer in 1563; he "does more than all" the rest, reported another in 1565. Until 1567 the Duke of Alva might possibly have maintained that he headed a war party in the Council in opposition to him; but when in that year the Duke was sent off to the Netherlands, the ascendancy of Eboli became more obvious than ever. Buy Gómez was in fact the only minister the king ever had who was really permitted to exercise any measure of independent authority. But Eboli was not satisfied with the establishment of his own preeminence; he laid his plans to pass it on, after his death, to those who shared his views on the conduct of the government. First it was his creature the secretary Francisco de Eraso, whose career was ultimately ruined by his peculations. Then for a brief space it was Cardinal Espinosa, whose insolence mounted so high that he was insulted in full Council meeting by the king, went home to his bed, and died the next day. Bernardo de Fresneda, bishop of Cuenca, and confessor to the king, was another of the same school. But by far the most famous

and ablest of them all, and the one who came nearest to succeeding to the place which became vacant on Eboli's death in 1573, was the fascinating Antonio Pérez, the illegitimate son of Gonzalo Pérez, archdeacon of Sepulveda, who had been a favorite of the Emperor and was recommended by him to Philip II.

This Perez was born in 1534, and eight years later received a patent of legitimacy from Charles V. he was given an excellent education, both at Alcalá and beyond the Pyrenees, and was early associated with the work of the government, where his keen intelligence, wealth of expedients, and almost unlimited capacity for hard work soon won him favorable attention. More and more did Eboli depend upon him; more and more did he instill into him his ideas; more and more obvious did it daily become that it was Pérez who was destined ultimately to fill his place and continue his policy. Philip had been thoroughly won over to him by the time that Eboli died. Men marveled at the way in which the young upstart was suffered to beard the Duke of Alva at the royal dining table; and the canny ones took note of the fact that it was Perez who decided, when the dispatches of the day were deciphered, what matters were to be communicated to the Council, and what matters were to be reserved for the king. It was also by Pérez that the evil custom of the sale of public offices, which had rapidly increased since the days of the Emperor, was for the first time erected into an established system; he was entrusted with the distribution of the plums, and was liberally rewarded by the recipients. So rapid a rise not unnaturally made him enemies. After he had been in the saddle two or three years, the elements began to combine which were destined to effect his overthrow, and ultimately to give a new and totally unexpected trend to the development of the Spanish Empire.

The events which led to the murder of Juan de Escovedo, the confidant of Don John of Austria, on the night of March 1, 1578, and the effects which it produced, have been recounted many times, in different ways, by many different historians. There is no lack of material bearing on the case; the sole real difficulty is what to believe. The story that is told by Mignet, Gachard, and Forneron, and received the most general acceptance until the latter part of the nineteenth century, lays the original blame at the door of the Princess of Eboli. It represents her as having been the mistress of Philip, as well as the wife of his chief minister; it emphasizes the fact that one, at least, of the children she had supposedly borne to her husband was strikingly like the king; it then goes on to point out (what no one, except Froude, denies) that after the death of her husband she also became the mistress of Pérez, whom she vastly preferred to Philip, that their liaison was discovered one day in dramatic fashion by Escovedo, and that Pérez felt it necessary to have him made away with in order to prevent him from reporting the facts to the king. Finally it describes how Pérez, by a diabolically clever series of false reports and insinuations, so succeeded in poisoning Philip's ear against Escovedo, that he ultimately obtained a formal written order to have him assassinated, thus converting what had been a grave peril to his own position into an actual strengthening of it; for he now had evidence which he could hold in terrorem over the king, if ever the latter should seem inclined to abandon him. But other eminent historians, among them Ranke, Froude, and Lafuente, have rightly pointed out that it was not very likely that a woman who had become the mother of ten children within the space of twelve years, and wore a black patch over her right eye, should have been capable of commanding the allegiance both of the king and of his chief minister. They also emphasize the fact that the circumstances under which Pérez was subsequently to write his *Relaciones* make it difficult to put faith in their veracity; but they are confronted, in turn, with the serious difficulty of explaining the reasons for the murder of Escovedo. In general, they take the line that Perez suddenly became jealous of Escovedo's prominence at the court, and so worked on Philip's dread of his influence with Don John that he finally persuaded the king to give orders to have him put out of the way, and this is perhaps the least

improbable solution; at any rate the deed was done; six armed men, all hirelings of Perez, lay in wait for Escovedo in the streets of Madrid at night, killed him with a single thrust, and reaped their promised reward. The position of the king's minister now seemed established beyond the possibility of further challenge.

But the inevitable jealousies were speedily aroused. No one was particularly anxious to avenge Escovedo, but many coveted for themselves the place that Perez had obtained, and resolved to utilize the situation for the purpose of depriving him of it. Perhaps the most dangerous of these aspiring rivals was the crafty Mateo Vazquez de Leca, a miserable orphan of Seville, who had made a name for himself as an informer, and subsequently became one of the secretaries of the famous *Junta de Noche*; he convinced himself that, by insinuating that Perez had persuaded Philip to sanction the murder of Escovedo under false pretenses, he would ultimately be able to undermine the influence and position of the minister with the king. But it was a long time, as usual, before Philip could be induced to act, and the blow finally fell when it was least expected. All through the spring and early summer of 1579 he continued to assure Pérez of his confidence and friendship; on the night of July 28 he labored with him over sundry papers until ten o'clock, and ordered him to return for further work on the following morning. An hour after he had left the king, Pérez was arrested and taken to the house of one of the royal *alcaldes*. Shortly afterwards the Princess of Eboli, who had gone out with the intention of passing the night at Pérez's house, was informed of what had happened, and made haste to return to her own home, to find the royal *alguaciles* awaiting her at her door. She was speedily sent off to the Torre de Pinto, three leagues south of Madrid, where she suffered so cruelly that she finally obtained permission to repair to her own castle of Pastrana and remain a prisoner there.

Philip had not struck in this dramatic fashion without careful preparation and forethought. The arrest of Pérez was more than the end of a ministry; it marks the termination of a whole policy and system of government, which for lack of a better name we may call the Eboli system. The essential principle of that system, as we have, already pointed out had been the maintenance of the status quo; it was the principle which Charles V had recommended to Philip in his instructions; it was the principle which Eboli under Philip's guidance, had developed; it was the principle which Pérez had inherited from Eboli. Now it was to be thrown over and exchanged for a different policy, more positive, more adventurous, more imperial. This exchange and its consequences, moreover, mark a deep dividing line, not only in the reign of Philip II, but also in the whole history of the development of the Spanish Empire; in a sense it was the beginning of the push over the precipice. We have summarized the unedifying story of the personal jealousies and secret intrigues which furnished the background for it as seen from Madrid; now we can turn to the far more significant task of seeking to discover what the deeper reasons for it were. The murder of Escovedo was by no means the only, or even the most important, cause, of the ruin of Antonio Pérez. Just at the time when the events we have been recounting reached their climax, a new opportunity for imperial aggrandizement had presented itself to Philip, which he was determined to embrace, and which he was convinced from the outset would render indispensable to him the services of a man of much larger vision than the lover of the Princess of Eboli. Exactly one year after the murder of Escovedo, and four months previous to the arrest of Pérez, Philip had written to Rome to call Cardinal Granvelle across to Spain to bear aid in the tremendous task of annexing the empire of Portugal. It was not without significance that he was unable to find a Spaniard to help him in the solution of a problem so exclusively Iberian.

We have not encountered Cardinal Granvelle since the early days of Margaret of Parma and the Consulta in the Netherlands, whence it will be remembered that he had been given permission to 'retire' in the year 1564. The next twenty-one months he spent in his native city

of Besançon in Franche Comté, vainly striving to busy himself with the patronage of letters and art, and really eating his heart out for lack of a political office in which he could give free scope to his talents for ruling men. A rather reluctant command of Philip, and the call of the conclave for the choice of a successor to Pope Pius IV, gave him an excuse for departing for Rome in the last days of 1565. The election of Pius V took place while he was on his way there, but after his arrival he found useful and honorable employment in connection with the preparations for the great effort against the Turk which was to culminate so gloriously at Lepanto; no small share of the credit for that great victory belongs, in fact, to him. From Rome, in April, 1571, he was sent to Naples to assume the office of viceroy left vacant by the death of the Duke of Alcalá; and there, during the next four years, he wrestled manfully with the proverbially various and vexatious problems associated with the administration of that most difficult of Spain's Italian dependencies. When in May, 1572, a new conclave was called at Rome to elect a successor to Pius V, he hastened thither, and within nineteen hours of his arrival brought about the unanimous choice of Gregory XIII. One reason, indeed, why Granvelle was so successful in Naples during the next three years was because his services to the new pontiff gave him amity and cooperation in a quarter in which, according to the Neapolitan tradition, there had always been hostility and distrust. His friendship with Gregory, moreover, was of the utmost value to him when in the spring of 1575 he was sent back to Rome to give help and advice to the resident Spanish ambassador. So high did he stand in the confidence of the Pope that he soon took precedence over all the rest of Philip's representatives on the ground. The king was forced to recognize the value of his services and the wisdom of his counsels as he had never done before and asked his opinion with increasing frequency and insistence.

Granvelle was at this time some sixty years old. His character had been tested by prosperity and adversity, and his knowledge of mankind had been ripened by experience. He had been brought up to believe that the incontestable supremacy of the house of Hapsburg was a cornerstone of the development of Europe, indeed, almost an essential to the maintenance of civilization, and he never wavered in that faith. If his own dominant characteristic was a fondness for ruling, he never dreamed of trying to exercise it except for the advancement of his Hapsburg master; of his loyalty to the dynasty there could be absolutely no doubt. That the supremacy of the Hapsburgs should have become of recent years so inseparably associated with Spain was doubtless in his eyes a misfortune. He would have preferred the picture as it was in the early part of the Emperor's reign, with the center of gravity north of the Pyrenees; in view of his origin, that could scarcely have been otherwise. But it was not in Granvelle's nature to waste time and energy in vain efforts to alter established facts. Fate had decreed that the policy of the house of Hapsburg should be directed, for the time being at least, from Madrid and the Escorial; from Madrid and the Escorial it was therefore necessary to take orders. The most he could permit himself to do was to caution his sovereign against the dangers of too rapid an Hispanicization of the principles and personnel of the government of the Low Countries. Now, however, in midsummer, 1578, an event had occurred which threw the affairs of the Netherlands, hitherto all-prominent, into the background; the center of interest was to shift from the Low Countries to the Spanish peninsula. The next act in the great drama of *Austriae est imperare orbi universo* was to be performed on Iberian soil, and it was the irony of fate that the Burgundian Granvelle, who had proved insufficiently Spanish to satisfy his sovereign in the Netherlands, should have been selected, to the exclusion of aspiring Spanish rivals, to bear aid in the gathering in of the Portuguese inheritance. Was it because twenty years of the Eboli system had exterminated the generation of great empire builders in Spain that Philip found it necessary, now that a fresh opportunity for vast expansion suddenly revealed itself, to bury all his inherited prejudices against foreigners, and to call in, to help him grasp it, a chief minister from outside?

Ever since the attainment in the twelfth century of independent national existence by the kingdom of Portugal, the sovereigns of Castile had persistently striven to reannex it. During the thirteenth and fourteenth centuries they sought, for the most part, to gain their end by war; but the battle of Aljubarrota was a lesson not easily forgotten, and from 1385 onward they generally preferred to have recourse to the pleasanter method of marriage. John II of Castile married a Portuguese princess, who became the mother of Isabella the Catholic. Isabella, the eldest daughter of the Catholic Kings, married Affonso, the son of King John II of Portugal, and after his death in 1491, his cousin King Emmanuel the Fortunate; after her death in 1498, Emmanuel married her younger sister Maria, and after Maria's death in 1517, he took as his third wife her niece Eleanor, the sister of Charles V. Charles V's wife was his first cousin Isabella of Portugal, the daughter of Emmanuel of Portugal and of his second wife Maria; and Philip II's first wife was also his own first cousin, Maria, the daughter of his aunt Catharine and of the Portuguese King John III. But despite all these efforts to unite the two dynasties, a Portuguese heir with an unimpeachable title, had hitherto been invariably on hand to keep all Castilian claimants from the Lusitanian throne. During the first twenty years of the reign of Philip II it was his nephew Sebastian, the son of his sister Joanna and of his first wife's brother John, that blocked the hopes of the Prudent King for a reannexation of the western realm.

There can be no doubt that the ambitions of the Castilian sovereigns to gain control of the destinies of Portugal were enhanced by the speed at which the value of the prize increased before their eyes. Portugal in the days of Aljubarrota had been but a little strip along the west coast of the Iberian Peninsula; now, in the days of Philip II, it had become one of the mighty empires of the world. The genius of Henry the Navigator had furnished the inspiration; the capture (1415) of Ceuta, across the Strait of Gibraltar gave a starting point; by the time that Columbus had discovered the New World, the Portuguese had occupied the Azores, the Madeira group, and the Cape Verde Islands; they had slowly felt their way down the west coast of Africa, establishing trading posts as they went; they had rounded the Cape of Good Hope and reached Algoa Bay in 1486. In the next two decades their advance was more rapid still. We have already spoken of Cabral and of his discovery of Brazil, and of the Tordesillas Line by which Portugal was given title to it, but that, in the eyes of the Portuguese of that day, was only a subsidiary affair; the Spaniards, after all, had got ahead of them in the West; what they desired was to find an eastern route to India and the Spice Islands. In addition to circumnavigating the Cape of Good Hope, they had begun to investigate the possibilities of getting to the East by the Red Sea. In 1488, Pedro da Covilham had crossed from Aden to Cananore, whence he had passed on to Calicut and Goa, and thence recrossed to Sofala in Africa. Then in 1497 came the great voyage of Vasco da Gama, the reaping of the fruits that had been sown by undaunted predecessors. Rounding the Cape of Good Hope in November of that year he passed up the east coast of Africa, covering the still unexplored stretch between Algoa Bay and Sofala and completing its circumnavigation. Then, from Melinde, where he obtained a pilot, he crossed in twenty-three days to the Malabar Coast near Calicut, where the jealousy of the Arab traders already established on the spot prevented his being given the most cordial of receptions; thence he passed north to Cananore and Goa, and finally got home to Lisbon in September, 1499. It was a magnificent achievement, and a fit subject for the greatest of Portuguese epics, but if it was to be utilized to the full extent of its possibilities, it was essential to follow it up. The unfriendliness of the rajah of Calicut promised to spell the ruin of the great scheme on which the Portuguese sovereigns had already staked their fortunes, namely, to seize the monopoly of the commerce of the Eastern Seas, and to prevent all others from navigating thereon; and the lesson was reenforced by the experience of Pedro Alvares Cabral, who, after touching at Brazil (April, 1500), had also made his way to Calicut. In his case there had been far more than latent hostility there; actual fighting had taken place. The inference was obvious. A great military demonstration was imperative, and in February, 1502,

Vasco da Gama was again sent out to make it. Quiloa on the East African coast and Calicut in India were successfully bombarded. Rival fleets were burnt and their crews tortured. Cochin, Quilon, and Cananore were forced at the cannon's mouth to renounce all commercial relations except with the Portuguese.

Such action was not merely an affront to the rulers of East Africa and the Malabar Coast; it was a challenge to all those who had traded with them hitherto. The interests of the sultan of Egypt were affected, as were those of the merchants of Arabia and even of the republic of Venice. Hostilities on a far larger scale were inevitable in the near future; and in order to be fully prepared for them King Emmanuel sent out, in the spring of 1505, a fleet of twenty ships, with 1500 soldiers. Command was given to an experienced soldier, Francisco de Almeida, who was granted the title of viceroy of the Indies and was to hold office for three years. Those three years were filled with wellnigh uninterrupted fighting against African and Asiatic foes. Almeida was almost uniformly successful; so much so, in fact, that in 1508, when his term of office had expired, he refused to yield his authority to Affonso de Albuquerque, who had been sent out to take his place. Not till after he had established the supremacy of Portugal in India by defeating the Egyptian fleet in February, 1509, in a great battle off Diu, did he consent to hand over his authority to his successor. Albuquerque was the greatest of all the Portuguese empire builders. Less cautious than Almeida, he believed that his country was destined to be the mistress of the East, and he was convinced that in order to hold the Indian Ocean it was essential to obtain undisputed possession of all its principal ports, and especially of those which controlled access to it. He put this idea into practice on his voyage out to India. As he passed up the East African coast, he discovered Madagascar, which had hitherto been unperceived; in August, 1507, he seized the island of Socotra, and constructed a fort there. Ormuz in the next year he took but could not hold; but in February, 1510, he possessed himself of Goa, and made it the chief center of Portuguese power in the East. The following year he went on to Malacca, which he seized, thus gaining control of the access to the Spice Islands; thereafter he returned to the Malabar Coast, and in March, 1515, put the cap-stone on the edifice of his former achievements by definitely establishing the Portuguese power at Ormuz. Nine months later he died at Goa, in the heart of the great empire whose chief founder he may claim to be.

None of the viceroys who succeeded Albuquerque during the next sixty years was anywhere nearly his equal; but their average level was distinctly high, and under them the work of extending and solidifying the Portuguese establishments steadily progressed. Various efforts, not particularly successful, were made to explore Africa; enough was discovered of Abyssinia to put an end to the famous myth of Prester John, which had been generally believed in Europe for centuries past. Portuguese navigators also penetrated to the heads of the Red Sea and the Persian Gulf; it was by them that the persistent delusions as to the color of the former were finally and definitely dispelled. More notable still were their achievements in the Far East. In the years after Albuquerque's seizure of Malacca the Portuguese circumnavigated Sumatra, passed on to Java, Amboina, and the Moluccas, visited New Guinea and Borneo, and, in all probability, touched the northern coast of Australia. They also followed up the shores of Asia to the northward. In 1518 they were at Canton, and in the next three years they sent ambassadors to Nanking and Peking. In 1542 they landed in Japan, and seven years later St. Francis Xavier began his efforts to establish the Christian religion there. But while the conversion of the natives was one of the chief objects of these early builders of the Portuguese empire, they did not attack the problem in quite the same way as did the Spaniards in the New World. Official Christianization was in their eyes indispensable for every one with whom they proposed to do business, in other words, for those who lived in or near the great centers of Portuguese power on the coast. No deviation or backsliding was tolerated, hence the cruel

persecutions which got the Portuguese such an evil name in the days to come. On the other hand, they made little effort to provide for the instruction of those that they had brought into the fold, and they scarcely troubled themselves at all about the natives of the back country. This policy was but the religious expression of the fundamental conception of the Portuguese Empire as an empire for trading purposes and little more. Provided its founders were conceded the monopoly of the commerce of the lands and the seas that fell within their line of demarcation, they were not, for the most part, anxious to assume further responsibilities. They made few serious attempts to penetrate into the interior of the lands on whose shores they had established themselves. They had no idea of forcing the local rulers and their peoples to acknowledge themselves subjects of their own home government in the way that the Spaniards had done in the New World; payment of a tribute was the utmost that they demanded. They trusted to a vast chain of coastal fortresses and trading posts to enable them to keep the commerce of the Orient exclusively in their hands, and with that they were content.

These scattered holdings were divided for purposes of administration into seven different provinces, comprising together the so-called *Estado da India*, and stretching around in magnificent succession from the Cape of Good Hope to Japan. The viceroy, who resided at Goa, had practically absolute power under the crown; he is correctly described by a contemporary writer as the “true king and God of India.” All the local authorities were responsible to him; the residencia which he was obliged to undergo at the expiration of his term of office was really a farce, for the consequences of making a complaint were likely to be so serious that it was the part of wisdom to refrain. The entire system was honeycombed with corruption. The pursuit of wealth was the universal aim, and every other consideration was sacrificed to it. Bribery became so common that it ceased to be regarded as a crime. If there was no other way of attaining the desired end, it was always possible to leave the native trader unpaid.

These conditions had their inevitable reaction on Portugal herself. In the first place, the colonial establishment which she was attempting to maintain was greatly in excess of her capabilities; the call for sailors and soldiers denuded the countryside and brought agriculture and pasturage to a standstill. The desire to participate in the trade of the Orient contributed in another way to produce the same results. Every one was determined to get to Lisbon, which was the center of it; the population of the capital increased by leaps and bounds, and its luxury and wealth were the marvel of all beholders. But this outward splendor failed to conceal from the keener minds the unsoundness of the foundation on which it rested. The country was flooded with African slaves, and manual labor was more and more despised. The best blood in the nation had been drained away across the seas; the army that was left at home was scarcely worthy of the name. Finally, King Sebastian, last scion of the house of Avis, was the despair of all who still hoped to escape Castilian bondage. He had succeeded his grandfather John III in 1557, at the age of three, but from his childhood onward “he had lacked the greatest blessing that a ruler can have, namely a wise man at his side in whom he could put his trust.” Though by no means destitute of fine qualities, he was a perfectly impracticable king. Leaving the government largely in the hands of others, he disciplined himself by rigorous military training and the severest forms of asceticism. The sole national enterprise which could evoke his enthusiasm was the project of a crusade against the infidels of Morocco; moreover, as all efforts to arrange a marriage for him proved unavailing, there was no hope of an heir to take his place.

It will be readily believed that Philip, who prided himself on the accuracy and completeness of his information on the course of events in every corner of Europe, had kept in

intimate touch with everything that had occurred in Portugal since his return to Spain in 1559. The closeness of the relationships between the courts of Lisbon and Madrid gave him an excellent excuse for doing this, and the Portuguese origin of the Prince of Eboli furnished him abundant means; but the instrument which he chiefly made use of for the purpose was his Portuguese counsellor, Cristobal de Moura. Moura had come to Spain in 1554, at the age of sixteen, in the train of the Princess Joanna, the sister of Philip and the mother of Sebastian, after the death of her husband John, the son of King John III. Like his compatriot Eboli, he had risen rapidly in the favor of the Spanish monarch; he was made a knight of Calatrava and later of Alcantara, and given a variety of important posts about the court; but, as the years went on, it became increasingly obvious that his principal sphere of usefulness would be in connection with the developments in his native land. In the autumn of 1565 he was sent thither to discover the truth of reports which had reached Madrid to the effect that Sebastian was sexually impotent. He was also very useful in helping to settle various differences which had arisen between those who were managing the government of Portugal during Sebastian's minority—his grandmother Catharine, his great-uncle Cardinal Henry, and his cousin Antonio, the prior of Crato; and he kept Philip fully informed of all the successive proposals which were made for Sebastian's marriage. The policy of the Prudent King all through this early period was perfectly consistent. He was determined, when the time came, to possess himself of the Portuguese inheritance. On the other hand, he had no intention of hurrying the inevitable, or of putting in his claims before his rights were clear. His sole object for the present was to keep himself fully apprised of all that was going on, and to be certain that nothing was done to the prejudice of his own lawful interests.

It was Sebastian's enthusiasm for crusading that brought matters to the final crisis; for the conquest and conversion of the Moors across the Strait of Gibraltar became, as he grew up, the one absorbing passion of his life. He made a reconnoitering expedition to Morocco in 1574, and would doubtless have started campaigning there and then, had it not been for the opposition of his grandmother Catharine at home, and the refusal of his uncle Philip to furnish him with the support for which he asked. On his return to Portugal he at once set about making ready for a renewal of the attempt. In December, 1576, there was held the famous interview between him and the Prudent King at the monastery of Guadalupe in Estremadura, rich in crusading traditions and in memories of the battle on the Salado. Once more Sebastian begged for his uncle's cooperation, and the latter did his utmost to dissuade his nephew from his intended enterprise, or at least from taking command of the expedition himself. But Sebastian refused to listen to counsels of caution; he went back to Lisbon and continued his preparations. The death (February 15, 1578) of his grandmother Catharine served to remove what had been a principal restraining influence, and the remonstrances of Philip's new ambassador, Juan de Silva, were impatiently waved aside. The situation in Morocco, indeed, was certainly such as augured well for his projects. The Turkish suzerainty there had not been established in any such fashion as at Tunis or at Algiers. The throne was disputed between a number of hostile claimants, non of whom bore any love to Constantinople, though some were not too proud to solicit its aid in effecting the dethronement of a hated rival. Since 1573 the legitimate sovereign, Abou-Abd-Allah Mohammed, had been sustaining an unequal contest against his two uncles, Abd-el-Malek and Abu-el- Abbas, who were supported against him by the Porte. So well had they succeeded that Mohammed, after several vain efforts to gather his adherents and induce them to make a stand, had passed over to Spain to get the aid of Philip II in the recovery of his dominions. During the spring of the year 1577, he and his victorious kinsmen across the Strait bid against one another for the friendship of the Spanish monarch; but Philip, who was fully alive to the perils of North African campaigning, was determined from the outset not to support the dispossessed claimant. Despite the fact that his enemies were, ostensibly at least, in alliance with the Porte, he felt that it was safer to let them alone, and

urged Sebastian to follow his example. But the Portuguese king remained deaf to Philip's advice. His crusading ardor was deeply stirred. The fact that the actual rulers of Morocco were supported by Constantinople was in his eyes an added reason for attacking them, and the promise of Mohammed that, in case he was successful, he would turn over the port of Arzila to his Christian allies, did the rest. Sebastian threw himself heart and soul into preparations for an expedition in the following spring. He was undismayed by the difficulty of finding soldiers to accompany him and of collecting the necessary funds. He applied to Philip for help and obtained a certain amount of munitions and supplies; nothing, however, would induce the Prudent monarch to furnish the detachment of troops which was requested; the state of affairs in the Low Countries was made an excuse. Until the last moment Philip continued to urge, through his ambassador, Silva, that the enterprise be abandoned, or, at least, that Sebastian himself should not take part in it in person; if it ended, as he foresaw, in disaster, he was determined that the fault should not be laid at his door. But Sebastian continued to ignore his uncle's remonstrances. In June, 1578, he finally got his army together—a motley horde of some 20,000 Portuguese, Italians, and Germans; on the 25th, amid magnificent ceremonies, the expedition left Lisbon. After a five days' wait at Cadiz it landed at Arzila, where Mohammed, who had gone on ahead to make preparations, was awaiting it with but an insignificant portion of the troops that he had promised to provide.

The story of the next few weeks is briefly told. The rashness of Sebastian was only equaled by his ineptitude. He took no pains to establish his base on the coast, or to guard his lines of communications. Insulting messages which reached him from his enemies confirmed him in his determination to seek them out wherever they were, no matter what the risk. He declared that he wished to “conquer with peril”; if any one advised caution he attributed it to cowardice. The taunts of his foes made him abandon the strong position which he occupied near the coast, and advance inland across a stream which was spanned by a single bridge; no sooner had he reached the other side than a squadron of the enemy's cavalry circled around behind him and cut off all retreat. Finally, on August 4, 1578, the hostile armies encountered one another on the great plain of Alcazar-el-Kebir. During the first moments of the conflict one of the two rival uncles of the infidel pretender, who had been seriously ill for weeks before, died in the litter in which he had been brought to the fight; but the fact was so well concealed and the plan of the battle had been so carefully laid that the Christians were in headlong retreat before the fact was known. A panic seized Sebastian's forces; within two hours they were scattered in ignoble flight. Many were cut down by the weapons of their pursuing foes; more were drowned in the river that barred their retreat, among them, in all probability, Sebastian himself, who, though the Portuguese for a long time refused to believe his death, was never seen alive again; his protégé, Mohammed, also perished in the waves. Mohammed's surviving uncle, Abu-el-Abbas, gathered in all the fruits of the victory and established his dynasty in Morocco in such fashion that it was not to be challenged for years. So weary were the Christians of North African campaigning that there were numbered among those who came to congratulate him on the establishment of his authority, if we may believe the contemporary Moorish chronicle, both representatives of Philip II and also of the regency in Lisbon.

The sad news reached the Portuguese capital on August 17, and eleven days later Sebastian's great-uncle, the Cardinal Henry, the last male scion of the house of Avis whose descent was unquestionably free from the taint of illegitimacy, was proclaimed king; but as he was then in his sixty-seventh year and bound by his clerical vows of celibacy, it was obvious that his reign could be only the briefest of stop-gaps. The opportunity, in other words, which Philip and his predecessors had long desired seemed now at last to have actually arrived for the Spanish monarch, as the son of the Empress Isabella, the eldest daughter of Emmanuel the

Fortunate, had unquestionably the best legal right to the Portuguese throne as soon as Cardinal Henry should die. But there were many rival claimants. First of all there was Antonio, the illegitimate son, by a converted Jewess, of Louis, the brother of Cardinal Henry and the Empress Isabella. Antonio had entered the order of the Knights of St. John of Malta and was prior of the rich commandery of Crato; he had accompanied Sebastian to North Africa, and was for the moment a prisoner in the hands of the Moors, but he finally escaped in the autumn of 1579, and was to be a thorn in the side of the Prudent King for many years to come. More immediately dangerous was Catharine, the younger daughter of Cardinal Henry's younger brother Edward, a woman of high abilities and ambition; but her worthless husband, the Duke of Braganza, was ultimately to ruin her chances, and her son, the Duke of Barcelos, was in the hands of the king of Spain. There were also three other candidates from abroad; Banuccio Farnese, the son of Alexander of Parma, whose mother, Maria, was a sister of Catharine of Braganza; Emanuel Philibert, duke of Savoy, who was the son of Cardinal Henry's elder sister Beatrice; and, finally, Catharine de' Medici, who claimed descent from the mediaeval Portuguese King Affonso III and his repudiated wife, Matilda, Countess of Boulogne. None of these, however, had any idea of seriously prosecuting their claims; they only put them forward in the hope of extorting from the Spanish king counter-concessions which might prove useful to them in Italy and elsewhere. So strong in fact, during the first weeks of Cardinal Henry's rule, did Philip believe his position to be, that he began to cherish hopes of gaining his ends without a fight.

Much depended, of course, on the attitude of the Portuguese themselves, and Philip spared no pains to turn it in his favor. Foreseeing the probable outcome of Sebastian's expedition, he had taken his first measures before his nephew's death; thereafter he redoubled his efforts. Legists, theologians, and professors were drafted from all over Europe to demonstrate the justice of his cause. Letters were written to Lisbon and the other principal cities of Portugal to express his sorrow at the death of Sebastian, to remind them of his close relationship to the house of Avis, and to profess his affection for the Portuguese. But he found the most efficient instrument for the attainment of his ends in Cristobal de Moura. Moura was convinced that the sole possible salvation for Portugal lay in reunion with Castile. His intimate knowledge of his native land told him where and how the most effective blows could be struck, and he was furnished with an abundance of money, most of which he used to gain popularity for his master by ransoming the prisoners who had been captured at Alcazar-el-Kebir; the rest of it he distributed in well placed bribes. The evidence in regard to the measure of success which these efforts attained is most contradictory, but it seems probable that they counted for much. At the outset there was undoubtedly much opposition; for the age-long struggle of the Portuguese to maintain their independence made them naturally regard the Castilians in the light of hereditary foes; "rather would we become Frenchmen, Englishmen, or even Turks than Spaniards", said the Portuguese ambassador in Madrid to the Venetian envoy Morosini in February, 1579. But in the ensuing months the tide began to turn the other way. In November Antonio of Crato, who had just got back from his captivity in North Africa, wrote in deep discouragement to the French ambassador, Saint-Gouard, that "the king of Castile is certain to reign over the Portuguese; all of them have been won over to this solution". And in February, 1580, the writer of the Fugger news letters from Lisbon declared that "the struggle cannot last long, for all the best people here are in favor of Spain, but dare not let it be seen... When the King of Spain appears here with his army he will be better received than he expects... I fancy the authorities set up in this country have an understanding with the Spaniards. I have no doubt that Spain will take possession of Portugal, as is fitting. I hope that then there will be better government and better business."

Meantime, in the winter of 1578-79, it became evident that the Portuguese problem, though apparently exclusively Iberian, could not be solved without affecting the course of European politics beyond the Pyrenees. So mighty were Philip and Spain that any further increase of their power was bound to be regarded with dread by the other European states, all of which were eagerly looking for an excuse which would justify their interference in opposition to the Prudent King. Such an excuse was finally furnished by the news that Cardinal Henry, who, though at first anxious to prevent the succession of the Spanish monarch, was by no means wholly friendly to any of the other pretenders, had resolved to seek dispensation at Rome from his vows of clerical celibacy, in the hope that he might possibly be able himself to produce an heir; though this was regarded in Madrid as practically out of the question, it was also apparently believed there that, in case the dispensation were secured, it was possible that the aged claimant might be provided with a pregnant wife. At any rate Philip was determined to prevent, if possible, the granting of this dispensation, and sent envoys to Rome to effect that end. Pope Gregory was thus placed in an exceedingly awkward position. It had been his hope to keep entirely out of this difficult Portuguese affair, for he was unable to determine which side he hoped would win. On the one hand, he dreaded to see the power of Spain, already excessive, still further enhanced; on the other, he was reluctant to offend Philip II, whose support was essential to his policy in other parts of Europe. The situation was further complicated by the French, who did their utmost to advance the granting of Cardinal Henry's dispensation; it was also much affected by the fact that the term of the clerical subsidy in Spain, indispensable to Philip, expired in 1579, and that Gregory obstinately refused to renew it; indeed, the Pope persisted in his refusal until November 6, 1581, when he yielded in the hope of securing Philip's support in the affairs of England. The Prudent King's contemporaneous policy of seeking peace with the infidel, the status of the Neapolitan *exequatur* and a host of other traditional points of difference between Rome and Madrid became involved in the problem; but Philip, though he showed himself willing to yield on a number of minor details, adhered rigidly to all his chief contentions; and nothing would induce him to hear of a plan, which was put forward at one stage of the proceedings, to place the final decision of the whole Portuguese question in the hands of the Pope.

Such then was the situation of Spain's foreign and internal affairs which determined Philip, on March 30, 1579, to call Cardinal Granvelle from Rome to the position whence he had already determined to dismiss the lover of the Princess of Eboli. The tone of the letter, countersigned by Perez himself, which summoned Granvelle is very urgent; "I need your person and your help", so it runs; "The sooner you come the happier I shall be". Granvelle, when he first received it, was in some doubt if it would be wise for him to accept. He had never been popular with Castilians, and he was by no means certain exactly how Philip intended to utilize him; but personal ambition and loyalty to the house of Hapsburg decided the issue, and on April 20 he wrote to the king that he would soon be on his way to Madrid. In Genoa he met with Juan de Idiaquez, who was to be the companion and continuer of his work; Idiaquez was thirty-nine years old at the time, and had been called home from the Spanish embassy at Venice to bear aid to the cardinal in the accomplishment of the great task that awaited him. Such were the delays of travelling in those days that it was not until July 8 that the pair reached Barcelona, whence they were ordered to proceed at once to the Escorial. When word reached Philip that they had actually landed, he made haste, as we have already seen, to dispose of Pérez and the Princess of Eboli, on July 28-29; a few days later he received the cardinal "as a deliverer" at San Lorenzo. The last vestiges of the old defensive Eboli peace party had been destroyed. Philip was to have the priceless aid of an able, energetic, and aggressive statesman in the launching of his new policy of imperialism.

It naturally took Granvelle some months to get used to the details of his new office. Moreover, he was particularly careful to seek to give the appearance of keeping his hands off Portuguese affairs, in order to avoid rousing the jealousy of the Spaniards, who could not understand why Philip had selected a Burgundian as his principal adviser. Not until matters came to a crisis with the death of Cardinal Henry in the following year did the new minister take the lead in the question of the hour; the last five months of 1579 were really a period of preparation for the moment when decisive action should become necessary. Ostensibly they were filled with a struggle of opposing factions around the throne of Cardinal Henry, whose hopes of a dispensation from Rome had by this time vanished, and who was consequently faced with the problem of deciding to which of the rival candidates for the succession he should lend the advantage of his own support. In the preceding April he had summoned the Portuguese Cortes to Lisbon, to select eleven judges to pronounce upon the validity of the conflicting claims. As was natural, Philip replied by assembling another body of legal lights at Madrid to give their verdict on the same question. The Portuguese body decided in favor of Don Antonio, of whose legitimacy, despite all the evidence to the contrary, they professed themselves satisfied; but Cardinal Henry, who bore no love to the prior of Crato, and whose hostility to him was further enhanced by the representations of the envoys of Philip II, refused to accept this verdict, and banished Antonio from his court. The Spanish jury, as had been inevitable from the first, gave its decision in favor of the claims of the Prudent King. During the early autumn, the sentiments of Cardinal Henry veered steadily more and more in the direction of the Spanish candidacy. The influence of his Jesuit confessor, who had been won over to Philip's cause, counted for much, as did the rumors which reached him of the military preparations which the Spaniards were making to enforce their claims, if necessary, by the sword. But the partisans of Antonio were loud in their disapproval, and finally in the end of October Cardinal Henry, who felt that his days were now numbered, and was desirous above all of having the matter peacefully settled before he died, took the advice of the Pope, and once more summoned the Portuguese Cortes to Almeirim, in the hope of arriving at a solution satisfactory to all concerned. When the assembly met on January 9, 1580, the cardinal came out strongly for the claims of Philip II; most of the clergy agreed, and also the majority of the nobility, whom Moura had won over by his bribes. But the representatives of the people, still unable to endure the thought of subjection to a Spanish monarch, begged the cardinal to nominate his own successor, and promised to accept any one he should select, provided only he should be a Portuguese; and when Henry refused, they produced historical precedents to prove that, on the extinction of the male line of Portuguese kings, it belonged to the Cortes to settle the succession to the throne. Apparently they still desired that the choice should fall on Antonio, who was in hiding at the time, but whose claims were so strong that Philip offered him an annual pension of 50,000 ducats and a governorship if he would renounce them. Finally, on January 31, 1580, the event that had been so long expected occurred, and the old king-cardinal died after a reign of one year, five months, and five days. He left behind him a regency of five members to govern the realm until his successor should be chosen. Of the five, three were already won over to the support of Philip, and though they did not dare openly proclaim their position for fear of arousing the popular wrath, they saw to it that nothing effective was accomplished towards putting the kingdom in a state of defense against him.

The irresolution and disruption of the government of Portugal had given Philip time to prepare his forces for the trial of strength which now seemed inevitable; and it was in the acceleration of these preparations that Granvelle rendered his first and greatest service. The king's new minister had seen from the outset how essential it was to be ready to invade as soon as Cardinal Henry should die, before opposition could be organized, and had busied himself with the task of making preparations to that end. First of all he attacked the problem of the Spanish national finances, which had been left in sorry condition by the administration of

Antonio Pérez. Not only was the treasury empty, it was burdened by enormous debts; speculation was rife; the Castilian grandees were in league with the foreign bankers to cheat the government out of the revenues that were justly due to it, and the prospects for the future were even darker than the existing conditions. All this was gall and wormwood to Granvelle, who lost no time in telling his worries to the king; but although Philip admitted the facts, he could not be induced to take any effective measures for reform. Money must somehow be found to pay for the army, and to supply Moura in Portugal with the means of bribery; but it was not to be by the stopping of corruption or the curtailment of expenses in other directions. The only method which Philip comprehended was that of further mortgaging the future; and Granvelle, whose knowledge of the principles of sound finance was as much in advance of his times as that of his master was behind them, must have been deeply cast down at the prospect. Not even these financial discouragements, however, could avail to shake his faith in the necessity for preparedness. He fully realized that foreign influences hostile to Spain were already at work in Portugal, and that the only way to make certain of success was to be ready to strike both by land and sea as soon as the fitting moment should arrive. Numerous efforts were made by the foreign representatives at Madrid to persuade the Spanish government that there would be no occasion for fighting; of these the majority—particularly those of France—were intended to lull Philip into a false sense of security and thereby give the Portuguese a chance to prepare to resist him; the Holy See, on the other hand, exhorted Philip to submit the case to papal arbitration. Until the death of Cardinal Henry these efforts had had some effect, and despite all the energy of Philip's new minister the military preparations had advanced but slowly. But after January, 1580, when the crisis became imminent and Granvelle's position and influence were securely established, a gratifying change took place. In the immediately succeeding months the Castilian nobles displayed real patriotism and began to raise little armies at their own expense, while the cities contributed troops, ships, and funds. The Portuguese enterprise was supported by the nation.

The choice of a commander-in-chief was the hardest problem of all. Public opinion demanded the Duke of Alva, who, though he had been sent into retirement on his estates at Uceda after his return from the Low Countries in 1573, was universally conceded to be the best soldier in Spain; but Philip's resentment against him was still so deep that it is doubtful if he would have got the place without the intervention of Granvelle. So effective, however, was the cardinal in finding means of accommodating the various differences that still kept the king and the proud Duke at odds, that on February 22, 1580, Alva received his appointment to the command of the invading force. It was further decided that Philip and his family should accompany the army to the Portuguese frontier, in order that he might appear in his new kingdom the moment that it should seem best for him to do so; on March 4 he left Madrid on his way to Guadalupe. Fearing the machinations of the other sovereigns of Europe, he refused permission to their representatives to accompany him, and insisted that they should stay on at the capital, where Granvelle remained in charge.

Three months more, however, were to elapse before Alva led his army across the frontier. The intervening time was filled with plots and intrigues, and Spanish hopes that Philip after all might possibly be recognized without opposition. At first there seemed some reason to believe that this would be the case. The five regents left by Cardinal Henry were generally useful to the cause of the Prudent King; three of them, as we have already seen, had been won over to him by bribes; they pretended to organize an army of resistance in order to satisfy the malcontents, and at the same time sowed disorder in the realm, so as to make such resistance impossible. Queen Elizabeth, who had been asked by the Portuguese for help against the Spaniards, gave honeyed words but did nothing; such an adventure was far too remote to appeal to her practical and parsimonious mind. French opposition promised to be

more dangerous; but for a time it was largely nullified by indecision as to whether Antonio or the Duke of Braganza would be the better candidate to support, and by fears of the possible results of the Huguenot capture of Cahors (May 31, 1580). More serious for the moment was the opposition of the Pope, who was now resolved that his rights as supreme arbiter in a case of disputed succession should be formally recognized; he decided to send a legate, Cardinal Alessandro Riario, to Portugal for the purpose of asserting them. But the difficulties and dangers of the journey were such that Riario did not reach Barcelona until June 12; we shall note a little later how he fared from that point.

As far, then, as the outside powers were concerned, the situation in the early months of 1580 was not unfavorable to Philip. All of them, for different reasons and in different degrees, disliked the prospective enlargement of the dominions of the Spanish king, but none of them was actually in a position to give practical effect to its feelings. In Portugal, however, it became obvious, as the spring wore on, that it would be impossible for Philip to enter upon his new inheritance without some use of military force. He promised all sorts of concessions to the Portuguese, in regard to the maintenance of their independent institutions and officials, as soon as he should be recognized as king. All the immemorial principles of Spanish separatism were to be maintained; another entity was to be added to the Spanish Empire, but there was to be no merging of the new body in the old. Moura and the other Spanish representatives in Portugal surpassed themselves in the energy and skill they exhibited in their efforts to win over the Portuguese to the cause of their master; and Philip kept postponing, against Granvelle's advice, the day of the invasion for which every preparation was now complete, in the hope that the Portuguese would accept him of their own free will. There were negotiations with representatives of the five regents at Guadalupe in late March and early April, but nothing came of them. Philip would not submit his claims to arbitration, as the regents desired, even with what amounted to a preliminary assurance that the verdict would be given in his favor; he stood out for the form as well as the substance, and insisted that his rights were so clear that it was useless to call them in question. Such an attitude was incomprehensible to those who still opposed him. It encouraged them to prepare for resistance; in late April and May the French ambassador at Madrid, the Seigneur de Saint-Gouard, redoubled his exertions to bring about the intervention of his master against the Spanish king. By this time it had become evident that if resistance were actually to be offered the official leader of it must be Don Antonio. He had now issued from his place of concealment, so that his presence in the realm was generally known; he had a gallant manner, and ability to arouse popular enthusiasm, and his bastardy, of which irrefutable proof had been recently brought to light, did not trouble his partisans in the least. His sole possible rival, the Duke of Braganza, derived all his claims from his wife; he was, moreover, the last man in the world to fight an uphill battle. As soon as he saw that the cards were going against him, he began haggling with Philip over the price that should be paid him in return for a renunciation of his rights, and apparently was ultimately satisfied with a collar of the Golden Fleece. Antonio, on the other hand, was unwilling to accept the offers which were made him through Moura. The Spanish monarch bid higher and higher for his submission, but Antonio would be satisfied with nothing short of the post of Philip's representative in Portugal and the right to nominate the chief officials in the Portuguese dominions beyond the sea—with what amounted, in fact, to recognition as a sort of second king; and this Philip refused to grant. Antonio made capital for himself at home out of the failure of the Spanish monarch to bribe him; henceforth it was inevitable that he should become the standard-bearer of the cause of national independence.

On April 16 Philip sent the Portuguese an ultimatum. If they would recognize him officially as their lawful king within twenty days, he would keep all his previous pledges to them; if not, they must be prepared to feel the full weight of his displeasure and vengeance. At

the expiration of the appointed time, he ordered his army to concentrate on the Portuguese frontier near Badajoz. The Castilian cities sent on their contingents. By ship came Spanish veterans of the Sicilian, Neapolitan, and Lombard *tercios*, a large force of Valencians, three *coronelias* of Italian infantry, and a strong regiment of Germans. The whole army counted some 35,000 infantry, 2100 cavalry, and 136 pieces of artillery. The Duke of Alva was most graciously received by Philip at Merida; the monarch repressed any feelings of personal resentment that he continued to cherish, in order to give his commander-in-chief the advantage of appearing to enjoy the full confidence of his king. A fleet was also collected in the harbor of Cadiz under the Marquis of Santa Cruz; its assigned function was to sail around Cape St. Vincent, capturing such ports as it could on the way, and cooperate with the army of invasion against Lisbon. The Spanish representatives at the Portuguese capital were recalled and made their preparations to depart, though most of them did not actually leave the country until after the invasion had begun. A final attempt of the regents to find a peaceful solution failed miserably, and on May 21 the king and his whole court transferred themselves to Badajoz. A league thence, at Cantillana, Alva assembled his legions in a great camp, where they were reviewed, on June 13, by the king and queen, the Cardinal-Archduke Albert of Austria, and the commander-in-chief. The proximity of so great a force caused the Portuguese fortress of Elvas to yield on June 18 without resistance. Villa Viciosa was occupied by an advanced detachment on the 22d. On June 27 the main army broke camp and, under the eyes of the king, crossed the frontier in battle array.

Had Philip followed Granvelle's advice, and struck two months earlier, he might well have encountered no resistance at all; as it was his delays had given his opponents a chance, though an inadequate one, to prepare. Most of the impetus was furnished by the patriotic zeal of the masses, the monks, and the lower clergy, but the encouragement and direction of the representatives of the king of France also counted for much. Saint-Gouard was rude and defiant toward Philip, and openly urged his master to declare war; when the Prudent King refused to receive him, he made ostentatious preparations to depart, thus threatening a breach of diplomatic relations. Efforts also were made at Paris to secure the cooperation of Queen Elizabeth on behalf of the Portuguese; a French ship which arrived at Plymouth, having on board a thousand arquebuses and a quantity of powder intended for Portugal, was permitted to depart without hindrance. More useful still to the Portuguese cause was the action of Jean Pierre de Abbadie, the French secret agent in Portugal itself, and of Pierre Dor, the French consul at Lisbon. In cooperation with the papal nuncio Frumento, they labored to check a last movement on the part of some of the patriots to transfer their allegiance to the Duke of Braganza. The latter, they insisted, had better be completely ignored; only by concentrating on the prior of Crato was there any chance of success; Dor even went off to France to get aid for Antonio's cause. Meantime sporadic levies began to collect. If, as seems likely, Antonio's adherents were in the minority, they were more vocal and more active than the partisans of the Spanish king. There were boasts that Philip's foreign soldiers were few, and that the Portuguese had defeated the Castilians before and had no doubt of their ability to do so again; if necessary, they were prepared to call in the Moors from North Africa to their rescue. But it was not until news came of the surrender of Elvas that the friends of Antonio took the decisive step of proclaiming him to be their lawful king. On June 18 the bishop of La Guarda pronounced a vehement discourse at Santarem, urging all men to support him. On the following day the popular enthusiasm had reached such a height that it was felt safe to hold a solemn service of recognition in the church; Frumento was present to lend to the ceremony the approbation of the Holy See. Thereafter the new monarch proceeded to Lisbon; and despite the obvious disapproval of the upper nobility, he at once showed his mettle by ordering the five regents out of the town of Setubal, where they had taken refuge, and which they had plotted to hand over to the Spanish fleet.

In the meantime the Spanish army under Alva continued its practically unobstructed advance into Portugal, while Philip and his wife remained behind at Badajoz. Olivenza, like Elvas, surrendered without a fight. In the first days of July the Duke and his forces were before Estremoz, where Moura, who could no longer remain safely in Portugal and was making the best of his way back to Spain, brought them precious information of the state of the kingdom. The Spanish fleet left Cadiz on July 8 and soon got possession of Lagos and other places nearby. A little later it established communication with Alva and his army before Setubal. On July 18 that strong fortress surrendered after a nominal resistance. There was much plundering and cruelty to the defenseless inhabitants, particularly in the suburbs of the town; the Italians apparently were the worst offenders, and Alva, who had been ordered by Philip to prevent such things, caused some of the guiltiest to be beheaded. From Setubal there were three possible methods of advancing on Lisbon: (1) to turn northward and cross the Tagus where it narrows at Santarem; (2) to make directly for Almada, opposite Lisbon, and be carried over by the fleet; and (3) to sail around to Cascaes, west of the capital, and attack it from there. The first was abandoned because of the bad roads and the distance involved, and because the army would necessarily lose the support of the fleet. The second was judged too dangerous because of the batteries on the opposite shore. The third was accordingly adopted, with a feint to the north to make the enemy believe that the Spaniards intended to cross at Santarem. The enterprise was not free from peril, for the coast near Cascaes was so high and difficult that a landing could have been prevented with ease, and a reverse at that moment and in that place would have had an enormous effect upon the popular mind. But the Portuguese were incapable of realizing their opportunity, and the disembarkation was effected without striking a blow. The neighboring fortresses soon fell in succession, and the prisoners captured were treated with pitiless severity. Diogo de Menezes, Antonio's general-in-chief, a scion of one of the most important families in the land, was condemned to a traitor's death; the same fate was decreed for the alcalde of Cascaes; the common soldiers were sent to the galleys, and Philip formally approved of it all. It has been well said that Alva reckoned quite as much on the terror of his hangman as on the power of his armies, as he approached the Portuguese capital.

The advance of the Duke's army naturally had an immediate reaction, highly favorable to Spain, on her diplomatic relations with the other European states. Granvelle did his utmost to delay the mission of the papal legate Riario; it must have given him grim satisfaction to be able to utilize the very same Spanish slowness, which had so often caused him misery in the past, for the actual furtherance of the ends he had in view. Riario was held at Barcelona by illness until June 21. Sumptuous entertainments were arranged for him at every town through which he had to pass on his way from Barcelona to Castile; not till the middle of July did he reach the Portuguese frontier. By that time, of course, all thoughts of a peaceful solution at the arbitration of the Pope had been abandoned. Antonio had now been formally recognized by the Portuguese as their king, and Granvelle lost no time in informing Riario that the only sure method by which further effusion of blood could be prevented was to persuade the nation to give its allegiance to Philip. The new Spanish representative at the Vatican, the Count of Olivares, laid the same considerations before Gregory XIII, and with such good effect that Frumento, who had taken sides with Antonio, was recalled, while Riario was commanded, in case Antonio did not abandon his 'usurped claim' to the disputed throne, to leave Portugal and take up his permanent residence at the court of Spain. Gregory had thus been converted, in a few weeks, from a potential arbiter of the Portuguese succession into an obedient instrument of the policy of the Prudent King. French machinations against Spain were also checked at the same time. A new Spanish ambassador, de Tassis, was dispatched to Paris on the death of his

predecessor, Vargas, to deal with the situation there. He soon found that Henry III and his mother were so much discouraged by the news of the advance of Alva's army that there was no longer any chance of their interfering, unless they should be supported by Queen Elizabeth. But this was quite out of the question. The Portuguese representative in London, Antonio de Castilio, had by this time gone over completely to the side of Spain, and cooperated with his Spanish colleague, Bernardino de Mendoza, to thwart the efforts of the special envoy Joao Rodrigues de Sousa, whom Antonio had sent to ask Elizabeth's aid. He was not even permitted to have access to her, and she openly declared, in the early part of August, that she now regarded Philip as the actual ruler of Portugal.

Meantime, in Portugal itself, the military verdict was finally delivered in the latter part of the same month, in favor of the Prudent King. While Alva had been advancing from Cascaes on Lisbon, the Spanish grandees whose domains lay close to the Portuguese border had invaded the realm with another army of some 30,000 men, which they had raised at their own expense. Before the end of August they gained possession of all the principal cities in the southern part of the kingdom. Their advance relieved the Duke of all anxiety lest he should be attacked from behind; the secret negotiations in which he had continued his master's efforts to bribe Antonio into peaceful submission had by this time definitely failed; and on August 24, he advanced to the bridge of Alcantara just outside Lisbon, where the enemy had gathered a force of some eight or ten thousand men to defend the ravine that separated the invading army from the capital. They were a mixed rabble, hurriedly collected, monks, tradesmen, and artisans, "fitter to fight with words than with arms", and impotent to withstand the methodical attack of Alva's superior forces. On the 25th the Duke sent forward Prospero Colonna with his Italian arquebusiers "to open the ball" with an assault on the bridgehead, while Sancho de Avila led on his Spaniards by the side ridges to take the defenders on the flank. Everything was covered by a devastating artillery fire from the land and from the fleet, most of it directed against the bridge, and the rest against the houses of Lisbon. After a brief resistance, the Portuguese broke and fled, leaving fully a thousand of their number dead or wounded on the field; on the Spanish side the casualties numbered less than a hundred. Antonio, who bore himself like a hero and was wounded in the forehead, strove gallantly but vainly to rally the fugitives; finally, seeing that all was lost, he leaped on a horse with his follower, the Count of Vimioso, and rode off up the Tagus to Santarem. At the same time the remnant of the Portuguese fleet made haste to surrender to the Marquis of Santa Cruz.

The outrages which had already got the Spanish soldiery such an ugly name at Setubal had been continued as they advanced on the capital. Philip had expressly forbidden Alva to permit his men to plunder, and the Duke did his utmost to prevent it, but without success. "The disorders which are occurring here," so he wrote to the king on August 6 from Cascaes, "are such as I never thought to see, nor would have believed possible among soldiers. I have done everything I can to stop them, but without success; for disobedience and disrespect are rife, and it is all the fault of the officers, for I assure your Majesty that there is not a colonel, a camp-master, a captain, nor any other commander who is doing his duty as he ought to do it, and that they ought all to be suspended from their functions". At Lisbon it was much the same. The capital surrendered unconditionally on the evening of the battle of Alcantara, and had every reason to expect merciful treatment; but Alva, though he preserved the inner part of the city from harm, was unable to keep his men from working their will in the suburbs. The king was apparently kept in ignorance of what had occurred on this occasion, for he wrote to the Duke of Medina Sidonia on August 28 that Lisbon had surrendered without bloodshed or sack, as he had desired. Unfortunately the evil example which his enemies had set him was followed by Antonio in the ensuing weeks. Though he had failed at Lisbon, the prior still had hopes of reconstituting his party in the North. He established himself at Coimbra, and sought to

terrorize all men into joining him by his cruel maltreatment of those who refused. Even Sancho de Avila, the butcher of Antwerp, who was sent, in late September, to dislodge him, was amazed when he found what had occurred. In view of all these things it was no wonder that the last phases of the campaign, which virtually ended in October when Avila entered Oporto, evoked the bitterest hatreds on both sides. Most of Philip's earlier efforts to conciliate the Portuguese were forgotten; the ancient enmities were revived, and Antonio began to become, to an extent that he had never been before, a national hero, and the personification of the cause of escape from Castilian bondage.

The correspondence of Philip with Alva and his other representatives in Portugal during the months of September and October is an accurate reflection of all these things. Instead of drastic commands to check the outrages of his own soldiery, the Prudent King issued savage orders for the punishment of all who continued to resist him. To make sure that there was no undue leniency, he sent a number of Castilian judges into Portugal to carry with them, into a country where there could be no ties of kinship or friendship, the full rigor of the Spanish law. Above all he insisted that Antonio should be taken, or at least that he should not be suffered to escape from the realm; he offered a reward of 80,000 ducats for his delivery alive or dead. But Alva made little effort to carry out the royal commands. He remained at Lisbon, busying himself with the reform of the administration of the city, and the ceremony of the solemn proclamation of his master as king of Portugal on September 12; and Antonio was given the opportunity to escape. Barred by a tempest from his intended flight by sea, he sought refuge with a few companions in the wild hills of Northern Portugal. His friends there were loyal to him in his hour of need; and the prior, who was at his best when personal courage was needed, was successful, after months of hair-breadth escapes, in getting passage in a Dutch ship from Setubal to Calais. In a manifesto, published four years later at Leyden, he gives some account of his adventures during that perilous time: how he wandered in disguise from one peasant's hut to another, often recognized, yet never betrayed; how he spent whole days in the reeds with the water up to his chest, and how his wounds and his illnesses often made him despair. We may well believe that the story lost nothing in the telling, but there is no reason to doubt that the main lines of it are true. Philip was so enraged when he learned that his prey had escaped that he ordered the execution of Beatrice Gonzalez, the devoted woman to whose energy Antonio owed it that he was finally got on board ship. Nor did Philip exaggerate the importance of Antonio's escape; to the day of his death the prior continued to breed trouble for Spain. He carried to the sovereigns of northern Europe a highly colored account of the conquest of Portugal, and of the difficulty and cruelty with which it had been accomplished. He assured them that the most effective of all the ways in which they could satisfy their hatred of Philip would be to lend him their aid in an attempt to recover his throne. He converted what had been originally an Iberian affair into a matter of vital interest to all the states of Europe, and linked the conquest which carried the Spanish Empire to its greatest territorial extent with the forces which were to combine to effect its dissolution.

While Alva and his *tercios* were overrunning Portugal, Philip had remained behind on Spanish soil at Badajoz. Astrologers had insisted that the year 1580 was certain to be unlucky, and Philip was not above listening to their prognostications. An epidemic of catarrh was also raging, and the king was stricken down by it. At one moment he was convinced that he was going to die, and when, finally, he did get well, his recovery was universally attributed to the audacity of his physician, Valles, "who ventured to purge him during a conjunction of the moon". His wife, Anne of Austria, who had accompanied him to Badajoz, was less fortunate, for she died on October 26, 1580, at the age of thirty-one, and the whole court was plunged in grief. But the need of Philip's presence in Portugal was so obvious that it was impossible for

him to delay his departure long; on December 5 he left Badajoz for Elvas, with his kinsman, the Archduke Albert of Austria, whom he had practically adopted as a son and who had recently been made a cardinal. Only a small number of ministers and courtiers accompanied him, in order that the more places should be left free for his Portuguese subjects. At Elvas he was received with appropriate ceremonies by three Portuguese bishops and a number of the prominent nobles of the land, and he was convinced by their protestations of loyalty that he would be universally welcome in his new kingdom. It was here at Elvas that the Braganzas, through a representative, swore allegiance to Philip as lawful king of Portugal; it was also here that Philip conferred his first real favor on the Portuguese by abolishing the line of custom houses that separated their country from Castile. Finally, it was from Elvas that the new king issued a summons to the Portuguese Cortes to meet in the following April at Thomar in order that the formal ceremony of recognizing him might take place, and that the representatives of the three estates might receive in return such favors as it should please him to grant. The usual delays prevented the assembly from actually meeting until April 16; and Philip, who left Elvas for the westward on February 28, spent the intervening weeks to excellent purpose in efforts to win the affection of the Portuguese. At the advice of Moura, he distributed a number of favors and dignities to the more important nobles; he took pains to dress and cut his beard after the Portuguese fashion, and forced the Castilians who accompanied him to do likewise. In the last days before his departure from Elvas, he gave audience to the papal legate, Riario, and received his assurance that the Pope had now come round to full approval of the very solution in Portugal which he had been sent out to prevent.

The meeting of the Cortes of Thomar was a notable occasion, and the contemporary historians exhaust themselves in describing the costumes and ceremonies of the day. The king solemnly swore before the three Portuguese archbishops of Braga, Lisbon, and Evora to observe all the laws, customs, and privileges of the realm in the same form that they had been observed by his predecessors; thereafter he received, one after the other, the oaths of fidelity of the nobles, clergy, and representatives of the third estate. On the following day, with similar ceremonies, Philip's eldest surviving son, Diego (born July 12, 1575, died November 21, 1582) was solemnly recognized as his father's heir and successor. A sweeping act of pardon followed, from which some fifty partisans of Antonio were specifically excepted; of these the most prominent were Joao de Portugal, bishop of La Guarda, and the Count of Vimioso. Opinion naturally differed between Castilians and Portuguese as to the generosity of this course, but we are at least assured by Veldazquez Salmantino that in the succeeding period Philip successively cancelled the exceptions, so that in the end the pardon was practically universal. We are also informed that he refused to listen to the advice, given by some of the more rancorous of his Castilian followers, that he should suppress the ancient university of Coimbra on account of its ardent support of the cause of his rival.

But the proceedings of the Cortes of Thomar are by no means so significant for the purpose of the student of history as is the statement of the principles on which Philip determined to govern his newly conquered realm; this statement was finally issued at Lisbon as a *carta patente* on November 12, 1582. The concessions contained in this document were really replies to the petitions of the Cortes of Thomar in the preceding year; but the basis of them was a set of 'fundamentals', agreed upon between the late Cardinal Henry and the Spanish representatives, the Duke of Osuna and Cristobal de Moura, at his court, a short time before the cardinal's death, when he had decided in favor of the right of Philip to succeed him on the Portuguese throne. They summarize the basic principles on which Philip's administration of his new kingdom was henceforth to be founded.

Besides his oath to maintain all the laws, privileges, and customs of the realm, Philip promised that he would never hold the Portuguese Cortes outside the kingdom, and that no

legislation affecting Portugal should be permitted in any assembly which met beyond its frontiers. He also gave his word that he would confer the office of viceroy or governor only on Portuguese or on members of the royal family; that all greater and lesser offices of justice, finance, and administration should be given only to Portuguese and never to foreigners; that all the ancient posts in the kingdom should be maintained for Portuguese occupants, as they had been in the days of his predecessors, and that the same principles should apply with regard to all other offices, great and small, on the land and on the sea, already existing or to be created in the future; and that all garrisons stationed in Portugal should be composed exclusively of Portuguese. He also agreed that the commerce of India and Guinea and of the other Portuguese colonies, already discovered or to be discovered in the future, should be continued as at present and in no wise changed; that the officials in charge of it should continue to be Portuguese and should sail only in Portuguese ships; that all gold and silver coined in Portugal should be stamped with the arms of Portugal and with no other; that all prelaties, abbeys, benefices, and other ecclesiastical preferments in the realm should be conferred only on Portuguese, and that the same principle should govern in regard to the office of inquisitor general, the commanderies, pensions, and functions of the Military Orders (in which there was to be no innovation whatsoever), and the priory of Crato; and that there should be no *tercias* or other taxation payable by the Portuguese clergy to the state, nor any request for bulls to permit the same. He promised that he would not make any royal grant of any city, town, place, or jurisdiction within the realm to any one save to Portuguese; that crown estates which had become vacant should not be absorbed into the royal domain, but should be regranted to some relative of the previous tenants or to some other well-deserving Portuguese; Castilians and other foreigners, however, who were at that time resident in Portugal, or had been servants of earlier Portuguese kings, were not excluded from this privilege. Nobles were to come into the enjoyment of their *moradias* at the age of twelve, and Philip and his successors were to receive every year “two hundred Portuguese servants who should also have their *moradias*”; those who had not the privilege of nobility were to serve in the armed forces of the kingdom. Philip also promised, for himself and his successors, that whenever they came into Portugal they would not demand compulsory entertainment as was the custom in Castile, but would follow the usages of Portugal; and that when his Majesty or his successors were outside the kingdom they should always have with them one prelate or other ecclesiastic, one official of finance, one secretary, one chief chancellor, and two *oidores*, all of Portuguese birth, who should jointly compose a Council of Portugal, with whose aid all the business of that realm should be transacted in the Portuguese language. All chief civil magistracies and other judicial positions were to be filled from within the realm, as was at present the case, even though his Majesty should be absent, and the same principle was to apply to all financial posts; all matters of justice and finance were to be determined and carried out within the realm as hitherto. The service in the royal chapel at Lisbon was to be continued according to the custom of previous reigns; Portuguese were to be admitted to the offices of the royal household, “in accordance with the Burgundian custom”, on the same basis with the Castilians and his Majesty’s subjects of other nations; the queen was usually to have Portuguese women among her principal ladies. “For the benefit of the whole people of the kingdom”, all frontier customs between Castile and Portugal were to be abolished, and merchandise was to pass freely in both directions as was done before the imposition of the duties actually being levied at the time, and every facility was to be given for the importation of Castilian grain into Portugal; and Philip promised to give 300,000 cruzados, of which 120,000 were to go for the ransom of captives, 150,000 to be placed at the disposal of the chamber of Lisbon, and the rest to be used for the relief of the victims of the pestilence. The king also agreed that in providing for the fleets of India, and the other squadrons required for the defence of the realm, for the chastisement of corsairs, and for the security of the frontiers

of Africa, he would, after consultation with the representatives of his new realm, take such measures as should seem most wise, even if they involved the giving of aid by his other kingdoms, and great increase of expense to his royal treasury. Finally, though it was recognized that in view of the multitude of other realms which it had pleased God to give him, it would be impossible for Philip to live continually in Portugal as the Portuguese would have liked, yet he promised to do his utmost to reside there as long as he could; and that when no other more important consideration intervened to prevent it, he would allow his son and heir to visit Portugal, in order that he might be brought up there in part, and get to know and love his future subjects.

These certainly look like large concessions; and in general it may be said that Philip continued to observe the most important of them, in letter at least if not entirely in spirit, to the day of his death. In some particulars he even went beyond what he had promised, as in the establishment of a court of appeal at Oporto for the convenience of the northern provinces. It appears, however, that in 1593 he restored the frontier customs between Portugal and Castile; and the Count of Ericeira enumerates several other infractions of the privileges of Thomar, among them being the occupation of the fortresses of Portugal by Castilian troops. It would have been too much to expect of the Prudent King that he should even admit the possibility of any country being worthy of comparison with his beloved Castile; in his choice of officials to help him govern his newly conquered realm, he generally selected those Portuguese who had been notoriously Castilianized, like Moura; and he availed himself of the stipulation that he might send a member of his own family to represent him in Lisbon by selecting as his first appointee to that office the Archduke Albert of Austria, who was his cousin, his nephew, his brother-in-law, and in later years his son-in-law. But it is difficult, save in the matter of the customs, to find cases of direct violation of his pledge of 1582 that cannot be plausibly excused as measures of military necessity or public emergency. The first clear instance of the imposition of the Castilian tax came in 1636, during the reign of Philip IV and as a result of the policy of Olivares; and four years later the revolution broke out which was to end in 1668 with the acknowledgment by Spain of Portuguese independence. The contrast between the policy of Philip the Prudent and that of the masterful and ambitious minister of his grandson is very marked. Olivares imposed the tax which brought on the catastrophe largely, without doubt, in order to satisfy the needs of the moment—such was ever his way; but perhaps it is not entirely fanciful to see in his action something more than merely that. There is evidence that enough of the spirit of contemporary France had penetrated his mind to convince him that the principle of constitutional separatism, which, as we have often remarked, underlay the whole fabric of the Spanish Empire, was no longer practicable or wise, and that some sort of closer administrative union between the different scattered states and colonies that composed it had become imperative. He had visions of doing something such as the Bourbons did with the Spanish Empire in the eighteenth century, after some of its most burdensome limbs had been lopped off; but he had not the ability to carry through his program, and the experiment ended in disaster. Philip II, on the other hand, was far too deeply imbued with the ancient traditions of his native land to think for one moment of embarking on any such hazardous adventure as this. The principle of the maintenance of the constitutional separatism of the different states that composed the Spanish Empire in Europe had never been violated, from the days of the little Pyrenean Christian realms in the early period of the Reconquest down through the gradual acquisition of the Mediterranean and Italian dependencies, of the Netherlands and of Franche Comté; it had even begun to permeate the administration of the Spanish territories of the New World. Now that at last Philip had obtained what he probably coveted most, the sole portion of the Iberian Peninsula which had escaped his forefathers, and whose reacquisition had virtually been bequeathed to him by them as a duty, he never dreamed of depriving it of its own independent form of government. The concessions which he had

made, partly no doubt in deference to the wishes of his new subjects, were fully in line with his own preconceived ideas as to how his new territories should be managed. The measure of autonomy which the Portuguese demanded and received was in some respects more complete than that of the realms of the crown of Aragon, of the Mediterranean and Italian states, and of the Burgundian lands, notably in the stipulations for the exclusion of Castilians from the government and the provisions against the introduction of Castilian taxation; but that was merely the more perfect carrying out of the oldest and most fundamental principle of the administration of the Spanish Empire. The terms on which Portugal had finally been superadded to the vast agglomeration of separate states, now comprised in that huge and unwieldy organism, were fully in accord with the most ancient traditions of its upbuilding.

The acceptance of Philip as the lawful sovereign of the different colonies of which the vast Portuguese empire was composed was effected, save for one notable exception, with an ease and absence of turmoil, which is perhaps chiefly significant in demonstrating how slight were the changes which the advent of the new dynasty brought about. The concessions hitherto enumerated, which Philip had made in 1581, guaranteed that there should be no real alteration in the methods or the personnel of the administration of the Portuguese empire; the colonies remained colonies of Portugal, which under Castilian rule continued to retain as before the monopoly of their commerce for the benefit of its own ports. The Portuguese colonies for the most part showed themselves utterly indifferent to the change of dynasty. What mattered it to them to what family their sovereign belonged, or whether he resided in Lisbon or in Madrid, in Cintra or in the Escorial? And, moreover, at first sight there seemed to be many positive advantages in becoming the subjects of the most powerful monarch on the face of the earth; in case of danger they hoped to be assured of effective protection. In Brazil, furthermore, there was a special consideration in favor of the recent change, for it would ensure the peaceful termination of those boundary disputes between the Spanish and Portuguese colonies in South America which had already begun to loom large as a possible danger for the future. As things ultimately developed these happy expectations were never realized. Within less than a decade of the annexation of Portugal, Spain's naval power had been broken; the maritime and commercial nations of Western Europe were swift to seize the golden opportunity to dismember her vast empire for their own advantage, and the Portuguese colonies, which had most recently been brought into the combination, were among those that suffered most; instead of being protected, they were specially singled out for attack. But the first results of this adverse development, which was ultimately destined to obliterate all the favorable ones, were not felt until the very last part of the reign of the Prudent King, and its full effects were not visible until a much later time. The Portuguese empire continued to flourish during the years immediately following the Spanish conquest, though the storm clouds were beginning to gather thickly on the horizon. It took Europe another long half century to realize how utterly Spain's vitality had been sapped, and down to the peace of Westphalia in 1648 her diplomats played the game of bluff to perfection.

It would be futile to attempt any detailed narrative of the course of events in the different parts of the Portuguese empire during the seventeen years of Philip's occupation of the Portuguese throne, but some of the outstanding facts may be briefly set down. For the purposes of the short summary which alone is possible here, we may safely omit the Portuguese colonies on the west coast of Africa; the tendency was rather to neglect them in this period and to concentrate instead on the Orient and on Brazil. We will begin, then, with a glance at the fate of those scattered territories, extending from the Cape of Good Hope around to China and the Spice Islands of the Pacific, which acknowledged the authority of the Portuguese viceroy at Goa.

That office was held by six different incumbents between 1581 and 1598; all of them, in accordance with the promises of Philip at the time of his accession, were of ancient Portuguese families, and the period of their rule is chiefly filled with struggles with rebellious natives and Turkish pirates from Mombasa in East Africa to the Moluccas. The new king's accession was proclaimed without resistance at the church at Goa on September 3, 1581, and from that time onward his representatives seemed wholly absorbed in extending the possessions of their new sovereign, and in defending what had already been acquired. In 1585 they attempted without success to utilize the rivalries of the kings of Tidore and Ternate in order to regain possession of the fort which they had once possessed on the latter island; but a little later they beat off an attack on their establishment at Malacca by the king of Johore, and afterward destroyed the city of Johore and forced its ruler to flee for his life. At the same time they convincingly reasserted their authority over Mombasa and their East African establishments, an action which was made imperative by the imminent danger of a Turkish advance into that region; they also erected a powerful fortress at Muscat to strengthen their control over the entrance to the Persian Gulf. Perhaps the most notable achievement of the period was the proclamation of Philip, in 1597, as king of Ceylon. Various uprisings by Christianized as well as non-Christian natives had given the viceroys adequate excuse for vigorous interference there. At the outset their efforts were most unsuccessful. They were driven from Kandy by a rebel Cingalese, who had previously embraced Catholicism and been baptized with the name of Don John. This leader, who now styled himself Wimala Dharma, reverted to the religion of his fathers in order to win the support of the priesthood, and announced that he was in possession of the *dalada*, or sacred tooth of Buddha, which was regarded as a proof of his right to the throne. In the rest of the island, however, the Portuguese were more fortunate; and when its lawful king, who was a more permanent convert to Christianity, died at Colombo in May, 1597, it was found that he had appointed King Philip to be his heir. The Spanish monarch's power extended nominally over the whole of the island save Kandy, where he had been repulsed, and Jaffna, where the authority of a local ruler was still recognized. There was a solemn ceremony of recognition and allegiance, in which the inhabitants, though stoutly refusing to permit the introduction of Portuguese laws and customs, promised absolute loyalty to their new master, provided he would observe and respect the native ones. On one point alone were they willing to make any concessions; the priests and religious orders were to have full liberty to preach the Catholic faith and make what converts they could.

Such is the brighter side of the picture, as seen from the standpoint of Philip and his representatives, and down to the death of the Prudent King it was unquestionably the most prominent one; but before the reign ended, the first signs had appeared of the advent in the Orient of other powers, whose presence was ultimately to spell ruin for the Spanish Empire in that part of the world. Five years after Philip's recognition as king of Portugal, he was at open war with the Dutch, who were supported—if somewhat halfheartedly—by England. Reports of the rich cargo of the Portuguese carrack *San Filipe*, which had been captured by Sir Francis Drake, in June, 1587, on its way back from Goa to Lisbon, were not slow to reach Holland, and convinced her of the profits that could be derived from the Far East; the existence of a state of war with Spain, and the seizure in 1585 of all Dutch ships in Spanish and Portuguese harbors, gave her ample excuse for invading the Oriental monopoly of her ancient foe. The States-General made matters difficult by issuing a series of prohibitions against trade of all kinds with Spain or Portugal or with any lands or islands which acknowledged the authority of their king; but these prohibitions were never very strictly enforced, and it was, moreover, easy to evade them by the device of sailing under a neutral flag. Precious information as to routes, trade winds, quicksands, and the course of commerce were furnished by Jan Huygen van Linschoten, the son of a West Frisian burgher, who had resided for two years in Lisbon, and thirteen in India. Finally, in April, 1595, a number of

Dutch merchants provided the funds for the dispatching of four ships to “the countries lying on the other side of the Cape of Good Hope”. The expedition was not entirely successful. It was detained for five months at Madagascar by storms and illness, sailed thence direct for Java, and on June 22, 1596, reached Bantam. The natives, doubtless inspired by the Portuguese, gave the newcomers a hostile reception; fighting ensued; the Dutch captain, Cornelis Houtman, was captured and had to be ransomed. Thence the invaders proceeded to the Moluccas, where one of their vessels became unseaworthy and was abandoned; the crews also were sadly reduced, and the survivors were lucky to get home with three ships in July, 1597. But though the cargo they brought back was insufficient to pay for the expense of the expedition, it was more than adequate to demonstrate the wealth of the Orient. New ‘companies’ for similar experiments were rapidly formed; and in the following year—the year of Philip’s death—no less than twenty-two Dutch vessels sailed for the East Indies. Three years later, in March, 1601, these different companies were amalgamated, under government direction and support, into a single body, the Dutch East India Company, and from that moment onward the ruin of the Spanish-Portuguese monopoly in the Orient became a certainty. Philip’s ill-omened Burgundian inheritance and the methods by which he had attempted to retain it were to have consequences of which he would never have dreamed.

The Count of Ericeira, in his *Portugal Restaurado*, tells us that Philip offered to his rival, the Duchess of Braganza, the whole of Brazil and the title of king of it for her husband, in return for their abandonment of all claim to the Portuguese throne, and that she refused. Since Braganza was subsequently bought off by a collar of the Golden Fleece, this story, if true, certainly throws a curious light on the slight importance attached in those days by Europeans to their transatlantic possessions; and the tale is particularly amusing when one contrasts it with the action of the descendants of that same Duke and Duchess of Braganza in the early part of the nineteenth century. The descriptions of Brazil that have come down to us from the time it passed into Spanish hands would indicate that it was a land which any ruler ought to have been proud to possess. The principal settlements were at Sao Salvador (now Bahia); Pernambuco, Olinda, and Sao Vicente. The first named boasted a population of 800 inhabitants, of whom 100 enjoyed incomes of over 5000 cruzados; and the whole *reconcavo*, or coast line of the surrounding bay, some 2000, exclusive of Indians and negroes. There were sixty-two churches in the city and district, and the country for miles around was covered with plantations. There were fifty-seven sugar works in the neighborhood, whose annual export amounted to 2400 hogsheads, and oranges and lemons, cocoa and ginger, were grown in enormous quantities. Cattle raising was also extensive and successful. Horses and cows, sheep and goats were brought over from Europe and the Cape Verde Islands and flourished in their new home. The other settlements were somewhat smaller. There were various insect scourges and much disease. The morals of the community at large were not good, and the enormous importation of negro slaves did not work altogether to the advantage of the colony. Yet the prevailing impression one receives is of a happy and prosperous life, predominantly agricultural and pastoral in its interests, and far less strenuous than that of the Spanish colonies farther westward.

The government until about the middle of the sixteenth century had been almost entirely in the hands of a number of captains-proprietors, each of whom had been given a stretch of coastline extending north and south for a distance of fifty leagues, and the land westward therefrom stretching indefinitely inland; each exercised exclusive criminal and civil jurisdiction within his captaincy, though appeals could be sent home to Lisbon in case of capital punishment. Naturally the fortunes of these captaincies varied widely in accordance with climatic conditions, fertility of the soil, and the abilities of the grantees; but six of them

were ultimately converted into permanent settlements. Beginning with the reign of John III (1521-57) the power of the captains-proprietors was considerably restricted. The local magistrates whom they had hitherto nominated themselves were gradually replaced by royally appointed officials sent out from Lisbon. Finally, in 1549, some measure of unity and cohesion was given to the scattered settlements by the appointment of the first royal governor, Tome de Sousa, with supreme supervisory power over all the authorities on the ground. He established himself at Bahia, which thenceforth became a sort of capital of the entire colony, and did his best to carry out the elaborate instructions which had been given him by the home government for the regulation of the conduct of the captains-proprietors. But as he had no direct jurisdiction over any one of them, his efforts and those of his successors resulted rather in paralyzing local initiative than in effecting healthy centralization; so that at the accession of Philip II Brazil was still rather a loose federation of different settlements than a single colony.

It would not seem that the Prudent King or his successors effected any very serious change in the situation as they found it in 1581. Three new captaincies were set up; Parahyba in 1585, Sergipe in 1589, and Rio Grande do Norte in 1597. A certain number of improvements, particularly in the administration of justice, were introduced in the different captaincies, and various attempts were made to check non-residence and decentralization and to establish an official hierarchy. But the period of the Hapsburg rule in Portugal was too short and too disturbed to permit of much permanent achievement in this line; and when Portugal regained its independence in 1668, the government of Brazil was not essentially different from what it had been when the Spaniards assumed control.

The narrative history of Brazil during the period of Philip II is largely that of conflicts with hostile powers, who, after the Spaniards had got possession of it, redoubled the efforts which they had made in Portuguese days to gain for themselves footholds on its attractive coasts. It was in the year 1555 that the first serious attempt in this direction had been made by a party of French Huguenots, sent out under Nicolas Durand de Villegagnon by Admiral Gaspard de Coligny to found what was proudly called 'La France Antarctique'. They established themselves at what is now Rio de Janeiro, kept on good terms with the Indians, and were unmolested by the Portuguese for at least four years. But Villegagnon proved a traitor to his employers. He quarreled with the Huguenots, gave up his command, returned to France, and went over to the Guises; meantime in 1558 the Portuguese had sent out a new and vigorous governor, Men de Sá, who nine years later (1567) completed the expulsion of the intruders. The French, however, were not willing to relinquish without further effort all claims to the place where they had gained such a promising foothold, and the accession of Philip to the Portuguese throne gave them an excellent excuse for renewing their attempts. They established a trading post at Parahyba, whence the task of ousting them was entrusted in 1583 to Philip's admiral, Diego Flores Valdes, on his way back from a vain effort to secure control of the Strait of Magellan. After some delay the work was successfully accomplished, and the French did not again venture to appear in South America until the seventeenth century.

More troublesome by far were the incursions of the English, who, though they had previously traded in Brazil, had never gone there as enemies until the initiation of the Spanish regime. Their subsequent expeditions thither were naturally of an increasingly hostile nature and became a part of the general maritime struggle of Spain and England all over the world. In December, 1582, Captain Edward Fenton, ostensibly bound for the Strait of Magellan and the Far East, came to anchor, with a small squadron, off Santa Catalina Island. His objects were apparently peaceful; but the contemporaneous exploits of Drake made the Spaniards suspicious and they proceeded to attack him. An inconclusive moonlight engagement followed, in which one of the Spanish ships was sunk, and the English finally escaped to the open sea. In 1586 another squadron, fitted out by George Clifford, Earl of Cumberland, and

commanded by Captain Robert Widrington, entered the port of Bahia and remained there for six weeks. Despite the sturdy defense of the place by the converted Indians of the adjoining country, who did notable things with their bows and arrows, the marauders succeeded in doing a considerable amount of damage. Five years later Thomas Cavendish made a rather futile attack on the town of Santos, burnt Sao Vicente, returned to Santos again, where he was treated even more roughly than before, and finally lost a large portion of his men in an attempt on Espirito Santo; so deeply was he cast down by this last repulse that he died, it is said, of grief on the voyage home. Most notable of all was the expedition commanded by Captain James Lancaster in 1594-95. Its objective was Pernambuco, where there happened to be a number of heavily laden East Indiamen. The place was taken and the booty captured; but while Lancaster was loading his vessels for the voyage home he was constantly harassed by attacks from the Portuguese, who strove to cut off his water supply, floated fire-ships down the river to dislodge him, and, finally, established a battery at the mouth of the harbor to prevent his escape. The destruction of this work cost the English a considerable number of men, but their expedition finally got home in safety, and the wealth it brought with it was unquestionably an incentive to the formation of the English East India Company. Both Holland and England, Spain's most dangerous potential enemies, had thus got a good taste of the possibilities of commercial and colonial expansion at her expense before the end of Philip's reign.

Very different was the story of the establishment of Philip's power in the Azores. Though less valuable than the East Indies or Brazil, they were destined to give the Prudent King more trouble than all the rest of his Portuguese acquisitions put together. Their geographical location involved them in the current of European politics more intimately than the Asiatic and American lands, and they remained a thorn in Philip's side till the end of his days. And the first note of warning in the Azores was sounded by the efforts of his rival Antonio of Crato, in league with the French.

Of the nine islands of which the archipelago is composed, Sao Miguel, the largest of the group, was the only one which had immediately acknowledged Philip and been occupied by the Spaniards. Terceira, the next in importance and size, gave convincing evidence of its loyalty to the cause of Antonio, who, before he fled from Portugal, had sent thither one of his adherents, Cipriao de Figueiredo, to make the most of the situation; he was aided by the Franciscan friars, while the local Jesuits, against the almost unanimous desire of the rest of the clergy, vainly attempted to advance the cause of Spain. The Spaniards in Sao Miguel neglected the situation in Terceira until after Antonio's partisans had gained full control there; moreover, the Terceirans succeeded in persuading the inhabitants of the seven lesser islands to follow their example and take sides against the Spanish king. When Antonio finally succeeded in getting away from Portugal to France, it was reasonably clear that his best hope of recovering his dominions was by gaining full control of the Azores and establishing a base there. The archipelago was a rendezvous for fleets from America and from the Orient; in more ways than one it was a focal point, where all sorts of trouble could be bred for Philip of Spain. Antonio had carried the crown jewels of Portugal away with him; knowing the fondness of the queen of England for precious stones, and the enthusiasm of her sea-rovers for maritime adventure, he attempted to interest Elizabeth in his cause. But that wary lady was not yet ready for overt action. Drake and Hawkins were anxious to utilize the opportunity to the utmost; a subscription list was opened and many contributions were sent in; but the royal approval was long withheld, and the small squadron which was finally permitted to sail never saw action against the Spaniards. But Antonio had another string to his bow. While he had been negotiating with Queen Elizabeth, his agent, the Count of Vimioso, had been active in France,

where he found a situation much more favorable to his master's plans. Matters there had developed in anti-Spanish fashion since 1578. The Huguenots were enthusiastic for Antonio's cause; the queen-mother's own claims to the Portuguese throne were enough to insure her sympathy and support; another good omen was that on August 17, 1581, the Duke of Alençon-Anjou had seized Cambray, almost from under the nose of Alexander of Parma. For the sake of appearances it was judged wise that King Henry III should remain officially ignorant of all plans to aid Antonio; but as a matter of fact he was fully apprised of them, and desired that they be carried out. It was not, however, until the early summer of 1582 that the expedition was ready to start. It was composed of some sixty ships with upwards of 6000 soldiers, beside the crews, under command of Catharine de' Medici's favorite general, the condottiere Filippo Strozzi. It left the port of Belle-Ile on June 16 and carried Antonio, Vimioso, and also an efficient and perfidious Spanish spy, by the name of Miguel Vaez, whom the pretender, in his innocence, believed to be the most loyal of his followers.

Philip was fully informed of all these preparations. His ambassador at Paris was a marvel of efficiency in the use of secret informers and spies, and everything he learned and did was duly laid before his master. But the Prudent King as usual was determined if possible to avoid a fight. In early May, 1581, he dispatched to the Azores one Ambrosio d'Aguiar Coutinho, a Portuguese who had been won over to his service, to see if he could not persuade the inhabitants of Terceira and the smaller islands to acknowledge the authority of Spain; but the effort completely failed. In the next two months two small fleets were sent out, under Pedro de Valdes and Lope de Figueroa, primarily in order to get in touch with the Last Indian and American fleets which were due at the Azores at that time, and prevent them from being persuaded to join with the rebel Terceirans; secondly, for the purpose of continuing the attempts of Coutinho to win over Terceira without a fight; and thirdly, in case these efforts failed, to attack Terceira by force of arms. Largely by good luck, the first of these objects was successfully attained; but the attempts to gain the second and third were miserable failures, and the defeat that Philip's forces sustained in their operations against the Terceirans encouraged the islanders to persist in their resistance. The accounts which the crestfallen commanders brought back to Lisbon, together with the news that kept pouring in of the progress of hostile preparations in France, sufficed to convince the Prudent King that the Azores could never be won without a far greater military and naval effort than he had contemplated hitherto; and he forthwith ordered the Marquis of Santa Cruz to be ready to sail in April, 1582, in the hope that he might be able to anticipate the French. The expedition did not get away till July 10 nor reach the Azores till the 21st, six days after the arrival of the enemy. It was, however, a far more imposing armament than its predecessors. It was composed of two galleons, the San Martin and the San Mateo, nineteen galleys, and sixteen smaller boats, and carried, according to the official muster roll, something over 8000 soldiers. It seems clear, however, that the number of ships and of fighting men who actually got to the Azores was considerably less.

The French had already landed 1500 of their men at Ponta Delgada in Sao Miguel. They had successfully repulsed a sortie by the garrison of the castle, which they were besieging when the Spaniards arrived. Neither side was apparently in any hurry to begin to fight. The French had underestimated the size of the enemy's fleet; their commanders were at odds with one another, and they had hopes of the arrival of help from England which never came; Santa Cruz was also expecting reinforcements, and for nearly a week scarcely a shot was fired. Finally, at dawn on July 26, the San Mateo, which had maneuvered itself into an isolated position between the two fleets, was attacked by several of the best French ships at once; an hour later the combat had become general, and lasted for five hours. The Spaniards strove to grapple and board, while the French tended in general to trust to cannonading; but their guns

were not sufficiently powerful to enable them to do this with success; their seamanship was inferior; and despite the fact that they had more ships, the Spaniards were ultimately victorious. Strozzi and Vimioso were killed; a number of the less ardent of the French commanders, and also Antonio, sought refuge in ignominious flight; two of their ships were burned, four were sunk, and four more fell into the hands of the victors; some 2000 of their sailors and soldiers met their deaths, and upwards of 390 more, including seventy-six caballeros, were taken prisoners. On the Spanish side the losses were considerably less, amounting in all to 224 dead and 553 wounded, though the list included some of the most noted soldiers and sailors of the time. It was a gallant and well fought battle, and Santa Cruz won universal praise for engaging so powerful an enemy in a place where if he had met defeat he could not possibly have escaped; but his victory was stained by a deed of savage cruelty which he perpetrated five days later. Deaf to the murmurs of his officers and men, who cherished no rancor against their valiant foe, he caused all the prisoners who had fallen into his hands to be taken on shore and executed in cold blood. The gentlemen were beheaded, and the common sailors and soldiers hanged, "for the service of God, of the king our master, and of the king of France", as he put it in his report to Philip. In the last six words of that quotation is to be found—if there be one—the sole possible justification of this barbarous deed. Santa Cruz did not regard his opponents as honorable foes, but as lawless pirates who had gone off on a marauding expedition without the sanction of the French government; they were therefore beyond the pale, and their execution was but ridding their king of a pack of dangerous criminals. It is as hard for us today to palliate the act as it is to exculpate Menendez de Aviles for his slaughter of the followers of Jean Ribaut; but the theory that really underlay both deeds was the same, namely, that by papal donation all land and water west of Europe belonged without exception to Spain, and to Portugal which had now been annexed to Spain, and, consequently, that all foreigners who trespassed thereon did so at their own peril. That Santa Cruz could describe the act as a service to the king of France only proves that he refused to believe that the French government could officially sanction such intrusions. The protests of his men showed plainly that they did not understand his reasoning or accept its logical consequences; but we know that Philip approved, as he had of the massacre in Florida. According to present-day standards, he was wholly and unquestionably wrong; but in passing judgment on him and on his admiral it is but fair that we should bear in mind the theories and principles of the times in which they lived.

If Santa Cruz was barbarous in his treatment of his prisoners, he was also neglectful of his opportunities to utilize to the full the victory that he had won. It would seem obvious that he should have seized the moment when the inhabitants of Terceira were dismayed at the rout of their French allies to take possession of the island and hold it for Spain, and Philip, when he learned of the victory that his admiral had won, was most anxious that he should do so. The king's message, however, did not arrive on time; Santa Cruz returned to Lisbon, and his failure to complete the conquest of the archipelago encouraged Spain's enemies to try again. Once more the tireless pretender gained the sympathy and support of Catharine de' Medici and Henry III. Another expedition was dispatched in May, 1583, under command of Aymar de Chaste, governor of Dieppe and first cousin of the Duke of Joyeuse, and in June Santa Cruz had to be sent back to the islands to beat him off. This time the invaders made considerably less trouble than in 1582, though Terceira and the lesser islands were not completely in Spanish hands until the end of August; the real importance of this second expedition against the Azores lies in the influence which it exerted upon the future. On the one hand its reports, doubtless grossly exaggerated, of the heroism of the islanders' resistance to the Spaniards, caused all Philip's enemies to select the archipelago as the most favorable place to attack him in the stormy years which were to follow. On the other, the belief, current in Spain, that there had been an English contingent in Chaste's force, caused Philip's admirals to believe that they

had beaten two enemies at once, and led them greatly to underestimate the naval resources of Queen Elizabeth. We shall revert to this phase of the story in another place. The Azores, at least, had been conquered in 1583, and with them the last stronghold of the independence of Portugal, and when Santa Cruz got back to Cadiz in the middle of September, 1583, he was received with enthusiasm by the multitude and was heartily thanked by his sovereign.

Not such was Philip's reception of the news of the death of his old general, the Duke of Alva, which occurred at the Portuguese capital on December 11. After the actual fighting had been finished, the king had no more use for him. Since the autumn of 1580 he had withdrawn more and more authority from him and handed it over to others; on the other hand, he refused all Alva's requests for permission to retire. Despite all the Duke's services to the Prudent King and to his father, despite the fact that he had organized and led the army that had conquered Portugal, Philip was unable to forgive the past or forget his ancient grudge; and even the Portuguese were profoundly shocked when he gave open demonstration of his ingratitude by dining in public, in defiance of precedent and tradition, on the day following the death of the greatest of his generals.

In the middle of February, 1583, Philip bade good-by to the kingdom which he had won, and in which he had resided for more than twenty-six months, and returned to his beloved Spain, which he was never again to leave. On the whole, he had good reason to look back with satisfaction on the work that he had accomplished since Cardinal Henry's death. The change from a generally defensive to a comparatively aggressive policy, which he had made at that time, and which was exemplified by the calling of Cardinal Granvelle to the post of chief minister, seemed to have been fully justified by the results it had produced. He had put the capstone on the edifice that had been erected by Ferdinand and Isabella by uniting the Iberian Peninsula under a single scepter for the first time since the days of the Visigoths. Moreover he had done it, as he loved to do, with relatively little fighting; compared with the last two conquests—Granada and Navarre—by which the Spanish dominion of the peninsula had been rounded out, the annexation of Portugal had been almost bloodless. From the imperial standpoint his achievement was more remarkable still. The acquisition of the Portuguese colonies carried the Spanish dominions to their greatest territorial extent; so that they now constituted the most extensive empire that the world had ever seen. The Portuguese colonies, as we have already pointed out, were for the most part really only coastal trading posts. Little effort had thus far been made to penetrate into the interior and subdue the native inhabitants; it is, therefore, perhaps scarcely fair to regard the lands in which the Portuguese had established themselves as belonging to Portugal in the same sense that Spain's American possessions belonged to Spain. On the other hand, the Portuguese had a far better claim to them than any other European nation. They had been unquestionably the first on the ground; they had, moreover, at least in the eyes of all good Catholics, valid rights under the papal bull of demarcation and the Tordesillas Line; and if we adopt this method of measurement, and call all the lands on the shores of which they had established themselves Portuguese, the acquisition of these territories by the Prudent King caused the Spanish dominions to cover considerably more than half of the habitable surface of the globe. When we reflect that eight and a half centuries earlier the domains of Philip's predecessors had been restricted to an indeterminate patch of ground in the rocky fastnesses of the Asturias, we cannot but marvel at the rapidity, the grandeur, and most of all at the continuity of the development by which that little kingdom had been extended southward, eastward, westward, and eastward again, until at last it could be said to encircle the earth. Measured by the extent of the territory over which he theoretically held sway, Philip was the most powerful monarch that the world has ever known.

But the attainment of such unprecedented greatness was the signal for the beginning of decline. Spain's resources, Spain's knowledge of economics and of the principles of successful colonial administration, most of all Spain's traditions and her conception of the work in the world that she was destined to perform were not such as augured well for her ability permanently to retain and develop such gigantic possessions. Her empire had become so top-heavy that it was almost inevitable that it should fall with its own weight. And the jealousy which her preponderance naturally aroused among her neighbors rendered what was inherently probable an absolute certainty. That jealousy, it is true, was of comparatively recent origin. The reconquest of the Iberian Peninsula from the infidel had for the most part been accomplished with the blessings and applause of Spain's neighbors to the north. The Mediterranean empire of the realms of the crown of Aragon had been won in the thirteenth, fourteenth, and fifteenth centuries without provoking the active enmity of any of the European powers save France. French hostility was also the only serious menace to the development of Spain under the Catholic kings. The treacheries of Ferdinand in his later years had certainly served to put his neighbors on their guard; but down to the accession of Charles V it is safe to say that Spanish expansion, both in the Old World and in the New, had proceeded without evoking any general sentiment of suspicion or distrust among the rest of the European states. Under the Emperor, of course, all was changed. The union in one hand of the Hapsburg and Trastamara dominions, and the uncovering of the resources of the Western Hemisphere, had combined to make the Spanish Empire appear for the first time in the light of a universal menace. The unholy Franco-Turkish alliance and the calmness with which it was regarded by the rest of Europe proclaimed as much; and England and the papacy, both of which in the days of Ferdinand and Isabella had been rather pro-Spanish than pro-French in their inclinations, gave ominous signs of veering around to the other side. The Emperor, as we have seen, had been acutely conscious of the danger, and in the latter years of his reign had done his best to avert it by advising his son to follow a policy of 'sturdy defensiveness'; and down to the year 1578, save in his dealings with the infidel, Philip had generally acted upon his father's advice. Then, finally, there had come this God-given opportunity for the annexation of Portugal and for the completion of the task which his ancestors had striven vainly to perform. To achieve it would necessitate indeed an abandonment of 'sturdy defensiveness' and the resumption of the comparatively aggressive. On the other hand, there was no question that Philip had the best legal claim to the Portuguese throne; moreover, he proposed, if he possibly could, to enforce that claim without a fight. Last of all, he regarded the Portuguese question first and foremost as *a cosa de España*, a purely Iberian matter, in which his neighbors north of the Pyrenees were in no wise concerned, and in which he hoped and believed that they would not venture to interfere. But it was just in this last hope that he was doomed to disappointment. Had it not been for Portugal's colonial empire, the case might have been otherwise, for Portugal herself was regarded by the rest of Europe with comparative indifference; but her possessions in the Orient and in the Occident made her annexation by Spain an affair of the whole world. Their acquisition by Philip threatened to give the Prudent King the same kind of monopoly in Brazil and in the East Indies that he already claimed to possess in the Spanish dominions in America, and thus to enable him to control the trade of the globe: to do just what sundry *corsarios Luteranos*—Dutch, French, and English—were firmly resolved to prevent, and were striving with might and main to persuade their respective governments to give them official support in preventing. The struggle over the Azores, though the Prudent King had been ultimately successful there, was really of ominous significance. As soon as the official policy of Philip's neighbors to the north of him, particularly of England, should align itself with the activities of the sea-rovers whom they still for the most part continued to disavow, the advance of the Spanish Empire was certain to be arrested, and the stately process of its territorial

aggrandizement, which had gone on virtually unchecked since the beginnings of the Reconquest, to be succeeded by disintegration and decline.

**BOOK VIII**  
**THE TURN OF THE TIDE**

CHAPTER XXXVI  
THE GOVERNMENT OF SPAIN UNDER PHILIP II

THE reign of Philip II was not a period of far-reaching institutional innovations. Save for the remodeling of the constitution of the kingdom of Aragon in 1593, which followed as an inevitable consequence of the suppression of the great rebellion stirred up there by Antonio Perez, the Prudent King was content, in matters internal as well as foreign, to preserve all the essential features of the system which he had inherited from his predecessors. Maintenance and increase of the royal power were the dominant principles throughout; yet, in theory at least, the institutional autonomy of the component parts of the Spanish Empire was scrupulously preserved. Philip was too deeply imbued with the traditions of Spanish separatism to dream of erecting a centralized government such as the Bourbons were to bring with them across the Pyrenees in the early years of the eighteenth century. On the other hand his preference for Castile—for he was even more a Castilian than a Spaniard—,coupled with the financial resources derived from the wealth of the Indies, gave that part of his dominions a preponderance over the rest still greater than that which it had attained in the Emperor's day. Even the remodeling of the constitution of Aragon, to which reference has just been made, though it was rendered possible by exceptional circumstances, is really to be regarded as but a step in the great process of the progressive Castilianization of the Spanish Empire.

Yet if the underlying principles remained the same, the methods of their application were somewhat changed; and the changes were chiefly due to the differences in the personalities of Philip II and of Charles V. The fact that Philip never left the peninsula after his return thither in 1559 is of fundamental importance in the history of the internal government of the Spanish Empire; for he was thus able to concentrate on the details of administration with an intensity which had been quite out of the question for his perpetually travelling father. The energies which Charles had spent on foreign campaigns Philip believed to have been largely wasted, and he was fond of pointing out that the imperial armies had won greater victories under the command of de Leyva, Colonna, and Pescara than under that of the Emperor himself. Such matters, in his opinion, should be delegated to professional soldiers, always provided, of course, that they kept as constantly and closely in touch with Madrid as distance and the difficulties of communication would permit, and never deviated a hair's breadth from the instructions that he sent them. The time that Philip saved from travel he spent in intimate and meticulous supervision of the internal administration of his Spanish realms. He proposed to know and direct everything that concerned them. The accuracy and extent of his information were the wonder of his contemporaries. Elaborate lists and statistical tables were perpetually being drawn up for him; his secret agents sent him constant reports of existing conditions in every municipality and diocese, and of the instruction given at the universities.

He was far better informed of the state of his revenues than any of his ministers of finance. If anyone applied to him for a place, he had his record by heart. Most of what he learned he kept to himself. It was part of his theory of political life that the rank and file of the government servants should work in water-tight compartments, each keeping to his narrow path, ignorant of the activities of his colleagues; an atmosphere of secrecy, and consequently of suspicion, pervaded everything. Even the king's most intimate counsellors were told only what Philip in his supreme wisdom decided that it was well for them to know. If, perchance, they should happen to learn more, the king was sure to hear of it, and they would be likely to lose their posts; Antonio Pérez is a case in point. To himself alone Philip reserved the stupendous responsibility of knowing and coordinating everything, and then, in his omniscience, of making the final decision.

Philip's principles and methods of government are admirably illustrated by the manner in which the great system of councils, inherited from the Catholic Kings and Charles V which formed the heart of the Spanish Empire under the house of Hapsburg, was enlarged and modified during his reign.

The *Consejo de Estado*, or Council of State, remained, as in his father's day, officially at the head of the list, and the contemporary writers exhaust themselves in their descriptions of its dignity and preeminence. It dealt with foreign affairs and international relations, "with the loss, gain, and just conservation of kingdoms and states, three principal points so different from the evil objects and opinions to which Machiavelli refers." Its membership, however, was considerably modified. The non-Spanish element, which in the Emperor's day had been at times preponderant, was now completely eliminated. After Philip's return to the peninsula in 1559 the Council was composed almost exclusively of Castilians; when Granvelle entered it twenty years later, he did so by virtue of being president of the Council of Italy, whose chief, together with the president of the Council of Castile, was generally given a seat there. Unlike the Council of Castile, the number of its members was not fixed, but depended, like the choice of the individuals that composed it, on the will of the king. It had no rules or traditions of action or deliberation, nor were the limits of its competence strictly defined. Philip was officially the president of it, but apparently never attended its meetings. He preferred to communicate with it through his secretaries, and to be informed of its deliberations by a consulta. He still further diminished its real efficiency by using it as a stage for his favorite game of playing off the heads of rival factions against one another. It was the chief scene of the struggles between Eboli and Alva, until the time of the latter's departure for the Netherlands in 1567. Its official 'supereminence' over the other Councils and its exclusively Castilian membership gave the king an admirable opportunity of serving notice on his fellow sovereigns that the Spanish Empire was governed from Madrid; but there, for practical purposes, its importance came to an end. If a really vital question of foreign policy was to be settled, it was Philip himself who made the decision. The Council might be made to seem, in the eyes of the masses, to share the responsibility, but the shrewder observers were not deceived. Writing of it in 1557, the Venetian Federico Badoero said: "At the court the opinion about this Council is that it is not the source of such advice, deliberations, and performances as make for the honor and advantage of the king; for there seem to be no written rules or customs to produce order in its deliberations or decisions, nor is membership in it either convenient or dignified; and the result is a decline in the vitality of its discussions."

With the Council of Castile, or *Consejo Real*, on the other hand, the picture is almost precisely reversed. Despite the fact that it had been invested since 1480 with the supreme legislative, executive, and judicial power, under the crown, within the realm, and that its president ranked next to the monarch, it had suffered a decline both in the number and in the competence of its membership during the closing *Wanderjahrce* of the Emperor's reign. So

fearful was Charles of a usurpation of his own authority during his many absences from Spain, that he had allowed it to dwindle from sixteen to eight or nine; moreover he chose his councilors on the basis of loyalty and absolute obedience, rather than on that of independent ability and initiative. This policy, coupled with the enormous amount of work with which the Council was charged, resulted in a staggering accumulation of unfinished business; even before Philip returned to the peninsula the Cortes were insistent in their demands for a reform. In 1551 they asked that the number of councilors be augmented by six, "since they are so old and infirm at the time of their appointment that they cannot perform the work that is laid before them." In 1555 they begged for the establishment of another *sala* in the *Consejo*, to deal with the most important suits. In 1555 and 1558 they requested that the councilors be given larger salaries. In 1559-60 they complained that the great increase in the duties of the Council had become the occasion of excessive delays, and they reiterated their previous demands for an increased membership, and for the creation of a special *sala* to hear cases of great importance. Philip was well disposed to listen to these requests. Having resolved to reside in Spain and never to travel abroad, he had no need to fear lest the Council become too independent in his absence; and so deep was his affection for his native Castile, and so high his sense of duty to her, that he was determined that she should have, under his constant supervision, the best administration which he was capable of devising.

His first step towards the improvement of the existing conditions was to issue, as regent, just prior to his departure for England in the summer of 1554, the so-called ordinances of Corunna, defining more precisely the limits of the jurisdiction of the Council, and also investing it for the first time with the increasingly important function of censorship and regulation of the press. On his return to the peninsula in 1559 he immediately complied with the request of the Cortes that the number of councilors be increased; from that time onward until the end of the reign there were sixteen of them in addition to the president. In regard to the other matters in which the Cortes demanded reform, he showed himself less complaisant. Not till the very last years of his life could he be brought to see that the same body could not reasonably be expected to act both as a supreme administrative council and as the highest court of the realm, and to initiate the process, already long overdue, of dividing the *Consejo* into separate *salas*, each with a special function of its own. It is commonly said that the division of the Council into four separate chambers was postponed until the reign of Philip III, who reorganized it by a royal cedula of January 30, 1608; but in justice to his father, it should be pointed out that orders to that effect were issued by the Prudent King almost exactly ten years before. By *pragmáticas* of February 14 and 17, 1598, Philip decreed, "in order to improve and accelerate the dispatch of business", that the president and five other councilors should constitute a *sala de gobierno* for executive and administrative affairs; and that the remaining eleven members of the Council should be split into three sections : the first, with five members, being entrusted with "public affairs which demanded speedy action, with *pleitos de mil y quinientos* and with *residencias*", while the other two, with a membership of three each, were to occupy themselves exclusively with matters of justice. The names of the members who were to constitute each *sala* were specifically set down in the decree, and there was the usual wealth of detailed instructions in regard to hours and methods of procedure, and the spirit that should animate the members. Whether or not these *pragmáticas* were immediately carried into effect is another question; from the fact that the ordinances of 1608 so closely resemble them, it looks as if they were not. On the other hand Lobo Laso de la Vega, writing in 1607, speaks of the Council as being divided into five or six *salas* according to the pleasure of the president; from this one would infer that Philip's ordinance of 1598 was informal and permissive, rather than definite and authoritative, and that the presidents of the Council availed themselves of it or not as they saw fit. In any case it seems clear that Philip

the Prudent learned, before he died, the lesson which the procuradores had so often attempted to teach him, though he practically took the whole of his lifetime in the process.

In view of his reverence for the church and for the law, it is somewhat surprising to find Philip at the beginning of his reign departing from the precedent, set by his father, of always appointing a cleric to the presidency of the Council of Castile. Juan de Vega, viceroy of Sicily, who was given the post on April 18, 1557, died December 19, 1558, and Cristobal Vaca de Castro, who had brought order out of chaos in Peru, filled in, as an interim appointee, for the next three years; finally, in 1561, the office was conferred on Luis Hurtado de Mendoza, second Marquis of Mondéjar, who had distinguished himself as a foe of the Comeneros forty years before, and who had been president of the Council of the Indies from 1546 to 1559. It was doubtless chiefly the universal prevalence of hate of the legists, and his own desire to show his sympathy with his subjects' wishes, that led Philip to make these appointments. In the case of Mendoza he may also have wished to serve notice that he now regarded the monarchy as so firmly established that it no longer had anything to fear from its ancient enemies the grandees. But the forces of tradition and precedent were not slow to reassert themselves. After Mendoza's retirement in 1563 Philip again gave the job to a cleric, Juan Rodriguez de Figueroa, and on his death in 1565 to a licentiate, Diego de Espinosa, who proved a miracle of vigor and efficiency during his seven years of service; of Espinosa's four successors, all but one, the Count of Barajas (1583-92), were also either legists or clerics. Their respect for precedent and routine was more agreeable to Philip than the more modern methods advocated by such men as Barajas, who ventured to send him a vigorous memorandum on the delays and confusion <sup>11</sup> which render intolerable the office of president of this Council. There is no order of precedence," he continued, "in the cases that come before it, save the memory of those councilors who have to deal with them ... so that it is necessary for all who have suits to be tried to pace up and down the courtyard and struggle with one another to get nearer the door of the Council in the hope that they may be the next to be called before it ... and though there are functionaries called *porteros*, they do not know whom to call, nor how to forewarn their advocates, and when they go to seek for them, they are either not to be found, or else they arrive late, so that many cases go by default for lack of a defense". It may possibly have been this remonstrance which induced Philip, in 1588, somewhat to lighten the burden of the Council's labors by definitely giving separate existence for the first time to the so-called *Cámara de Castilla*, established for the distribution of crown pensions, privileges, and appointments both lay and clerical. Hitherto the Camara had been but a section of the Council; now it became an independent body of four members, though the president of the Council always had the right to sit and vote there. But save for this comparatively minor change, which was really only the logical consequence of what his father had done before him, Philip did nothing to remedy the existing situation in the Consejo down to the pragmática of 1598, by which he divided it into *salas*. The spectacle that the Council presents, down to the very end of the reign, is that of a body of universal competence, under the king, in matters legislative, administrative, and judicial, but so hopelessly ill-organized and overloaded with work, particularly on the judicial side, that efficiency in action was impossible.

A new ramification of the great conciliar system was the creation, in the year 1555, of a Council of Italy, distinct from that of Aragon. It seems clear that hitherto the Council of Aragon had exercised a certain measure of jurisdiction over the Mediterranean and Italian lands belonging to the Spanish crown. Certainly it had every historical justification for so doing, for all these lands save Milan had been conquered for the Spanish Empire from the eastern kingdoms, and enjoyed some measure of consciousness of a common past. But the process of Castilianizing these Mediterranean and Italian territories had now advanced so far that Charles and Philip felt justified in giving formal expression to it; moreover they were

probably not sorry to do something towards obliterating the memory of the mediaeval Aragonese Empire, whose animating spirit had been so different from that of the larger agglomeration in which it had been swallowed up and over which they now held sway. The new *Consejo de Italia*, whose organization was further perfected by Philip in an ordinance of the year 1579, resided at the court of Spain and was composed, at the end of the reign, of a president, the treasurer-general of the realms of the crown of Aragon (the sole vestige of the ancient Aragonese connection that was allowed to remain), and six regents, three of them Spaniards, and three Italians, one each from Sicily, Naples, and Milan; apparently the administration of Sardinia, like that of the Balearic Islands, was allowed to remain in the hands of the Council of Aragon. The functions of the Council were “to deal with the government of Italy, to make *mercedes de Ventajas* to the soldiers quartered there, to provide officers of justice for the cities, and grant titles to magnates, all in consultation with his Majesty. Only in this Council are the affairs of Italy taken up”. The Council of Aragon, in the meantime, continued to perform similar functions for Aragon, Catalonia, Valencia, and the islands of the Western Mediterranean. It was composed of a president, who had the title of vice-chancellor, the treasurer-general of the realms of the crown of Aragon, who was not necessarily a native of any one of them, and six *oidores*, two of them Aragonese, two Catalans, and two Valencians; there were also a number of royal secretaries and other special officials. As in the Emperor’s day, it remained constantly in attendance on the sovereign; but whereas this had meant, under Charles, that it travelled all over Europe and got a cosmopolitan point of view, it resulted, under Philip, in its remaining almost perpetually at Madrid or the Escorial, subject to the same process of Castilianization which is observable everywhere else.

The composition and functions of most of the other Councils—Gabriel Lobo Laso de la Vega enumerates no less than eleven of them in all—will be taken up in connection with our examination of the special duties with which they were respectively charged. But it may not be amiss to insert one brief word here about the Council of the Orders, of which no mention has been made since its inception in the days of the Catholic Kings. The extent of its responsibilities and the amount of property which it controlled may be judged by the fact that no less than twenty-two of the eighty-eight *corregimientos* into which Castile was divided were placed wholly under its jurisdiction and withdrawn from that of the *Consejo Real*. Its regular members were a president and four *oidores*, all of whom must be *caballeros limpios*, and wear the garb of Santiago, Calatrava, or Alcántara. It was to determine all suits arising on the lands of the Orders (the *audiencias* having no jurisdiction there); to make certain of the *limpieza* of all those on whom his Majesty proposed to confer the honor of membership therein; to punish *comendadores* who violated their rules; and to make recommendations for all minor appointments and offices depending on them. One gathers from contemporary documents that the ancient dignity and prestige of the Castilian aristocracy had been suffered to decline in the Emperor’s day, and that the Council of the Orders was expected to restore them. Nothing, of course, was to be done without consultation with the king, who, as chief of all three Orders, had a special interest in the work of this Council, “which is less universal than that of any of the rest”.

The history of the Castilian Cortes in the reign of the Prudent King has usually been painted in doleful colors. It has been justly pointed out that the abstention of the nobles and clergy after 1538 had reduced the national assembly to a single chamber, composed of thirty-six representatives of eighteen different cities, chosen, usually by lot and sometimes in rotation, from certain privileged families or categories of the municipal magistracy, and in such fashion that royal interference and manipulation were easy to exercise should the crown so desire. It has also been made clear that their ineffective procedure, and their failure to make

redress precede supply, still further sapped the vitality of the Castilian Cortes; that they made no use, for the assertion of their own privileges, of their traditional right to withhold official recognition of a new sovereign, or of the heir to the throne, or to refuse to grant the *servicio*. Moreover they permitted Philip, early in his reign, to subvert another ancient prerogative which the national assembly had successfully maintained in the Emperor's day, namely, that of refusing to consent to the revocation of laws passed in previous Cortes: "If it be my pleasure," declared the Prudent King in 1555, "I shall annul, without the Cortes, the laws made in the Cortes; I shall legislate by *pragmáticas* and I shall abolish laws by *pragmáticas*". All this would seem to show that the functions of the national assembly had practically dwindled to the voting of taxes, and that it exercised that function solely in accordance with the will of the king.

Yet there are certain other considerations which point to the conclusion that this picture has been somewhat overdrawn. Philip's attitude towards the Castilian Cortes, in the first place, was in some respects less hostile and suspicious than was that of his father. Despite the progressive Hispanization of his point of view, Charles's interests, during the greater part of his life, had been rather international than Iberian. He wished to get his money without delay, and to use it, often for non-Spanish purposes, without being bothered by petitions and discussions; hence all but a very few of the fifteen meetings of the Castilian Cortes during his reign were finished in less than three months. With Philip it was a very different story. His interests were predominantly Spanish and Castilian. Though he did not doubt that he was vastly wiser than the representatives of the nation, he was by no means averse to hearing their views. Indeed, he rather liked to dally in solitude over the petitions of the procuradores before he answered them; it was a most obvious method of obtaining the information for which he always hungered. Noncommittal replies or point-blank refusals were indeed their usual fate, but at least Philip seemed to give them careful consideration; he did not answer the *cuaderno* of the Cortes of 1583 till sixteen months after they had closed, nor that of the assembly of 1588-89 until three full years after its dismissal. Doubtless this is largely to be ascribed to his incorrigible slowness; certainly it was 'poor business'; but it also shows that he felt his subjects' desires to be worthy of attention. Another significant fact in the same connection is the progressive lengthening of the sessions of the Cortes under the Prudent King. The earlier ones were comparatively brief, as in the Emperor's day; but those of 1579, 1583, and 1588, continued, with intermissions, for an average of over two years, while the last one, which met at Madrid in the spring of 1592, was still in session when the king died. Apparently Philip longed for the advice and consolation of the representatives of his people in the avalanche of misfortune, both at home and abroad, with which, at the last, they had been overwhelmed together.

If the procuradores were gratified by the changed attitude of the monarch, they were also determined to do their utmost to maintain all the vestiges that still remained of the ancient parliamentary liberties. In this it was inevitable that they should encounter the opposition of the crown, for though Philip was anxious to be apprised of his subjects' desires, he was none the less firmly resolved to keep all the real power in his own hands. The principal barrier in the way of his having what his Tudor contemporaries called a 'tractable parliament' was the *poderes*, or instructions to the *procuradores*, by which the municipalities whom they represented attempted to prescribe their conduct in the Cortes; and Philip strove his hardest, from the very beginning of his reign, to convert these *poderes* into what today would be called 'blanket powers'. In 1560 he commanded the cities to amplify the instructions to their delegates, so that in addition to recognizing Don Carlos as the heir to the throne, they might be empowered to hold a regular session of the Cortes; at the opening of the Cortes of 1566 he forced the procuradores to swear that their *poderes* were "unlimited and unrestricted". In 1573

we find him sending instructions to local *corregidores* and theologians to use all their efforts to effect the same end (in this case he even appears to have attempted to influence the voting in the *ayuntamientos*). In 1592 the same measures were still more intensively applied; the king did not even shrink from employing the confessional. On such occasions, it is perhaps needless to add, the royal objects were always ultimately attained; but the fact that the king was forced to have recourse to such methods in order to effect his ends shows that the ancient spirit of parliamentary independence was not by any means wholly extinguished. Another characteristic, if wholly futile, method by which the *procuradores* sought to keep alive the memories of a glorious past, was the vigorous maintenance of the time-honored struggle for precedence between the delegates of Burgos and of Toledo. In 1563 this virtually attained the proportions of a rough-and-tumble fight, and the king was obliged to intervene to restore order.

The *cuadernos* also contain abundant evidence that the *procuradores* were determined that all their ancient rights and privileges should be constantly reasserted in principle, even if they were pitifully unable to effect their reestablishment in practice. They constantly complain of the infringement of their prerogatives by the imposition of new taxes and the alienation of the royal patrimony without their consent, and they harp on the excessive number and exactions of the royal tax-collectors. They show their jealousy of the way in which the *Consejo de Castilla* had usurped their legislative authority, and they demand that no new law or *pragmática* be made by the king alone while the Cortes are in session. They never hesitated to tell Philip just what they thought of his own manner of life and of work. In 1559, after the king's return from the North, their old fears of Burgundian luxury, inherited from the Emperor's day, made them protest against the expenses of the royal court and table, "since there is in such matters no inviolable law save the example which your Majesty is pleased to give"; and when further observance of their new sovereign's way of living relieved them of all fears in this regard, they changed their tune and begged his Majesty to take less responsibility on his own shoulders in the dispatching of business, and to restrict his activities to the conduct of foreign affairs and of war, which thereby would be more expeditiously decided. And in theory at least, Philip made no objection to these assertions of parliamentary power. In 1569 there were published at his command the *Leyes del Reyno*, commonly cited as the *Nueva Recopilación*, the seventh *título* of whose sixth book contains thirteen laws—the first of them of the reign of Alfonso XI—in which all the principal powers of the Cortes, in legislation and in finance, are specifically stated to be in force, and the privileges and methods of election of the *procuradores* are fully described. It gives a pretty illustration of the wide gulf between theory and fact which had always characterized the government of Castile, of her fondness for recalling the memories of the past, and vainly attempting to weave them into the life of a totally altered present.

The attitude of the Castilian Cortes towards the course of foreign affairs during the reign of the Prudent King was naturally quite different from what it had been in the time of his father, and the obvious cause of the change was Philip's constant residence in the peninsula. Under the Emperor one of the chief worries of the *procuradores* had been his preoccupation with non-Spanish matters, and they vigorously protested whenever they thought that he was sacrificing their interests to those of the house of Hapsburg. With the accession of Philip these fears were of course relieved. The national assembly generally approved of his foreign policy, which until 1584 was successful rather than the reverse; even in the Netherlands, where in some respects the strain was heaviest, the struggle could now be represented chiefly in the light of a religious war, and when it was a question of the suppression of heresy, the Castilian was never found wanting. At the end, of course, there was disaster everywhere, but the *procuradores*, like Philip, were convinced that it was the hand of God that caused it. They

could commiserate with their sovereign, but they did not venture to offer him advice. The fact that they ceased to attempt to influence the course of foreign affairs left them the freer to occupy themselves with internal ones; to those, and particularly to matters economic, they devoted virtually their exclusive attention. Whether their knowledge of such affairs was greater or less than that of the king to whom their petitions were addressed is a question which is easier to ask than to answer. Certain it is, only, that their viewpoint was predominantly local, while his was perforce far more national and universal, and that both were tragically out of touch with the modern policies and ideas which were beginning to take root in the more enlightened countries to the north of them.

Of all the grievances of which the *procuradores* demanded redress, the most oft repeated was the financial and economic condition of the realm. The procuradores were for the most part convinced, at least in the early part of the reign, that Spain had it in her to be a really prosperous country, if only her natural resources were properly fostered, the royal exactions limited, and foreign competition restrained. "Though there exists in Spain", so runs the eighty-fourth petition of the Cortes of 1559, "plenty of iron, steel, wool, silk, and other raw materials of industry, we are so far behind other nations in our capabilities of utilizing them that they are taken away to foreign realms, where they are manufactured and whence are sold back to us at exorbitant prices"; the procuradores therefore requested that these "necessary and useful arts" be introduced into Castile, distributed among the pueblos, and put in charge of "practical and intelligent persons, who should be encouraged by governmental privileges and exemptions as long as it should seem desirable". Petitions for the maintenance and increase of agriculture and pasturage, for the enforcement of the laws about hunting and fishing, for the restriction of luxury and costly raiment, and for the limitation of the rates of interest, are to be found in every one of the cuadernos of the reign; and protests against the alienation of the *patrimonio real*, against the sales of lands and patents of nobility, and against the imposition of new taxes and the raising of the rates of the *encabezamiento* and *alcabala* occurred with increasing frequency as the years rolled by. As late as 1576 we find a demand that the debts of the Emperor be promptly paid. The taking of money out of the realm, "as if we were Indians", was another fertile source of complaint; such action was directly in contravention of the laws of the land, but Philip, like his father before him, sold numerous privileges to violate them, and the petitions of the procuradores could not induce him to desist. Another matter on which he was more ready to listen to their demands was the annulment of the letters of naturalization which his father had granted to Englishmen, Flemings, and Genoese, "who came with their ships to the prejudice of the native Spaniards," and had got into their hands the carrying trade of the realm. We also find him, in 1588, agreeing to the absolute prohibition of the importation of foreign silk, because of its bad quality, and of "baubles, glassware, dolls, knives, and other similar things of no use to human life" which came in from France. In theory the king shared the conviction of his subjects that Spain was quite capable of providing for her own needs. In practice the immediate exigencies of the royal exchequer obliged him to violate or ignore many of the recommendations whose underlying principles he fully approved. Under such circumstances it was impossible to follow any consistent policy, and at the end of the reign there was wellnigh universal chaos. The story of the duties on the Portuguese frontier affords a pretty illustration of this. At the beginning of the reign the procuradores demanded free trade in cloths with the western kingdom, and a little later the abolition of the customs houses which had recently been established on the border. Down to the time of the annexation in 1580-81, Philip did not feel that he could afford to comply with these requests, and when at last he became king of Portugal, the ensuing abolition of the duties was but temporary. In 1593 his financial embarrassment caused him to reestablish the customs on a frontier which, politically speaking, had ceased to exist.

In the early years of the reign the procuradores were much exercised over the lack of adequate coast defenses of the Mediterranean shores of Spain, the insecurity of commerce, and the frequency of the visits of Moorish pirates. “The greatest commerce of the world”, declare the procuradores, “was that of the Mediterranean Sea, which bore the trade of Flanders and France with Italy and Venetians, Sicilians, Neapolitans, and with all Greece, and Constantinople too, and all the Morea and all Turkey, and of all them with Spain, and Spain with them. All this has ceased, because now the Turkish and Moorish corsairs are so much lords of the sea that not a ship sails from the Levant that does not fall into their hands; and so great are the captures which they have made, as well of Christian captives as of estates and goods, that the riches which the said Turks and Moors have won, and the great destruction and desolation which they have made upon the coast of Spain, are alike beyond comparison and number”. No one dared live within four or five leagues of the shore, and much land suitable for agriculture and sheep raising was consequently wasted. After the battle of Lepanto, these complaints are less frequent, and, in the later years of the reign, there is less notice than one would naturally expect of the depredations of the English sea-rovers on the coasts of the Atlantic; doubtless the procuradores realized that Philip was by this time as powerless to prevent them as he had been to control the tempests which had completed the destruction of the Armada. The increase and prosperity of the Granadan Moriscos, “who neither go to war nor enter the church”, was another fertile source of complaint, particularly in the latter part of the reign; the way was well paved, before the death of the Prudent King, for the edict of expulsion of September 1609. But Castile’s proverbial loyalty to the faith did not prevent her representatives from constantly protesting against the many abuses of ecclesiastical jurisdiction. Petitions against the amount and inalienability of the property of the church are frequent, down to the session of 1586, when repeated failure to gain the ear of the king caused the procuradores temporarily to desist; and the same demand occurs again in 1598? Almost equally numerous are the protests against the abuse of the interdict by the *jueces eclesiásticos* whenever the secular authorities attempted to defend their own jurisdiction. In 1588 and in 1592 there are vigorous complaints against the excesses of the Inquisition; in the first of these cases the king promised improvement, but the repetition of the protest makes it evident that he did not keep his word? He showed just as much zeal in defending the ‘supereminence’—and the abuses—of his favorite tribunal at home, as he exhibited in guarding against the attempts of the papacy to control it from abroad.

It is also evident that the procuradores were much concerned over the decline of the pristine fighting qualities which had characterized the Spaniards in mediaeval times, and enabled them in the Emperor’s day to perform prodigies in the conquest of foreign lands. In the fifteenth petition of the Cortes of 1576 it is pointed out that the Military Orders of Santiago, Calatrava, and Alcántara had been established in these kingdoms in order to fight the Moors, but that ever since the infidel had been expelled from the peninsula, the Knights had given themselves up to a life of ease, and had forgotten the profession of arms. They recommend that in order to prevent the further progress of this evil, the Orders be established on the frontiers of the Spanish territories in Africa, where the Knights should be obliged to reside, and where those who especially distinguished themselves against the Moors should be rewarded with *encomiendas*. The request, ten years earlier, that military exercises and tournaments be introduced in place of bull-fights, is another phase of the same story; as are petitions that prompt measures be taken for the improvement of the breed of horses. The manners and customs of the students at the universities also gave food for thought. The seventy-third petition of the Cortes of 1558 makes it evident that the rector of Alcala was powerless to repress the excesses of youth. In 1598, the procuradores demand that the printing and reading of obscene literature be forbidden. Apparently the representatives of the realm

feared that Castile was no longer producing the type of manhood which had made possible her glorious past.

There was only one meeting, during the reign of the Prudent King, of any of the separate Cortes of the different realms of the crown of Aragon, and that was the famous session of the Cortes of Aragon at Tarazona in 1592, rendered necessary by the 'troubles' stirred up by Antonio Pérez; the consideration of it will be reserved till a later chapter. There were, however, two meetings of the General Cortes of the eastern kingdoms in 1563 and in 1585, at Monzón, to which a few words must be devoted here. The fact that there were but two sessions of this assembly in Philip's reign, in contrast to six in that of his father (under whom there were also held five meetings of the separate Cortes of the different kingdoms), is but another of the innumerable proofs of the Prudent King's incorrigible Castilianism. He never visited his eastern realms save in order to get their formal recognition of an heir to the throne, or to obtain *donativos*, and it is needless to add that the latter were significantly small in contrast to the revenues which he derived from the Cortes of Castile. The constitutional machinery of these Eastern assemblies, and the limitations which they were still able to impose upon his royal authority, must have been gall and wormwood to him; and, as we shall later see, he utilized the first opportunity to subvert them.

It will be remembered that these General Cortes of the realms of the crown of Aragon were, in effect, merely a juxtaposition of the assemblies of the three eastern kingdoms, each of which dealt separately with the affairs which immediately concerned it, and not seldom continued its sittings in its own capital after the close of the joint meeting at Monzón. But there is such a striking similarity in the proceedings of all three that we are dispensed with the necessity of following them separately. Like those of Castile, they showed singularly little interest in the course of foreign affairs, despite the fact that both in 1563 and in 1585 the proposition real was largely occupied with what had been accomplished abroad. Local matters, particularly the impartial administration of justice and the restraint of the excesses of the Inquisition, in which they rightly discerned a potential instrument of Castilianization as well as of extirpation of heresy, were their principal cares; even the ancient cosmopolitanism of the county of Catalonia seems to have vanished away. It was quite characteristic of them that in 1563 the Cortes of Monzón began by formally erecting into a law of the land, with some amplifications and improvements, the provisions of the *pragmática* by which Philip, eight years before, had reconstituted the Council of Aragon and separated from it that of Italy. Matters legal and constitutional, many of them of the most trivial practical importance, were, as ever, the chief preoccupation of the stiff-necked Aragonese; and even in maritime Catalonia and Valencia, where enthusiasm for trade had generally taken the precedence of insistence on the technicalities of the law, there is evidence of a tendency in the same direction; a dread of Castilianization was common to all three realms. On matters financial and economic, there is surprisingly little legislation or complaint. There is much more, as would be expected, in the session of 1585 than in that of 1563-64, but nothing comparable to what is to be found in the *cuadernos* of the Cortes of Castile. One gets the impression that the ancient rights and privileges of the eastern kingdoms were still sufficiently vital to afford considerable protection against the exactions of the crown. Though they were probably quite as well able to pay as Castile, Philip preferred to continue to impoverish the kingdom of his choice, rather than to take the trouble to fight the battles in Aragon, Catalonia, and Valencia, which an attempt to secure a more equitable distribution of the burden of taxation would have necessitated.

Taken as a whole, the history of the different Spanish Cortes in the reign of the Prudent King is that of a series of heroic efforts to keep alive the memory of the constitutional rights and privileges of an earlier age, which no longer had any place in the monarchical atmosphere of the sixteenth century. In practice, as we have seen, these efforts were unavailing. In Castile

Philip's power was so firmly entrenched that in any difference of opinion with the procuradores he always emerged victorious. With the Cortes of the realms of the crown of Aragon, where the popular liberties were so much more strongly established, he followed, until circumstances forced him to abandon it, the policy of avoiding a decisive issue. Yet it would be a grave error to regard the national assemblies of the Spanish kingdoms in the reign of Philip II as wholly without significance. Their records give us the best picture at present available of the popular aspirations and desires. They show the importance of keeping alive the forms of constitutional liberty, even though the animating spirit be lost. If they fall far below the Parliaments of Queen Elizabeth, they counted for more than the contemporary États-Généraux. Not until the degenerate successors of Philip the Prudent had ceased to perform the functions of royalty did the Cortes of the Spanish kingdoms disappear from the picture.

The foregoing paragraphs will doubtless have made it clear that the really fundamental problems of the reign of Philip II—even though the king was unable to realize it—were those of finance and economic conditions. We have therefore to investigate the state of the royal exchequer, and the ability of the king's subjects to replenish it.

The body with the supreme authority under the crown over the management of the royal finances, which Philip inherited from his father, was officially known in the Emperor's day as the *Contaduría Mayor*, and was composed, as we have seen, of two *contadores mayores* and two *contadores mayores de cuentas*, aided by eight or ten special officials. In 1554, while he was still Prince of Asturias, Philip enlarged it by the addition of three *letrados*, "to hear and determine all cases which should arise" in connection with the work of the *contadores*, and the organization was further perfected by a royal ordinance of October 28, 1568. There also appears, beginning with the year 1523, a body with the official name of the *Consejo de Hacienda*. Originally composed of six persons, it is described, in the middle of Philip's reign, as consisting of a president, three of the senior *oidores* of the *Consejo Real*, and an unspecified number of "contadores mayores de su majestad"; obviously its function was to bring the Council of Castile into closer touch with the problems of the exchequer. Its relations to the *Contaduría* are defined in a *pragmática* of November 20, 1593, in which the *Consejo de Hacienda* was charged with the general supervision of the entire financial system, with the task of drawing up estimates of receipts and expenses for each ensuing year, and, as the former never equaled the latter, with the cumulatively onerous and impossible duty of inventing new sources of supply; to the *contadores* was assigned the management of the details of the collection of the different kinds of revenues, while the councilors continued to sit on all cases arising in connection therewith. To all intents and purposes the *Hacienda* and the *Contaduría*, though officially separate, were but closely interrelated divisions of a single body, at the time of Philip's death; and the *pragmática* of Philip III, by which the two were definitely fused in 1602, did little but legalize an existing state of affairs.

But the *Contaduría* and the *Hacienda* were after all but obedient instruments of the royal will; it was primarily at Philip's own door that the blame is to be laid for the dismal financial history of the reign. In justice to him, it should be made plain at the outset that the situation which his father had bequeathed to him was beset with difficulties. Certainly he himself had no knowledge of or interest in finance. He expected the money to be furnished by others. His treasury, in fact, was the only portion of the government service which he did not meticulously superintend; it was the exception that proves the rule. Moreover, in addition to the extent of his possessions and the expense inevitable to the maintaining of them, over and above the totally disproportionate share of the burden of Spanish taxation which fell on Castile, he had

been left with a debt generally estimated at 20,000,000 ducats. What was even worse, his father had set him a bad example of financial recklessness and mortgaging of the future. He had seen the borrowing of vast sums at high rates of interest from foreign bankers. He had watched the development and extension of the evil system of *juros*, and of the even more ruinous practice of the sale of public offices. On the other hand he had inherited in Castile a national assembly which still maintained, in theory at least, that no new tax could lawfully be imposed without its consent, while the Cortes of the realms of the crown of Aragon had practically succeeded in erecting that theory into a fact. The Castilian procuradores continued, indeed, throughout the reign to vote him *servicios*, as they had done ever since 1542, to the annual amount of 150 *cuentos*. In 1570 they even added an extra 150 for the marriage of his Majesty to Anne of Austria, though the procuradores of Granada roundly declared on this occasion that their constituents would not contribute, and that they only voted in order that others might pay. The realms of the crown of Aragon contributed scattering *donativos*. But these sums, as the sequel will show, were insignificant in comparison with Philip's annual expenditures. Certainly the financial problems which Philip inherited were of the hardest. In view of the disasters which overwhelmed him in later years, they could justly be described as insoluble. Had he succeeded in maintaining the status quo, or even an approximation thereto, it would be unfair to blame him. But his actual record was almost unbelievably worse than that of his father before him. Not only were all the ancient and most ruinous imposts maintained and increased; many new ones were superadded, in defiance of the law of the land, and the tax-paying capacity of the realm was exhausted. In 1557 and again in 1592 the number of municipal offices was increased in order that they might be sold for the profit of the crown. Important posts in the national government could also be obtained at a price, and the children of clerics bought patents of legitimacy right and left. The *juros*, and the sums borrowed at exorbitant rates from foreign bankers, mounted by leaps and bounds; on two occasions Philip was forced to make what amounted to a declaration of bankruptcy. Mortgaging the future was the sole policy which he could comprehend—always deluding himself with the belief that the scales were bound to turn. But the great victory which he confidently expected never came, and in the end he left his successor with a debt four times as great as that which his father had bequeathed to him. A brief summary of the history of the successive financial expedients which he adopted will serve to show the steps by which an originally bad situation was suffered to get infinitely worse.

The process began even before his return to Castile. The war with France and the papacy cost heavily; neither the Netherlands nor the Italian dominions were able to help him; like his father before him, he was driven back on Castile and the Indies. In 1558 he took a step which the Emperor had often considered, but never actually adopted, namely, the extension by law of the alcabala to New Spain and New Castile. In the same year the export of Castilian wool was subjected for the first time to a heavy duty (*derecho de lanas*); in 1561 it was calculated to yield 80,000 ducats; and in 1577, 150,000, of which 130,000 were pledged in advance (*empeñado en juros*). In 1559 a line of custom houses was established on the frontiers of Portugal, which had hitherto enjoyed free trade with Castile; and duties at the rate of ten per cent were enforced on all exports and imports, as on the confines of Aragon, Valencia, and Navarre. In the same year Philip decreed that all gold, silver, and quicksilver mines in Spain should be turned over to the crown; he also bought back the *diezmos de la mar*, or customs revenues of the Biscayan ports, from the Constable of Castile, to whose family they had been granted for over a hundred years, and raised the rate. In 1561 they were calculated to be worth 48,000 ducats; in 1577, 150,000. In these same years the royal monopoly of playing cards, which had been established, in theory at least, from a much earlier date, was for the first time rigidly enforced; in 1577 it netted over 53,000 ducats. And in 1564 all the salt pits of the realm

were incorporated into the royal domain; thirteen years later they yielded the king's treasury an annual income of 250,000 ducats.

All these, however, were but trifling beginnings. They may have infringed the letter of the law of the land, but Spain was so glad to get back her king that the Cortes hardly made a complaint; indeed their protests did not become violent until ten or fifteen years afterwards. Nevertheless the year 1561 did not close without a fresh innovation, immediately much more lucrative, though ultimately far more harmful, than any of Philip's previous ones. It will be remembered that under an arrangement inherited from the Emperor's day, the cities of Castile had been paying in annually to the royal treasury a lump sum of 334 *cuentos*, called the *encabezamiento*, in lieu of the crown's abandonment of its right to the *tercias* and *alcabala*. This *encabezamiento* was already the largest item in the royal income, but Philip was determined that it must be still further increased. Chiefly on the plea that it was the king's prerogative to raise the rate of the *alcabala*, whose abandonment by the crown was the principal basis upon which the *encabezamiento* rested, he now proposed to the procuradores an increase in the latter which should bring its annual yield up to 456 *cuentos*, besides certain payments in kind; and the procuradores accepted the arrangement in return for Philip's solemn promise to alienate no more of the royal domain and to impose no new taxes. The repartition of the payment of this increased *encabezamiento* among the different localities was made in accordance with the results of a special investigation of the state of the population and the resources of the various parts of the realm. No pains were spared to make the apportionment as fair as the excessive demands of the crown would permit, and any community or individual who so desired was granted exemption from the *encabezamiento* in return for direct payment of the *tercias* and *alcabala*. In 1561 Philip obtained from Pope Pius IV a yearly subsidy (*subsidio*) of 300,000 ducats, increased in the following year to 420,000, for the preparation of a fleet to fight the Turk; and in 1571 Pius V permitted him, on the same plea, to collect for the royal coffers the tithe due to the church from the house in each parish which paid the highest tithe. This new tribute, called the *excusado*, yielded in 1577 the sum of 293,000 ducats. In 1566 the *almojarifazgos* and other customs duties were greatly increased. It seems safe to say that between Philip's accession and 1573 the revenues of the state approximately doubled.

Much more, however, was still to come. In 1571, and again in 1573, the representatives of the government informed the Cortes that the ordinary income of the realm for the ensuing five years had already been spent in advance, and that the outstanding debts were perilously near 50,000,000 ducats; some new and drastic method must forthwith be devised in order to meet the existing situation. After various proposals and counter-proposals had been made and had failed, Philip intervened (November 9, 1574) with a proposal to the procuradores for a new and greater increase in the *encabezamiento* which should raise its annual yield to 1395 'cuentos', ten months later (September 1, 1575) he issued a royal decree declaring all interest on state debts to be suspended until new means of liquidating them could be found. As these two drastic measures marked the beginning of the utter bankruptcy with which the reign closed, it is worthwhile to follow the results of them in some detail.

Let us begin with the *encabezamiento*. The procuradores made difficulties, of course, but Philip was not to be denied. He exerted every influence, lawful or unlawful, that he could bring to bear, and finally got the Cortes to sanction the measure. But the actual collection of the funds proved to be a far harder matter. As soon as the new rates had been announced, a large majority of the Castilian cities exercised the right which they indubitably possessed, of refusing to accept the *encabezamiento*, and reverting instead to the payment of the *alcabala*; the government soon found that the sums which it could derive from the places which had elected to follow this method of procedure were often only a half, and sometimes only a third, of the amount which it had reckoned that it would gain. This fact, together with the protests

which the new rates evoked on every hand, caused Philip, in 1577, to diminish the total amount of the *encabezamiento* to 1018 *cuentos*, besides the payments in kind, where it remained, save for an increase of fifteen *cuentos* in 1590, until the end of the reign. Even with this modification, the government had the utmost difficulty in persuading the Castilian cities to accept the *encabezamiento* in place of the *alcabala* and *tercias*; and Avila, Granada, Cordova, and Toro stood out against it till the very end. Philip had indeed succeeded in more than trebling the yield of the largest of the various sources of the government revenue during the course of his reign, but in so doing he had wellnigh exhausted the tax-paying capacity of his realm. The blighting effect of the *alcabala*, which was the chief basis on which the system of taxation rested, was first revealed in its fulness during the reign of the Prudent King.

As for the decree of suspension of payments, September 1, 1575, its first obvious effect was to cause a panic among all those to whom Philip owed money both at home and abroad, and to ruin Spanish credit in Europe. There had been previous occasions, notably in 1557 and 1560, when he had been unable to discharge his obligations, and many of the German bankers on whom his father had relied had already begun to fight shy of investments in Spain; but now the Genoese, who had hitherto stood by him, refused to lend him another ducat. For some time after the issuance of the *decreto*, Philip was utterly unable to borrow funds. At all costs he must take measures to reestablish his credit abroad; and in 1577 he temporarily succeeded in so doing by the arrangement known as the *medio general*. This consisted, in brief, of the issuance of new pledges in place of the old ones, to all the state's creditors, from the foreign bankers to the holders of *juros*, by which the said creditors were promised their interest at varying rates out of the regular revenues of the crown. For the time being the expedient served the purpose for which it was intended, for Philip was thereby enabled to borrow money again; but ultimately it left him worse off than before, for the sole principle that underlay it continued to be the same old vicious one of mortgaging the future. Larger and larger were the proportions of the government revenues that were drawn off from the treasury by the king's various creditors, smaller and smaller his actual receipts; and finally in 1588 he reached the nadir of his fortunes, when instead of the great victory to which he had so confidently looked forward as the sole means of relieving his embarrassments, came the news of the defeat of the Invincible Armada. It had cost him, so he plainly told the Cortes, 10,000,000 ducats. This time he frankly admitted his own inability to meet the situation, and told the procuradores that they must find means to help him out; and the result was the first imposition, in 1590, of the tax that came subsequently to be known as the *millones*. In the form that it was voted by the procuradores in that year (Granada, Segovia, and Soria standing out) it consisted of a direct contribution of 8,000,000 ducats, the collection to be spread over a period of six years; but when the term had expired, and the amount had been paid in, it was prolonged, this time at the rate of 500 *cuentos* per annum, for four years more. In theory the Prudent King, who died in 1598, should only have had the benefit of the first two of these four years; but the actual state of affairs is revealed by the fact that his son, on his accession, explained to the procuradores that the income of the last two had already been spent in advance. Moreover even the *millones* did not save Philip from the necessity of issuing a second decree of suspension on November 29, 1596, and the object of this one, as has been justly remarked, was no longer to reestablish the royal finances, which now were past praying for, but solely to get easier rates on the sums already borrowed.

The foregoing paragraphs will have made it abundantly clear that the most serious drain on the Spanish exchequer was the payment of the interest on the various crown debts; it even exceeded the 'extraordinario', or sums appropriated for foreign diplomacy and wars. There are all sorts of different ways in which the total of it can be reckoned up, but in any case it is clear that before the *decreto* of 1575 it amounted to at least one-third of the regular income, and at

the end of the reign to two-thirds; in other words, at the time of Philip's death, considerably less than half of the state revenues were actually at the disposal of the government. The rate of interest paid to the foreign bankers and other *asentistas* steadily rose until it was arbitrarily scaled down in 1575 by the *decreto*. Under the Emperor it probably varied from five to twenty per cent. In the first half of his son's reign, it was certainly far nearer the latter extreme than the former. After 1575, of course, the rates which the government consented to pay cannot any longer be taken as an index of the measure of its solvency. For this we must look to the number of individuals and of banking houses, both in Spain and abroad, whose trust in it had been rewarded by financial ruin.

From our consideration of the state of the royal exchequer under Philip II, it is natural to turn to that of the general economic conditions in Spain; and a few words must be devoted at the outset to the much vexed question of the state of the population during the reign of the Prudent King. An abundance of material on the subject has come down to us, for Philip -was even fonder than his predecessors of taking periodical *censos de poblacion*, both for his own private information, and for the purposes of royal taxation. These *censos*, buried for over two centuries in the archives of Simancas, were discovered, and many of them published, in 1829, by a painstaking scholar named Gonzalez, and one of the most complete of them, taken in the year 1594, gives materials from which the entire population of Spain at that date has been estimated as 9,034,410. This impressive total is less than two-thirds of that usually given for the population of contemporary France; but it is twice as large as that of England and Wales in the same period. Certainly it seems extraordinarily high in comparison with some of the modern estimates, and in view of the reiterated complaints of the Cortes of the period about the depopulation of the rural portions of the realm. Yet further consideration inclines one to believe that there are valid reasons for accepting it. The density per square mile is only half that of the population of France, and but fifty-seven per cent of that of England and Wales; and the depopulation of the rural districts can be adequately explained on the theory of urban concentration.

A further analysis of the figures of Gonzalez throws light on the way in which the inhabitants were divided among the different portions of the realm. Old and New Castile, with the northwest provinces and the ancient kingdom of Leon, are given a total of 6,020,915, with an average density of over sixty per square mile; Murcia and Andalusia get 1,656,790, with an average density of thirty-nine; Aragon, 378,710, Catalonia, 336,970, Valencia, 486,860, and Navarre, 154,165; in Aragon there were barely twenty-one souls to the square mile. These figures are, of course, primarily significant as evidence of yet another phase of that Castilianization of the Iberian Peninsula to which we have so often referred; but they also show that, within the limits of the western kingdom, the inhabitants preferred the pasture lands of the north, and the cities of the central plateau, to the fertile valleys of the Guadiana and Guadalquivir. In other words, they seem rather to confirm than to contradict what we can gather from other sources in regard to the decline of Spanish agriculture. There is evidence that the population declined in the closing years of the century. In 1597 a deputy in the Cortes declared that in nothing were the Spanish realms so poor as in people.

The reign of the Prudent King witnessed a new phase of the age-long struggle between the rival interests of agriculture and pasturage in Spain. The various privileges which the Emperor had granted to the Mesta had sufficed to establish it in a position of unquestioned preponderance for the rest of the century. Philip had little to do save to confirm the existing arrangements, and the Cortes apparently recognized the futility of attempting to alter them; there is a notable absence of petitions on the subject in the cuadernos of this reign, in

comparison with those of the preceding and succeeding ones. But if the state of the grazing interests remained, relatively speaking, happy under Philip II, that of the tillers of the soil became much worse. The petitions of the Cortes and the testimony of contemporaries bear witness to it at every turn. Year by year we learn of fertile fields being abandoned, of real wealth “vanishing in papers and contracts”; in 1593 the Cortes deplore the depopulation of these “richest realms in Europe, in such fashion that almost every year there is sterility and lack of food, because the laborers have faded away, and two-thirds of their number are missing”. The causes of the decline were doubtless numerous. The departure of the Moriscos after the Granadan rebellion, emigration to the Indies, the wars of Italy and the Low Countries, and a series of blights and scant crops were all, no doubt, partially responsible for the decay; but there can be no question that the greater part of the blame is to be laid at the door of the government. The need of encouraging agriculture became increasingly imperative as the reign progressed, but the means that were adopted to effect it were most inadequate. Philip could not be made to realize its importance. His attention was exclusively occupied with the increase of the yield of the alcabala and the *encabezamiento*, to which the comparatively self-supporting farmer contributed little or nothing, and he generally refused or ignored the petitions that were addressed to him for the relief of the sad state of the tillers of the soil. The experiment, which had been tried and failed before, of fixing the price of agricultural products was repeated at the request of the Cortes in 1558; but when, eight years later, the procuradores had discovered their mistake, and begged that the schedules be abolished, Philip refused; the rates were maintained and steadily increased until the end of the reign. It is needless to add that the scarcity and consequent dearness of food became increasingly serious problems as the reign progressed. An eminent specialist has calculated that the price of wheat in Spain increased, during the sixteenth century, 456 per cent, and that of wine 500 per cent. To an extent, of course, it is only fair to regard this rise as but a part of the general price revolution contemporaneously in progress all over Europe, but it was certainly far greater than it would have been if the general financial policy of the government had been more wise. It was the tragedy of the situation that Philip’s foreign loans deprived Spain of the wealth of the Indies at the very moment she needed it most. After she had brought it across the Atlantic it flowed through her as—water through a sieve to profit other lands; and she was left—without resources to meet the increased costs which the achievements of her own empire-builders had been largely instrumental in creating.

On the side of industry and commerce the story is scarcely less depressing. Presumably the depopulation of the fields was counterbalanced to some extent by an increase in the size of the cities, and there have been those who have maintained that the decade 1550-60 was a thriving period for Spanish manufacture and trade. But there can be no question that the period as a whole saw a rapid decline. The procuradores at the outset demanded protection against foreign competition in the form of prohibitions of the admission into the realm of goods manufactured abroad, and of the exportation of raw material from Castile. They also requested that the Castilians be given the opportunity to become acquainted with the newest and best methods of manufacturing. In theory Philip approved of this program, and gave orders for the carrying of it out; but before long the immediate needs of the royal exchequer became so pressing that everything gave way before them. Philip could never understand that no king can be really rich unless he has a wealthy nation behind him. We have seen that in order to get more revenue, he levied heavy export duties on Castilian wool in 1558, and he increased them in 1562 and 1566; but in spite of these imposts, which one would expect to find helpful to the native clothiers, woollen goods of foreign manufacture constantly made their appearance in Spain, either as a result of the revocation of the *pragmáticas* forbidding their importation, or by virtue of special licenses to transgress the established laws granted by the government in return for pecuniary rewards. The silk industry, which had been one of the glories of Granada,

was by this time almost ruined by the progressive increases of the *rentas* to which it had been subjected, while the raising of the rates of the *encabezamiento* and *alcabala* after 1577 cramped all commercial activity within the realm; the only notable exception was Seville, whose prosperity was kept alive and increased by the trade with the American colonies. The effects of the *decreto* of 1575 were also most harmful to Spanish commerce abroad, for the foreign merchants whose loans Philip had declared his inability to pay revenged themselves, at least in part, by cancelling their contracts with the Spanish export houses. Every effort the king made to lighten his financial burdens left him, and also his subjects, worse off than before.

Amid all these evidences of economic disintegration and decay, it is refreshing to note that in one respect the Prudent King was actually in advance of his day and generation. He may be justly called the first of modern sovereigns to have established a postal service for the use of the general public. A *correo* for the dispatch of royal letters and mandates throughout the different Hapsburg dominions in Europe had been organized and set up in the Emperor's day under the direction of the de Tassis family, and there was another for the Indies administered by Lorenzo Galindez de Carvajal. Under Philip the existing facilities were greatly expanded. It seems probable that the credit for originating the idea of a postal service for general use belongs to one Francisco de Cuevas, who in the early years of the reign established a *correo ordinario* at Burgos; but we may well believe that the king, with his passion for detailed information in regard to everything that was taking place all over Europe, did his utmost to forward and develop it. In 1580, with the hearty support of Granvelle, there was established a fortnightly service for general use between Rome and Madrid; the charge was two *reales* (i.e., about two-elevenths of a ducat) for every ounce of paper.

We have several times remarked that Philip II was essentially a law-loving man. Of this his whole policy, both foreign and internal, affords convincing proof. Such acts as the free and easy piracies and pillagings of the English sea-rovers were beyond his understanding. Whenever he himself assumed the offensive he took meticulous pains to justify his actions in the eyes of legal experts; the care with which he established and fortified his lawful rights to the Portuguese throne on the death of his nephew Sebastian is perhaps the most striking of the many instances of it that have come down to us. The same quality is even more plainly observable in his conduct of internal affairs. He justified his own most arbitrary acts on the ground that as king by right divine he was himself the ultimate source of all law; on the other hand, he was most insistent on the literal observance of existing legislation by everybody else. Like his great-grandmother, Isabella the Catholic, he was determined that even-handed justice should always be available to the humblest of his subjects. He was zealous for the punishment of every sort of violence and crime. He filled his realms with innumerable agents, charged to detect and report all infringements of the laws. Indeed, the keynote of his whole system of internal administration was strict and constant surveillance.

If Philip expected his subjects to observe the laws, it was obviously essential that they should know what those laws were; but at the time of his accession this could not be fairly expected of them. The many defects in the most recent existing code, the *Ordenanzas Reales* of 1484, and the enormous number of new elements that had come into play during the Emperor's reign, had created legal chaos in Castile long before Philip assumed control. The Cortes, as we have already seen, had been loud in their complaints, and at least as early as the year 1537 Charles had taken the first measures for the drawing up of a new code. The first three of those to whom the work was entrusted all died before the end of his reign; a fourth was so busy in the *Consejo Real* that he was unable to finish the task; and it was finally

completed, early in Philip's reign, by the licentiate Bartolome de Atienza, also of the *Consejo*, and therefore presumably in close touch with the king. It was formally approved by a royal cedula of March 14, 1567, wherein it was specifically stated that it was to be exclusively used by all judges and tribunals of the realm, even though its provisions were contradicted by the earlier codes; and it was first published at Alcala de Henares on January 11, 1569. It was principally composed of "laws made in Cortes", but it also included some 300 pragmáticas and cédulas issued on the royal authority alone, as well as a few *autos acordados del Consejo*. Both its content and the method of its establishment as the law of the land afford the plainest evidence that the king regarded himself as possessed of supreme legislative power, independent of the national assembly. It was by far the most important legal compilation in Spain since the days of the *Partidas*, and it continued, with periodical additions, to be the law of the land down to the publication of the *Novisima Recopilacion* in 1805.

The supreme judicial tribunal of the realm remained under Philip, as it had been under his predecessors, the Royal Council of Castile. We have already examined the course of its development during the reign; here we need only remark that the vast number of cases which were permitted to come before it afford additional proof of the fondness of the king for everything pertaining to the law, and of his resolve, in so far as it was humanly possible, to keep himself in close touch with its interpretation and administration. Next below the *Consejo* came the four great regional courts of the realm—the Chancillerías of Valladolid and Granada, and the Audiencia of Galicia, inherited from the days of the Catholic Kings, and the much more recent Audiencia of Seville, established in 1556; ten years later Philip set up a fifth tribunal at Las Palmas in the Canaries, whose inhabitants had hitherto resorted in important cases to the Chancillería of Granada. The two Chancillerías continued to maintain their ancient preeminence over the more recent audiencias; "the greater part of Spain has recourse to them in the districts committed respectively to their charge." The membership of each, namely, sixteen *oidores*, divided into four *salas*, inherited from the Emperor's day, does not seem to have been altered by any law in the reign of the Prudent King; but a document of the eighth decade of the century describes each as composed of "twelve *oidores*, a president, and four *alcaldes*, and three *alcaldes de hijosdalgo*", all of them appointed by the president of the Council of Castile; doubtless the accumulation of suits necessitated a gradual increase in the number of the magistrates. The same document gives interesting details in regard to jurisdiction and procedure; it is particularly enlightening as to the method of appeal from the Chancillería to the *Sala de Mil y Quinientos* in the *Consejo*, and reveals exactly why and how the latter got its name. No such appeal could be made if the amount at stake was less than 4000 ducats. The appellant must deposit 1500 *doblas* beforehand, and if the verdict of the higher court went against him, he was obliged to pay one-third of the 1500 *doblas* to the Cámara Real, another third to the judges who gave the verdict, and the rest to his opponent in the suit. There were certain very definite limitations to the jurisdiction of the Chancillerías. By pragmáticas of 1561 and 1568 they were forbidden to take cognizance of any case arising out of the decrees of the Council of Trent, such matters being exclusively reserved for the *Consejo Real*; and the seventy-fourth petition of the Cortes of Madrid in 1583 was erected into a law which inhibited them from concerning themselves with "lo que se huviere vendido" in the *Consejo de Hacienda*.

The Audiencias of Galicia and of Seville were lesser bodies. The area of their jurisdiction was smaller, and they were forbidden to deal with cases of 'hidalguía', in other respects their competence was virtually identical with that of the chanceries. The former was composed of a regent, four *oidores*, and four *alcaldes*; in the latter the number of the *oidores* was six. We also find a Consejo de Navarra—obviously rather a court than a council—with full jurisdiction over all cases arising in that realm. It was composed of a

regent, six *oidores*, and four *alcaldes*, and there was no appeal from its decisions to the Sala de Mil y Quinientos.—There is no evidence of any important alteration in the existing judicial arrangements in the eastern kingdoms down to the time of the ‘troubles’ in Aragon in 1591.

Yet the composition and activities of the higher courts of the realm, important though they be, give a far less characteristic picture of Philip’s conception of government than the methods by which justice was administered in the municipalities. Here we find the most perfect exemplification of his resolve that royally appointed officers should carry the king’s will into every corner of the realm, of his fondness for fusing administrative and judicial functions, and of his passion for complete and accurate information in regard to everything that was taking place throughout the length and breadth of the land. The heart of the whole system was the *corregidor* and the *residencia*: both described, during his reign and shortly afterwards, with a wealth of detail, by two of the greatest of contemporary legists, Jeronimo Castillo de Bovadilla and Juan de Solórzano Pereira, who gloried in tracing back the origins of both of them to the days of the Creation and of the Garden of Eden.

The institution of the *corregidores* was common to all the Iberian kingdoms, but it was so much more highly developed in Castile than elsewhere that it will suffice to examine its workings in the sixty-six *corregimientos* into which that realm was officially divided in the reign of the Prudent King. The office itself underwent several significant changes in Philip’s day, some of them in contravention of the law of the land. In the first place the appointment of the *corregidores*, which had hitherto remained in the hands of the crown, was now actually made by the president of the Council of Castile. According to Castillo de Bovadilla, this change (which had gone into effect before 1578) was due to the popular outcry against the inefficiency of some of the appointees; but we may also take it as an evidence of the closeness with which Philip kept in touch with the *Consejo Real*. It was obviously an advantage to have its members make preliminary investigations of the fitness of the candidates, while it was, of course, inconceivable that any final appointment should be made of which the king did not approve. In the second place, it is to be noted that the period of incumbency, long since fixed at one year, with the provision that it might be extended to two, was suffered gradually to prolong itself beyond the legally established limit. Such prolongations had not been unknown in the Emperor’s day; but under Philip they ceased to be the exception and became the rule. The *corregidores* continued, indeed, to be appointed for one-year periods, as the law required; but at the expiration of that time, the term was often extended for two, three, or even more years, or until a successor were dispatched, so that at the close of the reign it was not uncommon for *corregidores* to remain in office for five years or longer. The Cortes heartily disliked the change, as productive of corruption and perversion of justice, and the procuradores vigorously demanded that the laws be observed; but there is no evidence that any attention was paid to their complaints. The constant changes which the literal enforcement of the law would have demanded became increasingly repugnant to the careworn king; moreover, the shorter term which the Cortes desired gave the incumbent scant time in which to become acquainted with conditions in his *corregimiento*, or effectively to maintain the power of the crown.

The *corregidor*, like the sovereign he represented in the district committed to his charge, was at once a judicial and administrative officer. In almost all the accounts of his office which have come down to us more space is devoted to his functions as a judge than to his duties as an executive. “He represents the person of the king”, so runs a contemporary description of his powers, “and may sit in judgment and mete out punishment for any sort of crime ... and condemn the guilty party to confiscation, or death, or the galleys, or a fine to be paid to the Cámara del Rey, and in all such cases the *corregidor* has a free hand, even though the accused be a titled lord or a noble of the realm”. The *corregidor*, moreover, was generally

selected from the *letrado* class, though *corregidores de capa y espada* (or *sin letras*, as they were sometimes called) were not unknown, and it would appear from the eighty-seventh petition of the Cortes of 1571 that the procuradores preferred the latter type, particularly for the frontier towns. The *corregidor's* one, two- or three *tenientes*, whom he himself appointed subject to the approval of the Consejo Real, were also invariably *letrados*; and in case the *corregidor* himself was not a legist, he was obliged to relinquish the exercise of his judicial functions to his *teniente*. All this is an interesting comment on the predilection of the Spanish monarchs for the judicial side, on their faith in the efficacy of the Roman law as essential to the maintenance of civilized society; and certainly no previous Spanish sovereign had ever exhibited that predilection as plainly as the Prudent King. But the picture cannot be complete without some account of the various administrative functions which the *corregidor*, as the years went on, had gradually been permitted to superadd to his primarily judicial ones, and which carried his office, in the latter half of the sixteenth century, to the climax of its prestige. It was with good reason that Castillo de Bovadilla describes him as being “with the exception of the sovereign, the highest authority in the community which he governs.”

As ruler, under the king, of the district committed to his charge, the first duty of the *corregidor* was to see to the enforcement of all royal *pragmáticas* and decrees, and to maintain in all respects the authority of the crown. He was to make himself acquainted with the local ordinances, to enforce those that were good, and to see that unsuitable ones were revised or abolished, though he could not take action to that end save in conjunction with the municipal *regimiento*. He was expected to visit each year all the communities in his *corregimiento*, including the villas *eximidas*; to make sure that justice and good government were well maintained; to see that roads, walls, bridges, and other public works were kept in good repair, that the streets and markets were kept clean, and that the district was well supplied with meat, fish, and other provisions, at reasonable prices; and to prevent the unauthorized construction of castles or other fortifications. He was to guard the royal prerogatives from any encroachment on the part of the clergy or ecclesiastical courts, and see to it that no papal bull or indulgence was published in his *corregimiento* except in the form and manner prescribed by law.

The most interesting and significant light on the position which the *corregidor* actually occupied in the district committed to his charge is afforded by the state of his relations to the *ayuntamiento*. At first glance it would seem that no efforts were spared to preserve all the high traditions of Castilian municipal autonomy. On his arrival, the *corregidor*, who had already taken oath before the Consejo Real, had to be sworn into office a second time by the local *ayuntamiento*, before being permitted to enter upon his duties. Though the *corregidor*, or in his absence, his *teniente*, alone possessed the right to convene and adjourn the *ayuntamiento*, he had no vote there save in the case of an even division, and there are a number of specific instances during Philip's reign in which the Royal Council and the *Chancillerías* gave orders that the *corregidores* abide by the decisions of the *ayuntamiento*. though no general legislation to this effect was ever enacted. Moreover, if the matter under discussion in any way concerned his own discharge of his functions, the *corregidor* was always required to withdraw, leaving his *teniente* in his stead;<sup>7</sup> and the *regidores* reserved the right to meet privately if they desired to formulate complaints against him to the crown. These and other passages in Castillo de Bovadilla make it perfectly clear that, whatever the powers inherent in his office, the *corregidor* had no legal right to dominate the *ayuntamiento*. But it is also evident that, in the monarchical atmosphere of the sixteenth century, the prestige of his royal appointment gave the *corregidor* an initial advantage in cases of conflict with the *ayuntamiento* which was nearly always sufficient to turn the scale in his favor. He possessed extensive jurisdiction over the persons and actions of the *regidores*, both during the meetings of the *ayuntamiento* and

outside, and not seldom sent them to prison for misconduct. Whenever they exercised their privilege of holding meetings in his absence, the tendency of the government was to regard such action as a usurpation of authority; Castillo de Bovadilla was very much against it. The right of the *corregidor* to bring up any matter that he chose for the consideration of the *ayuntamiento* gave him an invaluable initiative in the deliberations of that body; and the records of the Cortes of the reign furnish abundant evidence that, whatever the regulations by which he was theoretically bound, he not seldom acted in opposition to the wishes and decisions of the *regidores*. He was not only the principal executive, but also the supreme judge in the district committed to his charge; and as the authority of the crown which he represented became increasingly potent, it was inevitable that he should continue, in practice, to usurp a steadily increasing proportion of the powers which under the law of the land still belonged to the *concejos*. When one remembers, in addition to all this, how many of the local offices were sold to the highest bidders, the decline of the Castilian cities ceases to cause surprise.

It will be readily believed that if Philip was willing to let the ancient municipal liberties and privileges of his kingdom become gradually weakened through the extension of the authority of the *corregidores*, he was also determined to make certain that the *corregidores* were zealous and just in the performance of their duties, and, above all, that they remained implicitly obedient to the commands of the crown. For this double purpose the instrument at his disposal was the *residencia*. Probably no other existing institution in Spain, save, possibly, the Inquisition, was dearer to Philip than this. It seemed to be made expressly to promote the cardinal principles of his system of government: to secure even-handed justice for each and every one of his subjects, to make certain that no royal official misconducted himself in any way, or neglected or exceeded his instructions from the crown, and to give the monarch constant and detailed information, duly attested and in writing, of everything that was occurring throughout the length and breadth of the land. We have already examined the workings of the *residencia*, particularly that of the higher officials, in the Indies. A few words may be added here in regard to its operation, at the lower rungs of the ladder, in Spain.

The Emperor, as we have already seen, had tended to neglect it. He had been altogether too busy with international affairs, and on Philip's accession there was crying need of reform. Under Charles the *residencia* of an outgoing *corregidor* had been usually taken by a special *juez de residencia* or *pesquisidor*, sent down for the purpose; not until he had completed his task, which sometimes required ten months or a year, could the new *corregidor* enter upon his office, and once there it was his first duty to take the *residencia* of the *juez*. The Cortes resented this practice and complained of it, petitioning that the ad interim jurisdiction of the *juez de residencia* be abolished, and that new *corregidores* be immediately appointed on the expiration of the term of the outgoing ones. Philip acceded to this request, at least in part; but the result was that for some time to come only *letrados* were appointed as *corregidores*, displacing the *corregidores de capa y espada* whom the representatives of the nation in general preferred, and that the first months of their term of office were almost exclusively occupied with taking the *residencias* of their predecessors. The defects of this system became so immediately obvious, that in 1564 the experiment was tried of sending out special *jueces de residencia* with all new *corregidores*, thus leaving the latter free for the performance of their regular duties; in 1592 this practice became general throughout the realm. No sooner had the change been made than the procuradores began to clamor for a return to the earlier system; the remedy, in their eyes, had proved worse than the disease. There were numerous complaints that the *jueces de residencia* failed to fulfil their duties, that bad *corregidores* got off scot free, while good ones were not given adequate recognition for their services; above all, there were protests about the unnecessary expense. At the beginning of the reign the cost of the *residencias* was borne by the crown, but as the years went on and the financial situation grew

more and more precarious, Philip gradually began to shift the burden from the royal treasury to the shoulder of the *residenciados*, and ultimately to those of the community itself; and when it came to paying heavily for a process of whose value to themselves they were increasingly doubtful, it was not unnatural that the *concejos* should draw back. Even Castillo de Bovadilla was of one mind with the procuradores on this matter, and vigorously protested against the abuses of the *jueces*, above all the way in which they prolonged the legal period of the *residencia*—thirty days—in order to fill their own pockets. But Philip refused to make any change. The very cumbersomeness, the delays, of the system initiated in 1564 appealed to him; if it gave rise to corruption it was doubtless regrettable, but that, as he once said, was “simply one of those evils inevitable in all human affairs.” And it is but fair to add that there were many cases in which the system worked well. The practice, initiated at the close of the reign, of appointing *ex-corregidores* of proven ability as *jueces de residencia* did much to improve the efficiency of the institution.

There were a multitude of meticulous regulations in regard to the conduct of a *residencia*. It was duly proclaimed beforehand, so that everyone in the *corregimiento* might be given an opportunity to make complaints, which could be preferred during the whole period of the *residencia*, down to the latter part of the reign, when it was ordered that they must be presented during the first twenty days of it. The *pesquisa secreta*, or private examination of witnesses by the *juez de residencia*, occupied the first part of the proceedings. The *corregidor* provided the *juez* with a list of persons who might be expected to bear him a grudge, and who therefore should be excluded from the *pesquisa*; but apparently some of these persons were almost invariably summoned by the *jueces*, on the principle that the truth could be more easily ascertained from the enemies of the *residenciado* than from his friends. There was a list of standard questions, forty-three in number, which were regularly to be put to the witnesses at the *pesquisa*; they indicate the high measure of Philip’s interest in the political and economic well-being of the municipalities, and, still more, the universal prevalence of bribery and corruption. At the end of the *pesquisa* the outgoing *corregidor*, who was required to remain at his post during the whole period of the *residencia*, was apprised of the charges preferred against him, and permitted to present his defence, whereupon the *juez de residencia* rendered judgment. If the *residenciado* was found guilty, the almost invariable penalty was a fine. If it only amounted to 3000 maravedis or less, he was obliged to pay it before appealing to the Consejo Real; if more, payment was suspended, provided good security could be found, pending the decision of the Consejo, to which a full report of the *residencia* was always sent within fifteen days of its completion. No matter what its outcome, the case had to be reviewed by the highest court of the realm before the *residenciado* could be reappointed to office, and in case the outgoing official had been found guilty by the *juez de residencia*, he always had the right to appear before the Consejo to plead his case on appeal. No wonder that the Royal Council, with this addition to the multitude of its other responsibilities, had more work on its hands than it could properly perform.

We pass for a few moments to the administration of the Italian dependencies, whose Castilianization, which had begun in the Emperor’s day, reached its climax in the reign of his son. The independent establishment of the *Consejo de Italia*, to which reference has already been made, constituted the first and most important step in this direction; the course of the internal history of Sicily, Naples, and Milan, and the names of their viceroys are further manifestations thereof. Five of Philip’s nine representatives in Sicily were of Castilian lineage; so also were eight of the nine in Naples, and nine of the ten in Milan.

It was, of course, in Sicily that Philip encountered the most serious difficulties in erecting the type of well ordered despotism, managed from afar, which was so dear to his heart; and it was with good reason that his biographer Cabrera de Cordoba characterized the island as “fatal contra sus virreyes”. When the inhabitants, proud of the ‘liberties’ which they had inherited from Norman and Hohenstaufen times, rose in protest or revolted against the policies which Philip from Spain imposed upon his representatives at Palermo and Messina, the usual outcome was that the monarch abandoned his viceroy and retired him; then another was sent out and the conflict began anew. The Sicilians were far better equipped to wage such conflicts than were either the Neapolitans or the Milanese. Barons, clerics, and cities were zealous for the maintenance of their ancient privileges; when the three orders were united in Cortes, the viceroys had to use deceit and corruption in order to obtain the *donativos*. But the viceroys, on their side, were well armed for the fray. The administration of justice was largely in their hands, for they appointed the judges, most of whom held office for only two years, and naturally did everything in their power to please the king’s representative in order to be continued at their posts ; the viceroys, moreover, made good use of *letrados*, of the typical Castilian variety, to extinguish the memory of the ancient liberties of the realm. There were also unending conflicts between the viceregal government and the Inquisition, which had won here for its officials immunities far beyond those, which were permitted in Spain herself. In 1577 the viceroy declared that there were 25,000 familiars, and that they included all the nobles, the rich men, and the criminals. When one adds to these considerations the important fact that Sicily still continued to be rent by a multitude of family feuds inherited from its checkered past, and that the whole political structure, from the viceregal palace to the lowest of the courts, was honeycombed with corruption, one ceases to wonder that the island was in constant turmoil.<sup>3</sup> The real source of trouble was at Madrid and the Escorial, for no sort of government of which Philip approved would ever have been tolerable to the liberty-loving Sicilians; the curious thing is that the Prudent King should not have afforded any consistent support to his representatives on the ground. Whether it was his preoccupation with other cares, or his haunting dread lest his representatives in his various dominions should get out of hand, it is difficult to say; the fact remains that when complaints against his Sicilian viceroys reached the *Consejo de Italia*, they were on the whole rather welcomed than the reverse. For Philip, as for his father before him, Sicily constituted one of the most unceasing of minor cares; indeed, at certain crises of their Mediterranean campaigns, it might have been characterized as a major one. But as neither of them was able to spend sufficient time or energy on it completely to extirpate its ancient liberties, they preferred, as in so many other cases, to leave an unsatisfactory situation alone.

In Naples there is a totally different tale to tell. Its historical background, as we have already had occasion to observe, was far more favorable to royal absolutism than that of the island to the south of it; the *Seggi* of the city of Naples took the place of the ancient Neapolitan parliament; the size of the revenues which it annually paid in to the crown, and the rate at which they increased, are significant indications of the extent of the royal power. Its viceroys were granted a measure of authority which was vouchsafed to no other representative of the Spanish crown beyond the limits of the Iberian Peninsula; and the very exceptional fact that their *residencias* were seldom if ever taken may plausibly be explained on the theory that their power was so firmly established that nobody ventured to complain of them. Like their master at Madrid, they were surrounded by a series of councils whose members did their will. Contemporaries remarked on the resemblance between the *Consiglio de Santa Chiara* and the *Consejo Real de Castilla*. The *Camera della Sommaria* controlled matters relating to taxes, feudal tenures, and the royal patrimony. The *Consiglio Collateral*—or ‘papacy of doctors’ as Lippomano called it, because it was the center of everything—was modelled on the Spanish type of *consulta* which was so dear to Philip’s heart; it was composed of two Spaniards and

two Italians, but one of the Italians was always at the king's court. The government was particularly successful in playing off against one another, to its own advantage, the rival interests of nobles, clergy, and the third estate; and it had at its disposal a large standing army, always in readiness to suppress revolts. Altogether the situation in Naples must have been far more satisfactory to Philip than that in any other of the Spanish dependencies overseas.

The only really dark spot in the Neapolitan picture, as it presented itself in the eyes of the Prudent King, was the danger lest his own authority and that of his viceroys might be threatened by the encroachments of the power of the Popes, who had claimed, since the eleventh century, to be overlords of the realm. This danger was particularly acute at the beginning of the reign, owing to the events of the pontificate of Paul IV. It prolonged itself during the succeeding years in struggles over the publication of certain decrees of the Council of Trent extending the jurisdiction of the clergy over the laity, of which Philip wholly disapproved, and against which he waged constant war in all his dominions, and still more over the bull *In coena Domini*, which limited the king's power to impose taxes upon the clergy of his realms. The obvious weapon which Philip possessed against these dangers, and "the brightest jewel of his Neapolitan crown", was his right to withhold the royal *exquatur*, without which no papal decree could be published within the kingdom; and a pragmática of August 30, 1561, shows that the king proposed to make the fullest possible use of it, despite vigorous papal protests that the terms of his investiture did not permit him to do so. It became a question, in other words, whether the monarchy or the papacy should be able to win the majority of the Neapolitans to its support, and the decision of this issue was highly favorable to the crown. The nobility, many of whose domains had been acquired at the expense of the church, were in mortal terror of being deprived of them, and rallied loyally to the king; so also did the third estate, who feared that the clerical exemptions from taxation demanded by the Pope would serve materially to increase their own burdens. As for the clergy, though on the one hand they wished to emancipate themselves from the control of the king, they dreaded, on the other, too much domination by the Pope, and Philip succeeded in manipulating these conflicting interests in such fashion that the mass of the Neapolitan clerics gave their allegiance to the crown. The influence of Cardinal Granvelle, both at Naples and at Home, and the fact that the papacy was so dependent on Spain at the time of the campaign of Lepanto, were both potent factors in determining the issue. Thus the monarchy obtained well-nigh unanimous national support in its struggle against the papal pretensions, so that by the end of the reign there was practically nothing left of them, save the privilege of annually receiving from Philip's viceroy at Naples a present of a white palfrey on the feast of Saint Peter and Saint Paul.

The pages in the preceding volume devoted to the administration of Milan in the Emperor's day render it unnecessary for us to do more, at this point, than to notice certain changes in the situation there which came to pass during the reign of his son. Measured by modern standards it was doubtless a period of decline. On the other hand the fact remains that in the first half of the seventeenth century the Milanese looked back with fond memories to the rule of the Prudent King, and wished that he could be brought back again to live till the end of the world.

The obvious explanation of this apparent paradox lies in the political situation in Western Europe during the three different periods concerned. Under the Emperor, and again in the time of the Thirty Years' War, Milan was the scene of constant fighting, but the reign of Philip was a calmer interlude when the strife was diverted to other lands. Charles's ordinances in regard to the duchy, as we have already had occasion to observe, were quite as liberal as the military exigencies of the moment would permit. He defended the privileges of the Senate and the communes, and when, under Philip, the military pressure had been removed, it would have been reasonable to expect an extension of these privileges. But that was not the way of the

Prudent King. His own authority and that of his viceroys must at all costs be preserved, and it is significant and characteristic of him that he forthwith provided the latter with a consulta, in which the generals of the local troops and the heads of the different tribunals were equally represented, and their respective interests played off against one another. He attacked the rights of the Senate as vigorously as his father had defended them, bitterly complaining that it exceeded its jurisdiction, and he arbitrarily deprived it of many of its ancient prerogatives. Another accompanying feature of the period is a further decline of the liberties of the communes, whose *consiglios* steadily diminished both in membership and in prestige. The only exception to the rule was Cremona, who not seldom refused to guarantee the *donativos* demanded by the viceregal agents. Occasionally her example prevailed over that of her more complaisant neighbors, who postponed their action until they learned what hers was to be, and it is incidentally worth noting that while the annual revenues from the royal treasury in Naples apparently increased between 1561 and 1577 from 1,200,000 ducats to 2,400,000 ducats, those in Milan remained constant at 800,000.

The opposition which the monarch occasionally encountered, in matters financial, from the municipalities was but trifling compared with that which he met, at least during part of the reign, at the hands of the Milanese archbishops. In so far as the public liberties within the duchy were preserved at all, it was indeed largely due to the conflict of the highest spiritual and temporal authorities there. The protagonist of this struggle was the celebrated Carlo Borromeo, nephew of Pius IV and hero of the Counterreformation, who was raised to the see of Milan in 1560, at the age of twenty-one, though he did not actually arrive in his archbishopric until 1566. Under him the pristine glories of the see of Ambrose were revived. At the outset there seemed no prospect of his colliding with the civil authorities; but when he began to occupy himself with the conduct of the laity, over whom he attempted to exercise a supervision comparable to that of Calvin over the Genevese, the government complained that he was exceeding his jurisdiction, and open war was soon declared. The moral ascendancy of Borromeo gave him a tremendous initial advantage, and he was fearless in his use of the power of excommunication; but the viceroy was also strong in the possession of military resources, and for some years there was a battle royal between them. As long as Borromeo was in office the side that he represented was consistently victorious, and Philip's viceroy, the Duke of Albuquerque, was reduced to the extremity of seeking absolution at the hands of Pope Pius V. But after Borromeo's death in 1584 the tide turned the other way. His successors were quite unworthy of him, and when one of them proposed to excommunicate Juan Fernández de Velasco, the ablest of all Philip's representatives in the Milanese, the Pope not only refused to support him, but even gave orders that the excommunication be not pronounced. From that time royal authority was permanently reestablished. Though the power of the archbishop may have henceforth constituted an annoyance, it never really threatened.

Philip's reign saw no important modification in the organization of the Spanish army which he had inherited from his father. The rebellion of the Granadan Moriscos (1567-71), which necessitated the recall of a number of veterans from Italy to suppress it, showed the imperative need of a new *alistamiento* for the increase of the available forces within the realm; but it was not till 1590 that the plan for it was approved by the *Consejo* and put into active operation. Twenty-three new tercios for service abroad were created by Philip between 1566 and 1597, but many of these had only temporary existence, especially those formed for the acquisition of Portugal. The plain fact of the matter was that though Philip was obliged to use soldiers, he never really liked them; this, and his inability to pay his troops on time, were the underlying reasons for the decay of the ancient military spirit of Spain, which is one of the most significant phenomena of the period. Lack of leadership was also doubtless a

contributory cause of the decline. Philip would gladly have Castilianized the command of his army, like everything else, but the material was not at hand. Alva was the last of the great Spanish soldiers of the Emperor's day, and the Prudent King mistrusted the foreigners by whom he was succeeded, particularly Alexander of Parma.

Yet the terror of the infantry created by Gonsalvo continued to hold Europe in its grip till the end of the Thirty Years' War. The most eloquent testimonies to its efficiency were paid in the Emperor's time; but there is plenty of contemporaneous evidence, in Philip's day and even later, that other nations still regarded it as unconquerable, and the consensus of modern opinion ranks it higher than any other army in Europe down to the peace of Vervins in 1598. Doubtless its deficiencies would have been sooner revealed had the campaigns which it fought in the Netherlands and in Northern France been of larger size, so that considerations of strategy could have come into play; but rarely, if ever, were more than 40,000 men engaged. On land, at least, the Spanish forces maintained their preeminence.

On the sea, of course, there was another tale to tell. After the defeat of the Armada the naval forces of the realm were at least temporarily paralyzed; and if another fleet of fighting ships was constructed in the Spanish ports before the end of the reign, it was accomplished rather despite than because of the wishes of the king. The fact is that Philip never, comprehended, until too late, the importance for his empire of the control of the sea, and neglected to take the most, obvious measures to maintain it. The petitions of the Cortes on the subject were largely disregarded. The naval activities of the northwestern ports, which had been so successfully revived by his father, were suffered once more to decline. The king preferred to purchase or hire his ships in foreign lands, rather than to stimulate his own subjects to construct them at home. He liked fighting on the sea even less than on the land, and the economic possibilities of a strong navy never dawned on his horizon at all. There was also lamentable deficiency of sailors and sea-captains. No foreigner comparable to Andrea Doria entered the Spanish service during his reign, and Alvaro de Bazán was the last of the old Castilian sea-dogs. The dying-out of the great race of empire-builders in Spain is perhaps exemplified more tragically than anywhere else in the story of the decline and fall of her navy and her consequent loss of the command of the sea.

If Philip hated to fight, he dearly loved to negotiate; his reign is the greatest of all periods in the history of Spanish diplomacy. Firm foundations for its triumph and prestige had been laid by the Catholic Kings, but under the Emperor most of the important posts had been given to Flemings and Italians while the Spaniards were elbowed aside; in no branch of the government service was there a better excuse for Philip to pursue his favorite policy of Castilianization. We may well believe that he did not suffer the opportunity to escape him; though the foreign element was not absolutely eliminated, all the really important ambassadors of the reign were of ancient Castilian lineage, and Spanish diplomacy was once more in Spanish hands. The average level of his representatives abroad, particularly in France and in England, was exceedingly high; the best of them, such as Alava and Bernardino de Mendoza, challenge comparison with the greatest names among the ambassadors of modern times. Many of their methods were doubtless such as would not be tolerated today. They spied, and they plotted. They started insurrections and even commanded rebellious troops;<sup>5</sup> but the ethics of their profession had not yet been established; they were really no worse, but merely more effective, than their rivals and contemporaries of other lands.

To their efforts and activities it was primarily due that the magnificent bluff of Spanish preponderance was so successfully maintained for a long half century after the Prudent King had been in his grave. They furnished notable examples, to be followed in the succeeding

generations by such men as the masterful Gondomar at the court of James I, and the haughty Peñaranda at the Congress of Westphalia. Their achievements seem the more remarkable when we consider that they, like the army and the navy, were perpetually in difficulties because of the shortage of funds. Bernardino de Mendoza had to pawn his jewels and his silver in the service of his master before finally returning from Paris to Madrid.

The prevailing impression of the majority of foreign visitors to the Spain of Philip II was unquestionably that of the power and prestige of the Roman Catholic church. There were clerics everywhere, and their influence seemed all-pervasive. Yet it would be a grave error to conceive of the government of the Prudent King as 'priest-ridden' in the ordinary sense of the term; his conception of the monarchy was far too exalted to permit of that. We have already had occasion to point out how zealous he was to defend his royal prerogative against papal encroachments. Like many other strong monarchs in the history of Europe, he expected Rome to reward his unswerving loyalty to the faith with virtual control of the church within his own dominions. His ideal was to have church and state march hand in hand, both under his own guidance, and each lending-to the other its indispensable support.

If we keep these fundamental ideas clearly in mind, it is easy to see the reason for Philip's well known predilection for the Spanish Inquisition. It seemed to embody the main principles of his life. On the one hand it was the relentless foe of heresy and dangerous innovation; on the other it fortified the absolutism of the crown. In the latter part of the Emperor's reign it had not been in a flourishing state. Charles's many absences had not helped it, and the avaricious Inquisitor-General Hernando de Valdes, who had evaded his share of the forced loan demanded of the clergy, was perilously near disgrace. Something was desperately needed to restore his prestige and that of the institution over whose activities he presided, and in 1557-58 that need was at least temporarily supplied by the discovery of the two small Protestant communities in Seville and Valladolid, to whose extirpation he devoted himself in 1559. The number of victims, both reconciled and relaxed, was not very great but the work was so thoroughly done that, save for a few sporadic instances, we hear nothing more of Spanish Protestantism during the remainder of the reign; indeed the chief significance of the whole episode was that it served to tide the Inquisition over a danger point in its existence, when it threatened to perish for lack of fuel to feed its flames. But Valdes was not yet satisfied. At all costs he must make certain of the support of the Prudent King. He also was most desirous to feed fat an ancient grudge against a man who had recently and most unexpectedly been elevated to a post which he had coveted for himself, Bartolome de Carranza, since 1557 archbishop of Toledo, and Carranza, accordingly, was accused before the Suprema of having expressed heretical opinions in his Commentaries on the Catechism. There was not the slightest basis for the charge. Carranza was an ardent advocate of reform within the church. That he had no sympathy with Protestantism is proved by his career in England, where, if we may believe his boast, he burnt, reconciled, or drove from the realm 30,000 heretics, and brought back 2,000,000 souls to the faith. But Valdes was fortunate in being supported in his attack by Melchior Cano, who enjoyed the unlimited confidence of the king, and he finally succeeded in poisoning Philip's ear against Carranza. Not only did the king suffer the trial to proceed; when the papacy intervened, he did his utmost to prevent the case from being sent to Rome. That it was sent there at last, after a delay of seven years, that the final verdict was so much milder than the Inquisition desired, and that Valdes was ultimately forced to resign his position, are, for our present purposes, comparatively unimportant; the main fact that demands our attention here is that the affair committed Philip irrevocably to the cause of the Holy Office against all who attacked it both at home and abroad, as the strongest bulwark of the omnipotence of the crown. From that time onward its 'supereminence' was

doubly assured, and it was utilized at will, not only for the extirpation of potential enemies of the church, but for the suppression of political unrest.

The influence of the church was naturally predominant, as it was in all other Catholic countries during the age of the Counter-Reformation, in education and in learning, in culture and in art. The universities were largely controlled by Jesuits and Dominicans, who regulated the subjects taught and the methods of teaching them. But it would be quite wrong to think of the reign of the Prudent King as a period of intellectual stagnation. On the contrary it is characterized throughout by a burning interest in scholarship; it ushers in, even if it does not last to see the culmination of, the golden age of Spanish literature. That such things should have been possible in an atmosphere of unquestioned clerical predominance, is but one of a number of convincing refutations of the theory, not yet extinct, that the tendencies of the Romish church have always been obscurantist. That they should have occurred in an age of political and economic decline is but another illustration of a phenomenon observable in many other countries, at many other epochs, ever since the days of the Roman Empire. The greatest periods of intellectual and artistic development have tended on the whole to follow rather than to coincide with those of the most notable political achievement.

The greatest works of scholarship in the reign were produced in the field of history, theology, and law; nearly all of them were of the ponderous, monumental type which was to become prevalent throughout Western Europe in the seventeenth century. In history the greatest names are those of Ambrosio de Morales (1513-91) and of Jeronimo de Zurita (1512-80). The former was appointed to the post of official chronicler in 1563, and continued the work of Florian de Ocampo; the latter was secretary of the Inquisition, and in 1548 was elected the first coronista of Aragon by the unanimous vote of the Cortes. Both are notable for their patient zeal in the search and use of manuscripts and inscriptions, and for their daring rejection of unproven legends; indeed they may be justly regarded as the founders of critical historical scholarship in Spain. And there were also a host of lesser lights. On the borderline between history, theology, and political science stands the imposing figure of the great Jesuit Juan de Mariana (1535-1624), whose work was largely done in the reign of the Prudent King, though most of it was published in that of his successor. He was a paragon of learning, and a master of Spanish prose. To characterize as 'scientific' a history which begins with the statement that "Tubal, the son of Japheth, was the first man that came to Spain" seems to us excessive; but when Mariana deals with contemporary affairs his views become at least modern, if not positively revolutionary. In his treatise *De Rege et Regis Institutione*, which was published in 1599 with the sanction of the Spanish crown, he justified the slaughter of tyrants in extreme cases; and when Ravaillac, who was popularly supposed to have been influenced by the book, assassinated Henry IV in 1610, it was burnt by the public executioner in Paris. In theology the outstanding figures are those of the Jesuits, Luis Molina (1535-1600) and his follower Francisco Suarez (1548-1617), who attempted to reconcile the doctrine of predestination with the ideas of the freedom of the will then current in the church of Rome. Suarez also earned an unenviable fame in England in the succeeding reign by his treatise against the oath of allegiance which James I demanded of his subjects. And since theology and law marched hand in hand in the Spain of the Prudent King, it is not surprising to find priests like Suarez distinguishing themselves also in the field of international jurisprudence. A notable precedent for their activities in this direction had been set them during the Emperor's reign by the noble Dominican friar Francisco de Vitoria, who has been called, with but slight exaggeration, "one of the precursors of the League of Nations"; and his example was eagerly followed by the writers of Philip's day. Grotius owed much to Suarez's *Tractatus de Legibus ac Deo Legislatione*, in which the theory of the divine right of kings is refuted and the essential equality of all men is maintained. Balthazar Ayala's *De Jure et Officiis Bellicis et Disciplina*

*Alilitari* (1552) is a notable attempt to mitigate the horrors of war. Probably the most learned legal writer of the day—he has sometimes been called the Spanish Bartolus—was the Toledan, Diego de Covarrubias y Leyva (1512-77), professor of canon law at Salamanca. He wrote on the Council of Trent and on many legal topics, but he was by no means exclusively a scholar, for he held judicial posts at Burgos and Granada, was bishop of Ciudad Rodrigo and of Segovia, and finally rose to the presidency of the Council of Castile.

The ascendancy of the church is also plainly discernible throughout the reign in the realms of poetry and imaginative prose. The works of Santa Teresa de Avila, of San Juan de la Cruz, of Fray Luis de Leon, and of Fray Luis de Granada furnish perhaps the most striking examples of it; and though Fernando de Herrera, who was far greater than any of them, cannot be classed as a strictly religious poet, he attains his highest successes when celebrating the triumphs or mourning the defeats of the Christians in warfare against the infidels. But all these names, and many others besides, pale into insignificance in comparison with those of Cervantes and Lope de Vega, both of them realists of transcendent genius, wholly emancipated from ecclesiastical limitations, who carried the fame of the Spanish story and the Spanish drama throughout the four quarters of the globe. Neither of them can be said to belong to the age of Philip in quite the same sense that Spenser and Shakespeare belonged to that of Elizabeth.<sup>2</sup> Cervantes did not begin *Don Quixote* till after 1591, and the first part was not published till 1604 or 1605; and the first work of Lope saw the light in the very year of the death of the Prudent King. Yet it was under Philip II that both men had the various experiences and adventures, both at home and abroad, which furnished the material for what they subsequently wrote. Cervantes was wounded at Lepanto, suffered cruel captivity as a galley slave at Algiers, and collected taxes in La Mancha; Lope served in the Invincible Armada. Both knew their country in the height of its glory as well as on the threshold of its decline, and they have immortalized its splendor and its tragedy, its comedy and its pathos, and, most of all, its unlimited variety, for the benefit of all the succeeding generations of mankind.

Architecture, sculpture, and painting, on the other hand, are almost totally dominated, during Philip's reign, by the influence of the church of the Counter-Reformation. The king, as we have already had occasion to observe, took a lively interest in the fine arts and directed them; the Escorial is the mirror in which his tastes are most accurately reflected. In architecture the leading figure is that of Juan de Herrera (1530?-97), a master of the construction of edifices "conformable to the somber thoughts of the monarch"; and it was largely as a result of his influence that the plateresque style, which had held the forefront of the stage in the Emperor's day, almost completely disappeared in the reign of the Prudent King. In sculpture and painting the tale is much the same. The names of Philip's favorite artists, both native and foreign, have been given in another place, and we have also seen that they painted numerous portraits; but fond though the king was of pictures from real life, he cared most of all for representations of sacred subjects, of the contemplative or ecstatic qualities exhibited in the works of his most characteristic religious painter, Luis de Morales, and later in those of Murillo. One curious fact is the paucity of pictures or sculptures showing the achievements of the Spaniards in the New World. Possibly it may indicate that contemporaries did not regard the American possessions as playing a part in the Spanish Empire as considerable as that which is usually ascribed to them today, though the wealth of historical material about the American lands may plausibly be adduced as evidence on the other side.

In music the reign of Philip was veritably a golden age. Its greatest name is that of Tomas Luis de Victoria (1540 1613?), whose hymns entitle him to a place with Palestrina in the forefront of the composers of the era of the Counter-Reformation. Secular melodies were

also much in vogue, and it was an essential part of a gentleman's education to know how to play the guitar; but in music as in almost all other activities of the time the power of the church remained transcendent.

Spanish history is full of contrast and contradiction—at no period more than in the reign of Philip II. Regarded from the modern standpoint, in which economic considerations are so preponderant, the age of the Prudent King seems emphatically to be an age of decay; yet even in its last and most tragic decade it produced men of genius whose names will survive forever. Certainly Philip did not stifle the life of his people. The most notable figures in Spain's military and political annals were indeed gone, but from their ashes there had arisen a generation of men of letters which has seldom been equaled and never surpassed, and the greatest of all painters was to follow close behind. At the moment that the scepter of empire was slipping from her grasp, Spain won the crown of immortality in literature and in art.

CHAPTER XXXVII  
THE INVINCIBLE ARMADA

WE have already had occasion to observe that, a great, change had been effected in the policy of Philip of Spain between the years 1578 and 1580. The dismissal of the last remnants of the old Eboli peace party, the summoning of Cardinal Granvelle from Rome, and the vigorous prosecution of the Spanish claims to the throne of Portugal were all symptoms of the fact that the Prudent King no longer proposed merely to rest on the defensive, but was prepared to take the lead into his own hand. And this change did not end its sole expression in his dealings with the problems of the Iberian Peninsula; it was also reflected in his attitude toward England and France, and most of all in his treatment of the rebels of the Low Countries, which continued, for at least a decade more, to be the focal point of the international politics of Western Europe. Save for the six years of Alva's rule, the keynote of Philip's policy there had hitherto, on the whole, been conciliation. His representatives on the ground may not always have been able to give effect to it, but that at least was the line they were told to take. Now, however, Philip had become convinced, particularly by the news of the treaty which the rebels had made, August 13, 1578, with the Duke of Anjou, that more vigorous action was imperative, unless the Low Countries were to be lost; and in the successor whom he selected for Don John of Austria he found an able and effective instrument for the accomplishment of his purpose.

Alexander of Parma, who was given the post, was born in Rome on August 27, 1545, the son of Ottavio Farnese and Margaret of Parma, the illegitimate daughter of Charles V, who was to be regent in the Netherlands from 1559 to 1567. At the age of eleven, he became attached to the court of Philip II in the Low Countries. Three years later he returned with the Prudent King to Spain, and was educated there with Don Carlos and Don John. But he never became thoroughly Hispanicized. His character and outlook on life continued, to the end of his days, to be those of the Italian soldier and statesman of the Renaissance. He followed the precepts of Machiavelli's *Prince*, and also of Castiglione's *Cortegiano*. Primarily a soldier, he did not hesitate to expose his person on the battlefield, when by so doing he could reanimate the drooping spirits of his men, but he never pushed his courage to the point of foolhardiness, and had no patience with those who did. With his military talents, moreover, he united the qualities of true statesmanship. He knew when to fight and when to treat, and possessed rare gifts in handling men; in this last respect he offers a curiously close parallel to his great rival, William of Orange; if he was less genial and friendly, he had greater dignity and distinction. He never underestimated the power of his potential foes, nor blinded himself to their virtues. In his letters to the king one finds none of those expressions of contempt and disdain for the Netherlanders which are so painfully frequent in the correspondence of his predecessors. Last of all, he was firmly resolved to make a brilliant success in the great task to which Philip had called him, and of which, unlike Don John, he fully comprehended both the difficulties and the importance. He knew that the eyes of Western Europe were focused upon him. He hoped to terminate, definitely and victoriously, the great contest which his Spanish predecessors had only embittered and prolonged.

The situation in the Low Countries, at the time of his advent to power, was so ticklish that, for the time being at least, it was obvious that he must act with the utmost caution. The military power of the rebels had been greatly increased by their treaty of the previous August with the Duke of Anjou, while the immediate prospect of the annexation of Portugal rendered it impossible for Parma to get more troops from Spain. Clearly he must have recourse to diplomacy and avoid war. Equally clear was the object which his diplomacy must seek to attain, namely, the reopening of the breach between the Protestant Northeast and the Roman Catholic Southwest, which the ineptitude of Don John had almost healed; to the Orange motto, *l'union fait la force*, he must oppose the classical *Divide et impera*. The state of affairs after the death of Don John was not unfavorable to the attainment of this end. Few Protestants or Catholics could be brought to give hearty support to the policy of toleration as a means to political cooperation, enunciated in the draft for a religious peace which William of Orange had submitted to the Estates on July 10, 1578; and the Protestant minority in the Walloon provinces, urged on by the Calvinist-s of Ghent, were now convinced that, by a little forcing of the pace, they could carry all the southwestern provinces into the camp of the Reformation. An account of their attempt and failure to accomplish this, and of the futile intervention in the Low Countries on their behalf of the firebrand Count Palatine John Casimir, is not necessary for our purposes here; suffice it to say that by clever utilization of the jealousies and resentments of the Catholic leaders in the rebel army, which had been defeated by Don John at Gembloux, Farnese convinced most of the southwestern provinces that the “barbarous insolence and tyranny of the sectaries exceeded that of the Spaniards,” and paved the way for their acknowledgment, at the price of liberal concessions, of the sovereignty of the Spanish king. The Union of Arras, concluded January 6, 1579, between the deputies of the Estates of Artois and Hainault and the city of Douai, “to bring about a general reconciliation with the Catholic-King, our natural lord and sovereign”, was the first triumph of his diplomacy, and on May 17 following, in the instrument known as the peace of Arras, the terms of that reconciliation were arranged. They demanded, in brief, the reestablishment of all the autonomous privileges of the Netherlanders which Philip and his regents had attempted to subvert. The foreigners were to depart; the government was to be carried on by the Netherlanders themselves, and the king was to be represented only by a prince of the royal house; short of renouncing the sovereignty of the Spanish crown, it would have been impossible, politically speaking, for him to have conceded anything more. But in reality the peace of Arras was a victory for Philip in disguise. In the first place it provided for the exclusive maintenance, in the provinces that accepted it, of the Roman Catholic faith, and thereby made irreparable the breach with the Protestants of the Northeast. In the second, by removing political grievances, at the same time that it satisfied the conservatives in religion, it caused the latter to look to Spain as their champion in a way that they had never done before. And certainly Philip had need of all the advantages that it furnished him. On January 23, 1579, seventeen days after the Union of Arras, there had been formed the Calvinist Counter-Union of Utrecht. This comprised the seven northeastern provinces of the Low Countries; and the Protestant towns of Flanders and Brabant, of which the most important were Antwerp, Brussels, and Ghent, soon cast in their lot with it. Its objects were the maintenance of the Reformed religion and enfranchisement from the sovereignty of Spain. The Netherlands were now divided into two irreconcilably hostile groups, and Philip was henceforth to have the alliance of the one in his efforts to subjugate the other. The problem with which he was hereafter to be confronted in the Netherlands was doubtless hard enough, but he owed it to the diplomacy of Alexander of Parma that it was not infinitely worse.

The very fact that Parma had shown such efficiency made him an object of suspicion to the Spanish king, for Philip dreaded the concentration of political and military authority in the hands of such a man. He took advantage of the provision in the peace of Arras which

stipulated that the royal representative in the Netherlands should be of royal blood to withdraw the administration of the Low Countries from Parma, and place it once more in the hands of his mother Margaret, who returned in the early summer of 1580 to the office that she had laid down in 1567; it was the king's plan that her son should continue only to command the army. But Parma did not propose to be treated in such fashion as this. He knew that, under the existing circumstances, it would be fatal to separate the military from the political control, and he was determined to regain the latter. He had a number of painful interviews with his jealous mother, but expediency was so plainly on his side that she and Philip were ultimately obliged to give way. In December, 1581, the provisions of the peace of Arras were violated by his official reinstatement in the government of the Low Countries—an interesting evidence of the futility of the concessions it vouchsafed to the Netherlanders in comparison with the solid advantages which it obtained for the king. Meantime, while Parma plotted to regain political control, he continued his military preparations. Until May, 1579, when the peace of Arras was signed, he could still use foreign troops, and though Spaniards were no longer available, he purchased the services of some 30,000 Germans; with their aid he captured the city of Maestricht on the Meuse, after a four months' siege, on June 29. This triumph was stained by outrages reminiscent of those of the days of the Duke of Alva; nevertheless, it caused several towns which had hitherto wavered between the two camps to declare for reconciliation with Spain. In the succeeding months Parma was obliged, under the terms of the peace of Arras, to send off his foreign mercenaries and create a new army out of the loyal Catholics on the ground. It was a puny force in comparison with the German levies whom he had been obliged to dismiss, and it failed miserably in an attempt to keep the Duke of Anjou from getting into the Netherlands and relieving Cambrai. But lack of support from France, and desire to visit Queen Elizabeth of England, prevented Anjou from pushing his advantage; in October, 1581, he took his departure, and thus gave Parma a chance to attack Tournai, the sole important city in the Southwest which remained friendly to the Union of Utrecht. The place fell on November 30, 1581, and the terms of its capitulation, in sharp contrast to the horrors enacted at Maestricht, are a significant evidence of the fact that Parma and the more enlightened outlook and policy which he represented were now firmly in the saddle. The garrison was permitted to march out with the honors of war. The citizens, in return for a levy of 200,000 florins, were allowed to remain unmolested in their persons and goods. Even the Protestants in Tournai were given leave to remain there if they would live 'without scandal', which doubtless meant without openly professing their faith, and those who would not submit to these conditions were permitted to sell their property and depart. On the other hand, all demands for the maintenance of the Pacification of Ghent were peremptorily refused. Parma did not propose to let Tournai be the instrument of mending the great breach which he had been at such pains to create.

Before carrying the history of the revolt of the Netherlands into the stormier years that were to follow, we must take time to draw France and England into the picture. The simplest way to do this will be to retrace our steps for a bit and follow the career of the Duke of Anjou, who had now become the chief link between the rebels in the Low Countries and those outside powers from which they hoped to get support. Since his treaty with the Estates in August, 1578, the Duke had done little to justify the hopes of further assistance to the rebels in the Low Countries which he had then held out. Neither his brother nor his mother would support him whole-heartedly. They were reluctant to sanction anything which savored of open defiance of the king of Spain; moreover, they both of them had use for Anjou in France, where his mediation (November 26, 1580) was successful, as it had been four years before, in terminating that rather ridiculous phase of the intermittent struggle between the Huguenots and the Catholics which is generally known as the *Guerre des Amoureux*. But the Duke did not relinquish his projects in the Low Countries. Indeed the chief reason why he had consented to

act as a mediator in the civil strife in France was that he hoped thereby to strengthen himself for his intended enterprise abroad; and meantime the rebels continued their negotiations with him. The success of Parma's diplomacy made his help more than ever indispensable to them. William of Orange was convinced that without it they were lost. On September 19, 1580, their representatives came and found him at Plessis-les-Tours, where they got his signature to a treaty in which it was stipulated that he should be made "prince et seigneur" of the Netherlands as his predecessors of the house of Burgundy had been; and that, in return, he should bring with him to the Low Countries the alliance and support of the king of France. But here the Duke was promising far more than he could perform. Henry III, it is true, had given him much encouragement in words. On the eve of the treaty of Plessis-les-Tours he protested that he would help his brother *jusques à sa chemise*. On the day of the peace which ended the *Guerre des Amoureux* he even put his signature to a secret pact to the same effect, but this time his promise was made conditional on the Duke's being "effectually received and admitted to the lordship" of the Low Countries; in other words, it was so phrased as to give every opportunity for indefinite postponement. Meantime Anjou began to collect his army of invasion—a motley band, for the king, alarmed by the protests of the Spanish ambassador, did everything possible to put obstacles in his way; but the Duke was so confident of success that he continued to go boldly forward. Finding it impossible to restrain him, Henry and Catharine now determined to make the best of the situation as it was, and to draw from it what advantage they could. With this idea in mind they gave the Duke just such support as they hoped would induce Philip to pay them a high price for abandoning him, and it was with a similar idea in mind that they espoused, at the same time, the cause of Antonio of Portugal. But the king and queen-mother did not even persist in this new policy. The support they gave the Duke was so half-hearted and ineffectual that he only succeeded in revictualling and strengthening Cambray; then, as his cavalry, made up of gentlemen volunteers, had "only enlisted for a summer's amusement", he disbanded his forces, and departed for England to press his suit for the hand of Queen Elizabeth.

The net effect of these long months of backing and filling, as far at least as Franco-Spanish relations were concerned, had been very slight. If Anjou was to be a potential trouble-maker for Philip, it was evident that he would not receive, for the time being, the cordial support of France. If he were to become really dangerous, it would be through the backing of England, and a chief reason why he had gone forward so boldly with his projects in the Low Countries in the face of the half-heartedness of his brother and his mother was because he had recently been encouraged to believe that he could obtain it.

Ostensibly, at least, the state of the relations of Spain and England had changed for the better since we last considered them. After having been vacant for more than six years, the post of resident Spanish ambassador at London was filled once more in March, 1578.

Don Bernardino de Mendoza, whom Philip selected for the task was one of nineteen children of Alonso Suarez de Mendoza, the third count of Coruna, and Juana Ximenes de Cisneros, a niece of the great cardinal; he was born in Guadalajara in 1540 or 1541. Before he had reached his twenty-first year, he had been given a command in the royal forces; he served at Oran, Peñón de Velez, and Malta from 1563 to 1565. In 1567 he attached himself to the Duke of Alva, and accompanied him into the Low Countries, where he demonstrated his ability and usefulness in many ways; such in fact was his mastery of the military and political situation there, that when he was sent back to Madrid in the spring of 1573, on the proverbially difficult errand of extracting more men and money from the king, he succeeded, after a stay of only two weeks, in obtaining them. From that time forward he was a marked

man, and when, in December, 1577, Elizabeth sent Philip a demand that he make peace with his subjects in Low Countries, the king seized the opportunity to dispatch Mendoza as resident ambassador to London with his reply. His selection, incidentally, was an interesting evidence of the extent to which everyone realized that the fate of Anglo-Spanish relations was bound up with the Netherlands, and of the firmness of Philip's determination that his official representative in England should be fully apprised of the situation there. Mendoza did not bring with him any specific answer to the point on which Elizabeth had demanded satisfaction, and his failure to do so made his first interviews with the queen rather stormy; but in general his instructions were to be conciliatory. When, in August, 1578, the death of King Sebastian at the battle of Alcazar-el-Kebir opened the prospect of Spain's annexing Portugal, the king's anxiety to maintain good relations with England was substantially increased. He was going to have need of all his resources at home. Any dissipation of his energies would be fatal.

There was, however, another side of the picture. Mendoza himself was too hot-blooded to be an entirely willing instrument of the policy of watchful waiting which his master desired him to pursue; incidents occurred almost every day which ruffled his dignity and infuriated him. Important events, moreover, began to occur soon after his arrival in London, which could not fail to strain the relations between England and Spain. The Jesuit mission for the subversion of Elizabeth's throne, of which Campion and Parsons were the leaders, began its work in 1580, and continued to be a menace until December, 1581; though it was dispatched by a Pope with whom Philip was at odds, and carried out by members of an order of which he disapproved, it was naturally regarded by Englishmen as an expression of Catholic hatred, and Philip, the acknowledged lay head of the forces of the Counter-Reformation, inevitably came in for a generous share of the odium which it aroused. It was also believed that Philip was fomenting rebellion in Ireland<sup>2</sup> Moreover, on December 13, 1577, Sir Francis Drake had set sail on the memorable voyage which put a girdle around the globe; even before his return (September 26, 1580) news had reached Europe of his depredations in the Spanish settlements in the New World. Mendoza remonstrated—more vigorously, in fact, than Philip, in his desire to avoid war, would have approved. The queen countered with queries in regard to the purpose of a great armament which was being assembled at Cadiz; when Drake got back, she refused to give up any of the plunder which he had brought with him, while some of her counsellors mortally offended Mendoza by offering him a good bit of it as a bribe if he would smooth matters over with the Spanish government. There was, finally, the question of Don Antonio of Portugal. In June, 1580, he had sent an envoy to Elizabeth to ask for her recognition and support of his claims, and though the queen was not yet prepared to commit herself, their correspondence continued; by April, 1581, her secretary, Sir Francis Walsingham, had been won over to the pretender's cause, and two months later Antonio himself arrived in England, where preparations to aid him were at once begun. Every effort was made to conceal the facts, but Mendoza soon got wind of them and reported to Philip, who wrote to Elizabeth demanding that Don Antonio be given up; it was doubtless largely for that reason that Antonio, shortly afterwards, was allowed to cross over to France. Elizabeth did not want war any more than Philip; but the force of events was driving them further and further apart, and the Spanish ambassador at London found it increasingly difficult to accommodate himself to the temporizing policy of his master. Such, then, was the situation when the Duke of Anjou arrived in London from the Low Countries in November, 1581. He was by this time a familiar figure in England. He had been put forward, as we have already seen, as a suitor for Elizabeth's hand in 1572, and though rebuffed at the time, he had never abandoned hope; six years later, when he first began seriously to interest himself in the fate of the Netherlands and needed her help there, he returned to the charge once more. In August, 1579, he paid her a secret visit, and was on the whole encouragingly received;<sup>3</sup> on the other hand, she could not then be persuaded to give him any assurance that she would vigorously support him in the

Low Countries. But now, in 1581, conditions had radically changed. In addition to all the other events of the intervening two years which had threatened to make trouble between Spain and England, the victories, both military and diplomatic of Alexander of Parma imperiled the fate of the revolt in the Netherlands, which it was essential that Elizabeth, for her own safety, should keep alive. Hitherto she had had hopes that France could be brought to perform that important service for her, but now there seemed much less chance of this; the air was full of rumors of a Franco-Spanish understanding, and of the possibility that Anjou might wed a Spanish bride. The inference was obvious. Elizabeth must herself lend aid to the rebels in the Low Countries; she must also encourage Anjou's matrimonial aspirations to whatever extent it might prove necessary, in order to prevent him from seeking another wife. The first she did with extreme reluctance; the second more willingly—for it rather amused her—, until Anjou, actually convinced that she was in earnest, forgot about the Netherlands and threatened to outstay his welcome in England; then indeed she was hard put to it to get rid of him. She had sent him £30,000 before he reached London, largely as a means of encouraging him to come. He got £10,000 more from her while he was there, and the promise of another £50,000 after he should return to the Netherlands, whither he finally departed in February, 1582. She had bribed him to come in order to bind him to her cause; she had bribed him to go in order to keep him at his task. Nevertheless, despite all the attendant tergiversations and histrionics, this second English visit of the Duke of Anjou marks an epoch in the history of the relations of Philip and Elizabeth. It was the beginning of the end of her policy of marking time. Henceforth she was to be obliged, though most reluctantly, to take sides with increasing definiteness against him.

Meantime in Spain the influence of Cardinal Granvelle was steadily gaining ground, and Philip, in spite of himself, was being driven every day to the adoption of a more aggressive policy against his numerous foes. In his dealings with France and England, the king contrived, indeed, for a little longer to preserve the status quo, for in this phase of Spain's foreign relations the cardinal had not yet actively intervened; but the success of the Portuguese campaign was a telling argument in favor of more vigorous action, and the place where, next after Portugal, the cardinal was most anxious that vigorous action be taken was in the Low Countries. The events of the year 1579, and above all, Parma's astonishing success in splitting the Netherlands into two hostile camps, and in winning back the southwestern one to its allegiance to the Spanish monarchy, convinced him that, by a little forcing of the pace, the whole rebellion could be crushed, and the Spanish power re-erected beyond the possibility of overthrow; with that once accomplished, Spain could make her own terms with England and with France. His correspondence in 1580, both with Philip and with Farnese, is full of pleas for severity and repression. To treat or conciliate any longer, he averred, would make the Netherlands kings and Philip their subject. The effect of his representations was speedily evident. On March 15, 1580, there was formally drawn up, and in the following June published, the famous ban of the king against William of Orange, in whom Philip had now recognized the chief source of all his difficulties. It declared him to be a traitor and an enemy of his country. It put a price of 25,000 gold crowns upon his head, and promised the successful assassin forgiveness of any and all crimes that he might have previously committed, and if he were not already noble, a coat of arms. It was answered, before the end of the year, by the famous 'Apology' of William of Orange, and again on July 26, 1581, by the solemn deposition of Philip from the sovereignty of the Low Countries by the representatives of the Estates of the provinces of the Union of Utrecht, assembled at the Hague. Save for Holland and Zealand, which stoutly refused to have any other ruler than William the Silent and their own provincial estates, the Duke of Anjou was now the official prince et seigneur of the rebel provinces, under the terms of the treaty of Plessis-les-Tours. When he returned to the Netherlands from England, in early March, 1582, he was received with all honors by the States

General, convened at Antwerp, and formally welcomed as their new sovereign. It was evident that before long he and Parma must come to blows.

Neither side, however, was as yet so confident of success as to be willing to be the first to appeal to arms. Anjou had many difficulties in smoothing over the dissensions between the different factions of which his motley following was composed. Despite the wise advice and loyal support of William of Orange, he could not quiet the complaints of the Calvinists against the exercise of Catholic rites by his own immediate suite, or allay the suspicions of the Flemings against the French. Parma, on his side, was even less ready for battle; he had not as yet enough money or enough men. And so it came about that the months after Anjou's return to the Low Countries were chiefly memorable for a series of attempts by Philip and his representatives to remove their principal enemies by assassination. The years 1582 to 1584 are the great era of murderers and hiring of murderers in the reign of the Prudent King. The resources of diplomacy had been exhausted. If war, which Philip still dreaded, was to be avoided, there seemed to be no other way. In the Low Countries the publication of the ban against Orange had, of course, furnished the king and Parma with a host of applicants for the ugly task for which it promised reward. The first of them to make the attempt was the Basque, Jean Jaureguy, who tried to kill William with a pistol on March 18, at Antwerp, and was slain by the prince's attendants on the spot. Orange was badly wounded, but finally recovered; the incident, however, did great harm, for the time being, to the cause of the Duke of Anjou, for the mass of the population, with their memories of Coligny and St. Bartholomew, were at first convinced that the plot was of French origin, and were with difficulty brought to understand that it was Spain that was really to blame. In the following summer, an Italian by the name of Baza, and a Spaniard, Salcedo, were caught in an attempt to poison both Orange and Anjou, and confessed that they had both been hired by Parma for the purpose; and three other plots to kill Orange, all of them instigated by Philip or his minions, were detected and foiled before the final and successful one in July, 1584. And it was not merely in the Netherlands that the Spanish government planned to use hired assassins. In England Mendoza, egged on by the Jesuits, Creighton and Parsons, got closely in touch with all the disaffected Catholics in the realm, and dabbled in plots for the murder of Elizabeth. In this case it seems probable that Philip rather sought to restrain than to encourage the efforts of his ambassador. Despite the decision of the *Consejo* in 1571, he could not bring himself to regard the assassination of a reigning, if heretical, sovereign, like the queen of England, in quite the same light as that of an arrant rebel like William of Orange; on the other hand, it would appear that he gave his endorsement to a scheme for that purpose which originally emanated from the Duke of Guise. In any case, the confession that was racked out of Francis Throgmorton in November, 1583, showed that Mendoza had been sufficiently implicated in various treasonable designs to make it undesirable that he should remain any longer in England; in January, 1584, he was ordered out of the realm. The post that he left vacant in London was not to be filled again during the lifetime of the Prudent King, and Mendoza was promptly transferred as Spanish ambassador to Paris.

While Philip's campaign of assassination was developing, the weakness and incompetence of the Duke of Anjou became more and more painfully evident. Seldom, if ever, in history has a hard-pressed nation called in a more unworthy deliverer. The troops which he had raised in France were almost useless, and totally failed to prevent Parma from capturing Oudenarde (July 5, 1582); moreover, it soon became clear that Henry III would not send him any more, for the Valois court had by this time turned against him. Since it was thus obvious that Anjou would be unable to redeem his promises in the treaty of Plessis-les-Tours, the Netherlanders felt themselves absolved from the necessity of keeping theirs. Though the urgency of Orange, who still felt that the French alliance was the only hope of salvation, had

persuaded them to give him official recognition as their sovereign lord, they showed him no real respect, and soon refused to obey him; by midsummer, 1582, the queen of England began to complain of the scant support accorded to her lover. The treatment that the Netherlanders gave Anjou not unnaturally embittered his feelings towards them. He had no real use for them, save as a means to enable him to wear a crown. With their aims and ideals, both political and religious, he was almost as unsympathetic as was Philip of Spain. The situation, so he told his French followers in January, 1583, had become intolerable, and there were but two ways out of it. Either he must retire from the Netherlands for good, which would disgrace him, or he must assert his authority there in such fashion that it would not be questioned again. A plan was therefore concocted whereby his French troops, which he had brought into the Low Countries in order to fight the Spaniards, should simultaneously seize possession of the principal towns in Flanders at the expense of the native garrisons. Detachments were dispatched to deal with the smaller places; Antwerp the Duke reserved to himself as his 'special prey'. On January 17 he gathered 3000 of his men before its walls on the pretext of holding a review; at midday, while the burghers were at dinner, a signal was given, and the French rushed into the city shouting "*Ville gagnée! Tue, tue!*" A furious street fight ensued, and many were slain, but Orange was on hand to quiet the tumult, and if need be, organize resistance; by night time order had been restored and Anjou's treachery foiled. Needless to add, this 'French Fury' at Antwerp was the end of his career in the Low Countries. For five months more he hung on at Dendermonde, where his adherents had obtained control, and where Orange continued to negotiate with him in a last desperate attempt to conserve the French alliance; by the end of June, however, it became evident that nothing could be done, and Anjou, on the pretext of consulting his mother and getting help from Henry III, retired to France amid the execrations of his former subjects, and died there (June 10, 1584) of consumption. One month later William of Orange fell a victim, in his house at Delft, to the bullet of the Burgundian Balthazar Gerard, and the Netherlanders were left leaderless to face the vengeance of Parma.

The latter, in the meantime, had been getting everything in readiness; now, at last, he was prepared to launch the great campaign which he had resolved to postpone until he should be certain of success. The year 1582 had been most fortunate for him. Taking full advantage of the universal contempt for Anjou, and the conviction that he would be unable permanently to give the land the peace for which it longed, he persuaded the Estates of Artois and Hainault to permit him to transgress that section of the peace of Arras which forbade the use of foreign soldiers in the land. The cessation of hostilities on the Portuguese frontier came in the nick of time; by the month of August three of Philip's best tercios arrived from Spain, and were reenforced shortly afterwards by a few regiments from Italy. Against these the rebels had but a bare 6000 men in the field. The rest were occupied in garrison duty; militarily speaking, the game was now in Parma's hands. Yet even now he took no risks. Like the master whom he served, it was ever his policy to make assurance doubly sure. The eyes of all Europe were focused on him; it would never do to fail. The years 1583 to 1585 were to be golden years in the reign of the Prudent King. His power and prestige continuously marched on from one great triumph to another, and the chief element in his success was the careful, methodical, systematic advance of Alexander of Parma in the Low Countries.

Obviously, for Parma, the primary problem lay in the fortified rebel towns of Flanders and Brabant; not until he had finished with them would it be safe to go on into the Northeast. The only question was whether he should lay siege to them or starve them out, and careful reflection soon convinced him that the latter was the preferable alternative. He knew by bitter experience "how much money and blood are spent in sieges, and how after all the sacrifices, success is by no means assured." He knew, on the other hand, that the wealth of the

Netherlands lay in the cities, and that without them the countryside could not support itself. The cities, in other words, must be taken, but they must be taken by the slow and certain methods of starvation and blockade; he must rely on his engineers rather than on his officers. He must, in the first place, close all the rivers so as to cut off the most obvious means of communication with the adjacent countries and the sea. He must erect blockhouses at strategic points, and keep constantly on the watch for unexpected convoys of supplies. These methods, by the spring of 1583, were already in full operation. Ypres, in January of that year, was the first large city to be cut off, and finally surrendered in April, 1584. Bruges followed on the 20th of the following May. Ghent, which came next on the list, offered a tragic spectacle of futile heroism, desperate and prolonged, but no effective resistance. When the inhabitants learned of the murder of William of Orange, they sent their condolences to Maurice of Nassau and congratulated him on being there to lead them against the tyranny of Spain. They told each other heartrending tales of Spanish Catholic bigotry and cruelty. The slow inexorable processes of famine, however, it was impossible to defy; on September 17, 1584, Ghent bowed to the inevitable. Brussels followed on March 10, 1585, and here, as in every other case, men noted with wonder and admiration the extreme liberality of the terms which Parma offered to the inhabitants of the vanquished towns. It was Tournai all over again, and even more. General pardon, preservation of all the ancient customs, trifling indemnities (in the case of Brussels the amount was remitted entirely), were the order of the day. The Protestants were dumfounded to discover that one of whom they had heard such dreadful things could be so generous, and Parma, as he had doubtless intended from the first, made much capital for himself within the walls of still defiant Antwerp. All exercise of the reformed religion was indeed strictly forbidden, but the Protestants were given two years in which to decide whether or not they would conform. Certainly no servant of Philip II could have been expected to concede more; indeed Parma was so disturbed lest his master should find him too lenient and disavow him, that he wrote a full explanation of his policy to Madrid.

Meantime, beyond the limits of the Low Countries, the diplomacy of Spain had been proceeding with marvelous success. The rebels still continued, after the flight of Anjou, to pin their hopes on France, and on April 25, 1584, they had recognized Henry III as his successor in the sovereignty of the Low Countries. But the king of France was in no position to assume such an arduous responsibility. Even in the more promising years that had preceded, he had been by no means enthusiastic for his brother's cause; now, far from taking up the cudgels against Spain in a foreign land, he found himself obliged to seek measures of defense against her at home. On the last day of the year 1584, Philip played the card that he had held up his sleeve for so long, and amply revenged himself on the Valois for their lukewarmness in religion, by allying himself with the Guises in the treaty of Joinville, against the French crown and the heretic Bourbon who was there to claim it as soon as Henry III should have passed to the grave. French opposition to Spain in the Low Countries could be safely ignored for the next few years; the Valois were amply occupied at home.

And now the eyes of all Europe were turned on Antwerp. Until Antwerp was taken, Philip could not call himself undisputed master of the provinces of the Union of Arras; and until rebellion was utterly crushed in the provinces of the Union of Arras, Parma could not safely attack the chief strongholds of his master's enemies farther north. The city was garrisoned by a strong force of Netherlanders, French Huguenots, and Scots, ably led by Orange's devoted friend Marnix, and all of them enthusiastic for their cause; moreover, it was so situated that the mere methods of starvation and blockade, which Parma had employed so successfully at Ghent and Brussels, would not suffice to compel its surrender. It possessed a number of exterior forts, from which sallies could be effectively made against beleaguering armies. More important still, the Scheldt, on which it stands, was so broad that Parma's few

small ships were unable to guard it, and as long as the Scheldt remained open, provisions could not be cut off. But Parma's engineers were fully equal to the occasion; only give them time, and they promised that the Scheldt should be closed. And so, in September, 1584, the long process began, methodical, unrelenting, inevitable, after a fashion that must have delighted the heart of the Prudent King. Clearly some sort of a barrier or *estacada* must be built across the river. The difficulty was that the cannon of the forts of Antwerp commanded the chief approaches to all available points, so that the enterprise was generally regarded as impracticable. But the Spaniards were able, at this crisis, to utilize the peculiar characteristics of the Low Countries against the Netherlanders, almost as effectively as the Netherlanders, in former years, had utilized those same characteristics against them. The 'canal of Parma' dug with infinite labor across the marshy land of Waes, made it possible to bring the necessary materials to the desired point in flatboats. On February 25, 1585, the *estacada* was finished, the Scheldt closed, and Antwerp's principal avenue of supplies cut off. The fall of Brussels, on March 10, the failure of Holland and Zealand to send effective relief, and the increasing conviction that Henry III could do nothing for them, all served at the same time to dishearten the garrison and the inhabitants of the beleaguered city; the civil and military authorities were at loggerheads. Every effort was indeed made to break down the *estacada* and reopen the Scheldt. Floating batteries and infernal machines were sent down the river whenever there was a favorable wind and tide; but after the most formidable of them all, constructed by the Italian Gianibelli, had failed in April, 1585, permanently to accomplish its purpose, it became evident that starvation could not long be delayed. The slow inexorable advance of Parma's besieging forces in the next few weeks, the capture of the Kowenstyn dike on May 26, and of Mechlin on July 17, served to convince the most obdurate that surrender was now inevitable. On August 17 Antwerp capitulated, on terms essentially similar to those which had been granted to Brussels and Ghent, though the period of grace granted to the Protestants, in which to turn Catholic or leave the land, was extended in this case to four years. Never had Parma shown himself greater than in victory. Never did he forget that his ultimate object was not to terrorize the Low Countries into unwilling submission, but rather to win them back gladly to their allegiance. When he made his formal entrance into the conquered city, he took pains to keep his Spanish and Italian officers away. His escort was solely composed of the scions of the most ancient families of the land. It was like the *joyeuse entrée* of a national prince in the good old Burgundian days.

It has been well said that the capture of Antwerp marks the climax of the career of Alexander of Parma; it might also be characterized with equal truth as the apogee of the reign of the Prudent King, and perhaps indeed of the power and prestige of the Spanish Empire. We have already had occasion to point out the great strength of Spain's position in 1578-79, but now it was far stronger still. In the intervening years the conquest of Portugal and of the Portuguese Empire had been converted from a dream into an accomplished fact. France had been immobilized, and the larger and more important part of the Low Countries had been won back to allegiance. Militant Catholicism was once more in the saddle, with Philip as its acknowledged lay leader. Hopes were even cherished that Protestantism might be utterly stamped out. Never had Spain seemed so majestic, so invincible. The world was apparently at her feet.

Four years later the picture had wholly changed, and England was to be the chief instrument in effecting that tremendous reversal. We therefore return to the relations between Philip and Queen Elizabeth, which we left in the month of January, 1584, when the Spanish ambassador Bernardino de Mendoza was ordered out of the realm.

The story of the conversion of the Prudent King to whole-hearted approval and support of a vigorous Spanish attack on England will probably never be fully known, but it seems certain that the process was not complete till late in the year 1585. At the outset he had shown no inclination to proceed vigorously against the realm in which he had reigned as king consort from 1554 to 1558. The Anglo-Spanish tradition was, as we have seen, on the whole, distinctly amicable, and despite his initial rebuff by Queen Elizabeth, Philip cherished high hopes, during the first half of his reign, that England might ultimately be brought back into the Roman fold without a war. England seemed to him, moreover, to lie somewhat outside the orbit of European politics. Certainly he had many other more immediately pressing responsibilities. Even if he should attack and conquer her, there was grave question whether or not he would be any better off. He would doubtless be able to re-Catholicize the kingdom, at least on paper, but he could not set up a Spanish government there. The legal heiress of Elizabeth was Mary queen of Scots. Philip, a stickler for legality, would be in honor bound to place her on the English throne, and in view of the Scottish queen's maternal ancestry and affiliations, her accession in England would redound to the benefit of Spain's traditional enemy, France. All these, and other considerations, combined to make the Prudent King hold back, when his ambassadors and admirals urged him to attack in force. He dabbled indeed in Catholic plots, and gave the discontented English Romanists large promises of Spanish support. In February, 1580, he even concluded an offensive alliance against Elizabeth with the grand duke of Tuscany and the Pope. But when it came to giving actual effect to these proposals, he could not be induced to move. Three years later it was the same story over again. When the Marquis of Santa Cruz had defeated the second of the two squadrons which the pretender Antonio had sent to the Azores, he wrote (August 9, 1583) to Philip urging an immediate and vigorous attack upon England; indeed, his letter to the king is generally regarded as the initial step in the preparations for the sending of the Spanish Armada. But Philip was not yet prepared to do much more than "take his admiral's proposal into consideration." He wrote him, indeed, a letter of thanks, and spoke vaguely of certain indispensable preliminary orders to be issued. He also sounded Alexander Farnese at the same time in regard to the feasibility of the plan and received from him a characteristically cautious reply. The letters they exchanged are particularly interesting as evidence of how closely the attack on England and the suppression of the revolt in the Netherlands were connected in the minds of the king and of his nephew, and of the way in which they both regarded the former, from the very outset, as a land and a naval expedition combined. But for the time being nothing more was done. During the year 1584 the whole project was apparently dropped again.

In the autumn of 1585 fresh rumors of an 'English expedition' began to fill the air. Lisbon was to be the rendezvous for the various contingents; the king, if his health permitted, was to go thither in person to superintend things. Gradenigo, the Venetian ambassador, was at first inclined to believe that it might all "be only a ruse to induce the Pope to grant the bull of crusade", but things developed before the close of the year in such fashion as to convince him that this time Philip really meant business. The greatest of Drake's plundering expeditions, to Vigo, Santiago, Santo Domingo, Cartagena, and Florida, had left Plymouth on September. 14; full reports of its first depredations had already reached the Spanish court, and exceeded anything that had been heard of *corsarios Luteranos* before. Hot on the heels of this came word of the expedition under the Earl of Leicester which Queen Elizabeth had finally consented to send to the relief of the Netherlands. We may well believe that this conjunction of events roused fury in the heart of the Spanish king. He had been patient, infinitely patient, vastly more patient than his soldiers and sailors could possibly comprehend, in suffering the insults and injuries of England. He had hoped against hope that "time would cure all things", but it seemed, instead, to have made them decidedly worse. His mounting prestige and

accessions of territory and power had neither impressed nor terrified the English pirates as he had expected; quite the contrary, they had but stimulated them to unprecedented outrages. His heretical sister-in-law had hitherto confined her activities on behalf of the rebels in the Low Countries to vague promises of encouragement and lending of money. Now she had dispatched an expedition of 6,000 men to their relief; she was assuming the role which France had been obliged to lay down. Small wonder if Philip was at last convinced that the game of patience had been played out, and that the great enterprise, which he had hitherto postponed and postponed in favor of every other item on his vastly overloaded program, must be undertaken whole-heartedly and at once. When the Marquis of Santa Cruz wrote to him a second time on January 13, 1586, again advising him to fit out and dispatch an expedition against England, the king ordered his secretary, Idiaquez, to request him to draw up a plan of campaign.

Two months later the Marquis sent in his preliminary estimates, gigantic in size, meticulous in detail; not only Spain and Portugal, but also all the Italian and Mediterranean possessions were to bear their share of the tremendous burden. There were to be 150 ships in all, not counting *urcas de carga* and *navios pequeños*; of these nearly one-third were classified as galleasses or galloons, and the rest as *naves gruesas* or armed merchantmen; the total tonnage was estimated at 77,250. The fleet was to carry with it no less than 55,000 infantry, of whom 28,000 were to be Spaniards, 15,000 Italians, and 12,000 Germans; there were also to be 1600 horse and over 4000 artillerymen. The land forces on board were, in fact, to be twice as numerous as the sailors; evidently, even in the mind of such an old salt as Santa Cruz, the real purpose of the Armada was to convey the invincible Spanish army to a point where it could engage, either on the decks of the ships or on shore, with the land forces of the foe. The whole cost of wages, armament, ammunition, and supplies for eight months, was reckoned at 3,801,288 ducats; of this 1,211,769 was to be levied in Naples, Sicily, and Milan, thus leaving 2,589,519 to be charged to the crown of Castile.

Philip approved the plan; and by the spring of 1586 there were signs of great activity in all the ports and shipyards of Spain, Portugal, and the Spanish possessions in Italy. No one was to be allowed, according to the king's instructions, to know exactly what the object of all the preparations was, but it must have been clear to every one that some great enterprise was afoot. Those preparations were not permitted to go on undisturbed. News of Drake's depredations in the Indies reached Philip in April, and he promptly commanded Santa Cruz to sail thither and take vengeance; but before the admiral could depart, word came that the pirate had got safely back to England, and Santa Cruz remained at home. In the summer of 1586 five London merchantmen, returning from the Levant, decisively defeated and put to flight Philip's Sicilian squadron of two frigates and eleven galleys, which had been lying in wait to intercept them off the little island of Pantellaria. A year later the king received an even more disagreeable and dramatic reminder of the daring insolence of the *corsarios ingleses*. In April, 1587, Drake appeared off Cadiz, sailed straight into the harbor, sank or burned eighteen of the ships that were lying there, and captured six more, all laden with provisions and munitions of war. He then passed along the coast of Algarve, pillaging as he went, and established a base at Cape St. Vincent. Through May and early June he cruised in the adjacent waters, capturing dispatch boats and preventing concentrations, and, finally, possessed himself of a Portuguese East Indiaman, the *San Filippe*, said to have been the largest merchantman in the world, with a cargo worth more than 250,000 ducats, with which he returned in safety to England. Small wonder if Philip's great machine was paralyzed by such whirlwind tactics as this. And just at the moment that the Spanish monarch was in most desperate need of vigorous and aggressive advice and support, he was deprived by death of the most efficient of his servants. On September 21, 1586, Cardinal Granvelle, who, ever since his summons to Spain in 1579, had

headed the war party in the royal councils, and had ardently supported the expedition against England, succumbed at last to the attacks of a fever which he had gallantly fought off during the three preceding months. His influence, during the last four years of his life, had been considerably less powerful than in the days of the annexation of Portugal, when for a brief space he had literally managed everything; the king, as usual, had grown jealous of his chief minister, and withdrew much of the authority with which he had been previously invested. On the other hand, it is undeniable that the vigorous, aggressive line of action which the cardinal personified had remained the basis of the royal policy, even after its originator had been elbowed aside; the king might make the actual decisions, but the guiding principle of them was still Granvelle's. Whether Philip would have consented to avail himself of the cardinal's administrative genius in the final stages of the preparations of the Armada had Granvelle lived, may well be doubted; but the fact remains that his death removed the only man in Spain really capable of effectively directing the organization of the vastest enterprise that the Prudent King was ever to undertake.

The loss of Granvelle and the ravages of Drake were not the only misfortunes that Philip suffered during the period when the Armada was being got ready to sail. The state of Spain's foreign relations was a constant anxiety to him; and he was particularly disquieted by the attitude of Pope Sixtus V. At Rome, of course, he had emphasized the fact that the Armada was to be sent primarily for the purpose of bringing England back to the Catholic fold; it was to be the realization of a plan that had been cherished by the Holy See ever since the accession of Queen Elizabeth. It was to be the fulfilment of the motto of the Counter-Reformation, "No heretic shall be allowed to reign". Obviously, under these circumstances, Philip felt that he had the right to expect the hearty approval and support of the Pope in his great adventure; he counted on him, moreover, for a liberal contribution in funds. But Sixtus V was inclined to view the matter in a somewhat different light. He did not conceal from himself the fact that, politically speaking, the expedition, if successful, would redound chiefly to the benefit of Spain, and that her preponderance, already intolerable, would thereby be so much further enhanced that Rome would henceforth have to take her orders from Madrid. He recognized that England under the 'new Jezebel' was rapidly becoming the chief stronghold of heresy; but at the same time he was fully alive to the great qualities of Elizabeth, and he still cherished the hope that she might be induced by peaceful means to return to Rome. There was a long period of diplomatic thrust and parry between the Pope and Philip's representative at the Vatican, the Count of Olivares, in the winter of 1585-86. It showed, on the one hand, how deep was the distrust between Spain and the Holy See; on the other, it made it evident that neither could afford to bid the other defiance. Before Christmas, 1585, the Pope had been prevailed on to grant Philip for seven years all the revenues of the bull of crusade, which amounted annually to 1,800,000 crowns. But on his side, Sixtus not unnaturally felt that he had a right, in return for such a liberal contribution, to expect that Philip would get something promptly done. He was loud in his complaints of the Spanish monarch's interminable delays and of the fact that the great undertaking was again and again postponed. It would be difficult to conceive of two personalities less fitted to understand one another and effectively cooperate than the brilliant, fiery, impetuous pontiff, and the slow-moving, meticulous, Prudent King.

The situation was, of course, still further complicated by the state of affairs in England itself. Mary queen of Scots, the legal heiress of Elizabeth, and in the eyes of all good Catholics the lawful queen of England, had been a prisoner in her cousin's kingdom ever since her flight from Scotland in 1568. She had been the center and rallying point of all sorts of conspiracies against Elizabeth's life and throne; men marveled that she had been permitted to live. But Elizabeth was deeply loyal to her grandfather's idea that the crowns of England and Scotland must someday be united. Provided she herself was permitted to live out her own days in peace,

she was fully prepared to have Mary succeed her; and the fact that Mary's son, the future James I, had gone over to Protestantism in 1585 naturally tended to confirm the English queen's resolution. Mary, on the other hand, was so angered at her son's defection from the cause of Rome that she made haste to disinherit him. On May 20, 1586, she wrote to Bernardino de Mendoza at Paris that she formally handed over all her rights to the English succession to his master the king of Spain; and a month later Mendoza transmitted to Philip a genealogical chart for the purpose of making clear to him that he, as a direct descendant of Catharine of Lancaster, the daughter of John of Gaunt, who had married Henry III of Castile, was himself, next after the Stuarts, the lawful heir of the crown of England in his own right. All this naturally had far reaching effects on the policy and plans of the Prudent King. He was, by nature, deeply respectful of precedent and law. One of the things that had made him hesitate so long over the enterprise against England was the reflection that, if successful, it would redound to the political advantage of the Stuarts, and also indirectly, owing to their French affiliations, to that of his most powerful continental rival. Now, after Mary queen of Scots should die, the Stuart claims would lapse and Spain would reap the reward she so richly deserved. Of course these same facts would make Sixtus hold off, for they would redouble his dread of Spanish preponderance; but James's conversion to Protestantism was a telling argument on the other side. Unless the Pope supported the expedition, there was every probability that England and Scotland would be permanently lost to Rome. So forcibly, in fact, was this latter argument advanced by Olivares at the Vatican that by midsummer, 1586, the Pope declared himself prepared to grant 500,000 scudi out of the papal treasury, and 2,000,000 more from the revenues of the Spanish clergy, if Philip would add another 2,000,000 of his own.

By the summer of 1587, however, the state of affairs had been considerably clarified. Philip's preparations were by this time so well under way that Sixtus could no longer doubt that he really meant business; and the execution of Mary queen of Scots on February 8/18 served to force Pope and king, despite all their mutual suspicions, into closer alliance against England. One of the chief causes of Philip's long delays had been the fact that Mary had been permitted to live so long. If England, through her means, could be brought back to the Roman fold without a war, he would be spared the necessity of the invasion which he never really desired to undertake. Mendoza, in Paris, when first informed of the schemes that lay at the bottom of the Babington plot, wished to halt all the projects of attack until the issue of the conspiracy had been determined. Now, however, that the plotters had been foiled, and Mary's head had fallen, there remained no alternative to war. Then, in the second place, the removal of the Scottish queen made Philip, at least in his own eyes, the lawful king of England, and, in view of the situation across the Channel at that juncture, the possession of England would be invaluable to him. He made haste to demand of the Holy See that he himself be invested with the English crown, and when Sixtus demurred, he asked that it be given to his daughter, Isabella Clara Eugenia—thus reviving all the Pope's fears of an intolerable Spanish preponderance. On the other hand, the execution of the Scottish queen was a deed of blood which the church of Rome was in honor bound to punish and avenge. The faint hopes which Sixtus had once cherished that France might be used for that purpose had now been shattered; only by availing himself of the services of the king of Spain would it be possible for him to accomplish his purpose. So, on July 29, 1587, a definite treaty was drawn up between the Holy See and the Spanish crown. Sixtus promised a subsidy of 1,000,000 *scudi*, on condition that the expedition should set sail before the end of the year. Philip, if successful, was to nominate for England, subject to papal approval and investiture, a king pledged to restore and, maintain the Roman Catholic faith. How fully the treaty would have been observed, had the great enterprise been successful, may be open to question; but it seems, clear that for the present Philip had succeeded in getting the Pope into line.

During all the period covered by these negotiations it had become increasingly plain that the Spanish invasion of England was to take the form of a land and a naval expedition combined, and that the fleet which was being prepared in the Spanish ports was to act in cooperation with the Spanish forces in the Netherlands. It will be remembered that Philip had consulted Alexander of Parma as to the feasibility of the enterprise years before, in 1583, when the idea was still nebulous. In the following year he placed a large measure of the direction of it in Parma's hands; apparently, at this stage of the proceedings, it was only to his nephew in the Low Countries that the Prudent King ventured to open his whole heart with regard to the invasion of England. Parma was even more certain than Philip that the conquest of Britain and the suppression of the revolt in the Netherlands were but parts of the same problem; England was the head and Holland and Zealand the neck and arms of the same body. The expedition of the Earl of Leicester, though barren of immediate results, had still further emphasized the closeness of the connection, and Parma must have been delighted to receive from his uncle a letter written on December 29, 1585, in which Philip declared that without full possession of a port in the Low Countries nothing could possibly be accomplished against England. But when it came to giving effect to this idea, it was a very different story. No decision could be reached for a long time as to what port should be selected for the purpose. Parma's capture of Sluys, in August, 1587, seemed momentarily to settle the matter; but Parma, like Sixtus, had expected the invasion to take place in 1587. He had calculated on a rapid concentration and the effects of a surprise. By the postponement of the expedition to 1588 his enemies were given time to make counter-preparations and prevent the flatboats which had been constructed in the heart of Flanders from ever reaching Sluys at all. There were also numerous threats of a diversion in the form of an attack from the Huguenots in France. Though no such attack ever took place, the fear of it was a constant anxiety to the duke. But all these troubles paled into insignificance in comparison with the increasing difficulty of cooperating with Madrid. Philip's counsellors had worked on their master's well-known fear lest distant subordinates should get too independent in order to discredit Parma. They insisted that he had vast ambitions of his own and was aiming solely to fulfil them. By the winter of 1587-88 Philip was often at cross purposes with the only man to whom four years before he had been willing to give his full confidence; he sent him neither the money nor the reinforcements on which the duke had counted. In Parma's eyes, from the very first, the Spanish army in the Low Countries had been the vital factor in the whole affair, and the sole function of the Armada was to be to insure its safe transportation to England. In Philip's mind the enterprise still took the shape of a land and a naval expedition combined, but the naval part of it had by this time assumed far greater relative importance than he had originally intended or Parma ever desired.

Parma was not the only one of Philip's servants whose efficiency and independence made them objects of suspicion to their master; there still remained one more, the last of the old sea-dogs of Lepanto, Alvaro de Bazán, the venerable Marquis of Santa Cruz. One of the chief reasons why the king had steadily tended, since the beginning of 1586, to lay more and more weight on the fleet and the naval side of the expedition, and less and less on the Spanish regiments in the Low Countries, was that the former, in Spain and Portugal, was more or less under his eyes, and therefore subject to his control. He demanded that every smallest detail of its preparation, provisioning, and armament be referred to himself for final decision; never before had he pretended to such unlimited omniscience. All this was gall and wormwood to Santa Cruz. He was well aware that he knew more of naval affairs than any man in Spain, and that the king was almost totally ignorant of them; to be bound hand and foot by instructions from the Escorial was intolerable to him. Yet in spite of all the difficulties with which he was beset, he gallantly carried on his work; and it is vastly to his credit that he had got practically all the fleet concentrated at Lisbon and ready to sail, when death overtook him on February 9,

1588. The king was by no means sorry to be rid of him. The Marquis was not only far too independent, but also much too popular to suit his taste; in any case, his removal gave Philip the opportunity to place in command of the Armada a man of whom it may truthfully be said that such was his ignorance of naval affairs that he had no alternative save blindly to obey the commands of his sovereign. Alonso Perez de Guzman el Bueno, Duke of Medina Sidonia, who was given the post, was the richest peer in Spain. He was thirty-eight years old at the time of his appointment and had been married since 1572 to the daughter of Philip's old minister, Ruy Gomez, and the Princess of Eboli. He was dumfounded when he learned that the king had selected him, and protested, with pathetic truthfulness, that he had no qualifications whatsoever for the post; but Philip insisted, and of course ultimately had his way. It was characteristic of him that in a crisis like the present, when time was of the essence, he permitted six full weeks to be wasted in futile correspondence with his new admiral; Medina Sidonia's formal appointment as 'captain-general of the Ocean Sea' was not issued until March 21. With it was sent a long letter of instructions, which reveals, if nothing else, how completely the king had now assumed control. Some of the topics with which those instructions deal shed a flood of light on the workings of Philip's mind. One example will suffice: Medina Sidonia was ordered to take special care to prevent his soldiers and sailors from swearing, and from gambling because it led to swearing.

The Duke had been ordered to set sail as soon as possible; he had hoped, in fact, to get away by the end of March, in order to avoid the strong north winds which blow down the Portuguese coast in the later spring. But as soon as he had arrived at Lisbon, it immediately became evident, even to his inexperienced eye, that another long postponement was inevitable. Most of the provisions that Santa Cruz had got on board had by this time gone bad; it was essential to replace them. More alarming still was the shortage of munitions, especially of powder. Not only was there not nearly enough on board, it was apparently almost impossible to purchase any more; the king, as usual, was loath to grant any money, and there was much talk of cheating, if not of treason, on the part of the purveyors and contractors. Such were a few of the more immediate practical problems with which Medina Sidonia was confronted, and his confusion became worse confounded still when in early April he received a letter from Philip instructing him in the ways in which the forthcoming campaign was to be fought. Cooperation with Parma and the transportation of his veterans to England were, of course, to be the keynote of it. But Parma was now virtually blockaded by the Dutch, and besides, the sailing of the Armada had been so often postponed that there was no longer any possibility of effecting the surprise on which he had counted; the English had had every opportunity to forecast Philip's plans, and were aiding their Dutch allies to forestall them. The Armada, so the king directed, was to remain in close formation, and never to separate in pursuit of a fleeing foe. It was to fight at close range, while the English would be certain to shoot from a distance; how this was to be effected in view of the enemy's recognized superiority in speed Philip could not define, but doubtless God would take care of it. Throughout the entire paper one finds evidence that the king was convinced that only a small portion of the English fleet was at Plymouth and that the main body of it would be encountered in the narrows of the Channel, where it would certainly be stationed in order to keep watch on Parma. The Armada was therefore to proceed directly to the narrows and get control of them before it attempted to gain a base in England. At the time (April 1) that the king wrote these instructions, the disposition of the enemy fleet was, indeed, very much what he had supposed. Drake, Hawkins, and Frobisher were at Plymouth, but Lord Howard of Effingham, who was, officially at least, the English commander-in-chief, was still, with some of his best ships, patrolling Calais Roads. By mid-April, however, it had become obvious that a much smaller squadron, under Lord Seymour, was quite adequate to the task of watching Parma. It was also evident that Howard could be more useful farther westward; and on May 23, long before the Armada

finally left the coasts of Spain, all of the really effective vessels in the English fleet were united in Plymouth Sound. The sequel will show that this change was to be of primary importance in determining the issue of the campaign.

Submerged by the steadily rising tide of conflicting orders and demands, Medina Sidonia struggled bravely, if incompetently, on; by May 10 everything was at last as nearly ready as he was able to get it. The Armada, when finally united, was considerably less imposing than the estimates of Santa Cruz had called for two years before. There were now 130 vessels as against 150, and of these only 73 could be fairly regarded as fitted to take their place in the battle line; the rest were lighter craft and hulks. The total tonnage was now 57,868 instead of 77,250; the *gente de guerra* had diminished from 63,890 to 19,295; the *gente de mar y de remo* had shrunk to 10,138; and all these figures were to be still further lessened before the Armada got into contact with the English fleet. It was divided into a number of territorial squadrons, Portuguese, Castilian, Andalusian, Italian, etc., according to the custom of the time, each of them commanded by an officer of experience and distinction; Juan Martinez de Recalde, Miguel de Oquendo, Martin de Bertendona, and Pedro de Valdes were perhaps the most eminent. Diego Flores Valdes, who had already made a name for himself in American waters, sailed with Medina Sidonia on his flagship, the San Martin. The king had commanded that in all questions of tactics the Duke should defer to his advice, so that he became, in fact, the real commander of the Armada. Why Philip selected him must always remain a mystery, for all the other squadron commanders had more experience and better fighting records than he.

None of the many comparisons that have recently been made between the fighting strengths of the Armada and of the English fleet is wholly satisfactory, because conditions shifted so rapidly during the ten days in which they were in contact; but they all tend to emphasize the important fact that the Armada was by no means so much the larger as used to be popularly supposed. Though the Spaniards had at least thirty more vessels than their foes when first they met off Plymouth Sound, the superiority was much less than it seemed, for they were not able, as were the English, to develop all the offensive power they had; and by the time that Seymour had joined with Howard and Drake off Gravelines, it seems clear that the English were actually more numerous than the Spaniards. In tonnage the Armada was indeed greatly superior, at least on paper; but the Spanish system of tonnage measurement was so different from the English that it now appears that the amount of their superiority should be reduced by at least one-third. Furthermore, that superiority, such as it was, became, under the circumstances under which the fight was to be fought, a positive disadvantage; for the Spaniards, rising tier on tier out of the sea, both fore and aft, till the "ocean groaned under their weight", made a far easier mark than the English vessels, which were high out of water only at the stern and cut very low in the bows. The greater weatherliness of the English ships, perhaps the crucial factor in the whole campaign, was also largely ascribable to the same cause. The proportion of soldiers to sailors on the Armada was far larger than on the English fleet, but this again, as things actually worked out, was destined to do it more harm than good. In weight, range, and efficiency of gun power the English were clearly preponderant. Had the Spaniards been able, as they hoped against hope, to lure their foes into an old-fashioned encounter of ramming and boarding, on the lines of the battle of Lepanto, they might have had a chance. Under the conditions which the English were able to impose upon them, they were foredoomed to failure.

But it was not in Philip's nature to foresee new things. Parma from the Netherlands kept urging him to send the Armada on, and finally communicated some measure of his impatience to his master; the king was now anxious to have the great enterprise begin. There might still be practical deficiencies in leadership, munitions, and supplies, but every single man in the

Armada had a certificate stating that he had confessed and been absolved, and monks and nuns had encouraged the commander with assurances of divine help. On May 14 Medina Sidonia reported to the king that the fleet had begun to drop down the Tagus to Belem; on the 30th he had put to sea. The wind on that day was a mere zephyr from the northeast, but the Armada was unable to make way against it. On June 1 it had drifted down to the south of Cape Espichel; not till a fortnight later did it regain the latitude of Lisbon. Meantime the results of bad provisioning had become tragically apparent. The food stank, and the water was foul; 500 of the men were already down with dysentery; the crews complained, and the officers were in despair. On June 10, the wind shifted to the southwest, and the Armada at last got fairly started to the north; but the sickness on board continued to increase, and when, on the 19th, the wind became a gale, there was nothing for it but to put in at Corunna. At first only forty of the ships were able to make the port; for despite the king's insistent orders that the fleet keep close together, the wind had scattered it. Not till more than two weeks later could it be collected again, and when the crews got on shore, large numbers of them deserted. The Duke was despondent. He feared that the news of his plight would reach England, and that *corsarios* would be sent to capture his battered ships. On June 24 he wrote to Philip, advising the abandonment of the enterprise. But the king would not hear of it; on July 5 and 12 he sent vigorous letters to the Duke, promising to provide him with everything he needed, but commanding him to set sail at the earliest possible moment. The vice-admiral and the generals, too, were all opposed to giving up. Valdes was particularly insistent, and Recalde seized the opportunity to make a final though futile appeal to Philip to modify his instructions, and permit the fleet to secure a port on the English coast before advancing to the narrows of the Channel. Meantime fresh food and good water had been taken on board. The necessary repairs were made, and the gaps in the crews were filled up. Every man on the fleet confessed and received the sacrament again; and finally, on Friday, July 22, the Armada made a fresh start out of Corunna. This time the wind blew strong out of the southwest; in the next three days the fleet had crossed the Bay of Biscay and reached the mouth of the English Channel. There they encountered another storm, which scattered them and sent most of the galleys and the flagship of Recalde flying for refuge into the nearest French ports; but on Friday, the 29th, the majority of the stragglers had been collected again. The wind now blew gently from the southwest, as the fleet came in sight of the Lizard. On the following day, at two o'clock in the afternoon, Medina Sidonia, convinced that the crucial hour had at last arrived, determined to reenact the great scene that had inspired the Christians on the eve of Lepanto. A standard, displaying Christ crucified on the one side, and the Virgin Alary on the other, was run up at the masthead of the flagship; three pieces of artillery were shot off, "and every man in the fleet knelt down and prayed our Lord to give us victory over the enemies of his faith."

But the crisis which the Duke anticipated was not to come. While he knelt with his followers on the deck of his galleon, it was actually occurring, if not already past. Early on the Friday afternoon, Captain Fleming of the Golden Hind had burst in on Drake and his officers, who were playing their historic game of bowls on Plymouth Hoe, with the news that the Armada was already off the Lizard, and slowly proceeding before the southwest wind toward Dodman Point. The Spaniards, in other words, now threatened to catch the English in the very predicament in which the English had so often sought to catch them: cooped up in a narrow harbor, with the breeze blowing full into the mouth of it, where a vigorous attack, prepared with fire-ships, could scarcely fail of complete success. There was but one way to meet the situation, namely, to get to sea at once, and to the windward, if possible, of the Armada, where the superior gunnery and sailing qualities of the English ships would be fully available; and this, despite the obvious perils of uncovering the threatened port, Drake and Howard determined at once to do. On the Friday night the ships were warped out of the harbor; on Saturday morning the best of them were beating out of Plymouth Sound. In the early afternoon

fifty-four of them had almost reached Eddystone, where for the first time they caught sight of the Spaniards. At the same moment the wind died down, and rain and fog came on, so that there was nothing further to be done save to lie still under bare poles in order that the enemy might not see them. On the Armada, in the meantime, a council of war was being held, in which the expediency of attacking Plymouth in defiance of Philip's instructions was ardently debated. What decision was reached we cannot surely tell, for the accounts are very contradictory. At any rate, when sunset at last revealed the English fleet a few miles to the leeward, off Eddystone, the Duke immediately came to anchor, for he was convinced that he must keep the weather gauge in the attack which he confidently expected on the following morning.<sup>1</sup> But this was just what the enemy was determined at all costs to prevent. Soon after sundown, the southwest wind rose once more, and the English ships again made sail. The main body of them stood south, out to sea, across the front of the Armada, but apparently the Spaniards never saw them; their attention was distracted by eight other ships which were beating dead to windward, between the left wing of the Armada and the shore. Consequently the Duke made no effort at all to prevent his right flank from being turned, and at daybreak on the Sunday morning he was dumfounded to discover the pick of his daring foes reunited in safety to the windward of him. The position had been assumed which, skillfully maintained and improved by Drake and his followers during the next ten days, was ultimately to transfer from Spain to England the sovereignty of the seas. It was the decisive maneuver of the entire campaign.

It used to be said that the Armada advanced up the Channel in the form of a huge crescent, convex side to the fore, and this conception has been confirmed by numerous contemporary charts and drawings and by the tapestries in the House of Lords. But recent research has made it abundantly clear that this was not the case. The basic idea on which Philip's elaborate instructions and Medina Sidonia's orders were founded was that the Armada would be exposed, as it sailed up the Channel, to attacks in both front and rear: in the rear from Drake and his ships who were known to be in Plymouth, and in front from the main body of the English fleet, which the Spaniards confidently believed would be awaiting them in the narrows. Even after Medina Sidonia learned, on Sunday morning, the 31st, that Howard had joined forces with Drake, he could not get it into his head that practically the whole fighting force of his enemies was behind him. He therefore adhered to his original formation. He himself, on the flagship *San Martin*, led the vanguard or 'main battle', consisting of two squadrons of his best galleons, each strengthened by a galleasse; in the center, directly behind him, were the hulks and the victuallers; and behind them, in turn, a strong rearguard of four squadrons, of which the two hindmost were placed on the extreme left and right, and each protected by a galleasse. As all the attacks of importance were to come from behind, it will be readily seen that the adoption of this formation virtually wasted a large proportion of the Duke's best ships, because they could not get into the fight; it will also serve, at least partially, to explain the origin and persistence of the idea of the crescent formation, since it was like a crescent that the Armada must have appeared when seen by the English from behind. The English tactics, on the other hand, were devised with the idea of making the fullest possible use of the two unquestioned points of English superiority, namely, better sailing ability and better gunnery; having got the weather gauge, they were prepared to take every possible advantage of it. Their fleet, in brief, was drawn up in a single long line—*en ala*, as the Spaniards called it—, which tacked back and forth across the rear of the weather most Spanish ships, keeping them perpetually under fire, but resolutely refusing to come to close quarters. Such a refusal, of course, confirmed the Spaniards' conviction that the English were cowards, but that was about all the comfort that they got from it. The fact, of course, was that their enemies had turned a fresh page in the book of naval strategy. The Duke and his followers were confronted with a situation with which they were powerless to deal.

The first contact of the two fleets outside of Plymouth on Sunday, the 31st, was to give an inkling of the way in which these rival tactics worked. As the west wind bore him along opposite the mouth of the Sound, Medina Sidonia stood in shore, partly perhaps with the idea of threatening the port, more probably, however, for the purpose of cutting off the slower English ships, which had not been able to come out with Howard and Drake on the Saturday morning, and which now were attempting to get to sea and join the main fleet. But Howard and Drake made no move to intercept him; they were resolved at all costs to preserve the weather gauge, and so all they did was to attack the Spanish rear guard. They contented themselves, moreover, for the most part, with cannonading at long range, but the effects of their fire were so deadly that most of the Spanish ships crowded down on Medina Sidonia; only the gallant Recalde had the nerve to come up in the wind and face the foe. For two hours he stood his ground, virtually isolated from the rest of the Armada, while Medina Sidonia made futile efforts to beat up to his rescue. But these efforts merely gave a golden opportunity to the English squadron coming out of the Sound; it worked to windward and soon rejoined the main fleet. Even with this reinforcement, Drake and Howard were unwilling to risk a general engagement, at least at close quarters; when Medina Sidonia finally succeeded in rallying his galleons to the relief of the battered Recalde, they simply discontinued the fight. No great damage had been done to either side, but it was ominously evident that the English could henceforth dictate just how and when all future encounters were to be fought. Meantime the wind and the tide had carried both fleets to the east of Plymouth. The Spaniards could do no further damage there, and practically all the effective force of their enemies was reunited to the windward of them.

Monday, August 1, passed by without any important event. On the morning of Tuesday, the second, the two fleets, their relative positions unchanged, had reached the waters off Portland Bill, when the wind, for the first and only time in that memorable week, hauled into the northeast and gave the Spaniards the precious weather gauge; they consequently made ready to attack. The action that ensued was complicated in the extreme, but not productive of serious results; it was, on both sides, a day of lost opportunities. The efforts of the English to get to windward enabled Medina Sidonia to cut their fleet in two; but then, instead of concentrating on the slower or inshore part, which he might have compelled to engage in a contest at close quarters, such as all the Spaniards desired, he foolishly gave chase to the swifter seaward portion, which he was totally unable to catch up with. The long-range cannonading of the English proved its effectiveness once more, and the smoke from their heavy discharges had the additional advantage of concealing their position from the Spaniards. In the afternoon the wind shifted back again into the southwest, and Medina Sidonia's flagship, which was momentarily isolated in a heroic attempt to protect the westernmost ships of the Armada, received terrible punishment from the batteries of Howard and Drake. The comments of the Spanish narrators on the fight of that day are bitter in the extreme; they felt that they had had victory within their grasp, and then had been unaccountably deprived of it. There was talk of treachery and cowardice, of the unwillingness of those ships "in which there was no caballero or person whom the seamen respected" to go to the rescue of the Duke and his *capitana*; above all, it had been finally proved to them "that it was impossible to board if the enemy did not so desire". The first of these difficulties was dealt with at once; sergeant-majors visited each ship in the Armada to learn how every man was doing his duty; but the second, from its very nature, was irremediable. The Armada continued on its eastern course, in substantially the same formation as before, while the enemy, now considerably augmented by ships that had come out to join it from the various ports which it had passed, was henceforth divided into four separate squadrons, headed respectively by Howard, Drake, Hawkins, and Frobisher. Each was directed to follow the same tactics which had been used hitherto by the entire English fleet, tacking back and forth astern of the Spanish rear guard, and pouring in volleys at

long range; the only difference was that henceforth the assault was to be delivered in four places at once.

Wednesday, the third, was a day of calm, in which neither of the fleets could make any appreciable headway. Recalde was apparently the target of some heavy cannonading, and at one moment the English threatened to surround and cut off his capitana; but when Medina Sidonia bore up to his support, the action was immediately discontinued. In the late afternoon the west wind rose again, and the Spaniards came in sight of the Isle of Wight, where they had by this time decided, in defiance of Philip's instructions, to try to establish a base and await the arrival of Parma. The action that ensued on the morrow, to the south of Dunnose, is again very difficult to comprehend, principally because none of the contemporary accounts distinctly states the precise direction of the wind; it seems most probable, however, that it was more nearly south than west. This would account for the fact that the port squadrons of the English fleet, which got to the north of the left rear of the Armada in order to prevent a possible attempt to land, were temporarily deprived of the weather gauge; the Spaniards were confident that they had one of the English capitanas at their mercy "when nine light boats got her under way again and took her out of our hands with such speed that it was a thing of wonder to see". "We attacked, and got near them, and they fled," goes the account of the master of one of the Seville ships; "they were broken in two parts and the victory assured, when the enemy's capitana turned upon our fleet, and the galleon San Mateo, on the point of our weather wing, gave way to it, and retreated into the main body of the Armada. Seeing this, the enemy took heart, and attacked the said wing with his whole fleet or the greater part of it, and got us into a corner, in such fashion that if the Duke had not gone about with his flagship, we should have been vanquished that day instead of the victors that we were". This account makes it clear that while the rear port squadrons of the Armada had been occupied with the English ships which had got between them and the shore, their vanguard had been vigorously attacked by the starboard squadrons of their enemies under Drake and Hawkins, who had kept the weather gauge, and as usual had been completely successful; the phrase "got us into a corner" doubtless refers to the possibility, of which the English had planned to take full advantage, that the Armada might be driven upon the dangerous banks called the Owers. Medina Sidonia's pilots were also alive to this peril. To remain where he was would bring certain disaster; to pass on to the east meant the abandonment of all his hopes of establishing a base on the Isle of Wight; but of the two evils the latter was obviously the less. So he signaled to his scattered ships to re-form around him, and by the middle of the afternoon he was once more headed straight east for Calais, his terrible foes hanging relentlessly on his heels.

The rest of that day and night and the following morning both fleets continued on their eastward course, and there was no action worthy of the name. But if one reads between the lines in the various contemporary *relaciones* it is only too evident that the Spaniards were deeply disheartened. They had looked forward to the action off the Isle of Wight as critical. Once more they had thought that victory was theirs; then it had been suddenly snatched away from them by tactics with which they were powerless to cope, and they had been driven past the point where they had hoped to establish their base. They had lost seven good ships since leaving Corunna, and had seen their enemies constantly reenforced as they sailed up the Channel. Their powder was getting short. There were a number of dead and wounded; and though Medina Sidonia had proved himself both loyal and gallant in the way that he had come to the rescue of his hard-pressed friends, it was only too evident that he was no seaman. Still if Parma and his veterans were awaiting them at the narrows, the game was not yet lost. Means would surely be found to guard their transfer across the Channel, and no man doubted that if a Spanish army was once landed on English soil, the defenses of their enemies would fall like a house of cards. Message after message had been dispatched to Parma, ever since the fleet had

left Lisbon, begging him to be ready, but so far there had been no definite word from him, and on Saturday morning, the sixth, when the Armada had reached Calais Roads, Medina Sidonia sent him a final appeal to come out with his flatboats. But Parma, as we shall later see, had no mind to do this. He was on hand, indeed, as he had promised Philip that he would be, but he resolutely refused to put to sea till the fleet should be able to protect him, and that, for the moment, was obviously impossible. The hopelessness of the Armada's position was at last fully revealed. Medina Sidonia could not beat back to the westward. To drift on past Calais and Dunkirk was to abandon all remaining hope of transporting the tercios—the fundamental object of the entire expedition—not to speak of the danger of being driven aground on the treacherous shoals farther east. To remain where he was would obviously be perilous, as the governor of Calais took pains to point out to him, but under the circumstances, there was no other alternative. And so, at five o'clock on the Saturday afternoon, the Duke came to anchor, and signaled to the rest of the fleet to do likewise. At the same time the Spaniards, already deeply disheartened, were still further cast down by observing a group of thirty-six enemy ships, which had been lying to leeward, and which they erroneously believed to be commanded by Hawkins, beat up around their north flank, and join forces with the rest of the English fleet.

It was, of course, the squadron of Seymour, who had been told off to watch Parma, but who now, seeing that he could no longer be of any use in the position to which he had been originally assigned, had very wisely decided, in the face of conflicting orders, to get to windward of the enemy at all costs and unite with Howard. Such was his contempt for the failure of Medina Sidonia to make any effort to prevent this obvious move, that one of his ships poured a broadside at close range into the northern flank of the Armada as he sailed by, and then passed on, while the two rear galleasses 'returned thanks' with their stern culverins. The whole of the English fleet, which had also come to anchor, was now within cannon-shot to the windward of the Armada.

Sunday, August 7, passed off without any important engagement, but while the English spent it profitably in devising means whereby they might dislodge their foes, the Spaniards could do nothing but worry and wait. Messages from Parma made it plain that the Dutch blockade of the Flemish ports would render it impossible for him to send out the ammunition and provisions of which the Armada was now in desperate need, and he persisted in his refusal to move his troops or his flatboats until the Armada should be ready to protect them. The enemy divined the Spaniards' discouragement at the plight in which they found themselves, for he seized the opportunity to intimate his contempt for them in characteristic fashion. At four in the afternoon a pinnace from the English fleet bore down on the *capitana real*, fired four shot into her at close range, went about and got away with no damage to herself but a culverin-shot through her topsail. "Its daring", comments the author of the *relación* which records the incident, "was very notable, and more than ever we saw how with their very good and very light ships they could come and go as they pleased, the which we could not do". But much worse was soon to come. How far the position in which the two fleets now were had been foreseen in England, it is of course impossible to say; but we know that the exploit of Gianibelli at Antwerp in 1585 had been noised abroad all over Europe, that Gianibelli was in England at the time with letters of introduction to Sir Francis Walsingham, and, finally, that Walsingham had sent orders and material for the construction of fire ships to Dover some days before the Armada reached Calais Roads. It certainly looks as if some plan of dislodging the Spaniards by the use of vessels filled with combustibles had been carefully considered by their relentless foes. In any case, the conditions on Sunday night were as favorable as could be desired for such an attempt. The west wind had risen, and the tide was boiling up the channel. So fearful, in fact, were Howard and Drake that such an opportunity might never come again,

that they decided not to waste time by sending in to Dover for the fire-ships which had been got ready there, but to sacrifice eight of their own smaller vessels for the purpose.<sup>3</sup> Shortly after midnight the ‘hell burners’ were set adrift, and came flaming down on the huddled mass of the Armada, “spurting fire and their artillery shooting,” so runs the Spanish account of it, “which was a horror at night time, and when all of our ships had to have two anchors out.” Medina Sidonia did not dare remain where he was; he could not weigh; and so in despair he gave orders for the cables to be cut, and the Armada, with the ships that composed it running afoul of each other in helpless confusion, drifted on out of the narrows of the Channel toward the shoals of Dunkirk. The eight fire-ships, in the meantime, had burned themselves out, and had become harmless; “yet they had accomplished” as the Spanish narrator bitterly remarked, “what the enemy had neither been able nor ventured to do with 130 sail.”

When dawn, on the Monday morning, revealed to the English admirals the plight of their Spanish foes, they promptly gave chase, intent on dealing a knockout blow before the Armada had had a chance to reform. In order to prevent this, and also because his pilots told him that he was getting perilously near the Flanders banks, Medina Sidonia came up in the wind, facing his pursuers, and signaled to the rest of the fleet to do likewise; some fifty of them managed to obey and gather around him, and it was by these that the battle of Gravelines was fought. The English remained true to the tactics that they had so successfully followed during the preceding week. They refused to grapple and board, and shot into their foes at the water line. The Spaniards, of course, were as powerless as ever to prevent this, or to bring on a battle of the sort that they wished to fight; though under the circumstances it was impossible for them to win, it cannot be denied that their courage was superb. All the accounts by Spanish eyewitnesses ring with special praises of the heroism displayed by the Portuguese galleons, the San Felipe and the San Mateo; the glory, be it noted, goes wholly to the generals and soldiers on board them; there is not a word about the sailors. Both placed themselves in the hottest of the fight. Each was surrounded by over a dozen of the enemy’s ships, and fought them off for hours “without help except from God.” Offers of quarter were contemptuously refused. But finally the weather, which had been so favorable to the English during the previous ten days, came temporarily to the rescue of the hard-pressed Spaniards. In the late afternoon a terrific squall of wind and rain blew up, so that further firing was impossible; moreover, while the English came up in the wind and faced it, the shattered Spaniards were unable to do this, and simply drifted to leeward, with the result that the two fleets became separated; after the squall had passed, the fight was virtually over. But despite the fact that it had been interrupted by the elements, the battle of Gravelines had been decisive. The Armada had been driven past the point where it had hoped to unite with Parma, and there was practically no hope of its regaining it. The only real question now was what proportion of the fleet would be able to get safely back to Spain.

For, though Philip’s great Armada had itself become innocuous, it was by no means out of danger of destruction. All Monday night the wind blew hard out of the northwest, and when the Spaniards discovered their position on Tuesday morning, it became evident that they were being rapidly driven down on the treacherous shoals to the eastward. Medina Sidonia and a few of his best ships made desperate efforts to weather them, but the bulk of the Armada was unable to do this. A mile or more away the English hung relentlessly on their windward quarter, content to watch them pass on to their inevitable fate. The Spaniards took soundings again and again—eight fathoms, six fathoms, five fathoms; the pilots vowed that such large ships had never passed that way before; clearly they were now at the mercy of God, and officers and men betook themselves to prayer. But, sometime about noon, when they were all expecting death at any moment, “it pleased God to work the miracle” for which they had besought Him. The wind eased off a point or two, and began to blow out of the southwest. The

Spaniards were just able to avoid the shoals, and in the early afternoon they had got together again in some kind of formation in the deeper waters of the North Sea. For the moment, at least, they were safe, and the English had been robbed of their prey.

Later in the day Medina Sidonia called a council of war on board his flagship, to determine what was next to be done. A few of his officers believed that when the wind shifted, it might be possible to return to Calais Roads and engage the English again. The Duke himself, who was certainly no coward, would have been glad to do so, had it not been for the fact that the ammunition was practically exhausted; but without powder and ball it was evident that they could not fight. Some of them were in favor of passing on to a point in Norway to spend the winter and refit; but the Duke did not like the idea of seeking refuge in the territory of strangers; moreover, it would never do to leave the Spanish coasts unguarded. The only alternative then was to get home as quickly as possible, and with the least possible loss; and with the wind in the west and the enemy between them and the narrows of the Channel, the only way to get home was to sail up to the north beyond the Orkneys, and thence around the west of Ireland to Spain. Even this course had its dangers. The provisions were almost as short as the ammunition, and the water supply was the lowest of all. They had brought with them many horses and mules to drag the artillery after it had been landed in England, and these they might now have killed and eaten in order to appease their hunger; but instead they elected to throw some eighty of them overboard in order to husband their water supply. All this, and the fact that they were now in full retreat, took the heart out of officers and men. Investigation revealed that there had been numerous cases of cowardice and insubordination. A court-martial was held, and twenty were condemned to death. Of these, however, only one was actually executed, being hung from the yard-arm of a pinnace; the others were let off with degradation and minor penalties "through the great clemency of the Duke." During Wednesday and Thursday, the 10th and the 11th, the English continued to pursue. They thought that the Spaniards would never dare return home with nothing accomplished, and feared lest they might possibly combine with the Catholics in Scotland. On Friday, however, it became evident that the Armada was bent on flight, and the English abandoned the chase. They were almost as short of powder as their foes, and the queen was most reluctant to pay for any more. Now that the danger was over, her parsimony asserted itself; and as the surest way of preventing any further activities on the part of her fleet, she peremptorily summoned Howard to attend in his place at her Council.

The misfortunes of the Armada were not yet at an end. Its homeward journey was beset by gales. As far north as the Orkneys the fleet held together; but when it started to beat westward into the Atlantic, the ships that had suffered most in the fighting were unable to keep up with the rest. Many sank, riddled like sieves, in the Northern Ocean; others fell away to leeward and were wrecked on the rocky coasts of Northern and Western Ireland. If the soldiers and sailors on board them were fortunate enough to escape death in the waves, they were most of them robbed and murdered by the wild Irish, or else shot in cold blood by the English garrisons; the narrative of Captain Francisco de Cuellar, who was one of the very few to escape, gives a vivid picture of the conditions in Ulster and Connaught at the time. Medina Sidonia, in compliance with the royal command, sent off letters to Philip as often as he could, to report the state of affairs. At least two of them, written respectively on August 21 and September 3, apparently reached the king's hands, and gave him a terribly vivid picture of the miseries of his fleet. A week after the battle of Gravelines, Philip had believed that the Armada had been triumphant. On August 18 he had written to Medina Sidonia a letter of congratulation on the victory which he had learned from "the reports of an eyewitness" had been won. At the end of the month he knew that the Armada had been beaten, but he had not yet given up hope that it might return to the narrows of the Channel, unite with Parma, and get

its revenge. Four weeks later, however, he had to face the whole of the horrid truth. On September 22 Medina Sidonia crawled into Santander; on the 23d he sent in the last and most tragic of all his reports to the king. He had had sixty or more ships with him when last he had written to Philip, but most of these had since been scattered by the fury of the waves, and he had only brought eleven of them with him into port. Fifty-five others, in all a little more than half of the fleet that had left Corunna in July, managed, one by one, to fight their way back, but they brought home with them scarcely a third of the 29,000 men who had bravely gone forth to fight the battle of the Lord. Hopes still ran high that more would return. In November word came from Venice that Alonso de Leyva, the darling of the fleet, had saved twenty-six ships, and raised a revolt in Ireland; but by Christmas time it was learned that de Leyva had been drowned. Most of the rest of the best officers had also perished. Recalde and Oquendo both died within a few days of their return. Diego Flores Valdes, who got home with Medina Sidonia, was punished with a term of imprisonment in the castle of Burgos, for a scapegoat had clearly to be found, and he was the obvious one. The Duke was "permitted to retire" to his estates in Andalusia, and was not even deprived of his command. The mass of the Spaniards detested him, and held him chiefly responsible for the disaster, but Philip stood loyally by him, and it is on the whole to his credit that he did so. Had the king perhaps some inkling that he himself was the person really to blame? Or did he still believe that everything was to be attributed to the inscrutable will of the Lord? From his famous dictum that he had sent the fleet "against men and not against the wind and the seas", it would look as if the latter were the more probable, but it was certainly an awkward problem to explain why the Almighty had been so unkind to an expedition which had been sent out expressly to do His work.

The defeat of the Spanish Armada was unquestionably the supreme disaster of Philip's reign. It proved that Spain's navy could be beaten, and that she could be deprived of the sovereignty of the seas. It presaged the independence of the Low Countries and the breakdown of the monopoly of Spain in the New World; indeed, it is usually regarded as the death-knell of the Spanish Empire. One would gather, moreover, from a perusal of the pages devoted by the older English historians to the last fifteen years of the reign of Elizabeth that all these tremendous results were immediately evident, that the English sea-rovers sacked Spanish cities and plundered Spanish colonial ports and treasure fleets at will, and that the Spaniards were powerless to prevent them. More recently a high authority on naval affairs has challenged this classical interpretation of the period, and maintained that the Spaniards, for years after the Armada, remained far more formidable than is popularly supposed. It is probable that he, in turn, somewhat overstates his case, but there is certainly something to be said for the view that he advances. As regarded from the Spanish standpoint, the history of the war with England during the years 1588-98, which alone concern us here, falls into three distinct periods, each with well-marked characteristics of its own, and sharply differentiated from one another. We will now proceed to consider them in order.

The first is comprised in the year 1589, and the central event of it is the English expedition against Lisbon. In view of the fury of Drake and his associates at being robbed of their prey when forbidden to pursue the Armada to the northward, it was inevitable that they should ask leave to make reprisals in the following year; the nation virtually demanded it and Elizabeth dared not refuse. In Spain all this had been foreseen, for Philip still had his spies in England, who kept him informed of the enemy's plans; moreover, it had been correctly surmised that the chief objective would be Lisbon, for the pretender Antonio had the ear of the English government and had promised that the Portuguese would rise in his favor to a man. With the aid of his Portuguese minister, Cristobal de Moura, and Pedro Enriquez de Acevedo, Count of Fuentes, Philip accordingly did everything possible to prepare resistance. In April,

1589, the blow fell, but not at first at the expected point. A fleet of some 130 sail, carrying upwards of 15,000 men, under Drake and Sir John Norris, suddenly appeared before Corunna. They promptly landed 7000 troops, attacked and captured the lower town, killed 500 Spaniards, and took prisoner the commander of the place; but then they scattered to pillage, and finding large casks of Spanish wines in the cellars of sonic warehouses, a large proportion of them soon became helplessly drunk. Prudence, under the circumstances, would have dictated a prompt withdrawal, with such booty as they had managed to collect, but Norris insisted on remaining to lay siege to the upper town, which by this time had had a chance to prepare. Prodigies of valor were performed by both sides, but the Spaniards were inspired by the heroic example and leadership of some of the women of the town, which apparently produced *mujeres varoniles* of the old Castilian sort, and the English were forced to retire with the loss of 1200 men. After consoling themselves by cutting to pieces a Spanish reinforcement sent on from Puente de Burgos, and laying waste the surrounding country, they finally withdrew to their fled. From Corunna they passed south to Peniche on the Portuguese coast, some thirty-five miles north of Lisbon. There Norris landed, with 6000 men, and easily captured the place; he then started overland for the Portuguese capital, while Drake sailed around to the mouth of the Tagus to support him with an attack from the river. Both parts of the expedition signally failed to accomplish their purpose. The winds were so unfavorable that Drake could not enter the river, and in the meantime the army of Norris had been dogged by misfortune. Fuentes had retreated before him, and denuded the country of supplies; Norris did not venture to pillage for fear of alienating the sympathy of the Portuguese, from which so much had been hoped. The heat was terrific, and when the invaders reached the suburbs of Lisbon and found that the fleet had not got there, they lost all heart; in fact, they felt themselves fortunate in being permitted a little later to reembark, virtually unmolested, at Cascaes. Sickness had decimated their ranks, but their provisions were replenished by the fortunate capture of a convoy of sixty Hanseatic ships with cargoes of corn for Portugal. On the way home they revenged themselves by entering the harbor of Vigo, burning the town and the ships at anchor there, and devastating the country for miles around; but when the last scattered remnants of the expedition got back to Plymouth, it was found that some thirty of the ships were missing, and that over 9000 men had died or been killed. Practically no booty had been brought back; the queen was ill pleased, and, despite the verdict of contemporaries like Camden, it is evident that the whole affair was regarded in England as a disastrous failure. On the other hand, it seems equally clear that Spain was dissatisfied that the invaders had got off so cheaply. She had merely got rid of them, but they had not been decisively defeated. Both sides, in other words, felt that little was to be gained, for the time being, in continuing the struggle, at least in European waters; and that feeling must have been intensified, in Philip's case, by the fact that the assassination of Henry III (August 2, 1589) necessarily turned his attention once more in the direction of France.

The result was that the next phase of the war, which lasted till 1595, assumed a complexion totally different from that of its predecessor. Philip made no serious effort against England. Elizabeth did not attempt to invade Spain, but permitted her sea-rovers to harry the Spanish colonics and lie in wait for the Spanish treasure fleets. From her point of view, it was obviously the proper course. It cost almost nothing; it might conceivably prove very lucrative, and it only semi-officially committed her to the continuance of a war which she never really wished to wage. In the summer of 1589 the Earl of Cumberland sailed with thirteen ships for the Azores and captured Fayal, where he maintained himself till October. He seized the vessels which were lying in the port, and intercepted others homeward bound from the New World and laden with treasure. In the following year both Hawkins and Frobisher returned empty-handed to England, after similar attempts, and 1591 was the year of the last fight of the Revenge. If the gallantry of Grenville remains one of the most precious traditions of the

British navy, it is but fair to add that his Spanish opponent, Alonso de Bazán, the brother of the Marquis of Santa Cruz, paid him all the honor and courtesy which his heroism so richly deserved. In 1593 the principal scene of interest is transferred to the Indies. The Earl of Cumberland resumed his activities there, and ravaged Havana and the shores of Trinidad. In that same year Sir Richard Hawkins, the son of Drake's old companion in arms, set sail with a roving commission to harry the Spaniards, and passed through the Strait of Magellan into the Pacific. After plundering Valparaiso and capturing several prizes, he was forced to surrender, grievously wounded, to Don Beltran de Castro in the bay of San Mateo (July 2, 1594), and was sent back a prisoner to Spain. It was partly on the pretext of avenging him that his father and Drake got permission from the queen to sail, in August, 1595, on what proved to be their last voyage. On this occasion they made first for the Canaries, only to be repulsed before Las Palmas; indeed, the chief result of their appearance there was to give the Spaniards a chance to send out warnings to the colonies and treasure fleets in the New World. When the Englishmen reached the Antilles, everything was in readiness for them. After being driven off from Porto Rico by the Spanish artillery, they passed on and seized Nombre de Dios. But when the troops they brought with them tried to cross the Isthmus of Panama on foot, they were stricken with dysentery and had to return. They were also utterly demoralized by the loss of their two great leaders. Hawkins had sickened on the voyage across the Atlantic, and died, off Porto Rico, on November 22, 1595; Drake fell a victim to the yellow fever of the Isthmus on February 7, 1596, and was buried at sea off Porto Bello. Needless to add, the news was received throughout the Spanish Empire with transports of joy, and Lope de Vega, who had had some personal experience of the ways of 'El Draque' and 'Achines' when he served in the Armada, wrote a poem of triumphant gratitude for the removal of the scourge of the church.

The only Spanish reply to the ravages of the *corsarios Ingleses* was a raid on the Cornish coast in the summer of 1595; it did a certain amount of damage, and caused fear and irritation in England, but nothing of permanent importance was accomplished. But the same statement holds true, *mutatis mutandis*, of the much more dramatic exploits of the English buccaneers in the Antilles; indeed, the chief result of them had been, as Sir William Monson expressed it, "to waken rather than to weaken" the Spaniards. In the first place, Philip's naval men had made good use of the respite from attack that had been accorded, in these years, to the Spanish ports themselves, and had constructed a whole new fleet of fighting ships. One high authority speaks of the period as actually witnessing "the birth of the Spanish navy." In the second, the English sea-rovers had signally failed to accomplish their chief objective, that is, to capture the Spanish treasure fleets, and get command of the trade routes. Isolated ships and even smaller squadrons had indeed been cut off, but the 'Flota' and the 'Galleons' continued to sail as before; the fact, already noticed elsewhere, that the sums the Spanish crown derived from the Indies in the last decade of the reign were nearly four times as large as those which it got from them in the sixties, speaks volumes in this connection. Might it not be possible for Philip, who, as the years rolled by, became increasingly anxious to concentrate all his forces against Henry IV of France, to persuade Elizabeth that the efforts of her corsairs were practically fruitless, and terminate the war which neither of them was really anxious to continue. The older historians used to believe that Philip attempted in 1594 to get Elizabeth poisoned, and bribed her Portuguese physician, Dr. Rodrigo Lopez, to accomplish it for him. More recently this view has been attacked, and it has been demonstrated that Lopez was never really proved guilty of the crime for which he was executed. Is it not even possible that Philip was really trying to utilize him simply to approach the queen with an offer of peace? In view of the state of feeling in the England of the time, it would have been essential for him to have a very secret agent to accomplish this, and the use of physicians for diplomatic purposes was by no means unfamiliar in the sixteenth century.

If Philip actually made an offer of peace, it never had a chance of success. The queen, as we have remarked, might have been willing to entertain it, but she dared not fly in the face of the wishes of England, and England longed to avenge the death of Drake. The war party, too, was once more dominant in the Royal Council, and was vigorously led by Elizabeth's youthful favorite, the Earl of Essex, whom she was at all costs anxious to please. Since buccaneering had not accomplished what had been expected of it during the last five years, it was decided to revert to the tactics of 1589, and make a great thrust at the heart of Spain. Cadiz was selected as the point of attack; on June 30, 1596, a fleet of sixty ships, commanded by Howard, of Armada fame, and carrying 10,000 English soldiers under Essex, and 5000 Dutch under Louis of Nassau, suddenly appeared at the mouth of the harbor. Practically no preparations had been made to receive them, and the Duke of Medina Sidonia, who as governor of Andalusia was summoned to protect Cadiz, showed himself even more incompetent than he had been in 1588; he did practically nothing save to report to Philip almost hour by hour the rapid progress of the enemy, which he was totally unable to impede. His indecision prevented the few Spanish warships in the harbor from giving as good an account of themselves as they otherwise might. Several of them were sunk by the cannon of the foe; some of the rest escaped up the Guadalquivir; all the merchant vessels were burnt by the Spaniards themselves in order to save them from capture. Meantime the enemy's troops had been landed, and entered Cadiz practically without resistance. The inhabitants promptly fled inland, and the English were left to plunder the place at their good pleasure. For sixteen days the process continued, and then, after Cadiz had been completely emptied, it was set on fire, and a large portion of it, including the old cathedral, reduced to ashes. Raids into the interior would have yielded a rich harvest and were seriously contemplated by the invaders, but the English did not realize the extent of Spain's unpreparedness; they also feared for the personal safety of the favorite of the queen, and so they finally decided to make for home. Faro on the south shore of Portugal was plundered on the way, and there was even talk of an attack on the Azores; but the members of the expedition had been so demoralized by the booty that they had taken that they were in no condition to attempt anything further. They reached England safely on the 8th of August.

The expedition to Cadiz had the merit of proving to Philip that his dreams of a peaceful settlement with England were illusory; during the two remaining years of his life he burned for revenge. In some respects he was now better situated than he had ever been before for a direct attack on England. He was in close touch with the Catholics of Ireland, who longed to strike a blow for their faith, and promised him a base there if he would support them. The Spaniards, too, as we shall subsequently see, had captured Calais in April, 1596, and were thus possessed of the Channel port whose lack had been so fatal to them in 1588. And so orders were sent out for the assembling of a new armada at Lisbon and San Lucar. Medina Sidonia had proved so useless at Cadiz that the command of it was given to Martin de Padilla y Manrique, the adelantado mayor of Castile. But despite the change of commanders there were the usual interminable delays. Philip did his utmost to hurry things, but the tradition of tardiness and inefficiency had been too firmly planted to be uprooted in a day; the fleet was but half-ready by the middle of October, when the king had absolutely insisted that, ready or not, it should set sail. No sooner had it put to sea than it was struck by a southwest gale and scattered; a third of the ships that composed it were wrecked, and over 2000 men were lost. "Truly," wrote Herrera in his *Historia General del Mundo*, "an admiral, like a doctor, must have fortune on his side."

Still Philip did not despair. A new though unsuccessful attempt of England to invade Spain in July, 1597, still further infuriated him; and later in the same year, when Essex, with the best of the English fleet, was off on the 'Islands Voyage' at the Azores, where he hoped to intercept the Flota from the Indies, the king sent the last of his armadas against England. It was a most imposing fleet, almost as large, in fact, as that of 1588. A detachment of the

Spanish soldiers in Brittany was to cooperate with it. Its commanders had had experience of English naval tactics, and its destination, the port of Falmouth, had been kept profoundly secret. But the munitions and supplies were of poor quality and insufficient; worst of all, the departure of the expedition was so long delayed that it had no chance to reach the Channel and establish a base there, as had been originally planned, before the return of Essex from the Azores. Instead, the two fleets, in complete ignorance of each other's positions, sailed simultaneously, on converging courses, for the mouth of the English Channel, from Corunna and from the Azores; but before contact between them could be established, the inevitable northeaster blew up and dispersed the Armada, while the English found refuge in their own ports. The king was deeply cast down when he got the news, and the renewal of the exploits of the *corsarios Ingleses* in the Indies, particularly the capture of Porto Rico by the ubiquitous Earl of Cumberland in the summer of 1598, must have further enhanced the agonies of the last weeks of his life. Yet no one could possibly maintain that he had tamely surrendered or abandoned the fight without a struggle; moreover, the example that he had set was followed in the next reign, and England did not cease to be worried over 'invisible armadas' and Spanish invasions of Ireland until the peace of 1604. The great issue between Spain and England had indeed been settled in 1588, but neither side was aware of it at the time, and it was primarily owing to the Spaniards' heroic, if misguided, continuance of the struggle during the next sixteen years that the real facts of the situation remained so long concealed; not only from the two contestants, but also from the rest of Europe as well. The Spanish Empire of the early seventeenth century' was a ghost of its former self, but the world at large did not realize it until after the peace of the Pyrenees in 1659. The legend of its invincibility was kept alive, by a masterly game of bluff, long after it had ceased to be in any way formidable, and James I often groveled at the feet of the Spanish ambassador in London, the Count of Gondomar.

CHAPTER XXXVIII

ANTONIO PÉREZ AND THE LIBERTIES OF ARAGON

THE foregoing chapter will have made it clear that the defeat of the Spanish Armada in 1588 marks the turning point in the history of Spain's struggles with England and with the Netherlands. The dispersal of Philip's great fleet saved the former; it made it probable that the latter would ultimately go free. The crucial years of Spain's conflict with France were to come, as we shall subsequently see, somewhat later. Not till Henry IV renounced his Protestantism in 1593—perhaps not, indeed, until five years afterwards, when the Edict of Nantes was issued and the peace of Vervins signed—was it evident that Philip's projects in that quarter were also destined to fail. Between these two great sets of disasters in the field of foreign affairs, there occurred a most extraordinary contest on the soil of Spain itself, a contest which reveals perhaps more clearly than any other episode in Philip's whole reign the nature of the king's methods and viewpoint, and the measure of his impotence in coping with the new foes which were springing up all around him. The accumulated weight of long centuries of tradition enabled him, indeed, to emerge officially victorious from this contest at home; but the way that the story of it was circulated in foreign lands increased the bitterness of the hatred of his fellow sovereigns, and encouraged some of them to believe that they could safely give vent to it. The legend of Spain's invincibility, though it was not broken till the middle of the seventeenth century, received rude shocks during the two closing decades of the reign of the Prudent King.

We have had many occasions to remark that the process of Castilianization of the Spanish Empire, initiated really under the Catholic Kings and advancing rapidly under the Emperor, had reached its climax in the reign of Philip II. The fact that the Prudent King fixed his capital at Madrid in 1561 was the outward and visible symbol of it, and his refusal, during the twenty-six years after his return to Spain in 1559, to pay more than one visit to the realms of the crown of Aragon made a most painful impression on his subjects in these kingdoms. Their pride was wounded by the fact that he ignored them for so long, save for the viceroys and governors who represented him in his absence; in this respect, as in others, his reign stood out in marked and disagreeable contrast to that of his father, whose long and patient sojournings among his East Spanish subjects went far to atone, in their eyes, for his numerous absences from the peninsula. Their resolution to maintain all the forms and emblems of their ancient liberties and privileges—inanimate, almost, though they had now become—was vastly enhanced by the policy of the Prudent King. Material began rapidly to accumulate, from the very beginning of the reign, for the conflagration which finally burst forth in 1591.

Of all the defenders of the ancient autonomies of the eastern realms, the most ardent, and perhaps also the most unreasonable, were the nobles of the kingdom of Aragon. It will be remembered that they inherited from mediaeval times a position almost unique among the aristocracies of Europe; that they formed two of the four *brazos* into which the Aragonese Cortes were divided; and that they had given evidence on countless occasions of their uncompromising obstinacy and lack of cosmopolitanism. They were zealous indeed for the maintenance intact of all the national liberties of the kingdom of Aragon, but they were more

zealous still for the preservation of their own special privileges within that kingdom, and of their position as a caste within the body politic. Certainly some of these privileges were such as had no place in the civilization of Western Europe in the latter part of the sixteenth century. We may cite as an instance that which gave some of them the unquestioned right to strangle their vassals without hearing what they had to say in their own defense, a right of which Don Diego de Heredia, of whom we shall shortly hear much, was afterwards not ashamed to boast that he had twice availed himself. Small wonder if Philip ardently longed to break down such a position and such pretensions as these. Not only were the traditional rights of the Aragonese aristocracy a flagrant contradiction to the principles of the strong monarchy which he and his predecessors had established; they were also, from a purely humanitarian point of view, atrocious, and we must not forget that Philip was a humanitarian in his own way. Certainly this group, at least, of the Prudent King's subjects was far more mediaeval than he. But Philip was too much attached to Castile, and too busy with other affairs, to be able for a long time to take effective measures to remedy these things. Like almost every other problem with which he was confronted, he preferred to deal with it from afar off, by instructing his representatives in Aragon to support the attempts of the rural population to transfer themselves from seigniorial to royal jurisdiction, and by using his efforts in favor of marriages in Castile of the heads of the more prominent Aragonese families, in the hope of breaking down the barriers between the two kingdoms and of making his East Spanish subjects enter more sympathetically into his own point of view. A single instance will suffice to show what measure of success this policy attained.

The great and ancient county of Ribagorza, extending north from the neighborhood of Monzon to the Pyrenees near Bagneres-de-Luchon, and including seventeen towns and 200 villages, with some 4000 vassals, was held, at the accession of the Prudent King, by John of Aragon, Count of Ribagorza, who was descended, though illegitimately, from Alfonso of Aragon, the bastard brother of Ferdinand the Catholic. In 1564 he married Luisa, of the Castilian house of Pacheco, and went to live with her in Toledo; but shortly afterwards, on the ground that his wife was unfaithful to him, the Count caused her to be brutally murdered. It was the beginning of a terrible blood feud. The sister of the murdered Countess was the Countess of Chinchon, who spurred her husband on to vengeance. The Count of Ribagorza was forced to flee from Spain, was finally captured in Milan, brought back to Madrid, and publicly garroted, like a common criminal, in 1572. Needless to say, the news of these events was hailed with delight by the vassals of Ribagorza, who had suffered cruelly under the harsh rule of the Count, and now eagerly seized the opportunity to demand that they be attached to the royal domain. They were, of course, vigorously supported by Philip, who asked nothing better than an opportunity to increase his own power and lands in Aragon at the expense of the most unruly of his subjects. At one stage of the proceedings he is said to have tried, though vainly, to get the Inquisition to exhume traces of Jewish blood in the family of the Count of Ribagorza; if such had been found, he would have insisted that the territories in question must not be allowed to remain in the hands of any of its descendants, especially since Ribagorza lay so perilously close to the heretical viscounty of Bearn. But the Justicia decreed that the Ribagorza lands went lawfully to the Duke of Villahermosa, the brother of the executed count, and the Justicia's verdict was law; the Council of Aragon supported him, and so, much as Philip disliked it, did two of his own most trusted Castilian advisers, Idiaquez and de Moura. Legality, if not expediency, was clearly against the crown, and the Prudent King saw that he must submit. But the vassals of Ribagorza, having come so close to the attainment of their ends, were far less respectful than their monarch to the sentence of the Justicia. They rose in revolt, organized their forces, elected leaders, and virtually took the control of the county into their own hands. A situation, in fact, not remote from civil war had begun to exist, and the necessity of dealing with it, together with that of getting the recognition of the future Philip III

by the representatives of the eastern kingdoms, was the principal cause of the resolution of the king to summon and personally attend a meeting of the General Cortes at Monzón in the summer of 1585. The pleasure of accompanying his daughter Catharine, who had just married Charles Emanuel of Savoy, as far as Barcelona on her wedding journey, was an added inducement to the Prudent King to depart from his beloved Castile.

An account of that journey has been left us by Henry Cock of Gorcum in Holland, notary apostolic and archer of the royal guard; but as it concerns itself chiefly with brilliant ceremonies and descriptions of the places through which the court passed, it has little of interest for us here. Certainly most of the solid business that was transacted at Monzón passed wholly over Cock's head. Philip was forced, much against his will, formally to recognize the rights of the Duke of Villahermosa to the Ribagorza lands, but he made no efforts whatever to put down the revolutionists. Indeed, he tacitly encouraged their worst outrages, and gladly seized the opportunity to incorporate into the crown domain other territories, such as Teruel and Albarracin, where the seigniorial rights were less clear.<sup>3</sup> Needless to add, under these circumstances, the revolts in Ribagorza continued with unabated violence after Philip's return to Castile, and they were rendered more horrible still by risings of local Moriscos, who were massacred with relentless cruelty by the mountaineers. The decision taken at Monzón had not improved matters; it had really made them worse, and Philip, whose chief adviser for Aragonese affairs was now Villahermosa's mortal enemy, the Count of Chinchon, was convinced that he would have no peace until the Duke was induced to abandon his lands. Much plotting ensued, for the purpose of inducing the Duke's friends to desert him, and finally Villahermosa was obliged to give way. He unwillingly surrendered his territories in return for a few high-sounding titles and a generous money indemnity, and Ribagorza was at last incorporated into the royal domain. But others of his countrymen were even less content with this solution than he. The risings in Ribagorza were indeed soon suppressed, but Philip's policy had entailed too much going and coming of Castilians to suit the mass of the Aragonese. The municipal authorities of Saragossa, the Cortes, and, most of all, the Justicia were firmly convinced that the national fueros were in danger; and their anger reached its climax when, at the beginning of 1588, the king sent to Aragon the Marquis of Almenara, of the great Castilian house of Mendoza, to oust the existing viceroy and bring order out of chaos. This was certainly a practical, if not a technical violation of the law which provided that all the king's officers in Aragon should be Aragonese; and this was promptly brought home to Philip by the way in which Almenara was treated on his arrival. The court of the Justicia, always a stickler for the letter of the law, was inclined indeed to be favorable to his pretensions; but he was regarded with contempt by almost every one else. The aristocracy refused for the most part to accept his invitations, while the populace characterized as 'Soup Knights' those who did; before long he retired to Castile, to report to the king, leaving behind him what had started as a mere local disturbance in Ribagorza already half transformed into something like a national uprising. But Philip was not to be denied. Shortly after Almenara's return to Madrid, the Count of Sastago, who had filled the office of viceroy of Aragon for the past twelve years, was removed; his successor, Andres Ximeno, the bishop of Teruel, was the son of a plain citizen of Saragossa, and obviously only intended as a stop-gap; and when Almenara came back, in the spring of 1590, with increased emoluments and powers, it was evident to all men that it was the king's plan that he should have all the authority, and the viceregal title also, provided he could extract from the Justicia's court a definite verdict favorable to his pretensions. With all his irritation Philip was not yet quite prepared openly to defy the existing law; that was to be reserved till later.

With things thus balanced, as they were, on the point of a needle, a new and dramatic personality was brought upon the scene by the arrival in Saragossa of Antonio Perez, the dis-

graced minister of the Prudent King, escaped from his gloomy prison in the dungeons of Madrid.

Antonio Perez had led a most unhappy existence since his sudden arrest and fall from power, on the night of July 28, 1579. At first the king did not seem disposed to be severe. He gave no immediate orders to institute any process against his late minister, and sent the Cardinal Quiroga to assure Perez's wife, the devoted Juana Coello, who had stood loyally by him through all his divagations, that her husband had been only temporarily removed because of the hatred of Rodrigo Vazquez de Arce, the new royal favorite. Perez himself was visited by the royal confessor, and even permitted to see his children. When, in spite of these encouraging signs, he fell ill, he was allowed to remove to his own house; and after eight months' seclusion there, he was given leave to go out to mass, and to receive visitors. Shortly afterwards the king departed for Portugal, and Perez, taking advantage of his absence, began to lay plans for the recovery of his influence and position.

But Philip was only dissembling. He cherished a mortal grudge against Perez, and, as usual, was only waiting to feed it fat. The king had every reason to be cautious. Perez was in possession of important state secrets and numerous documents; above all, if he were driven to extremities, he would infallibly reveal damning evidence that the king had formally authorized the murder of Escovedo. But the reports that he played for high stakes with his friends in his house gave his enemies the opening which they desired; and when the facts were reported to Philip, he ordered Vázquez de Arce to start a secret inquiry into the honesty of Perez's career as a minister. His venality, needless to add, was only too easy to prove; it was found that, although his father had left him nothing, he had amassed an enormous fortune and lived in regal luxury. But the king, after his return from Portugal at the beginning of 1583, was still in no hurry to act; not until fresh rumors began to circulate in regard to the responsibility for the murder of Escovedo did Philip decide to strike again. On January 23, 1585, merely on the ground of his peculations, Perez was sentenced to two years or more of imprisonment in a fortress, according to the king's pleasure, to perpetual banishment from the court, and to the payment of an enormous fine. But when the royal alcaldes arrived to arrest him, they found him, to put it mildly, a troublesome prisoner. While they were ransacking his house for documents, he escaped to sanctuary in a neighboring church, and when the king's officers made bold to arrest him there, a quarrel was started between the secular and ecclesiastical authorities of Madrid which did not terminate till four years later. The documents, moreover, which were found in Perez's house were not at all what Philip wanted. Two more large boxes of them were indeed subsequently delivered up by Juana Coello, on receipt of a written order to do so from her husband, who feared for her safety if she refused; but even then, the wily Perez contrived to retain the most important of them all, and particularly a number of notes signed in the king's hand. He was destined at a later date to make good use of them for his own advantage.

After the papers had been delivered up, in the summer of 1585, orders were given for another brief intermission in the rigor of Perez's captivity, most probably for the purpose of putting him off his guard. He was brought back to Madrid, installed in a sort of semi-confinement in one of the best houses of the town, and permitted to receive visitors from the court. But the king, at this juncture, was absent in Aragon, and the implacable Vazquez, who accompanied him thither, seized the opportunity to interrogate a native of Saragossa, who had confessed himself implicated in the killing of Escovedo. The son of the murdered man was on hand in Madrid to feed the flames. A formal though secret investigation of the crime was decreed, and Perez was once more placed in strict confinement. For more than four years the

miserable affair dragged on. There were countless phases and ramifications of it, into which it is not worth while to enter. From first to last Perez took the line that he had nothing whatever to do with the crime, and in September, 1589, by a marvelously clever series of secret missives and insinuations, he persuaded young Escovedo to abandon his case and request that his enemy be set at liberty. But the rancorous Vázquez had by this time so poisoned Philip's ear against his former minister that the government pursued the matter on its own account more relentlessly than ever. Not only was the imprisonment of Perez continued and its rigor increased; he was actually placed in irons. Finally, as all other efforts to make him confess anything had failed, the king, on January 4, 1590, sent to tell him that he admitted having ordered him to murder Escovedo, but that, for his own satisfaction and that of his conscience, he must know whether or not the causes which had been given him for this action were adequate; he therefore ordered Perez to state these causes in detail and give proof. In this way he hoped to lure the latter into a confession of the crime, while he trusted that, having secured the incriminating evidence in the documents that he had obtained from his former minister, he could clear himself in the eyes of the world from all complicity therein. But Perez refused to fall into the trap. He persisted in denying all knowledge of, or participation in, the crime, until Vazquez and his minions, despairing of eliciting a voluntary confession, determined to extort it from him by force. On February 21, 1590, Perez was chained to the wall of his prison. The following day he was once more put to the question, and when he continued to remain obdurate, he was handed over to the executioner to be tortured. The rack was brought in, and after he had suffered eight turns of the rope, he finally confessed, in great detail, the part that he himself had played in the murder of Escovedo. When, however, he was told to explain the reasons that had moved him to persuade the king to order the crime to be committed, his replies were less satisfactory. The information, he protested, would be found in the documents which had been taken from him; the murder, too, had taken place twelve years ago, and most of those who could bear witness to the truth of what he said were no longer to be found. And so the proceedings were again left unfinished. The king had got only half of what he wanted; moreover, despite all the secrecy of the inquisitors, enough leaked out of Philip's complicity in the whole affair to evoke strong protests at the court. Men asked what manner of crime it could be, in which the king and his fallen minister had collaborated, but for which, nevertheless, one ordered the other to be put to the torture, and sympathy for Perez was aroused on every side.

But the end was close at hand, though not in the way which Philip had expected. Perez was keen enough to perceive that after the king had got everything out of him that he could, there was no reasonable doubt that he would be given over to the executioner, and he determined to make one final effort to save himself by flight. There was only one place where he could possibly find a safe retreat. Philip had been willing to violate a sanctuary in Castile in order to recapture him, but the soil of the kingdom of Aragon and the authority of its mighty Justicia offered Perez a far surer refuge. He was accurately informed of all that had recently occurred there. Five years earlier he had had a project of escaping thither, and the situation there now was far more favorable to him than it had been then. As ever, his devoted wife was at hand to aid and abet him. She was far advanced in pregnancy at the time—indeed, the record of her confinements and miscarriages through all this harrowing period is by no means the least remarkable feature of this extraordinary tale—, but she finally contrived to obtain access to him in his prison, on the plea that his sufferings on the rack made his death an immediate probability, and the two laid their heads together with excellent results. About nine o'clock on the evening of April 20, 1590, Perez passed through his guards and out of his prison, disguised in his wife's cloak. Just outside one of his friends awaited him; farther on was another with horses. On the way from the one to the other the local constabulary was encountered, but Perez played the sulky servant, and remained behind in discreet silence while

his companion engaged the officers in talk. But when he reached the horses he hesitated no longer. The pains of the torture did not prevent him from making thirty good Spanish leagues to the eastward without stopping, until he had crossed the frontiers of the kingdom of Aragon.

The battle between Philip and Antonio Perez had been fought thus far under most unequal conditions. In Castile the king held all the cards, and the wellnigh universal sympathy which the cause of the fallen minister had evoked bore eloquent testimony to that love of fair play which happily characterizes the mass of mankind. But the moment that Perez reached the kingdom of Aragon the whole situation changed. He found himself under the protection of a constitution which gloried in defending the rights of the individual against any and every sort of tyranny, and in the midst of a people whose sensitiveness to its own dignities and privileges had just been stirred to the depths by the events recounted in the early pages of this chapter; he could not possibly have arrived at a more propitious moment. No essential feature of the government of Aragon had been abolished, or even seriously modified by the Emperor or the Catholic Kings. They had simply followed the plan of concentrating their efforts on the increase of the royal power in Castile, and of leaving Aragon as far as possible alone, in the hope that what they deemed it imprudent to subvert by force might ultimately perish from inanition. Thus far, for more than a century past, this policy had, on the whole, worked well. But now the Aragonese were suddenly thrust forward into the limelight again. They became all at once a center of interest. Stirring memories were inevitably aroused. They had a cause to fight for once more, and proposed to show that they were capable of defending it. A battle royal was obviously imminent, and most of the rest of Spain proposed to sit by and enjoy it. Even Philip's favorite court fool ventured to twit him about the situation in open court.

Naturally the king could not endure the thought of being openly defied in his own dominions. At all costs Perez must be recaptured and brought back. Philip's first act was to vent his anger on the only victims who were for the moment within his reach. On Holy Thursday, the day after Perez's escape, his wife and children were seized and cast into the public prison in Madrid. Perez, on his part, had not yet reached the stage of bidding his former master defiance. On April 24, from his place of refuge in Calatayud, he had written the king a most humble and respectful letter, offering peace and abandonment of all attempts to rehabilitate himself, provided he were only permitted to withdraw, with his family, into obscurity; and he was deeply incensed when he learned what Philip had done. Meantime the king lost no time in the pursuit. Ten hours after the fugitive reached Calatayud, there arrived a royal order to seize him, dead or alive, before he passed the Ebro. But Perez had already taken his precautions. Though right of asylum had not availed him in Castile, he still had some faith in its efficacy in Aragon; and when the king's representatives arrived to arrest him, they found that he had sought refuge in a Dominican convent. Even this step, however, might only serve as a stop-gap, with Almenara and his officers rallying to the royal cause; the only permanent hope of safety lay in a manifestation and the protection of the Justicia of Aragon. Gil de Mesa, Perez's devoted friend, who had accompanied him on his flight from Madrid, was accordingly dispatched in hot haste to Saragossa to obtain it, and with the happiest results. Juan de Luna, one of the deputies of the realm, appeared at Calatayud with fifty arquebusiers, to claim for Perez the ancient privileges of Aragon, just at the moment that Philip's emissaries were about to seize him in the convent and deport him to Madrid. The people of the town rose for the defense of their liberties, and Perez was carried off in triumph to Saragossa, where the whole populace turned out to bid him welcome; he was lodged at once in the *cárcel de los manifestados*. Philip had been decisively beaten in the first round of the fight; but, legal minded as ever, he was not yet prepared to infringe the established constitution. He accordingly entered a formal plea against Perez before the Justicia's court, on the grounds of

his having compassed the murder of Escovedo on false pretenses, of his having altered dispatches and divulged state secrets, and, finally, of having escaped from prison.

The conflict now entered upon a prolonged judicial phase. Pérez had the good sense not to be rendered over-confident by the evidences of popular favor which greeted him on every hand. Once more he wrote to the king and to the royal confessor from his refuge in the *cárcel de los manifestados*, offering peace if Philip would only leave him alone, but hinting, in unmistakable terms, that if the king continued to persecute him, he had ample means of defending himself. But Philip would not listen; he was determined to fight to a finish. On July 1, 1590, he ordered Pérez to be condemned to the death of a common criminal by the tribunals of Madrid, and sent word to the Marquis of Almenara to push the case vigorously in Saragossa. Pérez was prompt with his reply. He now confessed before the Justicia that he had ordered the murder of Escovedo, but only at the king's own command; moreover he produced a number of documents which he had secreted about his person, many of them written by Philip himself, in proof of the truth of his assertions. The effect of these revelations was tremendous. The people of Saragossa took delight in them and circulated them broadcast. Philip and his worst methods were exposed to the gaze of Spain, and also to the rest of Western Europe, for the case had by this time begun to attract universal attention; it was a terrible blow to the king's prestige. Obviously nothing was to be gained by continuing the royal suit before the Justicia's court, and an attempt of the Marquis of Almenara to subject Pérez to an *encuesta*, on the ground that he had been unfaithful in his services as crown minister, was also speedily disposed of; the Justicia issued a *firma*, and the process was stayed. At several junctures during these trying months the king had plans of using force, as is proved by his orders to the Castilian grandees dwelling on the confines of Aragon to muster their vassals and be ready for war; but Philip could not bear actually to appeal to arms until the uttermost resources of his various jurisdictions had been exhausted. There still remained one tribunal in Spain against which the *fueros* of Aragon and the authority of its mighty Justicia were of no avail, the tribunal of the Supreme and Holy Inquisition; and into its clutches Philip now planned to deliver Pérez. The necessary preparations were made with devilish ingenuity. The king and his confessor, Almenara, and Chinchon collaborated to furnish evidence to show that Pérez, during his imprisonment and torture, had uttered words implying doubts of the existence of God, that he had planned to flee to the heretics of France and Bearn, where his knowledge of weighty affairs of state and important papers could have done much harm, and that the fact that he had succeeded in winning such devotion from the people gave reason to suppose that he possessed diabolical powers. The evidence was dispatched to the tribunal of the Suprema at Saragossa. Precautions were taken to make certain that the worst possible interpretation was placed upon it. The local inquisitors in haughty terms demanded of the Justicia's court that Pérez be given up; and the Justicia, Juan de Lanuza, who, though zealous for the maintenance of the authority of his office against all secular jurisdictions, belonged to a generally royalist family, and was in mortal terror of the church, soon decided, with the unanimous approval of his lieutenants, to do what was required of him. On the morning of May 24, 1591, Pérez was transferred from the *cárcel de los manifestados* to the secret prison of the Inquisition in the palace of the Aljaferia.

The people of Saragossa were less overawed than the Justicia by the authority of the Holy Office. The Inquisition had never been really popular in Aragon, where its essentially Castilian origin caused it to appear, in the present tense state of popular excitement, almost in the light of an importation from abroad; certainly it was far less national and less ancient than the *fueros* which all men were now sternly resolved to defend. Rapid though the Inquisition had planned that its prisoner's removal to the Aljaferia should be, Pérez had been able to notify his friends; and on the way he was met by a group of them, including some of the most

eminent men of the city. Efforts to make the Justicia interfere proving fruitless, the tocsin was sounded, and the populace called out; a vast crowd, nobles, clergy, and commons, shouting *Contra fuero! Viva la libertad!* rushed to the palace of the Marquis of Almenara, who was popularly held responsible for what had occurred. The Justicia, hooted in the streets as he passed, had already taken refuge there; realizing the seriousness of the crisis, he begged the Marquis to flee. But the scion of the Mendozas knew no fear. He had, moreover, a Castilian's contempt for a rabble horde, and was confident that he could assert his own authority. His sole reply was to send an order to the inquisitors forbidding them to deliver up Perez. Meantime the rioters had broken down his door; and the Justicia, as a last resort, mounted to the balcony above, and called out to ask them whether, if he would consent to take the Marquis and his adherents to prison, they would engage, on their word as gentlemen and cavaliers, to do no violence to him on the way. The promise was given by the leaders of the crowd below, but the rage of the mass of the rioters had by this time mounted so high that it proved impossible to make them keep it. When the Justicia and the Marquis issued from the palace, they were suffered indeed to proceed a few paces in safety, but soon the Justicia, jostled by the crowd, fell and was trampled under foot; and when he was lost to view, the mob became uncontrollable. In front of the ancient Iglesia de la Seu, the rioters hurled themselves on Almenara, beat and stabbed him; they would have killed him on the spot, had not a few of the nobles interfered; his servants, too, were treated as cruelly as he. Obviously there was no chance of getting him, alive, as far as the *cárcel de los manifestados*. He was therefore deposited, bruised and bleeding, in the old town prison, which was on the way, and there two weeks later he died. In the meantime another band of rioters had rushed to the prison of the Inquisition at the Aljaferia. They demanded that the inquisitors deliver Perez into their hands, and threatened, in case of refusal, to fire the building, in order that the inquisitors might themselves experience the same suffering to which they sentenced others. For a time the inquisitors hesitated; but at last the bishop of Teruel, who was still officially viceroy, the *zalmedina* of Saragossa, and two representatives of the archbishop succeeded in persuading them that only by yielding could they hope to end the revolt. And so, finally, about five o'clock in the afternoon of the day that the insurrection had broken out, the Holy Office surrendered its prisoner, with the stipulation that he should be guarded with special care, and treated in all respects as if he were still in its clutches. Perez was driven back to the *cárcel de los manifestados* in a coach. His progress thither was like a triumphal procession, and as he disappeared behind the walls of the *cárcel*, he was adjured to show himself thrice a day at the window, in order that all men might know that their fueros were not infringed. In one day he had made himself the emblem of the maintenance of the liberties of Aragon.

Philip was in bed, at nine o'clock in the morning, when he was told by the Count of Chinchon of the death of Almenara. Stroking his beard thrice, he rose, dressed himself, and issued orders which resulted, in a few weeks, in the concentration of large forces of infantry and cavalry at the town of Agreda on the confines of Aragon. Evidently it was his first idea that the rebellion must be put down by force. But, as ever, he was slow to take vigorous action; and while his troops were assembling, a number of considerations began to present themselves, all of which strengthened his unwillingness to appeal to arms. If he invaded Aragon in force, he would be virtually proclaiming to the world that Spain was in a state of civil war, and this, in the existing condition of his foreign relations, he was extremely reluctant to do. The English were harrying his Atlantic coasts. The war in the Low Countries was not going well. He was already deeply involved with the League in France. Antonio of Crato threatened to make trouble on the Portuguese frontier, and Catalonia, to put it mildly, was restive. Better, far better, dispose of this Aragonese trouble quietly, if possible, than reveal his internal weakness to his numerous foreign foes. As usual, he made every effort to demonstrate that legality was on his side. He got most of the cities of Aragon, with the exception of

Saragossa, to pronounce against the revolt. Some, even, of the more conservative of the Saragossans obviously felt that the easiest way out of the situation would be to return Pérez to the custody of the Holy Office, and the officials of the Inquisition aided these sentiments by publishing the bull *Motu proprio* of Pius V against all those who hindered its activities. Even the *Diputación Permanente del Reyno*, after consulting with the most learned men in the kingdom, was persuaded to fall into line, with a somewhat equivocal resolve that, though the inquisitors had no authority to annul the right of *manifestación*, they might lawfully suspend it, and that if they again demanded the custody of their prisoner in such language as respected this distinction, the Justicia would have no excuse for refusing to deliver him up.

But all these favorable factors were more than counterbalanced by the extraordinary activity of Perez and his friends. There were plenty of old-fashioned radicals still left in Saragossa, and he speedily succeeded in binding every one of them to his cause. The events of May 24 had proved to him, if he still needed proof, that he had a real genius for moving the masses. He produced inflammatory pamphlets by the score, and they were smuggled out of his prison and circulated among the crowd. His pretensions, moreover, had by this time become stronger than they had been at the time of his arrival in Aragon; he now spoke openly of forcing Philip to restore the 200,000 ducats he had unlawfully taken from him. There is even reason to believe that he was already in correspondence with Henry IV of France. His physical activity, too, fully matched his intellectual. In three nights he sawed through the grating of his window in the *cárcel de los manifestados*, and had it not been for the treachery of one of his friends, he would certainly have escaped. The news of this attempt made the king more desirous than ever to finish matters up; and despite the advice of his counsellors in Madrid, who did not believe the thing could be done without the aid of Castilian troops, he sent word to his officials and to the inquisitors in Saragossa that Perez was to be brought back to the prison of the Holy Office. Orders were dispatched that the transfer was to be effected on September 24; but just two days before, Philip's projects suffered a heavy blow in the death of Juan de Lanuza, the Aragonese Justicia, whose complaisance and moderation during the past five months had been infinitely helpful to the royal cause. His son, also named Juan, who succeeded him at the age of twenty-seven, was far less respectful to the authority of the crown, and wholly lacking in experience. He complied, indeed, with the legal formalities which were necessary before his prisoner could be given up—in loyalty to the memory of his father he could scarcely do less; but when September 24 came, he found himself powerless to see to it that the king's orders were carried out. Perez and his friends had been too active; among the crowd which assembled at the time of the transfer, they were fully as numerous and far more desperate than the supporters of the constituted authorities. Another scene of violence ensued, more terrible in some respects than that of four months before. After a brief resistance, the royal guards took flight, and Pérez, delivered from the *cárcel de los manifestados*, was borne off in triumph to the house of his best friend, Diego de Heredia. There he mounted a horse and fled north toward the mountains, at first with the intention of escaping to France. On hearing, however, that the royal troops were pursuing him, he lay hidden for a few days; and then, daredevil that he was, returned in disguise on October 2 to Saragossa, where his faithful adherents took care that he was kept well concealed. He now had plans of heading a real revolution against Philip, and he was loyal, for the time being at least, to those who had helped him in distress, and who now desperately needed his leadership to save them from the vengeance of the king.

But Philip still dissembled. The news of the insurrection of September 24 doubtless convinced him that force would ultimately have to be used. His advisers at Madrid were convinced of it, and their views were confirmed by the letters that poured in from Saragossa, where the rebels, led by Diego de Heredia, had made themselves masters of everything. The

royal forces at Agreda had by this time increased to upwards of 12,000 men, most of them raw levies, but with a nucleus of 800 veterans. The command of them was now given to an Estremaduran officer, Alonso de Vargas, who had served in the Netherlands; he was doubtless selected principally because he came from the West of Spain, and could therefore have no real sympathy or affiliation with the Aragonese. But it was not till the very end of October that Vargas was permitted to cross the frontier. Most of the intervening weeks were occupied with correspondence between the king and the rebel leaders. Both sides were anxious, if possible, to avoid bloodshed, but each was determined to assert what it regarded as its inalienable rights. The rebels, who had always maintained in their most violent moments that they were acting solely in defense of the ancient liberties of the realm, stoutly asserted that the entrance of a Castilian army on Aragonese soil would constitute a breach of their *fueros*. They persuaded the Diputación del Reyno, and also the youthful Justicia, to give them formal support in this contention; they notified the king of the position they had taken and of their intention to organize armed resistance if he ignored it; they even sent word to Vargas to inform him that he was condemned to a traitor's death in case he should invade the realm. The king, on the other hand, took the line that, *fuego* or *no fuego*, order must be restored and the royal authority maintained. He was encouraged by the fact that most of the Aragonese cities, except Saragossa, had declared themselves favorable to his cause, and he was vastly relieved by the news that the rebels had been unsuccessful in their efforts to get help from the sister county of Catalonia and the kingdom of Valencia. The Valencians refused to have anything to do with them at all. The Diputación of Catalonia and the councilors of Barcelona were more sympathetic, and wrote to Philip to beg him not to invade Aragon; on the other hand, they were quite unwilling to lend armed support to the revolt, and it was chiefly because of their refusal actively to participate therein, that their own lands were later left untouched by the royal vengeance. Meantime in Saragossa the rebel forces became divided among themselves. Diego de Heredia was too much of a firebrand to suit the views of those whose chief aim was to preserve intact the letter of the ancient laws. He circulated a rumor that the Justicia and the chief nobles who had stood by him had sold themselves to the crown. A riot ensued, in which the youthful Juan de Lanuza was violently handled, while the Duke of Villahermosa and the Count of Aranda took refuge in flight. On the following day calmer counsels prevailed. The Justicia was persuaded once more to accept the official responsibility of defending the liberties of the realm. On November 8 he issued forth from the town, with all pomp and ceremony, holding aloft the banner of San Jorge at the head of a company of some 400 men, to stop the army of Vargas, now advancing to the bridge of Alagón. But this demonstration was no better than a solemn farce. Vargas and his forces had been generally well received in the cities of the realm through which they had already passed, and their power was plainly irresistible. After a futile attempt to negotiate with him, in the hope of inducing him not to enter Saragossa, the Justicia and his adherents fled north to Epila. On receiving this news, the rebels in Saragossa dispersed. Perez, who, whether because he did not venture to issue from his hiding place, or because he had temporarily lost his genius for moving men, had failed to accomplish anything since his return, took flight for the last time on November 11, and found safety in Bearn. On the following day Vargas and his army made their entrance unresisted into the ancient capital of Aragon.

Although the rebellion now seemed utterly crushed, the slow-moving king determined to make assurance doubly sure before he enjoyed his vengeance. Well informed, as always, on the situation as it developed day by day, he learned that the Justicia, Villahermosa, and Aranda were now planning to create a new center of resistance at Epila, that they had high hopes of aid from the Catalonians, incensed by the presence of a Castilian army on the soil of Aragon, and that Perez was striving for intervention from France; if possible, these new perils must be averted without the use of force. Vargas played his part to perfection. Whether owing to the

royal commands, or to his own admiration of the sturdy patriotism of the Aragonese, he showed the utmost courtesy and kindness in his dealings with everyone with whom he came in contact. In a few weeks he so succeeded in convincing all men that Philip's sole desire was a peaceful solution of the existing difficulties, that the 'Junta of Epila' broke up, and the Justicia and his adherents returned to Saragossa.<sup>2</sup> Prolonged correspondence with the king ensued, in which Vargas and his advisers unanimously advocated lenient treatment; but the majority of the royal counsellors in Madrid took the other view, and insisted that an example be made of the rebel chiefs. For some time Philip hesitated; but the Castilian element in him was too predominant for the issue to remain long in doubt. He had everything now under his hand; at last it was safe to strike. On the morning of December 12 a secret messenger was dispatched with a note to Vargas, who, when he opened it, is said to have burst into tears. "On the receipt of this paper," so it ran, "you will seize the person of Juan de Lanuza, Justicia of Aragon, and—let me hear of his death at the same moment that I learn of his arrest. You will have his head cut off." The order was obeyed. The youthful Justicia was seized, cast into prison, and given one night to prepare for death. His protest, perfectly valid under the fueros, that he could not legally be condemned "save by the full Cortes, the king, and the kingdom", availed him nothing; and at ten o'clock on the following morning, December 20, the last of the independent *Justicias* of the kingdom of Aragon was beheaded in the public market-place of Saragossa. The soldiers of Vargas, under arms, were the sole witnesses of the scene. The Saragossans were a prey to terror and dismay, and dared not issue from their houses.

Obviously there were other victims to follow. The ancient fueros were for the moment in abeyance; men wondered where the next blow would fall. On January 17, 1592, Philip issued a general amnesty and pardon; but twenty-two persons were specifically excepted therefrom, in addition to those actually in prison at the time, a category which included the Duke of Villahermosa and the Count of Aranda, who had been carried off to Castile. The king, moreover, was at great pains to state that the Holy Office had full liberty to demand satisfaction for the indignities to which it had been subjected. Before effect could be given to these orders, the attention of all parties concerned was diverted once more by the necessity of repelling an invasion from the north which Perez and his friends had organized in Bearn. This, in itself, was a very trifling affair. The invaders, a mere handful, got no farther than Sallen and Biescas, and Vargas and his forces soon drove them back across the mountains. Perhaps the most notable thing about the whole matter was the success with which the government used the war cry of 'Navarrese heresy' to stimulate the ardor of the Spaniards against the new danger, and the loyalty with which the mass of the Aragonese supported Vargas. Much though they feared the loss of their fueros, they dreaded still more the prospect of invasion from a foreign country under the rule of a Protestant king; and Pérez, who, while he had dwelt among them, had been a popular idol, was now branded as a traitor to his native land. These events occupied the greater part of February, and Philip was highly gratified at the evidences of returning loyalty which had been afforded him; the original leaders of the insurrection, however, he could not bring himself to forgive. The spring, summer, and autumn of 1592 witnessed a tragic series of executions and torturings, the chief object of the latter being to obtain evidence from the leaders of the revolt in Aragon which would enable Philip to proceed legally against Villahermosa and Aranda in Castile. Both of these noblemen, however, died mysteriously in prison, Aranda probably on August 4, and Villahermosa on November 6, "before it was even known that he was ill"; but the process against them continued into the reign of Philip III, and ended, significantly, with an acquittal. The final vengeance of the Inquisition took the form of an unusually imposing auto-da-fe at Saragossa, on October 20, in which six of the condemned were burnt alive, and over seventy others sentenced to different forms of lesser punishments. Since Perez, the arch-fiend in the eyes of the Holy Office, was now beyond its reach, there was nothing left save to burn him in effigy, in *coroza* and

*sanbenito*, with all possible attendant maledictions and vilifications, which was done. His children and his descendants in the male line were declared incapable forever of holding secular or ecclesiastical office, and were forbidden “to wear gold, silver, pearls, precious stones, coral, silk, camlet, or fine cloth, TO ride on horseback, or carry arms, or do anything else that is forbidden by the laws of the realm and the regulations of the Holy Office to those under similar disabilities.”

The insurrection was thus put down, its ringleaders punished, and peace restored; there now remained the further problem of how to prevent its recurrence. That the situation demanded radical modifications of the existing *fueros* was clear. However admirable the spirit of independence in which they had been originally conceived, they certainly had no place in an absolute monarchy such as Philip and his predecessors had set up. The real question at issue was whether it was safe to stop at that, or whether advantage should not be taken of the excuse which the rebellion had offered to abolish entirely the constitution of the kingdom of Aragon, as was ultimately to be done by Philip V in 1707.

That the Prudent King finally decided not to go so far as this has often provoked surprise. Certainly he had all the power in his hands, and the pretext which his great-grandmother, Isabella the Catholic, had so often desired for 'conquering Aragon had been amply afforded him. But there were a number of considerations which inclined him to the more lenient course. In the first place, he had always declared that he proposed to respect the *fueros*, and he did not wish to go back on his word. In the second, he desired to show his gratitude for the loyalty with which the mass of the Aragonese had rallied to his side, when it was a question of repelling the invasion from Bearn. Thirdly, the situation in Catalonia and Valencia doubtless counted for much. The former had perhaps sympathized with, but nevertheless had abstained from any active interference in the rebellion in Aragon, while the latter had held wholly aloof. The king, therefore, had no possible excuse for proceeding against them, and unless their separate governments were abolished, as well as that of Aragon, he could not have a constitutionally united Spain; if their autonomy, in other words, not to speak of that of Portugal on the other side of the peninsula, must necessarily continue, there was not much point in putting an end to the *fueros* of Aragon. And, lastly, there was Philip's innate reluctance to violate the traditions of his native land. Even more than his father before him, he was reverent of the past. Separatism, of wellnigh every sort, was, as we have repeatedly pointed out, the most ancient and dominant inheritance of Spain; being Spanish, it must necessarily, in Philip's eyes, be right. Aragon must be brought into line with Castile, but her autonomy was to remain intact.

Negotiations were therefore begun between the victorious king and the representatives of his rebel realm. Of these the foremost was Martin Bautista de Lanuza, a kinsman and lieutenant of the executed Justicia, who, however, was a royalist at heart, and had carefully refrained from any active participation in the recent insurrection. In pursuance of the policy which the king had decided to adopt, the Cortes of the kingdom of Aragon were summoned to Tarazona, in order that the changes which were contemplated should have the sanction of the representatives of the realm; but the agenda for their deliberations were drawn up by a junta specially constituted for the purpose and carefully instructed by the crown. Of this junta the most important members were Andres de Cabrera y Bobadilla, archbishop of Saragossa, who was then at the court, and the Count of Chinchón. Moreover, the first of these two was delegated by Philip formally to open the assembly in his name, for the king cherished bitter memories of his experiences at Monzón in 1585, and did not propose to appear until all the real business of the session had been done. The meeting, originally fixed for May 9, 1592, did not finally take place until June 15. The *brazos*, needless to add, made difficulties over the royal absence, which they regarded as derogatory to their dignity. There was also much

trouble over getting them to accept the king's demand for the abolition of the ancient fuero requiring absolute unanimity of the votes of each estate, and the substitution of majority rule; but authority, if not tradition, was now on the royal side, and in the end they submitted. In the course of these events, the archbishop of Saragossa died, and Philip appointed Doctor Juan Campi, the regent of the Council of Aragon, to take his place as the royal representative; but the news that daily poured in from Tarazona made it increasingly evident that everything was ultimately bound to turn out in accordance with the royal desires, and in mid-November the king, with Prince Philip, arrived in Aragon. They were welcomed at least with outward cordiality. There was a solemn *solio* of the four *brazos* in the archiepiscopal palace at Tarazona on December 2, at which the Prince of Asturias swore to observe the *fueros* of the realm. The king solemnly sanctioned all the changes in the constitution which the Cortes had made, and formally declared the session closed. On the following day he granted a general amnesty to all who were still prisoners in the realm. On December 5 he left Tarazona. On the 30th he was back at Madrid.

The constitutional changes which had been voted by the Cortes of Tarazona were simple but effective. Dictated, as they virtually had been, at the fiat of the monarchy, they put an end to the real independence, if not to the formal autonomy of the kingdom of Aragon. Nothing was absolutely suppressed, but all power to resist the crown was removed. The king was given the right, at least until the next meeting of the Cortes, to nominate a foreign viceroy; Aragon alone, of all his different realms, had hitherto succeeded in reserving this office for natives, and she was now brought into alignment with Valencia, Granada, and Navarre. In the Cortes, the abolition of the fuero requiring unanimity was the most important change; the right to vote also, though not to attend, was taken away from those members of the two *brazos* of the aristocracy who had not attained the age of twenty years; the *Diputación Permanente*, too, was deprived of a large measure of its control over the use of the national funds and over the national guard, and of its right to call together the representatives of the cities of the kingdom. The Justicia became for the first time removable at the pleasure of the king, and the chief guarantee of his independence was thus annulled. The nomination of his five lieutenants and of the seventeen legists who advised him was also rearranged in such fashion as to put their selection much more largely in the royal hands; indeed, it was the changes in matters judicial that were the most important of all. A number of other constitutional anachronisms were either radically modified or else done away with. Notable among these was the so-called *fuero* of the *via privilegiada*, which enabled a prisoner to regain his liberty, temporarily at least, in case of any illegality in the form of his arrest; its operation was now greatly restricted by the enumeration of some thirty important crimes for which it was no longer to be valid. All in all, it is impossible to deny that most of these modifications, save perhaps that which provided that the Justicia should cease to be irremovable, brought real improvement; for conditions had so altered since the ancient Aragonese constitution had first come into being, that it was no longer possible that it should be practically enforced. The tragedy lay in the fact that the changes had not been evolved as a result of the constitutional development of the kingdom itself, but imposed, instead, at the command of a monarch who was so incorrigibly Castilian in his viewpoint that the Aragonese really regarded him almost in the light of a foreigner.

A few minor difficulties still remained to be settled after the dissolution of the Cortes of Tarazona. Of these the most important was the withdrawal of the Castilian army, which the Cortes had demanded, and the whole realm ardently desired. It was not effected, however, until December, 1593, and Philip insisted, as a price of it, on the construction of a fort and the establishment of a royal garrison in the Aljaferia, on the plea that the safety of the Inquisition must be insured. He followed this up, in the next two months, with a general disarmament of all the Moriscos in the realm. Their outbreaks during the preceding troublous years furnished a

pretext for it. The measure was in fact a fore<sup>1</sup> shadowing of the edict of general expulsion which was to be put forth for all Spain in 1609. All in all, there was no question that the king had issued victorious from his struggle with the rebel *Aragoneses*; in Spain, at least, he had triumphantly asserted the absolute supremacy of the crown. On the other hand, his most powerful enemy had escaped, and was already revealing the most closely guarded secrets of his ancient master at the courts of France and England, and moving heaven and earth to induce them to combine against him. The ‘troubles of Aragon’ were not merely a *cosa de España*. They also had an international significance; and in order to appreciate what that significance was, we must follow the fortunes of Antonio Perez after his flight across the Pyrenees to Bearn.

The fugitive burned for revenge on the Prudent King; as long as Philip occupied the throne, his life’s ambition was to humiliate him. His resentment, moreover, was greatly enhanced by the king’s attempts against him after his escape. He was relentlessly pursued until he got across the frontier. When he was in Bearn Philip sought to lure him back to Spain on false pretenses, and finally, when all else had failed, did his utmost to get him assassinated. Three Spaniards tried to kill him while he was still in Navarre, without success. A beautiful harlot, bribed to make the same attempt, was so fascinated by her intended victim that she ended by offering him protection and support. Two Irishmen, at the behest of Philip’s representative in the Netherlands, sought to compass his death when he was in England in 1594, but were promptly seized and executed; and the same was the fate of the Baron de Pinilla, who was paid 600 ducats to shoot him in Paris in 1595. Small wonder if Perez vowed vengeance on the author of these dastardly attempts, and there was no question where he could most effectively seek it. Henry IV did not officially declare war on Spain till January 17, 1595; but Philip since 1584 had been ardently supporting the League, which continued to hold Paris till 1594, and thus prevented the union of France under Henry’s scepter. The French king could make excellent use of a man with the genius and disposition of Perez. Henry therefore wrote to his sister Catharine at Bearn, who had befriended the fugitive minister ever since he had crossed the Pyrenees, to send him northward at once; and the two men met at Tours in the spring of 1593.

One of Henry’s chief desires at this time was to gain for himself the alliance of the cautious Elizabeth of England for the defense of his northeastern frontier in the war against Philip, which, though not yet declared, he foresaw was inevitable; and he promptly dispatched Perez to London, with a letter to the queen, to obtain it. But there were grave difficulties in the way. Lord Burleigh and the majority of the Council were averse to participating in a Continental war. Elizabeth, as ever, was loath to spend money, and Perez was driven to seek the support of the Earl of Essex, who led the small group which advocated a bolder policy. While in London Perez really lived at his expense, and on the proceeds of a beggarly pension which Essex obtained for him from the queen; through Essex he became friendly with Francis Bacon, much to the alarm of the latter’s Puritanical mother, who could not endure to see her son in the company of such “a proud, profane, costly fellow.” But to attain the real object of his mission, and induce England to join France against Spain, proved for the time being to be quite impossible. All of political consequence that Perez achieved during his first visit there was to inform the English government of the state of Spain and to circulate exaggerated stories of the infamies of her king; it was at London in the summer of 1594 that he first published his famous *Relaciones*, under the significant pseudonym of Raphael Peregrino.

A year later he was back in France, where war, in the meantime, had been declared on Spain, and operations had begun on the northeastern frontier. Henry was now more than ever

in need of English aid, and after begging for it in vain during the autumn and winter, he sent Perez back to London once more on a last desperate effort to secure it in the spring of 1596. At the time of his arrival the Spaniards were besieging Calais, and shortly afterwards (April 25) captured it. Elizabeth consequently changed her tactics, and began once more to flirt with the French alliance which she had hitherto opposed; all this was of course highly favorable to the success of Perez's mission. But, unfortunately, when he reached London he found that the Earl of Essex, on whose ardent support he had counted, had gone off to Plymouth to prepare for the great expedition against Cadiz. Nothing would induce the queen's favorite to return and run the risk of seeing the dramatic stroke on which he had staked his reputation diverted to a tamer purpose in the English Channel, and Perez recognized with bitterness that he had become merely the sport of factions and the plaything of political chance. He bore no real part in the settlement of the Anglo-French treaty, which was signed on May 24 and ratified later in the year; and when he at last returned to France, he was disheartened and worn out. He was encouraged once more in January, 1597, by being taken over, on generous terms, into the service of the French monarch; for Henry recognized his great abilities, and as long as the war with Spain should last, he was confident that he could make good use of him. For some months thereafter Perez devoted his best energies to maintaining intact the Anglo-French alliance, but this ultimately proved to be a task beyond his powers. Henry's recapture of Amiens, on September 24, convinced Philip that he could no longer profitably continue the war, and he soon afterwards offered the French king terms which induced him to abandon the ally who had so often disappointed him in the past, and sign a separate treaty with Spain at Vervins on May 2, 1598. When Perez saw that the peace which he had labored to prevent was inevitable, he made a strong effort to get himself included in it, with provision for the liberation of his wife and children and the restoration of his property; but he hopelessly failed. The new turn of events made him a liability, not an asset, at the court of Henry IV. Moreover, his numerous reverses and rebuffs in the course of the past six years had converted into bitterness and insolence that rare personal charm which had hitherto been his most powerful asset; everyone now turned him the cold shoulder. The sole consolation brought him by the year 1598 was the news of the death of Philip, on September 13.

Perez survived his ancient master for more than thirteen years, but the last part of his life saw no betterment of his fortunes. He was encouraged, indeed, in 1599, by the events that signaled the initiation of the rule of Philip III: the rumor that Philip II had advised his son to get reconciled to his exiled minister, the fall from grace of his 'arch executioner', Vazquez de Arce, the liberation of Juana Coello and her children, and the general atmosphere of hedonism and forgiveness which characterized the reign of the 'Picture King'; more than ever did he hope to be allowed to return to Spain. With the idea of gaining favor with the new monarch, he tried to make himself useful in connection with the Anglo-Spanish peace negotiations of 1604, and so confident was he of success that he rashly resigned his pension at the French court; the attempt, however, was a miserable fiasco, for the French government had warned the British that Perez's aims were purely selfish, and James I was furious when he learned that he had been even permitted to land in his realm. Returned to France, Perez was hard put to it to find a living. He was obliged to move from one lodging to another, each meaner than the one before. His petitions to the different Spanish ambassadors at Paris to intercede for him at Madrid were fruitless. A final hope that he could make capital for himself out of the negotiations for a double marriage between the courts of France and Spain in the spring of 1611 was cruelly disappointed, and on November 3 of that year he died, and was buried in the church of the Celestines at Paris.

In Spain Juana Coello continued to labor for the repeal of the harsh sentence which the Inquisition had pronounced against Perez and his descendants in 1592, and in June, 1615, she

was finally successful. It would appear to have been stipulated, however, that this act of leniency should be kept secret, or, at least, unheralded; for when Gonzalo, one of the sons of Perez, ventured to make it public too ostentatiously, he was promptly cast into prison, and his mother, on learning of his arrest, died of grief shortly afterwards in the arms of her daughter Luisa. The rancors that had been engendered by her husband's extraordinary career were not to be allayed in his own generation.

CHAPTER XXXIX

SPAIN, FRANCE, AND THE NETHERLANDS, 1584-98

THE dramatic interest of the story of the defeat of the Spanish Armada and of the subsequent naval struggle with England must not make us forget that the period during which they took place was also of critical importance in the history of Spain's relations with France. To the history of those relations we now return, and therewith, at the same time, to the history of the revolt in the Netherlands, which, as the years rolled on, became more and more closely involved with them.

Down to the death of the Duke of Anjou in June, 1584, the policy of the Prudent King with regard to France can fairly be summarized as one of 'watchful waiting'. The last Valois were so fully occupied at home in their struggle to maintain themselves against the Guises on the one hand, and the Huguenots on the other, that it was out of the question for them to wage a foreign war in any such fashion as their predecessors had done in the Emperor's day. The most they could do, when they wished to make trouble for Philip, was to lend aid, directly or indirectly, to the rebels in the Low Countries. Philip, in turn, sought to parry these thrusts, and also to make additional capital for himself out of the situation in France, by secretly intriguing, through his ambassadors and other agents, with the various malcontents there. He might have minor grievances, indeed; but, in general, the status quo—a France internally disrupted but still officially Catholic—was highly satisfactory to him. Certainly he had nothing to gain by provoking war, and if any of his representatives at the Valois court threatened to become too belligerent, he promptly recalled him.

The continuance of this policy became impossible after 1584. The death of Anjou was the first of a series of events destined to force Philip into vigorous intervention in France. The Duke was the last, save one, of the sons of Henry II and Catharine de' Medici; his death meant that when his elder brother, the childless reigning sovereign, Henry III, should follow him to the grave, the legal heir of the crown of France would be the Protestant Henry of Bourbon, who in 1572 had inherited from his mother, Jeanne d'Albret, the French fragment of the kingdom of Navarre. The succession of a heretic, and of a heretic with a tradition of bitter hostility to Spain, was now an imminent peril. Something must be done, and done at once, to avert such a catastrophe; and the obvious move under the circumstances was for Philip to draw near to the ultra-Catholic party in France, then headed by the three sons of Francois de Lorraine, second Duke of Guise. Hitherto the Spanish monarch had been generally opposed to the projects of this family, whose interests, though intensely Catholic, were politically opposed to his own, but now there seemed no alternative to an alliance with them. Henry III had been officially recognized as their sovereign by the rebels in the Low Countries in the previous April, and since his brother's death in June, he had been suspiciously friendly to Henry of Navarre; clearly, for the moment, there was nothing to be expected from him. So, on December 31, 1584, Philip's ambassador in France, Juan Bautista de Tassis, and the representatives of the Guises signed a treaty at Joinville which reanimated the League of 1576 by bringing it the support of the monarchy of Spain. The high contracting parties made a perpetual offensive and defensive alliance for the preservation of the Roman Catholic faith, for the extirpation of heresy in France and in the Low Countries, and for the exclusion of the Bourbons from the French throne. Philip was not yet ready to send his tercios to the aid of his

new allies, but he promised subsidies to the amount of 50,000 crowns a month, and even agreed to pay in six months the total amount that he had pledged for the year. He was not wont to make such promises as this. The crisis must indeed have seemed to him grave.

The year 1585 saw several fresh developments of the situation. In April the masterful Bernardino de Mendoza, who had recently been ordered out of England, supplanted Tassis as Spanish ambassador to France. He immediately established contact with Henri, third Duke of Guise, and soon became, in fact, rather Philip's representative with the League than at the Valois court to which he was officially accredited. At the same time it is evident that his confident and imperious bearing made a profound impression on the vacillating Henry III. The French king desired above all things to detach the king of Spain from the League, and even offered Philip his alliance against England as the price of it; but Mendoza was not to be tempted by any such proposal as this, and the final result was that in midsummer Henry weakly capitulated to the Guises. By the treaty of Nemours (July 7) he came to agreement with the forces of the League on terms which made it perfectly clear that the Guises and not the Valois were the master. A furious edict against heresy was put forth, giving the Huguenots the naked alternative of confession or exile. All sorts of powers and favors were accorded to the Guises; the monarchy virtually placed itself under their tutelage in the conduct of the now inevitable war against the followers of Henry of Navarre. But since the Guises, in turn, took their orders from Mendoza, the treaty of Nemours meant not merely the extirpation of French Protestants; it signified that France's political destinies were being delivered over to the king of Spain, and that Spanish preponderance, already intolerable, was to be still further enhanced in a new and unexpected direction. But this the new pontiff, Sixtus V, who had been elected in the preceding April, was resolved, if possible, to prevent. The saving of French Catholicism was in his eyes of paramount importance, but he wished it accomplished without the interference of the Spanish king; for the maintenance of a powerful united monarchy in France was essential to the preservation from Spanish dictation of the independence of the Holy See. Accordingly, when the Guises asked for his alliance, he refused to commit himself. Against heresy, indeed, he took a definite stand by launching a bull of excommunication against Henry of Navarre, but he was unwilling to make common cause with the dominant faction in France for fear of indirectly increasing the power of Spain. He wished the Valois to fight their own battles against Protestantism and civil war and reap the full rewards of victory. Philip knew from that moment that the political jealousy and distrust of the Holy See, of which he had so often complained in preceding years, were certain to be continued, if not intensified, as long as Sixtus remained Pope.

During the next three years Philip's attention was centered on the expedition against England, so that the story of his relations to France falls somewhat into the background; but Bernardino de Mendoza was incessantly active there in his efforts to promote the interests of his master. The course of the 'War of the Three Henrys' that broke out in 1585 proved that the king of Navarre was a formidable opponent in the field, and the inconstancy of the Valois monarch caused the scene to shift almost every day; but in general it may be said that the efforts of the Spanish ambassador were directed rather towards the preservation and extension of Spain's political influence in France than to the extirpation of heresy there. Henry III, as was to be expected, at once became terribly restless under the control of the Guises. Before the end of the year 1586 there was talk of his seeking reconciliation with the king of Navarre. This Philip, of course, was determined to prevent, and notified the Pope that he would never consent to it. On the other hand, in France, Mendoza put forth every effort to widen the breach between the Guises and the king, for he wished the factions there to multiply and exhaust themselves in civil strife, and to make sure that the control of the League should remain in the hands of Spain. The success that he attained in this difficult task is a wonderful tribute to the

power of his personality; he seemed to tower like a giant above the weaklings who surrounded him. Guise was constantly running to him for Spanish aid, for funds from Madrid, and for soldiers from Alexander of Parma; he took delight in his smile, and trembled at his frown. Mendoza also found time, to intervene in the affairs of England. He strove to bring about a Scottish Catholic rising in 1587, and organized fresh conspiracies for the murder of Queen Elizabeth. When it came time for the Armada to set sail, he saw to it that the French monarch was impotent to give effect to the threats he had made that he would go to the assistance of England; nay more, when in May, 1588, the Day of the Barricades had forced Henry to abandon his own capital and take refuge at Chartres, Mendoza actually had the effrontery to present himself before him and demand an assurance of his support in the enterprise on which his master was about to embark. He virtually insisted that the Most Christian King give him a definite guarantee that no Frenchman would ever put let or hindrance in the way of the projects of Philip of Spain.

The news of the defeat of the Armada was a great shock to Mendoza, but as soon as he had recovered from it, he redoubled his own activities; for if England was to be lost to Spain, there was all the more reason why Spain should maintain her influence in France. Ever since the beginning of the year the Guises had resolved to force Henry to cast in his lot again with the League, and on such terms as would reduce him to impotence; they demanded the capitulation of the king to a faction admittedly controlled from abroad. All their actions had been directed to the attainment of this end. Mendoza ardently supported them, and on July 21, by the so-called Edict of Union, they had apparently accomplished their purpose. The king submitted on all points, and pardoned those responsible for the Day of the Barricades. But the permanence of these arrangements remained to be tested, and the test was to come at the meeting of the States-General, which had been summoned to Blois in the autumn. The Guises did their utmost to secure the return of members favorable to themselves, and with excellent success. When the assembly met it was found that they had practically all the clergy, a majority of the nobles, and nearly three-quarters of the Third Estate; they were certain that permanent victory was at last within their grasp. But the wily Mendoza was less sanguine than they, for he realized, as they did not, the utter untrustworthiness of the king. In September, without a word either to his aged mother or to the Duke of Guise, Henry suddenly dismissed his chancellor and two secretaries of state, and replaced them with men who would take their orders from him. In October, when he first addressed the Estates, he made it evident that he did not intend to abide by his promises of three months before. The Guises were furious, and were supported by the assembly. So confident were they in the assurance of popular support that they felt sure that in the end the king would be forced to yield; only Mendoza had any suspicions of foul play, and even he believed that he would be able to forestall it. For nine long weeks the struggle continued, but when Henry became finally convinced that he could not win over the Estates, he determined to have recourse to murder. On December 23, the Duke of Guise was summoned to the royal council chamber, and was slain as he entered by the royal guard; the next day his brother, the cardinal of Lorraine, suffered a similar fate. On January 5, 1589, the aged queen-mother died in the castle of Blois, and the last wretched scion of the house of Valois was left quite alone to wrestle with the herculean task of unifying and enfranchising his native land.

Mendoza was utterly cast down when he learned of the murder of Guise. Four days later he wrote to Philip, assuring him of the many warnings that he had given the Duke, and bewailing the fact that all the hopes which he and his master had built upon the League had now “gone up in smoke”. Philip, too, was deeply disheartened by the news, and is reported to have declared that he counted it an even worse misfortune than the loss of the Armada. Yet both the king and his ambassador underestimated the intensity of the antiroyalist feeling in

France that had been aroused by the murder. The central committee of the League in Paris, popularly known as the Seize, not only raised the standard of revolt at the capital, but sent messages to all the chief cities of the realm, urging them to do the same. Guise's sole surviving brother, Charles, Duke of Mayenne, was made "lieutenant-general of the state and of the crown of France". The material was ready to hand which would have enabled Philip, had he utilized it promptly and effectively, to keep France under Spanish control for many years to come. But just at the very moment when he should have acted boldly, the Prudent King elected to play safe. He liked to have the French monarchy in leading strings, but he could not quite bring himself to countenance open rebellion. He instructed Mendoza to keep close to Henry III at all costs, to refrain from asking him to explain what he had done, and to strive in all possible ways to rekindle the courage of the Catholics; instead of stimulating the activities of the League, the ambassador was given the impossible task of preventing an understanding between the Valois and the king of Navarre. In the meantime Mendoza's courage had come back. He saw the chance to play the bolder game, and finally, in defiance of Philip's commands, he betook himself to Paris; but he was not able to accomplish much after he arrived there, and his departure from the court gave Henry an excuse for sending a special messenger to Madrid to demand that he be recalled.

Again Philip hesitated, but this time hesitation was wise. Even before Mendoza had left the court, it was perfectly obvious what the king of France would do. The successes of the League and the ebullitions of anti-royalist sentiment which it had evoked left him no alternative save an alliance with Henry of Navarre. He made one last effort, indeed, to draw close to Mayenne, but it was evident from the first that it was certain to fail; and while he was awaiting the inevitable refusal, the king of Navarre put forth his famous appeal to the French nation (March 4) which proved that even though he might be a heretic, he was a patriot first of all, who would tolerate no interference by foreigners within the realm. On April 3 the two Henrys agreed to the terms of an alliance. On the 30th they met at Plessis-les-Tours. In the succeeding weeks they advanced together on Paris, their forces rapidly increasing every day. By the end of July they were prepared to lay siege to the capital. Within the walls there was terror and confusion. It was bitter indeed for the Leaguers to lose, after victory had seemed so near; and finally a fanatic Dominican persuaded himself that the only way to save the cause was to do unto Henry of Valois as he had done unto Henry of Guise. On the last night of July Jacques Clement stole out of the capital. On the morrow, by dint of forged papers, he obtained access to the king at St. Cloud, and plunged a dagger into his breast. The assassin was slain on the spot; that same evening the last of the Valois died.

Mendoza reported to his master on the following day how "it has pleased our Lord to deliver us by an event so happy that it cannot but be attributed to His all-powerful hand; indeed it gives us reason to hope that we are finished with the heretics". But Philip, when he got the news, was much less enthusiastic. For the moment he seemed far less interested in the vast possibilities which the assassination opened up for him than horrified at the murder, by a monk of his favorite order, of a legitimate, if unworthy, sovereign. His servants and counsellors, taking their cue from the king's mood, though they persisted in speaking of the event as "a marvelous judgment of God", took pains also to state their conviction that Philip should make every effort "to care for the safety and well-being of his own royal person."

Before we can carry further the story of the Spanish monarch's dealings with the situation in France, it is essential that the state of affairs in the Netherlands be brought up to date. We left them, it will be remembered, at the time of Parma's capture of Antwerp on August 17, 1585.

That capture, as we have remarked, was a notable triumph for Parma, for Philip, and for Spain; it completed the reconquest of the Catholic Southwest, save for Ostend, Sluys, and a few other places, and isolated the republic and the Reformation in Utrecht, Holland, and Zeeland. But that final stronghold was destined to prove the hardest problem of all; indeed, it was before its walls that Philip's great war machine was ultimately to wear itself out. The three provinces were virtually surrounded by water—on the west by the North Sea, on the north and east by the Zuyder Zee, and on the southeast and south by the Yssel, the Waal, and the Meuse—and could not be taken by assault; obviously a siege would be required, and a siege, not of an isolated town or fort, but of a whole district. That district, moreover, could count on ample supplies. Its defenders had ships in plenty, and the Spaniards almost none. Their many friends could send them, almost at will, provisions, munitions, and men. Though Orange and Anjou were gone, there was no lack of leaders to fill their places. Maurice of Nassau, seventeen years old, son of William the Silent, was promptly elected to fill his father's office. His youth made it necessary that he should be guided for some time by a council; but in a few months he gave evidence of military talent which was subsequently to prove a thorn in the sides of the Spaniards. Though the death of Anjou, and the state of affairs in France, showed that no further help could be expected from that quarter in the immediately succeeding years, that very fact was enough to convince Queen Elizabeth that she must henceforth bestir herself more actively in the rebels' behalf. In the autumn of 1585 she agreed to take them under her protection and send them 5000 troops; in December her favorite, the Earl of Leicester, arrived at Flushing.

Leicester speedily made it evident that he had neither the ability nor the tact to carry out the exceedingly difficult task with which he had been entrusted. His squabbles with the States-General and with his mistress at home soon reduced him to impotence, and convinced Parma that for the time he could be safely ignored. And so it came about that the year 1586 saw Philip's representative in the Low Countries principally occupied with the task of separating the United Provinces from another set of allies whom they had recently discovered within the Empire. In the year 1582 Gebhard Truchsess, the archbishop of Cologne, had gone over to the Reformed religion, thus menacing the integrity of the Rhenish 'priest street', and offering the Dutch rebels the chance of obtaining valuable support in a position of the highest strategic importance. The war which broke out in Cologne in 1583, after Truchsess's conversion became known, was thus closely linked from its earliest inception with the course of the revolt in the Netherlands. Orange had sent troops to help Truchsess; it was his hope to get the whole of the Lower Rhine into Protestant hands and isolate in Friesland the northeastern detachment of the Spanish army under Verdugo. Parma, on the other hand, did everything he could for Ernest of Bavaria, the bishop of Liege, whom the Catholic members of the chapter of Cologne had elected in place of Truchsess. He had quartered some of his best regiments on the confines of Gelderland. There had been a series of inconclusive engagements between the rival forces. And now, in 1586, Parma determined to concentrate on this problem and solve it. On June 7, in spite of all the efforts of Leicester and his allies to prevent him, he took Grave, thus opening to the royal armies the passage of the Meuse; three weeks later Venloo surrendered. Thence Parma advanced rapidly into the electorate, captured Neuss, and massacred its garrison; to complete the task that he had set himself to perform it only remained to capture Rheinberg. But this he was unable to accomplish. His foes had by this time discovered the way to thwart his plans, not indeed by meeting him at the point of attack, but by diversions, for which their command of rapid river transport rendered them particularly apt; no sooner had Parma begun the siege of Rheinberg than he was obliged to go to the rescue of Zutphen. During the remainder of the year, and also in 1587, he continued, indeed, to win a majority of victories in the field; but save for the capture of Sluys (August 5, 1587) they brought him little advantage. The center of the rebellion continued successfully to defy him.

Parma's capture of Sluys was an evidence to all men that the expedition against England, so often mooted and postponed, was now to be carried through to the exclusion of everything else. The duke had always maintained that a port on the coast of the Netherlands was essential to success, and he wished to be permitted to follow up the taking of Sluys with that of Flushing; but Philip would not hear of it. Though Parma had been almost the first person whom the king had consulted when the plan of the expedition had been originally broached, matters had developed in such fashion in the intervening years as to make effective cooperation between them no longer possible. The root of the difficulty was, as usual, Philip's perennial jealousy of distant and too efficient subordinates, a jealousy of which Parma, since the capture of Antwerp, had become the principal object; it was largely that jealousy which had caused the king to concentrate the control of the expedition in Spain and thereby get it out of the duke's hands. The delays and postponements were another source of friction. Parma had counted from the first on the effects of a surprise, but that was now impossible; the English knew more of the state of Philip's preparations than Philip knew himself. Add to all this the fact that the king kept his nephew terribly short of funds, and it is no wonder that Parma was gradually becoming lukewarm with regard to the whole enterprise against England; indeed, in letters to Philip of January 31 and March 20, 1588, he frankly foretold its failure. His misgivings had also manifested themselves in another form at a much earlier date. In the first months of the year 1586 he had made overtures for peace to the government of Queen Elizabeth. Philip had consented, not indeed with any idea that the negotiations could possibly be successful, but rather in the hope of lulling the English into a feeling of security and thereby gaining time. The queen was anxious at all costs to avoid war, and though her counsellors had little faith in the sincerity of the Spanish offers, there was apparently some hope that Parma could be induced to betray his uncle in return for a promise of independent sovereignty in the Low Countries. The negotiations dragged lamely on for over two years. In March, 1588, Parma was so certain that the Armada must fail that he advised Philip to abandon pretense and seek an accommodation in earnest before it was too late, but the king refused. The negotiations continued, and finally culminated in conferences held at Bourbourg in June, 1588, needless to add, without success. Each side knew by this time that the invasion of England was inevitable, and merely sought to feint and spar for time. The only real significance of the whole affair is the light it incidentally sheds on the relations of Parma and the king. There is no reliable evidence that the duke actually intended to play his master false. On the other hand, he was disgusted at the delays and inefficiency of Philip's plan of campaign, and unenthusiastic, to say the least, about cooperating with it.

The king was fully aware of his nephew's state of mind, but under the circumstances he could neither replace nor dispense with him. On September 4, 1587, he wrote him a most intimate letter to tell him how completely he depended on him, and how essential it was that he should be ready to do his part when the crucial moment should arrive. And so, with a heavy heart, since his own wiser plans had been rejected, Parma prepared to conform to the king's, which he knew were certain to fail; he must adhere to the strict letter of his instructions, in order to avoid any share in the responsibility for the inevitable defeat. In the early part of 1588 his headquarters were for the most part at Ghent; but in May he moved over to Bruges, where his flatboats were assembled in the canals, and whence he had arranged to have them towed to Nieuport and Dunkirk when the Armada should have reached Calais Roads. The expeditionary force was quartered in the adjacent villages, and on July 18 he wrote to the king that everything was at last in readiness. Thereafter he began to receive constant, increasingly plaintive, and self-contradictory letters from Medina Sidonia, informing him of the Armada's condition and whereabouts; the last three of these, written on August 6 and 7 from Calais Roads, beg him to "hasten his coming out", and "bear aid in resisting the enemy's fleet". All this Parma had foreseen; and he would not, because he knew he could not, comply. In two

letters to Philip (August 8 from Bruges and August 10 from Dunkirk) he indignantly reiterated that it was the Armada's duty to protect his passage and clear the sea of enemies, and that he would not stir until it was in a condition to do so. And so the duke bore silent witness, in an impotence that was probably not altogether ungrateful to him, to the tragedy of the next three days, the battle of Gravelines and the dispersal of his master's great fleet. If Philip had trusted him, he would have done his utmost to bring him victory. If his original advice had been followed, it is even possible that the Armada might have accomplished its object; but in view of the way in which the king had listened to the slanders of his rivals and enemies, it is small wonder that when the critical moment arrived he refused to do more than the part that had been assigned to him. "What adds more than I can here express to my grief at this disaster", so he wrote to Philip from Dunkirk on August 10, "is that it was humanly impossible to remedy it, or aid in any way."

Parma came in for more than his share of the taunts and invectives with which Spain resounded when the shattered remnants of the Armada got back to port; he was blamed, indeed, quite as much as the Duke of Medina Sidonia. He was accused of unwillingness to perform the part that had been assigned to him, and of treachery to his master. The old report that he was aiming to obtain a separate sovereignty for himself in the Low Countries was circulated once more. It would even appear that Elizabeth, taking advantage of the resentment which she knew these cavils would inevitably arouse in him, consented to have it suggested to him that he assume the crown of the Netherlands as the ally of England—a proposal which Parma indignantly rejected. Philip for the time being refused to listen to these calumnies of his traducers; possibly he began to realize how much wiser it would have been to have placed more reliance on Parma from the outset. In letters of October 10 and 17, 1588, he assured his nephew of his complete satisfaction, and directed him to draw up plans for a new expedition. For the moment, however, it was obvious that nothing more could be accomplished against England; and Parma, his courage restored by the renewal of the royal confidence, returned in the end of 1588 to the problems of the rebels in the Netherlands and of the Protestants in Cologne. He sent troops to the aid of Ernest of Bavaria; and though he himself was unsuccessful in an attempt to take Bergen-op-Zoom, his lieutenant, Peter Ernest of Mansfeld, seized Wachterdonk, and thus extended his power in Gelderland. In 1589-90 he gained greater victories still. In Cologne Ernest of Bavaria decisively defeated the adherents of Truchsess, so that Parma was relieved of all anxiety in that direction. Rheinberg, which had defied him in 1586, finally surrendered to Mansfeld in January, 1590, and nine months earlier Parma himself had got possession of Gertruydenberg. Holland and Zealand were isolated at last as they had never been before; and Farnese, whose achievements had been the more remarkable in view of the wretched state of his own health and the mutinies of his discontented soldiery, was confident that the last embers of the rebellion could be speedily stamped out.

But once more it was the duke's hard fate to be called off from the task which he had originally been given, and was on the way to accomplish, to attack another, which in the estimation of his master was of even greater importance. The assassination of Henry of Valois on August 1, 1589, convinced the king that everything must be sacrificed to the opportunities thus opened for him in France, and Parma was ordered to bear aid to the forces of the League. To serve a master with as many irons in the fire as Philip was almost more hopeless than the serving of two.

Never had the Spanish monarch shown himself more 'prudent' than when he received word of the murder of Henry III. He had been profoundly shocked, as we have already seen, and at first a little terrified by the news; then, when he began to realize the opportunities that it

offered him, he elected, instead of acting promptly, to lay plans for the remoter future. As son-in-law of Henry II, he could himself lay claim to the vacant throne, if the Salic Law were ignored, and some of the French, in their first enthusiasm at getting rid of Henry III, declared for the “election of the king of Spain, and the placing of everything in his hands”. But Philip at first seemed in no way desirous to grasp the prize. Quite the contrary, he instructed his representatives at Paris to favor the candidacy of the aged cardinal of Bourbon, who had been proclaimed king by Mayenne under the title of Charles X.<sup>4</sup> Having made excellent use of a moribund cardinal as a stop-gap once before under similar circumstances, at the time of the annexation of Portugal, he was happy to repeat the experiment. It would serve to embarrass the king of Navarre and give cohesion to the forces opposed to him; on the other hand, it could not place any permanent obstacle in the way of Philip’s own ultimate plans, for the cardinal had been a prisoner of the French crown ever since the assassination of the Guises, and the League was never able to liberate him. At the same time Philip dispatched his former ambassador Juan Bautista de Tassis and a certain Commander Moreo to collaborate at Paris with Mendoza, who had displaced Tassis there. Apparently the principal objects of their mission were merely to keep the king informed of everything that occurred and to distribute bribes; in any case, when their money ran out, in June, 1590, they both of them returned to Madrid. One thing, however, they accomplished during their brief stay in France, which was very encouraging to the king of Spain; and that was the establishment of cordial relations with the papal legate, Cardinal Errico Caetani, whom Sixtus had dispatched to Paris when he learned of the murder of Henry III. That event had dealt a rude blow to the pontiff’s hopes of re-erecting the supremacy of the Catholic church in France without the aid of Spain. Unless the king of Navarre would turn Catholic, which at that moment seemed improbable, the Pope would have to make common cause with Philip in order to keep France within the Roman fold; and in December, 1589, he actually offered to conclude an alliance with the Prudent King in order to effect this end. Before Philip had had time to accept, representatives of the Catholic adherents of Henry of Navarre arrived in Rome, and revived the pontiff’s hopes that he might do without Spanish aid. When Philip’s ambassador, the Count of Olivares, tried to bully him, he became furious, and even threatened to excommunicate the Spanish king; in fact, down to the day of his death, which occurred on August 27, 1590, he avoided definitely committing himself to the Spanish cause in France. But in the meantime the legate Caetani, in spite of the Pope’s complaints, did everything possible to favor the designs of Philip; moreover, the Prudent King rightly foresaw that, when Sixtus should die, the worst of his troubles with the papacy would be over, for it was inconceivable that another Pope should be as violent in his opposition to him. As long as the king of Navarre remained a heretic, it now seemed almost inevitable that Spain should have the support of Rome.

While Philip planned and plotted, his rivals and enemies were far more active. The king of Spain was not the only foreigner who aspired to control, or if that were impossible, to dismember France. His son-in-law Charles Emanuel ‘the Great’ of Savoy, who as grandson of Francis I had a claim to the French throne, saw a chance in prevailing confusion to fulfil a long-cherished dream of reconstituting for himself the ancient kingdom of Arles, and launched an army against Provence in the autumn of 1589. A little later the duke of Lorraine, who was a son-in-law of Henry II, made a similar attempt against Champagne. But these efforts and others like them encountered vigorous opposition. The Savoyards soon became “rather the besieged than the besiegers in Provence”, and Charles Emanuel betook himself to Madrid to ask for Spanish help. The principal Lorrainers, too, after their initial repulses, tended to gravitate in the same direction. By the end of the year 1591, it became evident that the king of Spain was the only foreign pretender whose ambitions need be taken seriously; the battle, in other words, was to be fought by him and those in France whom he could induce to support him, against the patriotic though heretical king of Navarre. The latter had lost no time after the

assassination of Henry III. On August 4, 1589, he had put forth his famous declaration promising the maintenance of the Catholic faith within the realm, in the hope of rallying all true Frenchmen to his cause; but it did not produce the effect he had anticipated. Too many of the recognitions it elicited were but provisional, and there were many defections. Some even of his Protestant friends deserted him because he had promised too much; his army soon dwindled to half its original size. But he speedily demonstrated that he could make good use of his shrunken forces in the field. Realizing that it would be madness, for the present, to attack Paris, he retired into Normandy, pursued by Mayenne with a much larger army; and so sure were the Parisians that their champion would return victorious that they hired windows in the Rue St. Antoine to witness the spectacle of the king of Navarre brought back in chains. But Mayenne was fatally hesitant when the critical moment arrived. He had all the worst of that series of skirmishes during the last ten days of September, which are collectively designated as the battle of Arques; finally, in October, he retreated to Paris, pursued by the rival whom he had been expected to capture. Henry even ventured to attack the suburbs, but, realizing that he was not yet strong enough to take the capital, he soon retired westward, and established the seat of his government for the winter at Tours.

Against such an active adversary a policy of mere bribery and plotting could not avail; every day it became increasingly evident that Philip must send military aid to the forces of the League. But the question was where to find the money and the men. The defeat of the Armada had been a terrible blow both to his treasury and to his *tercios*; and he was more than ever in need of military and financial resources in Spain, to repel the counter-attacks of the English and to stifle potential rebellions. Under all the circumstances, then, it seemed to Philip that the wisest course was to send the duke of Parma from the Low Countries against Henry of Navarre. His ability as a soldier was well known. He was nearer the scene of action than any other of the king's commanders. Possibly Philip was influenced by the old fear that, if left with nothing else to do but suppress the rebellion in the Netherlands, Parma might possibly prove too successful, and set up an independent sovereignty there. As far back as 1586 he had commanded him to keep his eye on the situation in France. On September 7, 1589, after he had learned of the murder of Henry III, he wrote him to rest on the defensive in the Low Countries in order to save money to be distributed to his French friends, and even spoke of the possibility of armed intervention; in November, after he had received news of Arques, he evidently regarded such intervention as ultimately inevitable, and sent Juan Moreo to the Netherlands to bear aid in organizing it. To Parma, as will be readily imagined, all this was unwelcome in the highest degree. He was very ill; he longed to finish his task in the Netherlands; he knew that, at the best, he had barely enough money and men to accomplish that. He could not believe that an expedition into France would have any hope of success, and in letters to Philip of March 24 and July 22, 1590, he plainly told him so; at the same time Moreo aroused Philip's suspicions of Parma once more by writing back from Flanders, on June 22, that the duke's ill-will would be the ruin of all his plans. But meantime the king of Navarre was again advancing on Paris. Unless something were speedily done, there was every probability that he would take it; and so Parma, not yet ready to move himself, sent the Count of Egmont from Flanders with 500 arquebusiers and 1200 Walloon lancers to the rescue of Mayenne. With the army of the League they met the king of Navarre at Ivry (March 14). Henry won the most brilliant of his victories. Egmont was killed, and his forces took flight. The Bourbon continued his triumphant march on Paris.

Unless Philip was prepared to lose all hold on France, it was evident, after Ivry, that he must have done with half measures. Nothing short of Parma himself, with all his available forces, could possibly save the situation, and the king sent Tassis to Flanders to hasten the departure of the duke. Parma's misgivings were unabated, but it was not his reluctance that

was the chief cause of the delay; it was the lack of funds to pay his troops, and the incipient mutinies among his soldiers which followed as the inevitable result. By midsummer, however, the money had at last arrived, and in the early days of August he crossed the frontier. Henry had invested Paris on April 25. Within the next two months the food had run out. Mendoza won golden opinions by his liberal giving and by his organization of relief. At this crisis he played the part of the Roman Catholic fanatic, declaring that his master had no political ambitions in France, but cared only for the preservation of the ancient faith; he was even credited with a plan for making bread out of dead men's bones. But by early August things had reached a point which flesh and blood could no longer endure; 13,000 people had already died of starvation, and negotiations preliminary to a surrender had begun, when suddenly news came, on the 30th, that Parma had united with Mayenne at Meaux and was rapidly advancing to the rescue. Henry, anxious to prove his valor against the most celebrated soldier in Europe, raised the siege of Paris and advanced to meet the foe. Parma, whose object it was to save the capital without the decisive battle which the king of Navarre desired, intrenched himself between the Marne and a swamp, and awaited the enemy's attack. So strong was the position that Henry did not venture to assault it; then at last, after seven days of waiting, the duke, under cover of a sally, got two of his regiments across the Marne on a bridge of boats, and took Lagny. With both banks of the river in his control, he soon was able to revictual Paris, but the reception accorded him within the walls did not measure up to his expectations. The inhabitants seemed less grateful to him for their deliverance than suspicious of the Spanish domination which his arrival portended; and Parma, after writing frankly to the king of the unpopularity of the Spanish army in France, and the dangers of attempting to dominate the country, retired in November to the Low Countries. He had brilliantly accomplished the almost impossible task which his master had given him to perform, but he had the gravest forebodings for the future.

The achievements of Parma should certainly have convinced Philip that his representative in the Low Countries was by far the most efficient of his servants; had he sent him at once all his available troops and supplies, the duke, though he might not have been able to make his master king of France, would almost certainly have succeeded in putting an end to the revolt in the Netherlands. But just at the very moment when he should have concentrated his resources, Philip elected to dissipate them; never before, in his entire reign, had the disastrous results of the multiplicity of his plans and of his jealousy of over competent subordinates been so painfully apparent. If he could not gain control of the whole of France, the next best thing, from his point of view, would be to dismember her. At the very moment that he was utilizing Parma in an attempt to effect the one, he dispatched two other armies in the hope of accomplishing the other. The first of these was a comparatively small force which he sent into Languedoc in the spring of 1591, at the invitation of the Marechal of Joyeuse, the chief representative of the League in that province; he had hopes of regaining at least a part of the great domain north of the Pyrenees which had formed part of the county of Catalonia in the Middle Ages. But the whole affair was half-heartedly conducted. Philip desired to have his army ready in the neighborhood of the realms of the crown of Aragon to deal with any insurrection which the activities of Antonio Perez might stir up there; he also wished to keep an eye on the progress of the duke of Savoy farther eastward; and these and other distractions were fatal to the success of the invasion of Languedoc. A certain number of small towns and petty fortresses were taken, but there were many desertions. The French Leaguers did not cooperate effectively; and all Spain's hopes of gaining territory in that quarter were blasted by a decisive defeat at Villemur (September 10, 1592); their commander was drowned in the ensuing flight.

In Brittany, on the other hand, whither Philip dispatched 3500 men by sea from Corunna in September, 1590, there was a different tale to tell. In the eyes of the legist, that

province had never become fully part of the realm of France, but was still the property of the ancient ducal line; on that theory Philip could plausibly lay claim to it on behalf of his daughter Isabella Clara Eugenia, the great-granddaughter of Claude, the wife of Francis I. At that moment Brittany was occupied by the brother-in-law of Henry III, Philippe Emmanuel de Lorraine, Duke of Mercoeur, whose wife, Marie of Luxemburg, was a descendant of the ancient ducal house. Mercoeur had declared for the League, and Philip probably thought he could utilize him for his own purposes and get rid of him afterwards; while Mercoeur, who had solicited the intervention of Spain, doubtless counted on reversing the process. In November, 1590, the allies began to besiege Hennebont; but their military achievements were far less notable than the scandalous bribery which the Spaniards employed to win the Bretons to their cause, and keep them from being too friendly to Mercoeur; the natives were too poor to refuse, but they lost all respect for the Spaniards. Philip's army, however, remained in Brittany, and was to be heard from again in the ensuing years.

But the center of interest still remained at Paris. Since his general had rescued it, Philip felt that some recognition of the services of Spain was due him. Until the death of Charles of Bourbon, he had desired to have the title of Protector of the realm, on conditions so generous that "no one believed he would observe half of them". Now he openly put forward the claims of his daughter Isabella Clara Eugenia to the throne; and Mayenne sent Pierre Jeannin, the president of the Parlement of Dijon, to Madrid to discuss the validity of the Salic Law with the chief jurisconsults of Spain. Mendoza in Paris was the king-pin of these negotiations. He also succeeded, in February, 1591, in bringing into the capital a small permanent garrison of Spaniards, Neapolitans, and Walloons from across the frontier of the Netherlands—an even more visible proof that his master meant business. It was the last important service which the great ambassador was to render to the Prudent King. He had suffered cruelly during the siege of Paris; since 1586 he had had a painful cataract of the left eye and was nearly blind. Worst of all, though his policies had been loyally supported by the Seize, he was now completely at odds with Mayenne, who was profoundly jealous of the intervention of Spain, and tolerated it solely because for the moment he realized that he was not strong enough to get on without it. For months past he had been begging Philip to release him, but the king had insisted that he remain at his post. Whether or not he had been given formal leave to depart at the time he quitted the capital (late January, 1591), does not appear; but it would seem that he left for the Netherlands with an escort of 200 Germans, and met the oncoming Spanish garrison which he had procured for Paris on the way. The garrison brought with it as his successor the ubiquitous Tassis who had preceded him, and a certain Diego de Ibarra, "a vile and haughty fellow and their ineptitude soon drove the Seize to such excesses that they lost their authority, undermined the prestige of Spain, and strengthened the hands of Mayenne". The loss of Mendoza was irreparable, though he continued to correspond with Philip and advise him for many months to come. One gathers from his letters that, like almost all the rest of the ablest and most faithful servants of the Prudent King, he had been traduced at the last by jealous rivals, and that their accusations had not fallen on unwilling ears. To the day of his death Philip could never learn to give his whole confidence to a really able man.

By the autumn of 1591 the situation in France had become somewhat clarified, but the position of Philip was not on the whole so strong as it had been earlier in the year. The troubles in Aragon were beginning to embarrass him at home. The power of the fanatics in Paris, whom Mendoza had manipulated to such good effect, was by this time broken; the more definite statement of the Spanish claims had aroused the patriotic opposition of all good Frenchmen. Henry of Navarre had not again ventured to besiege Paris, but he still maintained a partial blockade of it. Elizabeth of England and the Protestant states of the Empire were sending him reinforcements. Clearly Mayenne and the League could not hope, unaided, to

defeat him in the field. Mayenne was more hostile than ever to Philip's designs on the throne, which he coveted for himself. On the other hand, unless he were willing to make terms with the king of Navarre—and this, despite tentative negotiations, he was not yet prepared to do —, he could not afford to dispense with Spanish aid; he longed, in other words, for a fresh intervention by Alexander Farnese. Parma was even more reluctant to invade France now than he had been in 1590. The Netherlanders, as we shall later see, had profited by his first absence to strengthen their forces, and Parma desired to be left alone to deal with them. Philip, however, was convinced that he must make another demonstration of his military superiority in France, and in August, 1591, Parma received orders to cross the frontier again. His main object on this occasion was to relieve Rouen, which Henry of Navarre with English auxiliaries began to besiege in December, and this he brilliantly accomplished in April, 1592. Another splendid demonstration of the power of Spanish arms was given in the following May, when Mercoeur and the Spaniards in Brittany joined forces to defeat the Prince of Conti before Craon. Naturally Philip did not propose to render such aid as this without recompense. From the time that Parma entered France, the king's representatives never ceased to demand of the chiefs of the League that they recognize the rights of his daughter to the French throne, and that the Estates-General be forthwith convoked to ratify this action and select a husband for the princess. The Leaguers, under the circumstances, dared not definitely refuse; but they replied with counter-demands for concessions from the Spanish king, and above all for subsidies in such quantity as Philip was unwilling to grant. Until the delivery of Rouen the Spaniards had, ostensibly at least, the best of the bargaining; after that service had been rendered, the backs of the Leaguers stiffened. Mayenne showed no disposition to proceed with the summoning of the Estates; he even had hopes that after they had assembled, they might be brought to do his will rather than that of the king of Spain. In the meantime Parma had been grievously wounded in attacking the little town of Caudebec (April 25), and soon afterwards drew off his forces to a place of safety at Château-Thierry; he himself was carried back in a litter to the Netherlands, and spent most of the summer at Spa in a vain effort to regain his health. Without the inspiration of his leadership, the Spanish forces were comparatively valueless, and Philip was at his wits' end to find other equally effective means to bring pressure on Mayenne and the League to do his bidding.

After the summer of 1592 there was a lull in the military operations in France. Parma had received orders to undertake a third campaign there in the autumn, but death overtook him in December, before anything could be accomplished. Though his successor, the Count of Mansfeld, advanced in the following spring and captured Noyon (March 30, 1593), it would seem that the chief object of this final invasion of France from the Low Countries was not so much to wage war as to bring pressure on the Estates-General, which Mayenne, in fulfilment of his promises, had summoned in the previous June ; on them all eyes were now focused, for it was by them that the great decision must be made. Rheims had been chosen as their meeting place. Since it was to be their duty to elect a king, there was historical justification for this, but the real reason for the choice was pressure from Spain; for Philip wished to have the business done in proximity to Parma's army, and Mayenne dared not refuse. But Parma's death (December 2-3, 1592) removed the only one of Philip's representatives whom Mayenne really feared or respected; and as soon as he learned of it, he promptly transferred the meeting of the Estates to Paris, where he felt that he would be free from Spanish tutelage and able to play his own game. He was further encouraged by the support of the new Pope Clement VIII, who took a vigorous stand against the claims of the king of Navarre; and though Henry declared against the Estates, and forbade all the parts of the realm which he controlled to send deputies, he did not succeed in discrediting them. At the time of the opening session, which took place on January 26, 1593, at the Louvre, the deputies had some justification in feeling that they really represented France.

Well informed, as always, of the march of events, Philip recognized the importance of the approaching crisis, and in October, 1592, he dispatched a special ambassador, Lorenzo Suarez de Figueroa, Duke of Feria, to represent him at the Estates. Feria was instructed to do his utmost to have the Infanta Isabella Clara Eugenia either declared or elected queen, or, failing that, to have the choice of the new monarch left in Philip's hands. Failing that, again, he was to urge the election of Albert or Ernest, the archducal brothers of the Emperor Rudolf. If he could not compass this, he was to support, as a last resort, the claims of the Duke of Guise or of the cardinal of Lorraine; and he was commanded to do his utmost to prevent the dissolution of the assembly until one of these candidates had been chosen. Feria, travelling by way of Genoa and the Netherlands, joined the Spanish army in February, 1593, at Landrecies; a few days later Mayenne came out to meet him at Soissons. The latter did not yet feel strong enough to dispense with Spanish aid. If Parma's death meant the loss of an effective army, he was still more than ever in need of funds; and so he drew up a sort of treaty with Feria, in which he promised to recognize Isabella Clara Eugenia as his queen, provided the Estates accepted her, and to use all his influence to persuade them so to do. Feria, in return, pledged himself to furnish large subsidies.<sup>2</sup> On the second of the following April, the Spanish ambassador was received with impressive ceremonies by the Estates. On this first occasion he made no mention of the claims of the Infanta, but contented himself with enumerating the vast services rendered by his master to the Catholic cause in France since the days of Henry II. It was not altogether tactfully done; and Cardinal de Pellevé, who replied for the Estates, did not omit to point out that France had also done many favors to Spain in the past, from the time when the Catholic Franks chastised the heretic Visigoths of Spain and forced them to renounce the Arian faith, to that when Bertrand du Guesclin overthrew Pedro the Cruel. Nevertheless, the impression of Philip's power and prestige which Feria had succeeded in producing was undeniable. If no other outside influence were brought to bear on the Estates, it seemed that he might win his game.

But the very prospect that Philip would attain his ends roused the royalist Catholics in France to make a last desperate effort to keep the crown out of foreign hands. They demanded a conference with the chiefs of the League. The Estates accepted, and when their delegates left Paris to meet the royalists at Suresnes, the acclamations of the populace convinced them that they had made no mistake. When they met the representatives of the other side, they embraced each other; the first thing that they did was to arrange a truce; clearly their dominant feeling was the desire to unite all Frenchmen and rid the realm of strangers. But when the first effusions of patriotic ardor were over, it became evident that the heresy of the king of Navarre would prove a stumbling block to complete accord; the most that the deputies of the Estates would do was to recognize the priority of his rights to the French throne, but they stoutly maintained that they were nullified by his Protestantism. There was but one way out of the impasse, and Henry had the wisdom to see it. On May 17 the archbishop of Bourges announced to the conference at Suresnes that the king had declared his intention to be converted. On the 25th of the following July, in the ancient cathedral of St. Denis, he received him into the communion of the church of Rome. It was indeed "the marriage ceremony of the king and the kingdom of France."

The Spanish representatives at Paris, when they first got word of the king's intention to be converted, did their utmost to prevent the step from having any effect. It probably did not greatly surprise them or their master, for who could put faith in a heretic? They justifiably doubted its genuineness, or at least were convinced, and with good reason, that Henry had abandoned his Protestantism as a means to a political end. But it had come at a most awkward moment for them. Their attention had been wholly concentrated on Mayenne and the Estates, and the problem of the Infanta's recognition there. They had counted on getting that settled

first, and on dealing with the heretic pretender afterwards, and now the news of the intended abjuration had thrown everything into the melting pot again. But they did not despair. They opened their purses and distributed bribes. Over 24,000 crowns were handed out to the Estates, and lesser sums to the captains and magistrates of Paris, and more was promised in the near future. Meantime, in the end of May, the candidacy of the Infanta was definitely put forward. When the Estates demurred on account of the Salic Law, it was announced that Philip would be entirely satisfied if they would elect as king the Archduke Ernest of Austria, whom the Spanish monarch had selected to be the husband of his daughter. Then, when the Estates insisted on having a Frenchman for their king, the Spaniards assured them that Philip would not object, provided that he could have the choosing of him, that he should marry the Infanta, and that the crown of France should be held conjointly by them both. The Spanish representatives even proposed, as a last resort, in the middle of July, that the Infanta be married, under the same conditions, to Charles, the young Duke of Guise, whom all the Parisians adored. But these rapidly mounting concessions merely proved how completely the ground had been cut from beneath the Spaniards' feet by the action of the king of Navarre. The real scene of interest had been shifted elsewhere. In early August the Estates were prorogued, having signally failed to accomplish what had been expected of them, though in different ways, both by Philip and by Mayenne. They had not succeeded in providing France with a king.

In the meantime the Spanish monarch, with a truer sense of where his best chance lay, had been moving heaven and earth to prevent the acceptance of Henry's conversion by the see of Rome. The personalities had shifted there since Philip's last great issue with the papacy in 1589. The place of the fiery Sixtus V was now occupied by the gentler but perhaps even more conscientious and hard-working enthusiast Clement VIII, while that of the Count of Olivares had been taken by the Duke of Sessa; and the latter was commanded to do everything in his power to turn the new Pope against the king of Navarre. At first this did not seem an impossible task.<sup>2</sup> Clement was full of scruples and fears. For him religion was the only thing that mattered, and he fully realized that Henry's action had been dictated by *raison d'état*. He was also indignant that the Gallican church should have taken the initiative in the matter of the absolution, to the prejudice of his own sovereign pontifical rights. By showing tact and sympathy with the hard position in which the Pope was placed, Sessa could have effected much; but instead he took the bullying tone, and threatened to retire to Naples if Clement permitted the envoy of the king of Navarre to remain in Rome. Such menaces simply served to turn the Pope against the Spanish cause. In November, 1593, he consented to give an audience to Henry's ambassador, the Duke of Nevers, though he still firmly refused to grant the absolution for which he prayed. For nearly two years more the matter hung fire. Sessa surrounded the French envoys at the Vatican with spies in the hope that they might discover something that he could use to good effect. He was encouraged, in the end of 1594, by the expulsion of the Jesuits from France, as the result of two attempts to assassinate Henry which were traceable to their influence, and by the anger the measure evoked at Rome. As a last resort he protested not against the absolution, but against the recognition of Henry as king of Navarre and duke of Brittany, on the ground that this was an infringement of the rights of the king of Spain. But this shift from considerations religious to political spelt the final ruin of the Spanish cause. Clement had come to his decision before Sessa made his protest, and it only served to strengthen his conviction that he had decided right. On the very next day (September 17, 1595), the representatives of Henry received the papal pardon and recognition for their master, and the Bourbon was at last formally reconciled with the see of Rome.

Long before the papal absolution had been obtained, the situation in France had completely altered to the prejudice of the League and the Spaniards and to the advantage of

the king of Navarre; in fact, the latter, though fully realizing that reconciliation with Rome was indispensable to him, had elected to act as if it were ultimately inevitable. All sorts of gratifying evidences reached him, in the last part of the year 1593, of the growing strength of his cause. On the 27th of the following February, at Chartres, he was formally crowned and anointed king of France. Everything now depended on Paris, for until he was master of the capital of his realm he was sovereign only in name; and in spite of their recent reverses, the Spanish army and diplomats in Paris were by no means negligible. There was even talk of sending the Spanish forces in the Netherlands to its rescue again, and on March 6 Mayenne left the city to go and consult with Mansfeld. His place at the capital was taken by a violent Catholic named Brissac, who was apparently on the best of terms with Feria and the Spaniards. All in all it looked as though Paris might be able to withstand the attack which Henry was obviously planning to deliver. But the king wished to avoid further bloodshed. He coveted popularity at all costs, and knew that his subjects were weary of civil war. If he could bribe Brissac to turn traitor and open the city's gates, he was only too glad, and Brissac rose to the bait. It speaks volumes for the extent to which Feria and his Spaniards had lost their hold there, that they had not the wit to forestall his treachery. They had received warning of his intentions on March 21, and Feria had made him go the rounds on the following night, in a pouring rain, accompanied by some Spanish captains, who had orders to kill him at the slightest sign of trouble; but Brissac survived the test, and a few hours later opened three of the gates of Paris to the soldiers of the king. So accurately had the affair been timed that the Spanish troops were completely surrounded before they realized what had happened, and could offer no resistance. Henry could have captured or killed them all, had he so desired but he preferred to pose as the purveyor of universal happiness, and notified Feria that if the Spaniards would leave Paris that day and swear never again to bear arms against him, he would gladly grant them their liberty and their lives. And so at three in the afternoon the Spanish garrison evacuated the capital of France, which they had occupied since 1591, and turned their faces toward the Low Countries. "We left", reported Ibarra to Philip, "with our flags flying and our drums beating, and without giving the semblance of despairing of our cause". But the dignity of the Spanish retirement made a far less permanent impression than the conduct of the French monarch as the *tercios* filed past. Men never forgot, to their dying day, how Henry, from a window in the Porte St. Denis, saluted his departing enemies, and called after them, "Commend me to your master, but never come back again." The haughtiness of the Spaniard was no proof against such tactics as these.

There were touches of comedy, too, of which Henry made the most, in the story of the relations of Spain and France in the months which succeeded the evacuation of Paris. It was the heyday of the intercepting of letters. During the final weeks of the Spaniards at the capital a last desperate plea for help had been dispatched to Philip. Henry had caught the bearer of it on the way, and then, simply for the fun of seeing how the Spanish king would reply, sent it on to Madrid by a henchman of his own, one Fouquet de La Varenne, an old cook of Margaret of Valois, with instructions to play the part of messenger of the League. So well did this man perform the role that had been assigned to him that he was twice called before the king's *consulta*, and sent back with a packet of letters, which, needless to add, he delivered to the king of France. After the Spaniards had evacuated Paris, Henry made use of Varenne a second time. Rumors of the king's intention to divorce his first wife on account of her sterility had already reached the Spanish court, and it occurred to Philip that since it was now obviously impossible to keep the Bourbon off the French throne, he might save something from the wreckage of his plans by offering him the Infanta in marriage; he therefore sent a messenger with such a proposal to Paris. Henry's counsellors did not wish their master even to receive him, but the king insisted on doing so; and shortly afterwards he once more dispatched Varenne to Madrid, with instructions to get in touch with Bernardino de Mendoza, who was

living in retirement nearby, and see what could be made of the situation. It is difficult to believe that Henry took the matter seriously, and Varenne's efforts at Madrid merely resulted in imperiling his master's reputation with Elizabeth of England and the Protestant princes of the Empire; but both of the envoy's missions seem to indicate that, for the time being at least, the French king believed that the wisest way to deal with Philip was to try to make a fool of him.

The mass of Frenchmen had not the same sense of humor as their king. Mayenne, who appeared with a safe-conduct at Brussels, was loaded with reproaches by Feria and Ibarra, who accused him of ruining their master's cause in France, and wished to have him arrested. A letter of Feria to Philip on the matter was intercepted by Henry and sent back to Mayenne, who was furious when he read its contents, and solemnly demanded leave to vindicate his honor by a duel with his principal accuser. The resentment of Mayenne against Spain and the Spaniards was shared, though for very different reasons, by most of the rest of France. There was a feeling that Spanish influence had not yet been wholly eliminated. Though Philip's soldiers had by this time been cleaned out of Picardy, except La Fère, they still hung on in Brittany, and threatened on the southern and eastern frontiers. It was rightly feared that the Spanish monarch, defeated in his hopes of gaining control of all the realm, would revert to plans for its dismemberment. Under the circumstances there was no alternative save to transform what had hitherto been a civil strife into a national one, and bring it to a conclusive end. On January 17, 1595, Henry formally declared war by land and sea against the king of Spain.

In order to be able to follow the course of the ensuing conflict, we must once more revert to the story of the parallel struggle in the Netherlands.

The calling off of Alexander of Parma to relieve Paris in the autumn of 1590 had given the rebels in the Low Countries an admirable opportunity to resume the offensive, and under the lead of Maurice of Nassau they prepared to take advantage of it. Hitherto their foreign alliances had availed them little. Anjou had been a flat failure, Leicester a disappointment, and the Protestants in Cologne had been crushed; but the king of Navarre promised better things. His interests were now almost identical with those of the Netherlanders, and geographical proximity virtually compelled them to work in unison. The alliance between France and the United Provinces, which Orange had sought in vain to inaugurate, was now practically established by the march of events, and was destined to endure, to the undoing of Spain, down to the age of Louis XIV.

Parma, on his departure for France, had entrusted the government of the Low Countries to Peter Ernest of Mansfeld, and the command of such troops as were left there to his son Charles; the small detachment under Verdugo in Friesland had been almost cut off from communication both with Brussels and Madrid since 1587, and was to remain so till 1594. The Mansfelds, moreover, proved quite unable to discharge the duties that had been laid upon them. The younger resigned, almost immediately after his appointment, in a huff, and thereafter succeeded in so poisoning his father's ear against Parma that the old man began to write letters, traducing the duke, to Madrid. All this furnished a golden opportunity for young Maurice. Encouraged both by Elizabeth and Henry, he soon seized the offensive. He used the period of Parma's first absence in France to make his preparations. In the spring of 1591, when Parma had got back, with his attention divided and his forces diminished, Maurice was ready to strike. The campaign of that year was disastrous to the Spanish cause. In May and June, Maurice took Zutphen and Deventer, and thereby gained control of the course of the Yssel, which, while in Spanish hands, had cut off Drenthe, Overysse, and most of Gelderland

from communication with the heart of the republic. The next three months were largely spent in a struggle to get command of the Vaal, so as to render the provinces of Holland and Utrecht safe from Spanish attacks on the south. The most important fortress on this river, Nimwegen, on the left bank, was still occupied by Philip's troops; but their position there was made uncomfortable by the garrison of a hostile fort on the opposite side, which Parma besieged in July but was unable to take. Soon after he had drawn off his forces, the counter-stroke came. On October 21 Maurice captured Ximwegen. He had not only solidified the defences of Holland, Zealand, and Utrecht; he had prepared points of attack against the territory of the Spaniards. Parma was already on his way to the relief of Rouen when he learned the news. It was a crowning demonstration of the wisdom of his counsels to Philip to concentrate, not to dissipate, his energies.

But Parma, though he was spared the pain of ever knowing it, was being dealt, at that moment, a blow far more cruel than assignment to an impossible task; the master whom he had served so loyally and so long had at last made up his mind to betray him. It was the old, old story, in its final and most aggravated form, of royal suspicion of a distant and too competent representative. Ever since the tragedy of the Armada and the days when there had been rumors of Parma's ambition to set himself up as an independent sovereign in the Low Countries, Philip had been on the watch for accusations against the duke. It was no wonder that they were furnished him. The atmosphere of his court and his methods of government lent themselves readily to just that sort of thing; and the jealousy of the Spaniards was easily aroused by the brilliant successes of one whom they never ceased to regard as an Italian and a foreigner. One of his principal detractors was the Duke of Medina Sidonia, whose calumnies were passed on to Philip by his friends. Old Verdugo, who was convinced that it was Parma's fault that he was isolated in Friesland, was another; the commander Juan Moreo, vilest of intriguers, was a third. Parma had had some inkling of these accusations at the time of his first campaign in France, and wrote vigorously to the king to complain of them and to assure him of his loyalty and devotion. He also took pains to remind him that he had several times requested, in the course of the last few years, to be relieved of his post in the Netherlands. He was in failing health, and his views of his duties and responsibilities were so diverse from the instructions which were sent him from Madrid that he was utterly discouraged. All Philip needed to do, if he wished to get rid of his nephew, was to accept these requests at their face value and give him permission to retire. But the king and his most intimate advisers did not dare to do this; they were afraid of what Parma might do if he were at large. They determined that somehow or other Alexander Farnese must be got back to Spain.

So in February, 1592, Philip sent the Marquis of Cerralvo to the Netherlands, the bearer of a letter to Parma, requesting him to report to Madrid; but as Cerralvo died before he could accomplish his task, it was entrusted in the following June to Pedro Enriquez de Acevedo, Count of Fuentes, who had come into some prominence three years before in defending Lisbon against the English. He was sent on the pretext that his presence was necessary in order to retrieve the military situation, but he carried a commission as governor and lieutenant-general, and subsequently received a confidential letter which implied in almost every paragraph that Parma was to be got rid of and sent back to Spain. But Philip did not propose that his nephew should have any suspicion of the fate that was awaiting him until the blow was ready to fall. Four days after signing his instructions to Fuentes, the king wrote to Parma to congratulate him on the delivery of Rouen and urge him to take care of his health. In several subsequent letters he spoke indeed of his desire to consult with the duke in Spain, but assured him that he would receive the warmest welcome there, that he enjoyed the full confidence of the king, and that no calumnies against him would be heard. On December 6, he even wrote a final letter of instructions to his nephew in regard to the conduct of his third campaign in

France; but Parma was already beyond its reach. After an inspiring exhibition of physical courage before his troops, holding himself bolt upright on horseback when he was in no condition to leave his bed, he had died, at the age of forty-seven, at Arras, on the night of December 2-3, 1592.

Parma was the last of the really great servants of Philip II; after his death, the Prudent King was reduced, in Europe at least, to valiant captains and obsequious secretaries. Philip was not the first Spanish sovereign to treat his ablest representatives as he had Parma. He had inherited the practice from his forbears, though he may have carried it to greater extremes than they. It was after the same fashion that the Emperor had rewarded Ximenes and Cortes, and Ferdinand the Catholic the Great Captain. And the inevitable reflection which occurs in connection with all these tragedies—in the case of Parma it is particularly true—is that, judged by modern standards at least, it was the servant who was always right, and the master who was invariably wrong. The worst of all Philip's faults was his intolerance, political as well as religious, his inability to see that any conception of state or church save his own could have any virtue in it whatsoever. His worst error in his dealings with the Netherlanders had been his contempt for them, his refusal to believe that they were even to be regarded as honorable foes. Parma made neither of these mistakes. In matters religious he was always in favor of concessions; he was, in fact, an advocate of liberty of conscience before its time. He always made a point of treating the rebels in the Low Countries like gentlemen; even in the moments of his most notable triumphs, he invariably accorded them the courtesies due to a valiant, if defeated foe. Had he been given a perfectly free hand in the great task that had been laid upon him, at the focal point of international politics during the age in which he lived, the whole course of European history might well have been changed.

The years 1593 and 1594 were almost as disastrous to the progress of the Spanish arms in the Low Countries as they were to Philip's hopes of gaining control of the kingdom of France. As Parma's successor in the government of the Netherlands, the king had designated his own nephew, the Archduke Ernest of Austria, the brother of the Emperor Rudolf, who had spent most of his youth at Madrid, and was familiar with his uncle's ideas; but as he was not able to arrive in the Low Countries until nearly twelve months after his appointment, all authority remained concentrated in the hands of the Count of Fuentes during the year 1593. Fuentes was a competent soldier, but also a Spaniard of Spaniards, a brother-in-law of the Duke of Alva, and his term of office gave the king a chance to return to his old policy of complete Hispanicization of the government of the loyal provinces, in a way which Parma would never have allowed, and which violated the terms of the peace of Arras. All Parma's Belgian and Italian advisers were dismissed, and their places taken by Spaniards. Exchanges of prisoners with the rebels were henceforth definitely forbidden; any soldiers of the enemy who were captured were promptly sentenced to the gallows. Small wonder if the change infuriated the Netherlanders. They had feared, but also respected the duke of Parma; but now this reversion to the methods of Alva, under a leader whom they believed to be less able, inspired them to efforts of desperation. They had made good progress under Maurice of Nassau in 1591-92. They now had high hopes that they could rid their country of the Spaniards.

Fuentes was in no position to meet such determined foes. Since Philip kept him even shorter of funds than his predecessors, there were many mutinies among his troops, with the usual results. Furthermore his attention was divided, quite as much as Parma's had ever been, between his difficulties in the Low Countries and the necessity of interfering in France. At the time of his appointment, the king's interest was chiefly there. One of the main reasons why

Philip had sent his nephew Ernest to the Netherlands was that he might be close at hand if the États-Généraux could be persuaded to elect him king of France; and Fuentes received constant orders to have his army in readiness on the French frontier, or, if possible, across it, so as to bring pressure on the assembly at Paris when the decisive moment should arrive. His efforts in this direction, however, were quite sterile, save for Mansfeld's rather futile capture of Noyon; moreover they prevented him from offering any effective opposition to Maurice. On June 24, 1593, the latter recaptured Gertruydenberg, thus closing one of the last breaches which had been opened by the Spaniards in the defenses of Holland; thence he turned northward against Friesland and Groningen. The key to the control of these regions was the strong fortress of Koeworden in Drenthe, which commanded the chief access to them across the morasses, and old Verdugo had attempted intermittently, for the previous six months, to wrest it from the hands of the rebels; but in May, 1594, Maurice appeared before the place and drove him off. The siege of the city of Groningen followed; it surrendered on July 24, and its fall was the signal for the elimination of the last vestiges of Spanish control in the northern provinces. Verdugo's long term of isolation was at an end, and he was permitted to retire to Luxemburg, where he continued to fight valiantly until his death in the following year. In January, 1595, the rebels were still further encouraged by the news that Henry IV had formally declared war on Spain and was anxious to act in concert with them against their common foe; and the death of the Archduke Ernest in February seemed at first sight to deal another blow to the authority of Philip in Northern Europe.

But the death of the archduke was to prove rather an aid to Spain than the reverse. It was a full year before his successor could be appointed and reach his post. During the interval all authority was once more concentrated in the hands of Fuentes, and, under his able and energetic leadership, the Spanish infantry were to give one more splendid demonstration that they were still the finest soldiers in Europe. The cause for which they strove was ruined, indeed, by the intolerance and ineptitude of the monarch whom they served; but they valiantly fought on to the bitter end. Henry's schemes for the cooperation of the French and Dutch armies were effectively checkmated. His general, the Duke of Bouillon, was speedily driven out of Luxemburg by Verdugo. The nonagenarian Colonel Mondragon had all the best of a series of ensuing skirmishes with Maurice of Nassau and his cousin Philip. In the meantime Fuentes had led another army across the French frontier to rescue the few places that still held out against Henry in the region of the Somme and the Oise. He arrived too late to save Ham. The town was captured and its Spanish garrison massacred on June 21; but three days later he occupied Le Catelet, and on July 24 he won a splendid victory over the combined forces of the Duke of Bouillon and the Count of St. Pol outside Doullens. A week afterwards he entered Doullens itself, one of the "bulwarks of the frontier", and slew its garrison as the French had slain that of Ham. Finally, on October 7, he took Cambray.

In other parts of France the course of the struggle was less favorable to the Spanish cause. A threat of Henry against Franche Comté had brought Juan Fernandez de Velasco, the governor of Milan, across the Alps to its rescue, with an army of over 15,000 men. Mayenne had joined forces with him, and they finally encountered the French at Fontaine-Française outside Dijon, in a battle which had been virtually won by the Spaniards when a last desperate charge by the French monarch, an act of foolhardy courage of the sort which Henry loved, turned victory into defeat. Mayenne was so much discouraged at the issue that he shortly afterwards made his submission to the king, and many of the other old chiefs of the League followed his example in the ensuing months. In Brittany Mercoeur held out till 1598, but three years earlier his opposition had ceased to be serious. He was now completely at odds with the Spanish detachment there. They fought against each other quite as often as in alliance; the whole province was turned over to brigandage; it was a "forest of robbers". Clearly Henry

could not call himself master of his kingdom while Brittany was in such a state. On the other hand, it was perfectly obvious that he could take his time in reducing it; as long as he was content to leave it alone, it would certainly do the same by him. His only important problem, and the sole remaining hope of the Spaniards, lay on the northeastern frontier.

Fuentes was not given the opportunity to win further military victories in 1596. In February a new governor general arrived in the Low Countries, and Fuentes soon afterward departed to Spain. The man to whom Philip had now decided to confide the administration of the Netherlands was another of his archducal nephews, Albert, the younger brother of Ernest, who had died in the preceding year; like him, he had been sent at an early age to be brought up at the court of the Prudent King. He had absorbed far more of Spanish ways than Ernest. He spoke Spanish in preference to any other tongue. In his aspect, his temperament, his methodical laboriousness, and his fervent piety, he closely resembled his royal uncle; there was complete confidence and intimacy between them. In 1577, at the age of eighteen, he had been appointed a cardinal by Gregory XIII; but his uncle had more need of him in the state than in the church, and in 1583 he had been sent as viceroy to Portugal. So successfully, in Philip's eyes at least, did he fulfil his duties there, that when the governorship of the Netherlands became vacant on the death of Ernest the king soon selected him as his successor.<sup>3</sup> Philip had previously cherished plans, as we have already seen, of solving his problem in France by getting the Archduke Ernest made king there and marrying him to the Infanta. By this time it had become probable that France would escape him; but might it not be possible that, if things continued to go wrong there, he might still find both a satisfactory solution of his difficulties in the Netherlands and the peace for which his whole soul longed, by marrying Isabella Clara Eugenia to Albert, and establishing them as joint sovereigns of the Low Countries. Certainly dispensations from vows of clerical celibacy were not difficult to procure.

At the time, however, that the cardinal-archduke arrived in the Netherlands, there was no open evidence of such ulterior designs. Albert was sent there at the outset to carry on the campaign which Fuentes had begun; he was furnished with fresh troops and, what was even more important, with money. There is no reason to think that he knew anything of military affairs, but there were officers left in the Spanish army who did. The morale of the troops had been restored by the fact that at last they had got their pay; they burned to capture more towns and booty in France, and Albert was only too glad to be carried along with them, officially as their chief, but really little more than a figurehead. Since the close of the last campaign the French king had been besieging La Fère, which was still held by a Spanish garrison, and which commanded the most direct route from the Low Countries to Paris, and the most obvious thing for the invading army to do was to relieve it. But that was not the plan of the Spanish captains who were managing the cardinal-archduke's campaign for him; they showed an originality, an unexpectedness, which reminds one of the Great Captain. La Fère, surrounded by swamps, proved even more difficult to relieve than to invest, and the invaders left it to its fate; it was starved out on May 22. Instead, the Spaniards diverted their attack to the north, and in early April they suddenly appeared before Calais. The place was utterly unprepared. Its garrison was inadequate and its fortifications almost in ruins; there was no resistance worthy of the name. On April 17, 1596, the Spaniards entered the city without striking a blow. A week later they captured the citadel and massacred its few defenders; on May 23 they also took Ardres without firing a shot. Both places yielded them an enormous booty. Guisnes and Le Catelet surrendered shortly afterwards, and the commander at Ham was bribed to follow their example. All and more than the old 'Calais Pale' of the days of the English occupation was now in Spanish hands; moreover its capture had at last given Philip the Channel port whose lack had been so fatal to him in 1588; another Armada might use it to

excellent advantage. Yet it is worth noting that when Henry sent over a hurried message to Elizabeth to beg for her aid, after the siege was begun, the queen at first refused to help him, save on the condition that Calais be restored to England. Rather than see it in French, she preferred that it should remain in Spanish hands; for the moment she seemed far more alarmed by the prospects of the recovery of France than by this temporary recrudescence of the power of the Spanish arms. The progress of the Spaniards in the next four weeks convinced her, it is true, that it would not do to hold off too long. On May 24 she finally signed a treaty by which she granted Henry a force of 2000 men and a loan of 20,000 crowns, in return for his promise not to make peace with Philip without her consent. But it was at best a half-hearted step, and Elizabeth deeply repented it two years later when Henry broke his word and deserted her. She always sought to envisage the future, while Philip scrutinized the past, and she rightly foresaw that a united France would prove a far more formidable enemy of England in the years to come than the tottering empire of the Prudent King. Had she lived on into the succeeding age she would not have been misled, as was her successor, into thinking that Spain was still “the greatest of all the kingdoms of the earth.”

The capture of Calais was the last great military achievement of Philip’s reign; from that time onward the tide turned steadily against the Spaniards. In August, 1596, the cardinal-archduke felt obliged, despite the desire of his captains to pursue their advantage in France, to return again to the Low Countries to deal with Maurice of Nassau; like Parma and Fuentes before him, he was distracted by the impossible task of being in two places at once. In August he recaptured the town of Hulst from the rebels; but this triumph was rendered nugatory by the great victory of Maurice at Turnhout in the following January, and during the rest of the year 1597 one important town after another fell back into the hands of the Netherlanders. One more attack in France, led by Hernan Tello Portocarrero, the Spanish commander at Doullens, succeeded, indeed, in taking Amiens by surprise on March 11, 1597; but the Marshal de Biron hurried across from Rouen to recapture it, and was successful in the following September, despite all that the cardinal-archduke could do for its relief. Worse than all these defeats in the field was the shortage of money. The year 1596 had seen Philip repudiate his financial obligations, and without money it was impossible to continue to fight. And there were various other considerations which made for a general movement towards peace. The French king was also in great straits for funds, and anxious to spare his kingdom from a prolongation of the wars which had devastated it for so long; the merchant classes in the Netherlands were weary of fighting. Pope Clement VIII, too, had been laboring, ever since his absolution of Henry IV, to bring about a reconciliation between Spain and France; he rightly feared that it would be the Protestant states of Europe that would reap the sole benefit of the continuance of the strife between the two chief Catholic powers. Perhaps most important of all was the ardent desire of the Prudent King himself to end his days in peace. He had never really liked war, and had often gone to great lengths to avoid it. Since 1595 he had known that he could not live much longer; he desired reconciliation with his enemies on earth, in order to have opportunity to become reconciled to his Maker. After the French had recaptured Amiens, in September, 1597, there was no longer any doubt of the speedy end of the war. Negotiations for peace between Spain and France, already begun in Paris through the instrumentality of the papal legate and the general of the Franciscans, were formally opened at Vervins in the following spring. The course of the proceedings there was closely followed by the cardinal-archduke, who had been given full powers to treat in the name of Spain. He had his own interests, as we shall see in a moment, in having them reach a successful termination.

Elizabeth of England did her best to prevent an accord. She had counted on Henry IV to bear his share on land in the war against Philip which she was to continue to wage on sea; and she complained with justice that the French king had promised her two years before that he

would not conclude a separate peace. The news of the ‘perpetual and irrevocable’ Edict of Nantes (April 30, 1598), by which Henry granted a measure of toleration to the French Huguenots, may well have given Philip pause. There seems to be no record of what he said or did when he learned of it, though we know that his representatives at Rome did their utmost to make use of it to poison the Pope’s ear against the French king; it was a harbinger of modernity, of a totally different world, which Philip could not comprehend, and in which he felt he had no place; very possibly it may have strengthened his desire to be gone. In any case the peace conference at Vervins was not interrupted, and on May 2, 1598, a treaty was signed there, which was characterized by a contemporary as “the most advantageous that France had concluded for five hundred years.” The Spanish king gave up Calais, and all the other places that he still held in Picardy and Brittany. His claims to the duchy of Burgundy were recognized in theory, but as he promised to seek to vindicate them solely “by the friendly way of justice and not by appealing to arms” the recognition was tantamount to a renunciation. For forty years past he had alternately aspired to influence, to control, and to dismember France; now, in order to have peace with her before he died, he had been obliged to recognize her integrity and independence under the rule of a king who had been a heretic born, and had recently announced his intention to be tolerant of heretics. Finally, after all his concessions, he failed actually to obtain one of the principal advantages which the treaty ostensibly accorded him. One of the chief reasons why he had been in such haste to obtain peace with France was that he might be free, before he died, to settle the question of the Netherlands; and he had every right to expect that, after the treaty of Vervins had been signed, the French king would cease to support his enemies in Holland. But Henry, in this matter, did not live up to his word. He heartened the United Provinces with promises of his continued support. Though he had ceased to wage war openly against the king of Spain, he continued it covertly by aiding the rebels in the Low Countries, and the latter were encouraged to persist in their struggle until they won formal recognition of their independence at the peace of Westphalia.

We turn finally, then, to the settlement in the Netherlands; for if Henry was not altogether loyal in his observance of the treaty of Vervins, Philip was not quite free from duplicity in the arrangements which he sanctioned in the Low Countries. If he had been really wise, if he could have had a glimpse into the future, he would have gone the whole way in his quest for reconciliation, and granted the Netherlanders their freedom in return for the peace for which his whole soul longed. They had been a liability throughout his reign, a ‘plague spot’, a ‘running sore’; it was largely through the ramifications of his difficulties with them that he had become involved in his disastrous struggles with France and England. Spain and the Spanish Empire would have been vastly better off if he could have got rid of them. But Philip could not possibly bring himself to see this. As we have often remarked, he looked backward, not forward. The decision of his father that the Low Countries should go to Spain—the worst mistake the Emperor ever made—, and his precepts to Philip to cherish and retain them, counted for far more in the king’s eyes than the problems inherent in the future. The fact that half of the Netherlands were now in full revolt against him, and were trying to set up, in defiance of his authority, a system of government and religion which he abhorred, made him all the more certain that it was his bounden duty to get them back into Spanish control. On the other hand, he had the wit to see that for the present it was utterly impossible for him to accomplish this. His treasury was empty, his army in poor shape. He probably already realized that his son, so shortly to succeed him, was little more than a pleasure-seeker, who could not be trusted to expend the energy and labor which were essential to the continuance of the Netherland campaigns. Everything pointed, under the circumstances, to the necessity of devising a stopgap—some arrangement by which Spain could be given an opportunity to rest and recuperate, so as to be able to carry on the struggle successfully in later years, and by which, in the meantime, the Low Countries should not be permitted to pass out of her hands.

Philip, as we have seen, had contemplated such a solution of his difficulties at the time that he had sent the Cardinal Archduke Albert to the Netherlands in 1595-96. To marry Albert to his cousin, the Infanta Isabella Clara Eugenia, and set them up as joint sovereigns of the Low Countries under Spanish suzerainty seemed now to be the only way out. The Spanish king was strengthened in his determination to adopt this expedient by the fact that there was ample historical precedent for what he proposed to do. Clear back in 1539, his father had had a scheme of detaching the Netherlands from the rest of his possessions by giving them as a dowry to one of his daughters and marrying her to the Duke of Orleans. A similar plan had been considered for one of the Infantas in 1573; Requesens had advocated it in 1574, and Juan de Zuñiga in 1586. On all these occasions the solution had been refused. In those happier days it had seemed unnecessary, for it looked as though Spain could keep the Netherlands without adopting it; but now the situation had completely changed. And so, on May 6, 1598, four days after the conclusion of the treaty of Vervins, Philip put his signature to the act by which the Low Countries were handed over to the cardinal-archduke and the Infanta, who were to be married as soon as possible, to be ruled by them as 'sovereign princes'; the seven rebel provinces of the Union of Utrecht were of course theoretically included in the arrangement, as well as the loyal ones of the Union of Arras. But the phrase 'sovereign princes' must not be interpreted to mean that the 'archdukes' were in any sense really emancipated from the tutelage of Spain. In the first place, it was provided that whenever either Albert or the Infanta should die, the Low Countries should revert to Spain, unless there were issue of their marriage; and Philip had good reason for believing that their union would prove, as it ultimately did, to be sterile. In the unlikely case of their producing offspring, it was stipulated that the child, if a boy, should not marry without the consent of the Spanish crown, and if a girl, should be wedded either to the Spanish king or to his son. The archdukes, furthermore, were obliged to give assurances that they would maintain the Roman Catholic faith and do their utmost to extirpate heresy; if the Pope should accuse them of being contaminated by it, they promised to renounce all their rights. It is worth noting also that they were rigorously excluded from commerce with the Indies; though hedged about with all kinds of Spanish restrictions, they were denied the privileges of Spaniards in the New World. And there were other secret clauses besides, by which the archdukes were still further bound. Altogether the arrangement was such as made them little more independent than the various royal representatives in the Low Countries from the days of Margaret of Parma to those of her son. Under their rule all the traditions of the Spanish regime were maintained, and on the death of the cardinal archduke in 1621, the Netherlands reverted once more to the Spanish crown, in accordance with the plans that had been laid by the Prudent King.

Certainly Philip had earned his rest. He may have taken the wrong turn with disastrous persistency, but no one could deny that he had labored, valiantly and unremittingly, to the very last, to do his duty as he conceived it to be. And, in addition to the political reverses and economic disasters of the last few years, he had been tortured all the time by the steadily increasing ravages of a terribly painful disease.

Rumors that he was not well had reached Rome and even Constantinople as early as 1593, but it was not until two years later that his condition began to give cause for real alarm. On May 13, 1595, the Venetian ambassador reported that the doctors said that the king's body 'was so withered and feeble that it was almost impossible that a human being in such a state should live for long'. Philip's original ailment was the gout; but in the later stages he also suffered intermittently from a "double tertian [fever] with irregular spasms", and painful sores and ulcers broke out all over his body. A crisis was feared on Good Friday, 1596, chiefly, it would appear, because there was an eclipse, and Philip "recalled how his father, his mother,

and others of his house had died at a similar juncture”; but on that very day an improvement took place, possibly because he had been bled, “though the blood flowed with difficulty and two-thirds of it was watery humor.” There were also other occasions, in December of that same year, and in September, 1597, when it was believed that he was likely to die, but Philip survived them all, and in May, 1598, when there was a great festival, “the King, though in bed, gave his orders and directed the ball with as quick and lively spirit as if he had been at the head of his army.” On the last day of the following June he insisted on being carried in a litter from Madrid to the Escorial, against the advice of his doctors, who dreaded the effects of the journey. Their apprehensions were more than justified by the event. For a week after his arrival at San Lorenzo Philip had another violent attack of fever; in July there was a temporary improvement, but in early August all his different afflictions came back upon him at once, with redoubled violence, and continued unabated to the end.

It is useless to follow the harrowing details of the progress of the king’s malady during those last dreadful weeks. He was in constant agony. His bed linen was impregnated by the suppuration from his abscesses, but it was apparently impossible to change it, for he could not bear to be move; the odor was frightful, and vermin began to appear. He seemed literally to be rotting away, a microcosm of the vast empire which had begun to disintegrate under his rule. But neither Philip nor those who watched by his bedside gave their principal attention to these terrible things. The king transacted such business of state as he could, but his thoughts were chiefly fixed on the next world. His patience in suffering was the wonder of all who beheld it; they compared it to the patience of Job. He constantly harped on the sins of which he had been guilty, and humbly expressed his hope that they would be forgiven. He took comfort in confession, in the prayers of the priests at his bedside, and in gazing on the sacred relics of the Escorial which were brought to be contemplated by him for the last time; the church was more than ever his principal interest now, for it opened for him the way to eternal life. The Infante was frequently called in to see his father, and on August 28 he was given two sealed packets, “with instructions to open them only after his Majesty’s death”. What had been placed in those packets does not appear, but we are fully informed of the contents of a paper which, two days before he died, the king handed to his confessor with orders to read it to his son the moment that he had gone. That paper was not filled with the sort of advice which the Emperor had often given Philip, with full and specific comments and facts concerning the state of his realms, and the character of his ministers. Philip had not even written it himself. It was an excerpt from the life of St. Louis IX of France, by his contemporary, the Sieur de Joinville, which had been translated into Spanish and published in 1577; it contained the advice which that monarch had given to the son who was to succeed him, and who, like the Infante, was to bear the title of Philip III. It is filled with exhortations to love God and live righteously; to reverence the church and avoid war; to administer justice fairly; not to be cast down by adversity nor puffed up with pride by success. Certainly it contained nothing to which any one could take exception; but it was no more apposite to the needs of the Spain of 1598 than it is to those of any country or any age, and it speaks volumes for the extent to which Philip at the last had managed to forget the rivalries of this world, that he preferred it, written as it was by a monarch of the realm which had been the traditional enemy of his house, to anything that he could have invented himself.

In the early days of September it was evident that the end could not be long delayed. Philip’s courage never deserted him, and his love of minutiae exhibited itself to the very last. “He has made himself most familiar”, wrote the Venetian ambassador, Soranzo, “not only with the thought of death, but with the details and the discussion thereof, and with all that should be done after he is gone. He has arranged every detail of his funeral, and has ordered the purchase of a large quantity of black cloth to drape the church of the Escorial. He has

caused them to bring into his room and to his beside a shirt of lead, in which he is to be wrapped after he has breathed his last, and a leaden coffin for his corpse when his hour is come. He examined both and caused himself to be measured, and gave orders for the necessary alterations". At daybreak on the morning of September 13, he died, in a little room twelve feet square, whence he could look out on the altar of the great monastic church whose construction had been one of the deepest satisfactions of his life. It would have been impossible to find a more fitting spot for the termination of his arduous labors.

Just two weeks later Soranzo reported that he had "heard the Adelantado of Castile declare that they would see what the Spanish were worth now that they have a free hand, and are no longer subject to a single brain that thought it knew all that could be known, and treated everyone else as a blockhead". But this was only the view of a discontented grandee, who rejoiced in the relief from the tension to which Philip's rule had subjected him and others of his kind; he doubtless foresaw for himself both financial favors and political preferments in the reign of a king who delegated everything to subordinates and was immersed in the pursuit of pleasure. A far truer picture of the feeling of the Spanish people the news of Philip's death was first known is given by a brief paragraph in a letter from the same Venetian ambassador, which was written on the day that the king expired. "Although change is usually popular", so he reported, "yet nobles and people, rich and poor, universally show great grief". Despite all the misfortunes which his reign had brought them, the Spaniards loved their Prudent King.

CHAPTER XL  
FINAL REFLECTIONS

AT the close of so long a book, the author may be permitted, if not expected, to moralize. And the question on which his opinions, if they be worth anything, will naturally be desired, is that of the fundamental reasons which combine to explain why the Spanish Empire, so overwhelmingly preponderant in the middle decades of the sixteenth century, should have disintegrated with such tragic rapidity in the succeeding age. Like every other similar phenomenon in the history of the human race, its fall was the product of a complex of different causes; and we are still quite as far from having discovered them all, and from having reached any general agreement as to the relative importance of those that have been already assigned, as we are in the case of those that have been given for the fall of Rome. Yet it is only by constant statement and restatement of the views of successive generations of historical students that there is any hope of ultimately obtaining the truth. Even if opinions be expressed which are subsequently proved wrong, there is always a chance that they may render a real service; for it is not seldom through the very process of subverting them that fresh light is incidentally revealed.

The first, and, in some respects, the most far reaching of the considerations that must be borne in mind by those who seek to know the causes of its fall, is that the Spanish Empire was rather the result of a series of accidental and artificial agglomerations than of a normal and natural growth. It exhibits, indeed, a certain magnificent continuity, the product of the crusading ideals which animated and inspired it from the cave of Covadonga to the death of Philip II; but those crusading ideals were shared in very unequal proportions by the different realms in the Iberian Peninsula. They furnish, it is true, a chief impetus to Castile, in completing the great work of the Reconquest; but we must not forget that down to the days of the Catholic kings, and the discovery of the New World, Castile played a relatively small part in the upbuilding of the Spanish Empire. Save for the Canaries, to which Spain's title was not definitely established till the time of Ferdinand and Isabella, all the various mediaeval conquests of Spain beyond the seas were the result of the activities of the realms of the crown of Aragon, and the origins of those activities were rather hostile than friendly to the church. Two really divergent currents were united by the marriage of the Catholic Kings. The events of the succeeding period, particularly the discovery of America, served to place Castile, which had had far less imperial experience than the Eastern Kingdoms, permanently in the forefront of the picture, and the Spanish Empire became progressively Castilianized. Small wonder, considering her fresh responsibilities and opportunities across the Atlantic, that Castile was reluctant to assume the duty of maintaining the Italian and Mediterranean possessions which had been foisted on her by the union with Aragon. And then, on top of all this, came the Hapsburg inheritance and all that it implied, particularly the baleful responsibility of the Netherlands, an even more heavy and unnatural burden for a nation, which for eight centuries had been almost exclusively occupied at home, to be called upon to bear. After long ages of comparative isolation, Spain was summoned to assume, under the leadership of her most uncosmopolitan part, the stupendous task of governing a world empire composed of a large number of widely scattered and heterogeneous units accidentally drawn together as a result of two fateful marriages.

There can be no doubt that all the difficulties arising from the conditions described in the preceding paragraph were perpetuated and intensified by that tendency toward separatism and diversification which, as we have often remarked, is a distinguishing characteristic of the Iberian peoples. It rendered it impossible for Ferdinand and Isabella and their Hapsburg successors to unify the administration of the various territories over which they held sway. It was a chief barrier to the efficiency that ought to have been the finest fruit of the system of royal absolutism which, in full accord with the prevailing political theories of that day and generation, they established and attempted to maintain. The variety of the problems with which they were inevitably confronted was so bewildering that no monarch could possibly deal with them all, particularly if, like Philip the Prudent, he was unwilling to delegate anything to subordinates. No doubt the Catholic Kings and their successors were fully conscious of this difficulty, and Philip, in abolishing some of the most cherished of the 'liberties of Aragon,' took a step toward remedying it; but he was far too good a Spaniard to go the whole way, and put an end to the separate constitutions of the Eastern Kingdoms. Their corpses—for all the vitality had long since gone—were suffered to remain unburied, until the advent of the Bourbons in the eighteenth century changed the government of Spain from a decentralized to a centralized despotism on the model of that of Louis XIV. The Hapsburgs' maintenance of the constitutional emblems of Spanish separatism is one of the most striking, if unfortunate, proofs of the fact that they became in some respects far more thoroughly Hispanicized than their successors from across the Pyrenees.

It is but a platitude to remark that the Spanish Empire of the sixteenth century was vastly over-extended, that Spain was called upon to shoulder a burden which it was beyond her capacity to bear. But if the process of over-extension had been more gradual, the effect of it might well have been less unfortunate; it was the appalling suddenness with which world empire was thrust upon her that accounts in large measure for Spain's failure to maintain it. We have already remarked that the only portions of the Iberian Peninsula which had had any practice worthy of the name in the management of overseas possessions, down to the reign of Ferdinand and Isabella, were the realms of the crown of Aragon. When, under the Catholic Kings and the Emperor, the really great advances came, things so fell out that the leadership of the Spanish Empire was shifted to Castile, which was totally unprepared to assume it; the Eastern Kingdoms gradually faded from the picture, and their imperial experience was thus largely thrown away. Of course the non-Spanish responsibilities of the Emperor made the situation even more difficult still. Charles saw it all, plainly enough, in the closing years of his life. His division of his inheritance, and his advice to Philip to forbear to attempt any further increase of his territories or power, show that he realized that fate had suddenly saddled Spain with a burden beyond her power to bear. For the first twenty-five years of his reign the Prudent King followed his father's counsels. Though champion of the forces of militant Catholicism, he rested, politically speaking, on the defensive. Then Destiny once more intervened and another great empire fell into his lap. From Philip's own standpoint, his annexation of Portugal and its dominions was not an act of aggression, but merely the gathering in of an inheritance indubitably his own; but it is easy to see why the rest of Europe refused to regard it as such, and was appalled at the incredible rapidity of Spain's rise, in little more than a century, from a position of comparative insignificance to the leadership of the most extensive empire that the world has ever seen. And not only did the suddenness of the transformation put the rest of Europe up in arms, in a way which in all probability a more gradual growth would not have done; it was also fatal to Spain's hope of a successful defense. Such widely scattered and highly diversified territories could not possibly be welded together, under an efficient imperial organization, in such a comparatively short space of time.

Without the Indies, and the revenues they yielded, the picture would of course have been totally different; and a paragraph may well be devoted at this point to the consideration of the dictum of a recent writer that “America, in Spanish history, was a white elephant”. If one thinks solely of the role of Spain in Western Europe, this verdict is unquestionably sound. Had it not been for the monopoly which she claimed in the New World she would not have gained the position in the Old, which drew down on her the jealousy and hatred of her neighbors; she might well have devoted herself, with their full approval and support, to the conquest of a more permanent domain in North Africa. But the subject of this book is not so much Spain as the Spanish Empire, and if we envisage the question of the American lands from the standpoint of empire, we are likely to be led to a very different conclusion. They were, after all, a *sine qua non* of its existence, and a fundamental cause of Spain’s greatness while it lasted; without them she could scarcely have attained imperial power at all, at least in the sixteenth century. And though, three hundred years later, the American colonics declared and won their political independence, the language and culture which they had inherited from their mother country remain as their permanent possession. If empire be measured by standards other than the political and economic, the Latin American lands are still a part of the picture, and the glory of having settled and civilized them belongs forever to Spain.

Another consideration, of tremendous significance in the problem of the causes of the fall of the Spanish Empire, is the fact that the main principles and ideals which underlay it had become antiquated by the end of the sixteenth century. Its most ancient tradition, namely, that of crusading, lost its hold on men’s minds after the battle of Lepanto, and the kindred idea that it was the duty of all true Christians to extirpate heresy collided with the nascent conception of religious toleration. Politically, too, the tale was much the same. The overwhelming preponderance which the Hapsburg inheritance and the discovery of America had given to Spain was a flagrant contradiction of the principle of national individuality, and of the modern idea of the balance of power, which was being gradually evolved as the most obvious method of maintaining it. Certainly it was rather Spain’s misfortune than her fault, the result of her inheritance rather than of her own choice, that she found herself committed to these antiquated ideals; but it was none the less inevitable that when the crisis came, she found that practically all the more modern states of Europe were arrayed against her. Her failure to grasp any of the principles of sound economics, which were just beginning to emerge in the end of the sixteenth century, and were subsequently to become one of the chief controlling forces of the modern world, is but another chapter of the same story; the phrase of Siguenza, “those good old centuries when there was so much faith and so little money”, is deeply significant in this connection. Spain longed for the return of them, because she was out of place in the modern world. *Laudator temporis acti* was the role that appealed to her most; she hated to look forward; she loved to look back. And perhaps the hardest part of it all was the suddenness with which Spain was brought into collision with all these unsympathetic forces of modernity during the last two decades of the reign of Philip II. Until the annexation of Portugal he had made a strong effort to maintain the good old ways. In obedience to his father’s counsels he had rested, politically speaking, on the defensive the Counter-Reformation had given him a welcome opportunity to champion the ancient faith. Then, in a trice, the scene had shifted, and the Prudent King had assumed the offensive, only to be confronted with new foes who used weapons which he could neither compete with nor comprehend. The suddenness of the rise of the Spanish Empire has already been noted. If it partially explains, it was certainly exceeded by the suddenness of its fall.

The breed of empire builders, who had been responsible for Spain’s greatest triumphs under Ferdinand and Isabella and Charles V, had begun to die out before the accession of Philip II, and nothing worthy to be compared with them was produced during his reign. His

best soldiers and sailors, such as Alva and Santa Cruz, were inheritances from his father. In politics and diplomacy the decline was perhaps not quite so marked, but the fact that he had been obliged to call on a Burgundian—Cardinal Granvelle—to aid him in the gathering in of the Portuguese inheritance, was certainly ominous for the future. But the further question still remains: What were the reasons for the disappearance of this race of empire builders? Was it that Spain had been so exhausted by what she had accomplished in the preceding period that she was no longer capable of producing them? Or was it the result of the transference of so much of her best talent to the New World? Was it due to the unconscious growth of a conviction that her empire was already so great that it could not, with safety, be further enlarged? Of the spirit of defensiveness that Charles had commended to Philip? Of Philip's well-known aversion to war? Of a progressive paralysis of initiative by a system of monarchical surveillance and residencias? Or was it just the inevitable ebb which sooner or later succeeds the flood of the "tide in the affairs of men"? None of these questions is susceptible of definite answer; none of the alternative explanations which have been offered is wholly satisfactory; yet there is probably a measure of truth in every one of them. Perhaps, after all, it would be fairer to regard the phenomenon which we have just been considering, not as a process of decline and decay, but rather as a transference of the energy and genius that had hitherto manifested themselves in conquest and in war, to the gentler realms of literature and art. Certainly the Spain of the seventeenth century—the dreariest of all periods in her political annals—produced writers and painters whose names will remain immortal.

The considerations which we have thus far put forward as possible causes of the decline of the Spanish Empire are all, of course, of the most general nature; to complete the picture it is essential to bear in mind that there were also a large number of more immediate and specific ones. Of these, Charles's utilization of Spanish resources for non-Spanish purposes, his bestowal of his Burgundian inheritance on Philip instead of on Ferdinand, the Prudent King's meticulous paternalism, and still more his ruinous economic impolicy, are among the most important; but as these matters have been fully set forth in the last two volumes of this work, it scarcely seems worthwhile to enlarge upon them here. One of the principal objects of this concluding chapter has been to remind the reader of a fact which we attempted to emphasize in the opening paragraphs of our first volume, namely, that though nine-tenths of the history of the Spanish Empire is concentrated between the accession of Ferdinand and Isabella and the death of Philip II, the origins of it reach back to the early Middle Ages and beyond, and that its development, during the century of its greatness and decay, cannot possibly be understood without some knowledge of what had gone before. Its story, from first to last, is full of amazing contradictions, and the comparison with the British Empire, with which this book began, may well be recalled at its close. The Spanish Empire had a continuity, a background, which the British Empire lacked. Spain's expansion under the Catholic Kings in North Africa and in the New World was but the logical sequel of the Reconquest. The wars with France which occupied the latter part of their reign, and were bequeathed by them to their successor, followed inevitably as the result of the mediaeval achievements of the Catalans and the Aragonese in Italy and in the western basin of the Mediterranean. England, on the other hand, had been practically driven off the continent of Europe, and had virtually renounced all thought of further conquest there, by the time that the exploits of the Tudor sea-dogs opened visions to her gaze of an empire beyond the seas. She had got out of the Old World before she started to win the New. By breaking with her mediaeval traditions and turning her back upon the past, she was enabled to concentrate her attention on the future, and to develop, normally and gradually, in the new fields to which she had elected to devote herself. But Spain could not bring herself to relinquish her inherited responsibilities in Europe at the time that she was presented with an empire across the Atlantic. Her reverence for the past and the accidents of fate combined to overwhelm her with a load of responsibilities, all over the world, so

tremendous that she could not carry it for long. Paradoxical as it may seem, it was the very continuity of her imperial tradition that furnishes the chief explanation of the suddenness of her rise and of her fall. For her it was all or nothing; and her loyalty to the great task which Destiny had given her brought her into fatal conflict with the principles that rule the modern world.

THE END

**THE RISE OF  
THE SPANISH EMPIRE  
IN THE  
OLD WORLD AND IN THE NEW**

EL VENCEDOR EDICIONES

